## Appendices

# A systematic review and economic evaluation of statins for the prevention of coronary events 

S Ward, M Lloyd Jones, A Pandor, M Holmes, R Ara, A Ryan, W Yeo and N Payne

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## Appendix I MEDLINE search strategy

## Clinical effectiveness

1 randomized controlled trial.pt. (187081)
2 randomized controlled trials/ (31619)
3 random allocation/ (50342)
4 double blind method/ (77461)
5 single blind method/ (7962)
6 clinical trial.pt. (378915)
7 exp clinical trials/ (152599)
81 or 2 or 3 or 4 or 5 or 6 or 7 (485131)
9 (clinic\$ adjl trial\$).tw. (77257)
10 ((singl\$ or doubl\$ or treb\$ or trip\$) adj3
(blind\$ or mask\$)).tw. (74642)
11 PLACEBOS/ (22859)
12 placebo\$.tw. (83145)
13 randomly allocated.tw. (7575)
14 (allocated adj2 random).tw. (602)
15 or/9-14 (194563)
168 or 15 (534732)
17 case report.tw. (97812)
18 letter.pt. (501492)
19 historical article.pt. (207878)
20 review of reported cases.pt. (49218)
21 review, multicase.pt. (8081)
22 or/17-21 (847807)
2316 not 22 (518130)
24 statin\$.tw. (4866)
25 simvastatin.tw. (2023)
26 pravastatin.tw. (1550)
27 fluvastatin.tw. (621)
28 atorvastatin.tw. (907)
29 rosuvastatin.tw. (125)
$30 \mathrm{hmg} \$ . t w$. (9052)
31 co-A reductase inhibitor\$.tw. (25)
32 Hydroxymethylglutaryl-CoA Reductase Inhibitors/ (4855)
33 Anticholesteremic Agents/ or Pravastatin/ or Simvastatin/ or Lovastatin/ (10480)
34 lipid lowering.tw. (4073)
35 or/24-34 (23939)
3623 and 35 (4990)
3. pravastatin.tw.
4. lovastatin.tw.
5. fluvastatin.tw.
6. atorvastatin.tw.
7. rosuvastatin.tw.
8. hmg\$.tw.
9. co-reductase inhibitor\$.tw.
10. Hydroxymethylglutaryl-CoA Reductase Inhibitors/
11. Anticholesteremic Agents/ or Pravastatin/ or Simvastatin/ or Lovastatin/
12. lipid lowering.tw.
13. or/l-12
14. Coronary Disease/
15. (coronary or heart or arter\$).tw.
16. Cerebrovascular Disorders/
17. stroke.tw.
18. or/14-17
19. 13 and 18
20. ECONOMICS/
21. "Costs and Cost Analysis"/
22. Cost Allocation/
23. Cost-Benefit Analysis/
24. Cost Control/
25. Cost Savings/
26. Cost of Illness/
27. Health Care Costs/
28. Drug Costs/
29. Health Expenditures/
30. $\exp$ Economics, Medical/
31. exp Economics, Pharmaceutical/
32. exp "Fees and Charges"/
33. exp BUDGETS/
34. (high adj cost).tw.
35. (low adj cost).tw.
36. cost utility.tw.
37. (fiscal or funding or financial or finance).tw.
38. (health?care adj cost).tw.
39. (cost adj estimate).tw.
40. (cost adj variable).tw.
41. (unit adj cost).tw.
42. (economic\$ or pharmacoeconomic\$ or price\$ or pricing).tw.
43. or/20-42
44. 19 and 43

## Appendix 2

# Trials meeting the inclusion criteria for review 

TThe major publication for each study is marked with an asterisk.

## 3T

*Olsson AG, Eriksson M, Johnson O, Kjellstrom T, Lanke J, Larsen ML, et al. A 52-week, multicenter, randomized, parallel-group, double-blind, doubledummy study to assess the efficacy of atorvastatin and simvastatin in reaching low-density lipoprotein cholesterol and triglyceride targets: the Treat-to-Target (3T) study. Clin Ther 2003;25:119-38.

## 4522 I L-0026

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## Appendix 3

## Ongoing studies, and studies for which data are unavailable

TABLE 93 Ongoing studies, and studies for which data are unavailable

| Study | No. randomised | Population (subgroups) | Comparison | \% with CHD at baseline | \% with CVD <br> at baseline | Mean total cholesterol at baseline ( $\mathrm{mmol} \mathrm{l}^{-1}$ ) | Main outcome measures | Comment |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| AIDA ${ }^{282}$ | 600 | Patients with type 2 diabetes and albuminuria | Atorvastatin 20 mg per day vs placebo | No data | No data | No data | Cardiovascular events | Published results not found, although study completion was expected by 2001 |
| ASPEN ${ }^{283}$ | Aim 2250 | Patients with type 2 diabetes with or without a previous MI | Atorvastatin 10 mg per day vs placebo | No data | No data | No data | Mortality, morbidity | Ongoing study |
| AURORA ${ }^{284}$ | Aim 2700 | Male and female haemodialysis patients aged 50-80 years, irrespective of previous history of CVD | Rosuvastatin 10 mg per day vs placebo | No data | No data | No data | All-cause mortality, cardiovascular mortality, major cardiovascular events (death, MI, stroke), cardiovascular interventions, cost per LYS | Study not likely to report until 2007 at the earliest |
| CORONA ${ }^{285}$ | 4950 | Men and women aged $\geq 60$ years with chronic symptomatic systolic heart failure | Rosuvastatin 10 mg per day vs placebo | 100\% |  |  | Cardiovascular death, non-fatal MI, non-fatal stroke |  |
| GISSI-HF ${ }^{285}$ |  | Patients with chronic heart failure | Rosuvastatin 10 mg per day vs placebo |  |  |  |  |  |
| HYRIM $^{286}$ | 567 | Men aged 40-74 years with hypertension | Fluvastatin 40 mg per day vs placebo |  |  |  | Major cardiac events, CVD events | Publication expected January 2005 |

TABLE 93 Ongoing studies, and studies for which data are unavailable (cont'd)

| Study | No. randomised | Population (subgroups) | Comparison | \% with CHD at baseline | \% with CVD <br> at baseline | Mean total cholesterol at baseline (mmol I- ${ }^{-1}$ ) | Main outcome measures | Comment |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| IDEAL ${ }^{286}$ | Aim 7600 <br> (achieved 8888) ${ }^{66}$ | Patients aged $\leq 80$ years with definite AMI | Atorvastatin 80 mg per day vs simvastatin $20-40 \mathrm{mg}$ per day | MI 100\% | No data | No data | CHD mortality, non-fatal MI | Ongoing study |
| $\begin{aligned} & \text { Japanese Mega } \\ & \text { Study }{ }^{287} \end{aligned}$ | 8009 | Patients aged 40-70 years without preexisting vascular disease with TC $220-270 \mathrm{mg} \mathrm{dl}^{-1}$ | Pravastatin 10-20 mg per day vs dietary therapy | No data | 0\% | No data | CHD mortality, non-fatal MI, fatal stroke, non-fatal stroke | Ongoing study |
| JUPITER ${ }^{288}$ | Aim 15,000 | Men aged $\geq 55$ and women aged <br> $\geq 65$ years with low LDL-C ( $<3.36 \mathrm{mmol} \mathrm{l}^{-1}$ ) and elevated highsensitivity CRP | Rosuvastatin 20 mg per day vs placebo | No MI | No stroke or arterial revascularisation | No data | All-cause mortality, cardiovascular mortality, noncardiovascular mortality, MI, stroke, hospitalisation for unstable angina, arterial revascularisation, type 2 diabetes, fractures, venous thromboembolic events, adverse events | Ongoing study |
| SEARCH ${ }^{289}$ | 12,000 | Patients with prior MI | Simvastatin 20 vs 80 mg per day | 100\% |  |  | Coronary events | Ongoing study |

TABLE 93 Ongoing studies, and studies for which data are unavailable (cont'd)

| Study | No. randomised | Population (subgroups) | Comparison | \% with CHD at baseline | \% with CVD at baseline | Mean total cholesterol at baseline (mmol lil | Main outcome measures | Comment |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| SPARCL ${ }^{290}$ | 4732 | Patients with prior stroke or TIA but without known CHD | Atorvastatin 80 mg per day vs placebo | 0\% | Stroke 69\%, TIA 31\% | 5.5 | All-cause mortality, fatal or non-fatal stroke, cardiac mortality, non-fatal MI, resuscitated cardiac arrest, unstable angina, TIA, clinically significant PVD, any revascularisation (cardiac or peripheral), stroke disability | Ongoing study: data collection anticipated to end by October 2004 |
| $\begin{aligned} & \text { Stegmayr } \\ & 2001^{291} \end{aligned}$ | 40+ | Patients with severe renal dysfunction | Atorvastatin 10 mg per day vs no treatment | No data | No data | No data | Mortality, MI, CABG, PTCA | Study completed but manuscript submitted to journal so author currently unwilling to provide full data. Duration of intervention $>5$ years; study not industry supported (Stegmayr BG: personal communication) |
| TNT ${ }^{292}$ | 10,003 | Men and women aged 35-75 years with clinically evident CHD (previous MI, previous or present angina with objective evidence of atherosclerotic CHD) who had undergone a coronary revascularisation procedure | Atorvastatin 80 vs 10 mg per day | 100\% (MI 42\%) | Cerebrovascular disease $5.2 \%$, PVD II.0\% | 4.5 | All-cause mortality Fatal or non-fatal stroke CHD death Non-fatal MI Resuscitated cardiac arrest, angina, TIA, PVD, revascularisation | Ongoing study |

## Appendix 4 <br> Excluded studies

TABLE 94 RCTs identified by the electronic searches and excluded at the full paper stage, for reasons not immediately apparent from the full text

| Study | Reason for exclusion |
| :--- | :--- |
| LCAS $^{293}$ | Monotherapy subgroups not truly randomised as randomisation not stratified taking into account the need <br> for cholestyramine <br> Not clear whether the same dietary intervention was used in both arms or only in the control arm |
| FAST $^{294}$ |  |

TABLE 95 RCTs referred to in the manufacturers' submissions which did not meet the study inclusion criteria, with reasons

| Manufacturer/study | Reason for exclusion |
| :---: | :---: |
| AstraZeneca (rosuvastatin) |  |
| ANDROMEDA ${ }^{295}$ | Too short (16 weeks) |
| CORALL ${ }^{296}$ | Too short (12 weeks) |
| MERCURY ${ }^{297}$ | Too short (16 weeks) |
| RADAR ${ }^{298}$ | Too short (18 weeks) |
| STELLAR ${ }^{208}$ | Too short (6 weeks) |
| Study $24{ }^{299}$ | Too short (12 weeks) |
| Study $25^{300}$ | Too short (24 weeks) |
| Study $27^{301}$ | Too short (12 weeks) |
| Study 30302 | Too short (18 weeks) |
| Study $33^{303}$ | Too short (6 weeks) |
| URANUS ${ }^{304}$ | Too short (16 weeks) |
| Bristol-Myers Squibb (pravastatin) Wiegman, 2004 ${ }^{305}$ | Wrong patient group (children) |
| MSD (simvastatin) None |  |
| Novartis (fluvastatin) |  |
| Baggio, 1994 ${ }^{306}$ | Too short (6 weeks) |
| Ballantyne, $2000{ }^{307}$ | Too short (6 weeks) |
| Bruckert, $2004{ }^{308}$ | Does not report clinical outcomes |
| Buzzi, $1997{ }^{309}$ | Not RCT |
| Farnier, 2000 ${ }^{310}$ | Too short (16 weeks) |
| Farnier, 2003 ${ }^{311}$ | Combination therapy |
| FLUENT ${ }^{312}$ | Not RCT |
| Fluvastatin titrate-to-goal study ${ }^{313}$ | Too short (12 weeks) |
| Hunninghake, 2002 ${ }^{314}$ | Too short (24 weeks) |
| Insull, 1994315 | Too short (6 weeks) |
| Insull, 2004 ${ }^{316}$ | Too short (24 weeks) |
| Isaacsohn, $2003{ }^{317}$ | Too short (12 weeks) |
| Jacotot, $1994{ }^{318}$ | Too short (6 weeks) |
| LCAS, ${ }^{293}$ | Monotherapy subgroups not truly randomised as randomisation not stratified taking into account the need for cholestyramine |
| Leitersdorf, $1995{ }^{319}$ | Combination therapy |
| Lye, 1998820 | Too short (24 weeks) |
| Olsson, 2001 ${ }^{321}$ | Too short (24 weeks) |
| Pauciullo, 2000322 | Combination therapy |
| Peters, $1994{ }^{323}$ | Not RCT |
| Teramoto, $1995{ }^{324}$ | Not RCT |
| Tomlinson, $1995{ }^{325}$ | Too short (8 weeks) |
| Winkler, $2002{ }^{326}$ | Too short (8 weeks) |
|  | continued |

TABLE 95 RCTs referred to in the manufacturers' submissions which did not meet the study inclusion criteria, with reasons (cont'd)

| Manufacturer/study | Reason for exclusion |  |
| :---: | :---: | :---: |
| Pfizer (atorvastatin) |  |  |
| ACCESS ${ }^{327}$ | Does not report clinical outcomes |  |
| ADVOCATE ${ }^{328}$ | Too short (16 weeks) |  |
| ARBITER ${ }^{329}$ | Does not report clinical outcomes |  |
| ASSETT ${ }^{330}$ | Too short (6 weeks) |  |
| Assmann, 1999331 | Does not report clinical outcomes |  |
| Athyros, $19988^{332}$ | Does not report clinical outcomes |  |
| ATROCAP ${ }^{333}$ | Too short (19 weeks) |  |
| Bakker-Arkema, 1996334 | Too short (4 weeks) |  |
| Ballantyne, $2003{ }^{335}$ | Too short (12 weeks) |  |
| BELLES ${ }^{336}$ | Unfinished; not clinical end-points |  |
| Bertolami, $2002{ }^{337}$ | Too short (12 weeks) |  |
| Bertolini, 1997338 | No clinical outcomes |  |
| Best, 1996339 | Too short (4 weeks) |  |
| Bo, 2001 ${ }^{340}$ | Too short (24 weeks) |  |
| Boquist, 2002 ${ }^{341}$ | Too short (8 weeks) |  |
| Branchi, 2001 ${ }^{342}$ | Too short (2 months) |  |
| CARDS $13^{343}$ | Does not report clinical outcomes |  |
| CAVEAT ${ }^{344}$ | Too short (8 weeks) |  |
| Chan, 2002 ${ }^{345}$ | Too short (6 weeks) |  |
| CURVES ${ }^{346}$ | Too short (8 weeks) |  |
| Dalla Nora, $2003{ }^{347}$ | Does not report clinical outcomes |  |
| Dallongeville, 1998 ${ }^{348}$ | Too short (16 weeks) |  |
| Dart, 1997349 | Does not report clinical outcomes |  |
| Davidson, 1997 ${ }^{350}$ | Inappropriate comparator |  |
| Davidson, $2002{ }^{299}$ | Too short (12 weeks) |  |
| Farnier, 2000351 | Too short (6 weeks) |  |
| Ferrier, 2002352 | Cross-over study; does not report clinical outcomes |  |
| Gentile, 2000 ${ }^{353}$ | Does not report clinical outcomes |  |
| Harris, $2002{ }^{354}$ | Too short (16 weeks) |  |
| Heinonen, 1996 ${ }^{355}$ | Does not report clinical outcomes |  |
| Hunninghake, 2001 ${ }^{356}$ | Combination therapy |  |
| Hunninghake, 2001 ${ }^{357}$ | Inappropriate comparator |  |
| Illingworth, 2001 ${ }^{358}$ | Does not report clinical outcomes |  |
| J-CLAS ${ }^{359}$ | Too short (8 weeks) |  |
| Jiala, 2001 ${ }^{360}$ | Too short (6 weeks); cross-over trial |  |
| Jilma, 2003 ${ }^{361}$ | Too short (12 weeks) |  |
| Joukhadar, 2001 ${ }^{362}$ | Too short (13 weeks) |  |
| Kadikoylu, $2003{ }^{363}$ | Too short (24 weeks) |  |
| Karalis, $2002{ }^{364}$ | Too short (6 weeks) |  |
| Kastelein, 2000 ${ }^{134}$ | Too short (12 weeks) |  |
| Kinlay, 2002 ${ }^{365}$ | Inappropriate comparator |  |
| McCrindle, $2003^{366}$ | Irrelevant patient group (children and adolescents) |  |
| Magnani, $2000{ }^{367}$ | Too short (4 months) |  |
| MIRACL, ${ }^{368}$ | Too short (16 weeks) |  |
| Mullen, $2000{ }^{369}$ | Too short (6 weeks) |  |
| Muscari, 2001370 | Too short (13 weeks) |  |
| Nawawi, 2003 ${ }^{371}$ | Too short (13 weeks) |  |
| Nawrocki, $1995{ }^{372}$ | Too short (6 weeks) |  |
| Olsson, 2001 ${ }^{373}$ | Too short (6 weeks) |  |
| Oranje, 2001 ${ }^{374}$ | Too short (13 weeks) |  |
| Paiva, $2003{ }^{375}$ | Too short (8 weeks) |  |
| Pontrelli, 2002376 | Too short (8 weeks) |  |
| Raison, $2002^{377}$ | Too short (12 weeks) |  |
| Recto, $20000^{378}$ | Too short (6 weeks) |  |
| Renders, 2001 ${ }^{379}$ | Too short (3 months) |  |
| Sardo, 2002 ${ }^{330}$ | Too short (12 weeks) |  |
| Schneck, 2003 ${ }^{303}$ | Too short (6 weeks) |  |
| Schrott, 1998881 | Too short (6 weeks) |  |
|  |  | continued |

TABLE 95 RCTs referred to in the manufacturers' submissions which did not meet the study inclusion criteria, with reasons (cont'd)

| Manufacturer/study | Reason for exclusion |
| :---: | :---: |
| Schuster, $1998{ }^{382}$ | Does not report clinical outcomes |
| Sposito, $2003{ }^{383}$ | Too short (6 weeks) |
| Stein, 2001 ${ }^{384}$ | Too short (6 weeks) |
| Stein, 2001 ${ }^{385}$ | Inappropriate comparator; too short (18 weeks) |
| STELLAR ${ }^{208}$ | Too short ( 6 weeks) |
| Tan, $2002{ }^{386}$ | Does not report clinical outcomes |
| Tanaka, 2001 ${ }^{387}$ | Too short (12 weeks) |
| Tannous, 1999388 | Too short (4 weeks) |
| Target Tangible, ${ }^{389}$ | Too short (14 weeks) |
| Van den Akker, 2003 ${ }^{390}$ | Too short (18 weeks) |
| Vansant, 2000 ${ }^{391}$ | Too short (4 weeks) |
| Wang, 2001392 | Too short (8 weeks) |
| Watts, $2003{ }^{393}$ | Too short (6 weeks) |
| Wierzbicki, 1999394 | Too short (12 weeks); cross-over trial |
| Woiffenbuttel, 1998 ${ }^{395}$ | Too short (16 weeks) |
| Wu, $2002{ }^{396}$ | Too short (16 weeks) |

## Appendix 5

## Tabulation of study quality

TABLE 96 Atorvastatin: placebo-controlled trials

|  | $4 D^{84}$ | ASCOT-LLA ${ }^{102}$ | CARDS ${ }^{103}$ | DALI ${ }^{86}$ | Mohler 2003 ${ }^{\text {21 }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | Y | Y | Y | ? | ? |
| What method of assignment was used? | Centrally determined code | Computer | Computer | ? | ? |
| Was the allocation of treatment concealed? | Y | Y | Y | ? | ? |
| What method was used to conceal treatment allocation? | Allocation by statistical coordinating centre | Allocation by local coordinating centre | Prepackaged medication | ? | ? |
| Was the number of participants who were randomised stated? | Y | Y | Y | Y | Y |
| Were details of baseline comparability presented? | N | Y | Y | Y | Y |
| Was baseline comparability achieved? | ? | Y | Y | N (placebo group younger, with shorter mean duration of diabetes and higher mean BMI) | N (disparity re smoking, no information re lipid levels) |
| Were the eligibility criteria for study entry specified? | Y | Y | Y | Y | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | ? | Y | Y | N | ? (possible disparities in the doses of aspirin) |
| Were the outcome assessors blinded to the treatment allocations? | ? | Y | Y | ? | Y for peripheral vascular outcomes |
| Were the individuals who administered the intervention blinded to the treatment allocation? | Y | Y presumably | Y | Y | Y presumably |
| Were the participants who received the intervention blinded to the treatment allocation? | Y | Y | Y | Y | Y |
| Was the success of the blinding procedure assessed? | N | N | N | N | $N$ |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | ? | Y | Y | Y | Y |
| Were the reasons for withdrawal stated? | N | N | Y | Y | Y |
| Was an ITT analysis included? | ? | Y | Y | Y | Y |

TABLE 97 Atorvastatin: comparisons with 'usual care' and 'no statin'

|  | ALLIANCE ${ }^{88}$ | Colivicchi 2002 ${ }^{129}$ | ESTABLISH ${ }^{89}$ | GREACE ${ }^{128}$ |
| :---: | :---: | :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | Y | Y | Y | Y |
| What method of assignment was used? | By central laboratory | Computer | Minimisation | Computergenerated list |
| Was the allocation of treatment concealed? | Y | ? | ? | ? |
| What method was used to conceal treatment allocation? | Allocation by central laboratory | ? | ? | ? |
| Was the number of participants who were randomised stated? | $Y$ | Y | Y | Y |
| Were details of baseline comparability presented? | Y | Y | Y | Y |
| Was baseline comparability achieved? | Y | Y | $Y$ | $Y$ |
| Were the eligibility criteria for study entry specified? | Y | Y | Y | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | Y | Y | Y | Y |
| Were the outcome assessors blinded to the treatment allocations? | ? | Y | Y | ? |
| Were the individuals who administered the intervention blinded to the treatment allocation? | N | N | N | N |
| Were the participants who received the intervention blinded to the treatment allocation? | N | N | N | N |
| Was the success of the blinding procedure assessed? | NA | NA | NA | NA |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | $Y$ for clinical end-points, N for lipid results in control arm | Y | Y for clinical end-points, N for the primary end-point | Y |
| Were the reasons for withdrawal stated? | Y | Y | Y | Y |
| Was an ITT analysis included? | Y | Y | Y for clinical events | Y |

TABLE 98 Fluvastatin: placebo-controlled studies

|  | ALERT ${ }^{142}$ | FLARE ${ }^{108}$ | FLORIDA ${ }^{109}$ | LIPS ${ }^{110}$ | LiSA ${ }^{93}$ | $\begin{aligned} & \text { O’Rourke } \\ & 2004^{139} \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | Y | ? | ? | Y | ? | ? |
| What method of assignment was used? | Fixed block randomisation | ? | ? | Block randomisation | ? | ? |
| Was the allocation of treatment concealed? | Y | ? | ? | Y | ? | ? |
| What method was used to conceal treatment allocation? | Prepackaged medication | ? | ? | Medication pack numbers | ? | ? |
| Was the number of participants who were randomised stated? | $Y$ | Y | Y | $Y$ | Y | Y |
| Were details of baseline comparability presented? | Y | Y | Y | Y | Y | Y |
| Was baseline comparability achieved? | Y | Y | Y | Y generally, but higher percentage of patients with diabetes in the fluvastatin group | Y | Y , largely |
| Were the eligibility criteria for study entry specified? | Y | Y | Y | $Y$ | Y | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | Y | N | Y | Y | N | Y |
| Were the outcome assessors blinded to the treatment allocations? | Y | ? | Y | Y | Y | ? |
| Were the individuals who administered the intervention blinded to the treatment allocation? | Y | Y | Y presumably | $Y$ in theory | Y presumably (matching placebo) | Y |
| Were the participants who received the intervention blinded to the treatment allocation? | Y | Y | Y | $Y$ in theory but many got to know their TC levels, thus effectively breaking the blind | Y presumably (matching placebo) | Y |
| Was the success of the blinding procedure assessed? | $N$ | $N$ | $N$ | Informally | $N$ | $N$ |
|  |  |  |  |  |  | continued |

TABLE 98 Fluvastatin: placebo-controlled studies (cont'd)

|  | ALERT ${ }^{142}$ | FLARE ${ }^{108}$ | FLORIDA ${ }^{109}$ | LIPS ${ }^{110}$ | LiSA ${ }^{93}$ | O'Rourke $2004{ }^{139}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | Y | No, mainly because of the requirement for uncomplicated PTCA | Y | Y | Y for clinical events | Y for safety |
| Were the reasons for withdrawal stated? | Y | Y | ? | Y | Y | Y |
| Was an ITT analysis included? | Y | Yes by the authors' terms, not perhaps in the generally accepted sense | Y | Y | Y | $Y$ for safety |

TABLE 99 Pravastatin: placebo-controlled studies

|  | CAIUS ${ }^{107}$ | CARE ${ }^{\prime \prime \prime}$ | KAPS ${ }^{133}$ | LIPID ${ }^{112}$ | PLAC I ${ }^{113}$ | PLAC $1{ }^{95}$ | PMSG ${ }^{\text {6 }}$ | PREDICT ${ }^{114}$ | PROSPER ${ }^{81}$ | REGRESS ${ }^{115}$ | WOSCOPs ${ }^{82}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | Y | Y | Y | Y | ? | ? | ? | ? | Y | Y | Y |
| What method of assignment was used? | Allocation by independent coordinating and analysis centre | Computer | Allocation by biostatistician | Block randomisation | ? | ? | ? | ? | Computerised pseudorandom number generator | Block randomisation | Permuted block |
| Was the allocation of treatment concealed? | Y | Y | Y | ? | ? | ? | ? | ? | Y | ? | ? |
| What method was used to conceal treatment allocation? | Allocation by independent coordinating and analysis centre | Telephone allocation by data coordinating centre | Allocation by biostatistician | ? | ? | ? | ? | ? | Telephone call or through fax exchange with study data centres | ? | ? |
|  |  |  |  |  |  |  |  |  |  |  | continued |

TABLE 99 Pravastatin: placebo-controlled studies (cont'd)

|  | CAIUS ${ }^{107}$ | CARE ${ }^{\text {II }}$ | KAPS ${ }^{133}$ | LIPID ${ }^{1 / 2}$ | PLAC ${ }^{113}$ | PLAC $19{ }^{195}$ | PMSG ${ }^{\text {\% }}$ | PREDICT ${ }^{1 / 4}$ | PROSPER ${ }^{81}$ | REGRESS ${ }^{115}$ | WOSCOPs ${ }^{82}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Was the number of participants who were randomised stated? | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y |
| Were details of baseline comparability presented? | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y |
| Was baseline comparability achieved? | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y |
| Were the eligibility criteria for study entry specified? | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | N | Y | N | Y | Y | Y | Y | N | Y | ? | N |
| Were the outcome assessors blinded to the treatment allocations? | ? | Y | Y | Y | Y | Y | ? | Y | Y | Y | Y |
| Were the individuals who administered the intervention blinded to the treatment allocation? | Y | Y presumably | Y | ? | Y | Y | $\begin{gathered} \mathrm{Y} \\ \text { presumably } \end{gathered}$ | presumably | Y | Y | Y |
| Were the participants who received the intervention blinded to the treatment allocation? | Y | Y | Y | Y | Y | Y | $\begin{gathered} \mathrm{Y} \\ \text { presumably } \end{gathered}$ | $\begin{gathered} \mathrm{Y} \\ \text { presumably } \end{gathered}$ | Y | Y | Y presumably |
| Was the success of the blinding procedure assessed? | N | $N$ | N | N | N | $N$ | N | $N$ | $N$ | N | N |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | Y | Y for clinical outcomes | Y | Y | Y for clinical outcomes | Y for clinical outcomes | Y | Y | Y | Y | N |
| Were the reasons for withdrawal stated? | N | $N$ | Y | Y | Y | $N$ | Y | Y | Y | Y | N |
| Was an ITT analysis included? | Y | Y | Y | Y | Y | Y | Y | Y (for secondary clinical outcomes only) | Y | Y | Y |

TABLE 100 Pravastatin: comparisons with 'usual care' and 'no statin'

|  | ALLHAT-LLT ${ }^{127}$ | GISSI-P ${ }^{130}$ | Kobashigawa $1995{ }^{140}$ | Sato 2001 ${ }^{\mathbf{8 7}}$ |
| :---: | :---: | :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | Y | Y | ? | ? |
| What method of assignment was used? | Computer generated | Block randomisation | ? | ? |
| Was the allocation of treatment concealed? | Y | ? | ? | ? |
| What method was used to conceal treatment allocation? | Concealed randomisation scheme | ? | ? | ? |
| Was the number of participants who were randomised stated? | Y | Y | Y | Y |
| Were details of baseline comparability presented? | Y | $Y$ | Y | $Y$ |
| Was baseline comparability achieved? | Y | Y | Y | Y |
| Were the eligibility criteria for study entry specified? | Y | Y | N | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | $Y$ | Y | N | $N$ |
| Were the outcome assessors blinded to the treatment allocations? | For some outcomes | Y | N | Y |
| Were the individuals who administered the intervention blinded to the treatment allocation? | N | N | N | ? |
| Were the participants who received the intervention blinded to the treatment allocation? | N | N | N | ? |
| Was the success of the blinding procedure assessed? | NA | NA | NA | N |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | Y | Y for clinical outcomes | Y for mortality data | Y for lipids and clinical events |
| Were the reasons for withdrawal stated? | Y | N | N | N |
| Was an ITT analysis included? | Y | Y | N | Y |

TABLE IOI Simvastatin: placebo-controlled studies

|  | $4 S^{97}$ | Aronow $2003^{118}$ | CIS ${ }^{98}$ | HPS ${ }^{\mathbf{7 4}}$ | MAAS ${ }^{100}$ | Mondillo $2003{ }^{105}$ | Oxford Cholesterol Study ${ }^{101}$ | SCAT ${ }^{116}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | Y | ? | ? | Y | ? | ? | Y | Y |
| What method of assignment was used? | Coded prepackaged medication | ? | ? | Minimisation | ? | ? | Computer | Computer |
| Was the allocation of treatment concealed? | Y | ? | ? | Y | ? | ? | Y | Y |
| What method was used to conceal treatment allocation? | Coded prepackaged medication | ? | ? | Central telephone randomisation service | ? | ? | Randomisation at central unit | Coded medication |
| Was the number of participants who were randomised stated? | Y | Y | Y | Y | Y | Y | Y | Y |
| Were details of baseline comparability presented? | Y | Only for patients who survived to study end | Y | Y | Y | Y | Y | Y |
| Was baseline comparability achieved? | Y | Y for patients who survived to study end | Y | Y | Y | Y | Y | Y |
| Were the eligibility criteria for study entry specified? | Y | Implicitly | Y | Y | Y | Y | Y | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | Y | N | Y | Y | Y | N | Y | Y |
| Were the outcome assessors blinded to the treatment allocations? | Y | ? | Y | ? | Y | ? | ? | ? |
| Were the individuals who administered the intervention blinded to the treatment allocation? | Y | ? | Y | Y | Y | Y | Y | Y |
| Were the participants who received the intervention blinded to the treatment allocation? | Y | Presumably, since placebo was used | Y | Y in theory, but their family doctors were free to monitor their cholesterol levels | Y | Y | Y | Y |

TABLE IOI Simvastatin: placebo-controlled studies (cont'd)

|  | $4 S^{97}$ | $\begin{aligned} & \text { Aronow } \\ & 2003^{118} \end{aligned}$ | CIS ${ }^{98}$ | HPS ${ }^{74}$ | MAAS ${ }^{100}$ | Mondillo $2003^{105}$ | Oxford Cholesterol Study ${ }^{101}$ | SCAT ${ }^{116}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Was the success of the blinding procedure assessed? | Y | N | N | N | $N$ | N | N | N |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | Y | Y | Y | Y | Y | Y | $\begin{gathered} Y \\ \text { for insomnia } \end{gathered}$ | Y |
| Were the reasons for withdrawal stated? | Y | Y | N | Y | To a degree | NA | Y | Y |
| Was an ITT analysis included? | Y | Y | Y | Y | Y | Y | Y | Y |

\footnotetext{
TABLE 102 Simvastatin: comparison with 'no statin'

|  | Wenke $1997{ }^{141}$ |
| :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | ? |
| What method of assignment was used? | ? |
| Was the allocation of treatment concealed? | ? |
| What method was used to conceal treatment allocation? | ? |
| Was the number of participants who were randomised stated? | Y |
| Were details of baseline comparability presented? | Y |
| Was baseline comparability achieved? | Y |
| Were the eligibility criteria for study entry specified? | Y but not very specifically |
| Were any cointerventions identified that may influence the outcomes for each group? | N |
| Were the outcome assessors blinded to the treatment allocations? | Y |
| Were the individuals who administered the intervention blinded to the treatment allocation? | Probably not |
| Were the participants who received the intervention blinded to the treatment allocation? | Probably not |
| Was the success of the blinding procedure assessed? | N |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | Y |
| Were the reasons for withdrawal stated? | NA |
| Was an ITT analysis included? | Y |

TABLE 103 Statin-statin comparisons

|  | 452211/0026 ${ }^{125}$ | 452211/0028 ${ }^{126}$ | $37^{83}$ | ASAP ${ }^{85}$ | Mehra 2002 ${ }^{\text {94 }}$ | $\begin{aligned} & \text { PROVE } \\ & \text { IT-TIMI } \end{aligned}$ | REVERSAL ${ }^{\text {90 }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | ? | Y | Y | Y | ? | Y | Y |
| What method of assignment was used? | ? | Block randomisation | Randomisation code prepared by study statistician | Computergenerated | ? | Central randomisation scheme | Permuted block |
| Was the allocation of treatment concealed? | ? | ? | Y | Y | ? | Y | Y |
| What method was used to conceal treatment allocation? | ? | ? | Prepackaged numbered medication | Opaque envelopes kept by hospital pharmacist | ? | Allocation by centre | Generated by consulting statistician not otherwise involved in the trial |
| Was the number of participants who were randomised stated? | Y | Y | Y | Y | Y | Y | Y |
| Were details of baseline comparability presented? | Y | Y | Y | Y | Y | Y | N for full safety population; only for those included in primary analysis |
| Was baseline comparability achieved? no data re total population | Y | Y | Y | Y | Y | Y | Y for primary analysis; |
| Were the eligibility criteria for study entry specified? | Y | Y | Y | Y | Y | Y | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | $N$ | N | N | Y | N | N | N |
| Were the outcome assessors blinded to the treatment allocations? | ? | ? | Y | Y | ? | ? | Y |
| Were the individuals who administered the intervention blinded to the treatment allocation? | $\stackrel{Y}{\text { presumably }}$ | presumably | Y | Y | $N$ | presumably | Y |
| Were the participants who received the intervention blinded to the treatment allocation? | Y presumably | presumably | Y | Y | N | presumably | Y |
| Was the success of the blinding procedure assessed? | N | N | $N$ | $N$ | NA | N | N |
|  |  |  |  |  |  |  | continued |

TABLE 103 Statin-statin comparisons (cont'd)

|  | 452211/0026 ${ }^{125}$ | 452211/0028 ${ }^{126}$ | $3 T^{83}$ | ASAP ${ }^{85}$ | Mehra $2002{ }^{\text {94 }}$ | $\begin{aligned} & \text { PROVE } \\ & \text { IT-TIMI }{ }^{124} \end{aligned}$ | REVERSAL ${ }^{90}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | Y | Y | Y | Y | Y | Y | Y for clinical events |
| Were the reasons for withdrawal stated? | Y | Y | Partially | Y | $Y$ | Y | Y |
| Was an ITT analysis included? | $N$ (for data at 52 weeks) | N (for data at 52 weeks) | Y | Y | Presumably Y | Y | Y for all patients with evaluable ultrasounds at baseline and 18 months |


|  | A-to- $\mathrm{Z}^{131}$ | PATE ${ }^{132}$ |
| :---: | :---: | :---: |
| Was the method used to assign participants to the treatment groups really random? | Y | Y |
| What method of assignment was used? | Central | Minimisation |
| Was the allocation of treatment concealed? | $Y$ | Y |
| What method was used to conceal treatment allocation? | Blinded medication | Telephone call or fax |
| Was the number of participants who were randomised stated? | Y | Y |
| Were details of baseline comparability presented? | Y | Y |
| Was baseline comparability achieved? | Y | Largely |
| Were the eligibility criteria for study entry specified? | Y | Y |
| Were any cointerventions identified that may influence the outcomes for each group? | Y | N |
| Were the outcome assessors blinded to the treatment allocations? | Y | Y |
| Were the individuals who administered the intervention blinded to the treatment allocation? | Y | ? |
| Were the participants who received the intervention blinded to the treatment allocation? | Y | ? |
| Was the success of the blinding procedure assessed? | N | N |
| Were at least $80 \%$ of the participants originally included in the randomised process followed up in the final analysis? | Y | Y for clinical outcomes |
| Were the reasons for withdrawal stated? | Y | N |
| Was an ITT analysis included? | Y | Y |

## Appendix 6

Placebo-controlled RCTs: data sheets
TABLE 105 Placebo-controlled RCTs: study characteristics

| Study | Patient group | Mean baseline LDL-C ( $\mathrm{mmol} \mathrm{l}^{-1}$ ) | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{a}$ | Duration of intervention (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Atorvastatin |  |  |  |  |  |  |  |  |  |  |  |
| 4D (full report not available) ${ }^{84}$ | Type 2 diabetes, haemodialysis for $<2$ years | NR | NR | Germany | Atorvastatin 20 mg per day | NR | Not clear | Median 4 | MF | NR | 619/636 |
| $\begin{aligned} & \text { ASCOT- } \\ & \text { LLA } \end{aligned}$ | Hypertensive, no CHD | 3.4 | NR; CVD mortality 0.5\% | UK, Republic of Ireland, Norway, Sweden, Denmark, Finland | Atorvastatin 10 mg per day | None reported | Aggressive antihypertensive therapy | Median 3.3 | MF | 63.2 | 5168/5137 |
| CARDS ${ }^{103}$ | Type 2 diabetes, no clinical CVD | 3.0 | 0.5\% | UK, Republic of Ireland | Atorvastatin 10 mg per day | Modified AHA Step I diet | No | Median 4.0 | MF | 61.7 | 1428/1410 |
| DALI ${ }^{86}$ | Type 2 diabetes and diabetic dyslipidaemia | 3.7 | 0\% | The Netherlands | Atorvastatin 10 or 80 mg per day | None reported | No | 0.58 | MF | 59.4 | 73/72/72 |
| Mohler $2003^{21}$ | CVD (stable intermittent claudication) | No data | 0.9\% | Canada, USA | Atorvastatin 10 or 80 mg per day | NCEP Step I diet | Aspirin | 1.0 | MF | 68 | 120/120/114 |
| Fluvastatin |  |  |  |  |  |  |  |  |  |  |  |
| FLARE ${ }^{108}$ | CHD <br> (successful balloon angioplasty) | 4.0 | 1.2\% | Belgium, The Netherlands, France, Italy, Spain, UK, Republic of Ireland | Fluvastatin 80 mg per day | None reported | Aspirin $\leq 325 \mathrm{mg}$ per day | 0.78 | MF | 61 | 409/425 |

TABLE 105 Placebo-controlled RCTs: study characteristics (cont'd)

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Duration of intervention (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| FLORIDA ${ }^{109}$ | CHD (acute MI) | 3.6 | Cardiac mortality 4.0\% | The <br> Netherlands | Fluvastatin 80 mg per day | None reported | Standard medication (including aspirin, $\beta$-blockers and/or ACE inhibitors) at the attending cardiologist's discretion | 1.0 | MF | 60 | 265/275 |
| LIPS ${ }^{110}$ | CHD (angina or silent ischaemia) | 3.4 | 0.7\% | Belgium, France, Germany, Italy, UK, The Netherlands, Spain, Switzerland, Canada, Brazil | Fluvastatin 80 mg per day | Dietary and lifestyle counselling | No | Median 3.9 | MF | 60 | 844/833 |
| $\mathrm{LiSA}^{93}$ | Hyperlipidaemia, stable symptomatic CHD | 5.1 | 2.2\% | Germany, <br> Czech <br> Republic | Fluvastatin $40-80 \mathrm{mg}$ per day | European Atherosclerosis Society cholesterollowering diet | No | 1.0 | MF | 60 | 187/178 |
| Pravastatin |  |  |  |  |  |  |  |  |  |  |  |
| CAIUS ${ }^{107}$ | Moderately elevated LDL-C, ultrasonographically identified early atherosclerosis, no symptomatic CVD | 4.7 | 0\% | Italy | Pravastatin 40 mg per day | AHA Step I diet | No | 3.0 | MF | 55 | 151/154 |

TABLE 105 Placebo-controlled RCTs: study characteristics (cont'd)

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Duration of intervention (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CARE ${ }^{\text {II }}$ | MI, average cholesterol | 3.6 | I.1\% | USA, Canada | Pravastatin 40 mg per day | NCEP Step I diet (Step II if LDL-C $\geq 4.5 \mathrm{mmol} \mathrm{l}^{-1}$ ) | Cholestyramine 8-16 mg per day if LDL-C $\geq 4.5 \mathrm{mmol}^{-1}$ | Median 5.0 | MF | 59 | 2081/2078 |
| KAPS ${ }^{133}$ | Hypercholesterolaemia, with and without CVD | 4.9 | 0.3\% | Finland | Pravastatin 40 mg per day | Dietary advice to lower LDL-C | No | 3.0 | M | 57 | 224/223 |
| LIPID ${ }^{1 / 2}$ | CHD (MI or unstable angina) | 3.9 | 1.4\% | Australia, New Zealand | Pravastatin 40 mg per day | Lipid-lowering diet (max. cholesterol intake 300 mg per day) | No | 6.1 | MF | Median 62 | 4512/4502 |
| PLAC $1^{113}$ | CHD | 4.2 | 1.5\% | USA | Pravastatin 40 mg per day | AHA Phase I diet or equivalent | Cholestyramine resin in patients with LDL-C $\geq 4.9 \mathrm{mmol} \mathrm{l}^{-1}$ on the AHA Phase II or equivalent diet. If LDL-C remained $\geq 4.9$ on max. dose of 6 packets per day cholestyramine, patients received openlabel pravastatin 5-10 mg per day (or placebo, depending on original | 3.0 | MF | 57 | 206/202 |

TABLE I05 Placebo-controlled RCTs: study characteristics (cont'd)

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Duration of intervention (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  | treatment <br> assignment); if these measures were unsuccessful, they were withdrawn from the study |  |  |  |  |
| PLAC 1195 | CHD | 4.3 | Not clear | USA | Pravastatin $10-40 \mathrm{mg}$ per day | AHA Phase I diet with individual nutritional counselling | No | 3.0 | MF | 62 | 75/76 |
| PMSG ${ }^{96}$ | Primary hypercholesterolaemia and $\geq 2$ additional CHD risk factors | $\begin{aligned} & \text { NR; TC } \\ & 6.8 \end{aligned}$ | 1.1\% | Australia, Belgium, Finland, Germany, Israel, The Netherlands, Sweden, UK | Pravastatin $20-40 \mathrm{mg}$ per day | Diet modification; advice on smoking | No | 0.5 | MF | 55 | 530/532 |
| PREDICT ${ }^{1 / 4}$ | CHD <br> (successful PTCA) | 4.0 | Not clear | France | Pravastatin 40 mg per day | None reported | Aspirin 100 mg per day | 0.5 | MF | 58 | 347/348 |
| PROSPER ${ }^{81}$ | Elderly, with or at significant risk of CVD | 3.8 | 1.3\% | Scotland, Ireland, The Netherlands | Pravastatin 40 mg per day | NCEP Step I diet or local equivalent | No | 3.2 | MF | 75 | 2891/2913 |
| REGRESS ${ }^{115}$ | CHD | 4.3 | 0.6\% | The Netherlands | Pravastatin 40 mg per day | Dietary counselling | No | 2.0 | M | 56 | 450/434 |


| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Duration of intervention (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| WOSCOPS ${ }^{82}$ | Moderate hypercholesterolaemia | 5.0 | 0.4\% | Scotland | Pravastatin 40 mg per day | Smoking and dietary advice | No | 4.9 | M | 55 | 3302/3293 |
| Simvastatin |  |  |  |  |  |  |  |  |  |  |  |
| $45^{97}$ | CHD and moderate hypercholesterolaemia | 4.9 | 1.6\% | Scandinavia | Simvastatin $20-40 \mathrm{mg}$ per day | Dietary counselling consistent with European Atherosclerosis Society guidelines | No | Median 5.4 | MF | 58 | 2221/2223 |
| $\begin{aligned} & \text { Aronow } \\ & 2003^{118} \end{aligned}$ | Intermittent claudication due to PVD | 3.3 | 17\% | USA | Simvastatin 40 mg per day | None reported | No | 1.0 | MF | 75 | 34/35 |
| $\mathrm{Cl}{ }^{98}$ | CHD and hypercholesterolaemia | 4.3 | 0.7\% | Germany | Simvastatin $20-40 \mathrm{mg}$ per day | Lipid-lowering diet (max. cholesterol intake 300 mg per day) | After 12 weeks, an ion-exchange resin was added to patients in the simvastatin group with LDL-C $\geq 3$. 1 I mmol I or $\geq 6.48 \mathrm{mmol} \mathrm{l}^{-1}$ in the placebo group | 2.3 | M | 49 | 129/125 |
| HPS ${ }^{74}$ | Substantial risk of death from CHD | 3.4 | 1.4\% | UK | Simvastatin 40 mg per day | None reported | Factorial design (also evaluating antioxidant vitamins) | 5.0 | MF | NR | $\begin{aligned} & 10,269 / \\ & 10,267 \end{aligned}$ |

TABLE 105 Placebo-controlled RCTs: study characteristics (cont'd)
TABLE 105 Placebo-controlled RCTs: study characteristics (cont'd)

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Duration of intervention (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| MAAS ${ }^{100}$ | Moderate hypercholesterolaemia and known CAD | 4.4 | 0.5\% | Europe | Simvastatin 20 mg per day | Lipid-lowering diet | No | 4.0 | MF | 55 | 193/188 |
| Mondillo $2003{ }^{105}$ | PVD and hypercholesterolaemia | 4.9 | NR | Italy | Simvastatin 40 mg per day | None reported | No. Patients asked to avoid analgesic medication | 0.5 | MF | 67 | 43/43 |
| Oxford <br> Cholesterol <br> Study ${ }^{101}$ | Increased risk of CHD | 4.8 | NR | England | Simvastatin 20 or 40 mg per day | Dietary advice broadly similar to AHA Step I diet | No | 6.0 | MF | 63 | 206/208/207 |
| SCAT ${ }^{116}$ | CHD | 3.4 | 0.5\% | Canada | Simvastatin $20-40 \mathrm{mg}$ per day | NCEP Step I or, if necessary, Step II, diet | $2 \times 2$ factorial design also evaluating an ACE inhibitor (enalapril $2.5-10 \mathrm{mg}$ twice daily) | 4.0 | MF | 61 | 230/230 |
| ${ }^{a}$ In most studies, many patients were, at randomisation, already receiving medications other than statins to treat conditions such as hypertension and diabetes. This medications that specifically formed a part of the study protocol. <br> AHA, American Heart Association; F, female; M, male; NCEP, National Cholesterol Education Program. |  |  |  |  |  |  |  |  |  |  |  |

TABLE 106 Placebo-controlled RCTs: selected results

| Study | All-cause mortality |  | CHD mortality |  | Total stroke |  | CHD death + non-fatal MI |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Treatment | Control | Treatment | Control | Treatment | Control | Treatment | Control |
| Atorvastatin |  |  |  |  |  |  |  |  |
| 4D (full report not available) ${ }^{84}$ | NR | NR | NR | NR | NR | NR | NR | NR |
| ASCOT-LLA ${ }^{102}$ | 185/5168 | 212/5137 | NR | NR | 89/5168 | 121/5137 | 100/5168 | 154/5137 |
| CARDS ${ }^{103}$ | 61/1428 | 82/1410 | $21 / 1428^{397}$ | $25 / 1410^{397}$ | 21/1428 | 39/1410 | NR | NR |
| DALI ${ }^{86}$ | 0/145 | 0/72 | 0/145 | 0/72 | NR | NR | 0/145 | 1/72 |
| Mohler, 2003 ${ }^{\text {21 }}$ | 5/240 | 1/114 | 2/240 | 1/114 | 2/240 | 0/114 | 7/240 | 3/114 |
| Fluvastatin |  |  |  |  |  |  |  |  |
| FLARE ${ }^{108}$ | NR | NR | 3/409 | 7/425 | NR | NR | 6/409 | 17/425 |
| FLORIDA ${ }^{109}$ | 7/265 | 11/275 | 2/265 | 9/275 | NR | NR | NR | NR |
| LIPS ${ }^{110}$ | 36/844 | 49/833 | 13/844 | 24/833 | NR | NR | 42/844 | 60/833 |
| LiSA ${ }^{93}$ | NR | NR | 2/187 | 4/178 | NR | NR | 2/187 | 5/178 |
| Pravastatin |  |  |  |  |  |  |  |  |
| CAIUS ${ }^{107}$ | NR | NR | 1/151 | 0/154 | NR | NR | 2/151 | 2/154 |
| CARE ${ }^{1 / 1}$ | 180/2081 | 196/2078 | 96/2081 | 119/2078 | 52/2081 | 76/2078 | 212/2081 | 274/2078 |
| KAPS ${ }^{133}$ | 3/224 | 4/223 | 2/224 | 2/223 | 2/224 | 4/223 | 5/224 | 8/223 |
| LIPID ${ }^{1 / 2}$ | 498/4512 | 633/4502 | 287/4512 | 373/4502 | 169/4512 | 204/4502 | 557/4512 | 715/4502 |
| PLAC ${ }^{113}$ | 4/206 | 6/202 | 3/206 | 3/202 | 0/206 | 2/202 | NR | NR |
| PLAC $1{ }^{95}$ | 3/75 | 5/76 | NR | NR | NR | NR | NR | NR |
| PMSG ${ }^{66}$ | NR | NR | 0/530 | 3/532 | 0/530 | 3/532 | 0/530 | 7/532 |
| PREDICT ${ }^{1 / 4}$ | 4/347 | 1/348 | NR | NR | NR | NR | NR | NR |
| PROSPER ${ }^{81}$ | 298/2891 | 306/2913 | 94/2891 | 122/2913 | 135/289 1 | 131/2913 | 292/2891 | 356/2913 |
| REGRESS ${ }^{1 / 5}$ | 5/450 | 8/434 | 2/450 | 4/434 | $3^{a} / 450$ | 5 $/ 434$ | NR | NR |
| WOSCOPS ${ }^{82}$ | 106/3302 | 135/3293 | 38/3302 | 52/3293 | 46/3302 | 51/3293 | 174/3302 | 248/3293 |
| Simvastatin |  |  |  |  |  |  |  |  |
| $4 S^{97}$ | 182/222 1 | 256/2223 | \| 11/222 | | 189/2223 | $75^{9} / 2221^{398}$ | $102^{a} / 2223^{398}$ | 431/222 1 | 622/2223 |
| Aronow, 2003 ${ }^{118}$ | NR | NR | 3/34 | 6/35 | NR | NR | NR | NR |
| $\mathrm{ClS}^{98}$ | 1/129 | 4/125 | 1/129 | 2/125 | NR | NR | 2/129 | 7/125 |
| HPS ${ }^{74}$ | 1328/10,269 | 1507/10,267 | 587/10,269 | 707/10,267 | 444/I0,269 | 585/10,267 | 898/10,269 | 1212/10,267 |
| MAAS ${ }^{100}$ | 4/193 | 11/188 | 4/193 | 4/188 | NR | NR | NR | NR |
| Mondillo, 2003 ${ }^{105}$ | NR | NR | NR | NR | NR | NR | NR | NR |
| Oxford Cholesterol Study ${ }^{101}$ | NR | NR | NR | NR | NR | NR | NR | NR |
| SCAT ${ }^{116}$ | 13/230 | 6/230 | 7/230 | 4/230 | 4/230 | 7/230 | NR | NR |

## Appendix 7

## Placebo-controlled studies: additional forest plots



FIGURE 37 Placebo-controlled studies: cardiovascular mortality


FIGURE 38 Placebo-controlled studies: stroke mortality


FIGURE 39 Placebo-controlled studies: non-fatal stroke


FIGURE 40 Placebo-controlled studies: unstable angina


FIGURE 4I Placebo-controlled studies: hospitalisations for unstable angina


FIGURE 42 Placebo-controlled studies: TIA


FIGURE 43 Placebo-controlled studies: PAD

| Review: Comparison: Outcome: | Statins <br> 88 Placebo-controlled studies: CABG <br> 01 CABG |  | $\begin{aligned} & \text { RR (random) } \\ & \text { 95\% Cl } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Study or subcategory | Treatment n/N | Control n/N |  |  | Weight \% | $\begin{aligned} & \text { RR (random) } \\ & 95 \% \mathrm{Cl} \end{aligned}$ |
| OI Fluvastatin |  |  |  |  |  |  |
| FLARE ${ }^{108}$ | 18/409 | 10/425 |  | - | 1.71 | 1.87 (0.87 to 4.00) |
| LiSA ${ }^{93}$ | 0/187 | 0/I78 |  |  |  | Not estimable |
| FLORIDA ${ }^{109}$ | 12/265 | 19/275 |  | - | 1.99 | 0.66 (0.32 to 1.32$)$ |
| Subtotal ( $95 \% \mathrm{Cl}$ ) | 861 | 878 |  |  | 3.70 | I. 10 (0.39 to 3.06 ) |
| Total events: 30 (treatment), 29 (control) |  |  |  |  |  |  |
| Test for heterogeneity: $\chi^{2}=3.94, \mathrm{df}=1(p=0.05), \mathrm{I}^{2}=74.6 \%$ |  |  |  |  |  |  |
| Test for overall effect: $z=0.17(p=0.86)$ |  |  |  |  |  |  |
| 02 Pravastatin |  |  |  |  |  |  |
| PMSG ${ }^{96}$ | 0/530 | 3/532 | $\stackrel{\square}{*}$ |  | 0.12 | 0.14 (0.01 to 2.77) |
| KAPS ${ }^{133}$ | 4/224 | 4/223 |  |  | 0.54 | 1.00 (0.25 to 3.93) |
| PLACI ${ }^{113}$ | 20/206 | 23/202 |  |  | 2.98 | 0.85 (0.48 to 1.50) |
| REGRESS ${ }^{1 / 5}$ | 24/450 | 22/434 |  |  | 3.02 | 1.05 (0.60 to 1.85) |
| CARE ${ }^{\text {II }}$ | 156/208\| | 207/2078 | - |  | 15.97 | 0.75 (0.62 to 0.92) |
| PREDICT ${ }^{1 / 4}$ | 15/347 | 18/348 |  | - | 2.19 | 0.84 (0.43 to 1.63) |
| LIPII ${ }^{1 / 2}$ | 415/4152 | 520/4502 | 분 |  | 25.72 | 0.80 (0.70 to 0.90) |
| Subtotal (95\% Cl) | 8350 | 8319 | $\checkmark$ |  | 50.54 | 0.79 (0.72 to 0.88) |
| Total events: 634 (treatment), 797 (control) |  |  |  |  |  |  |
| Test for heterogeneity: $\chi^{2}=2.71, \mathrm{df}=6(p=0.84), \mathrm{I}^{2}=0 \%$ |  |  |  |  |  |  |
| Test for overall effect: $z=4.56$ ( $p<0.0000$ I) |  |  |  |  |  |  |
| 03 Simvastatin |  |  |  |  |  |  |
| $49^{97}$ | 212/2221 | 339/2223 | - |  | 20.17 | 0.63 (0.53 to 0.74) |
| MAAS ${ }^{100}$ | 8/193 | 16/188 |  |  | 1.47 | 0.49 (0.21 to I. II) |
| SCAT 116 | 7/230 | 9/230 |  |  | 1.07 | 0.78 (0.29 to 2.05) |
| HPS ${ }^{74}$ | 324/10,269 | 452/I0,267 | 답 |  | 23.05 | 0.72 (0.62 to 0.82) |
| Subtotal (95\% Cl) | 12,913 | 12,908 | $\checkmark$ |  | 45.76 | 0.67 (0.61 to 0.75) |
| Total events: 55 I (treatment), 816 (control) |  |  |  |  |  |  |
| Test for heterogeneity: $\chi^{2}=2.23, \mathrm{df}=3(p=0.53), I^{2}=0 \%$ |  |  |  |  |  |  |
| Test for overall effect: $z=7.43$ ( $p<0.0000$ I) |  |  |  |  |  |  |
| Total ( $95 \% \mathrm{Cl}$ ) | 22,124 | 22,105 | $\checkmark$ |  | 100.00 | 0.74 (0.67 to 0.82) |
| Total events: 1215 (treatment), 1642 (control) ${ }^{\text {a }}$ ( ${ }^{\text {a }}$ |  |  |  |  |  |  |
| Test for heterogeneity: $\chi^{2}=15.80, \mathrm{df}=12(p=0.20), 1^{2}=24.0 \%$ |  |  |  |  |  |  |
| Test for overall effect: $z=5.70$ ( $p<0.0000 \mathrm{I})$ |  |  |  |  |  |  |
|  |  |  | $\begin{array}{lll}0.1 & 0.2 & 0.5\end{array}$ |  |  |  |
|  |  |  | Favours treatment |  |  |  |

FIGURE 44 Placebo-controlled studies: CABG


FIGURE 45 Placebo-controlled studies: PTCA


FIGURE 46 Placebo-controlled studies: CABG + PTCA

## Appendix 8 <br> Placebo-controlled studies: statins in primary CVD prevention

TABLE 107 Placebo-controlled studies: statins in primary CVD prevention

| Outcome | Studies contributing data | No. with event/total no.: statin | No. with event/total no.: placebo | $\mathbf{R R}$ | 95\% CI |
| :---: | :---: | :---: | :---: | :---: | :---: |
| All-cause mortality | CARDS | 61/1428 | 82/1410 | 0.73 | 0.53 to 1.01 |
| Cardiovascular mortality | CARDS | 25/1428 | 37/1410 | 0.67 | 0.40 to 1.10 |
| CHD mortality | CARDS, CAIUS | 22/1579 | 82/1564 | 0.86 | 0.49 to 1.52 |
| Stroke mortality | CARDS | 1/1428 | 5/1410 | 0.20 | 0.02 to 1.69 |
| Non-fatal stroke | CARDS | 20/1428 | 30/1410 | 0.66 | 0.38 to 1.15 |
| TIA | No data |  |  |  |  |
| PAD | No data |  |  |  |  |
| Fatal MI | CARDS, CAIUS | 9/1579 | 20/1564 | 0.60 | 0.12 to 3.04 |
| Non-fatal MI | CARDS, CAIUS | 26/1579 | 43/1564 | 0.60 | 0.37 to 0.97 |
| Stable angina | No data |  |  |  |  |
| Unstable angina | CARDS | 7/1428 | 9/1410 | 0.77 | 0.29 to 2.06 |
| CABG |  | No data |  |  |  |
| PTCA | No data |  |  |  |  |
| CABG + PTCA | CARDS, CAIUS | 25/1579 | 34/1564 | 0.72 | 0.49 to 1.21 |
| CHD death plus non-fatal MI | CARDS, CAIUS | 45/1579 | 67/1564 | 0.66 | 0.46 to 0.96 |
| CHD death, non-fatal MI and fatal or non-fatal stroke | CARDS, PROSPER non-CVD subgroup | 246/3013 | 300/3064 | 0.79 | 0.53 to 1.17 |
| CHD death, non-fatal MI , fatal or non-fatal stroke and coronary revascularisation | CARDS | 76/1428 | 118/1410 | 0.64 | 0.48 to 0.84 |



FIGURE 47 Placebo-controlled studies: statins in primary CVD prevention: CHD mortality


FIGURE 48 Placebo-controlled studies: statins in primary CVD prevention: fatal MI


FIGURE 49 Placebo-controlled studies: statins in primary CVD prevention: non-fatal MI

| Review: Statins <br> Comparison: 10 Primary CVD: placebo-controlled studies: coronary revascularisation <br> Outcome: OI Coronary revascularisations |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Study or subcategory | Treatment $n / N$ | Control n/N |  |  |  | Weight \% | $\begin{aligned} & \text { RR (random) } \\ & 95 \% \mathrm{Cl} \end{aligned}$ |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| Total (95\% CI) <br> Total events: 25 (treatment), 34 (control) <br> Test for heterogeneity: $\chi^{2}=0.80, \mathrm{df}=\mathrm{I}(p=0.37), \mathrm{I}^{2}=0 \%$ |  |  |  |  |  | 100.00 | 0.72 (0.43 to I.2I) |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| 0.1 0.2 0.5 1 2 5 <br>  Favours treatment Favours control    |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |

FIGURE 50 Placebo-controlled studies: statins in primary CVD prevention: coronary revascularisations

| Review: Statins <br> Comparison: II Primary CVD: placebo-controlled studies: CHD death plus non-fatal MI <br> Outcome: OI CHD death plus non-fatal MI |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Study or subcategory | Treatment $\mathrm{n} / \mathrm{N}$ | Control $n / N$ | $\begin{array}{r} \text { RR (ra } \\ 95^{\circ} \end{array}$ |  | Weight \% | $\begin{aligned} & \text { RR (random) } \\ & 95 \% \mathrm{Cl} \end{aligned}$ |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| 02 Pravastatin <br> CAIUS ${ }^{107}$ |  |  |  |  | 3.64 | 1.02 (0.15 to 7.15) |
| Subtotal $(95 \% \mathrm{Cl})$ 154 151  3.64 1.02 (0.15 to 7.15) |  |  |  |  |  | 1.02 (0.15 to 7.15) |
| Total events: 2 (treatment), 2 (control) Test for heterogeneity: NA |  |  |  |  |  |  |
| Test for overall effect: $z=0.02(p=0.98)$ |  |  |  |  |  |  |
| Total (95\% Cl) $1579 \quad 1564$ |  |  |  |  | 100.00 | 0.66 (0.46 to 0.96) |
| Total events: 45 (treatment), 67 (control) |  |  |  |  |  |  |
| Test for heterogeneity: $\chi^{2}=0.19, \mathrm{df}=1(p=0.66), 1^{2}=0 \%$ |  |  |  |  |  |  |
| $\begin{array}{lllllll}0.1 & 0.2 & 0.5 & 1 & 2 & 5 & 10\end{array}$ |  |  |  |  |  |  |
| Favours treatment Favours control |  |  |  |  |  |  |

FIGURE 5I Placebo-controlled studies: statins in primary CVD prevention: CHD death plus non-fatal MI


FIGURE 52 Placebo-controlled studies: statins in primary CVD prevention: CHD death, non-fatal MI and fatal or non-fatal stroke

## Appendix 9 <br> Placebo-controlled studies: statins in primary CHD prevention

TABLE 108 Placebo-controlled studies: statins in primary CHD prevention

| Outcome | Studies <br> contributing <br> data | No. with <br> event/total <br> no.: statin | No. with <br> event/total <br> no.: placebo | RR | 95\% CI |
| :--- | :--- | :--- | :--- | :--- | :--- |



FIGURE 53 Placebo-controlled studies: statins in primary CHD prevention: CVD mortality


FIGURE 54 Placebo-controlled studies: statins in primary CHD prevention: CHD mortality


FIGURE 55 Placebo-controlled studies: statins in primary CHD prevention: stroke mortality


FIGURE 56 Placebo-controlled studies: statins in primary CHD prevention: fatal MI


FIGURE 57 Placebo-controlled studies: statins in primary CHD prevention: unstable angina


FIGURE 58 Placebo-controlled studies: statins in primary CHD prevention: PAD


FIGURE 59 Placebo-controlled studies: statins in primary CHD prevention: coronary revascularisations


FIGURE 60 Placebo-controlled studies: statins in primary CHD prevention: CH death, non-fatal MI and fatal or non-fatal stroke

# Appendix 10 <br> Placebo-controlled studies: statins in secondary CHD prevention 

TABLE 109 Placebo-controlled studies: statins in secondary CHD prevention

| Outcome | Studies contributing data | No. with event/total no.: statin | No. with event/total no.: placebo | RR | 95\% CI |
| :---: | :---: | :---: | :---: | :---: | :---: |
| All-cause mortality | FLORIDA, LIPS, PLAC I, PLAC II, REGRESS, CARE, PREDICT, LIPID, 4S, CIS, SCAT | 933/11,360 | II75/I I,326 | 0.80 | 0.70 to 0.89 |
| Cardiovascular mortality | FLORIDA, PLAC I, CARE, LIPID, 4S, CIS | 589/9414 | 786/9405 | 0.75 | 0.68 to 0.83 |
| CHD mortality | FLARE, LiSA, FLORIDA, LIPS, PLAC I, CARE, LIPID, 4S, MAAS, REGRESS, CIS, SCAT | 532/II,727 | 743/I I,693 | 0.72 | 0.64 to 0.80 |
| Stroke mortality | FLORIDA, LIPS, PLAC I, CARE, PREDICT, LIPID, CIS | 37/8384 | 34/8363 | 1.07 | 0.67 to 1.71 |
| Non-fatal stroke | PLAC I, CARE, LIPID | 189/6799 | 250/6782 | 0.72 | 0.53 to 0.95 |
| TIA | 4S | 19/222 1 | 29/2223 | 0.66 | 0.37 to 1.17 |
| PAD (new or worsening intermittent claudication) | 4S | 52/2221 | 81/2223 | 0.64 | 0.46 to 0.91 |
| Fatal MI | LiSA, FLORIDA, PLAC I, REGRESS, CARE, LIPID, PREDICT, 4S, MAAS, SCAT | 114/10,692 | 201/10,658 | 0.57 | 0.45 to 0.72 |
| Non-fatal MI | FLARE, LiSA, LIPS, PLAC I, REGRESS, CARE, PREDICT, 4S, CIS, SCAT | 408/7104 | 596/7076 | 0.69 | 0.59 to 0.79 |
| Stable angina | No data |  |  |  |  |
| Unstable angina | LiSA, CARE, 4 S | 886/4489 | 1089/4479 | 0.82 | 0.72 to 0.94 |
| Patients hospitalised for unstable angina | LIPID, CIS, SCAT | I043/487 \| | $1153 / 4857$ | 0.90 | 0.84 to 0.97 |
| CABG | FLARE, LiSA, FLORIDA, PLAC I, REGRESS, CARE, PREDICT, LIPID, 4S, MAAS, SCAT | 887/11,101 | I 183/I I,083 | 0.76 | 0.66 to 0.87 |
| PTCA | FLARE, LiSA, FLORIDA, REGRESS, CARE, PREDICT, LIPID, 4S, MAAS, SCAT | 621/10,895 | 770/10,881 | 0.79 | 0.67 to 0.94 |
| CABG + PTCA | LiSA, LIPS, CARE, PREDICT, LIPID, 4S, CIS, SCAT | \|382/10,55 | | $1782 / 10,517$ | 0.77 | 0.69 to 0.85 |

TABLE 109 Placebo-controlled studies: statins in secondary CHD prevention (cont'd)

| Outcome | Studies <br> contributing <br> data | No. with <br> event/total <br> no.: statin | No. with <br> event/total <br> no.: placebo | RR | $\mathbf{9 5 \%} \mathbf{\text { Cl }}$ |
| :--- | :--- | :---: | :---: | :---: | :---: |
| CHD death plus non-fatal MI | FLARE, LiSA, LIPS, <br> CARE, LIPID, 4S, CIS | $1252 / 10,383$ | $1700 / 10,364$ | 0.73 | 0.68 to 0.80 |
| CHD death, non-fatal MI <br> and fatal or non-fatal stroke | No data |  |  |  |  |
| CHD death, non-fatal MI or <br> coronary revascularisation <br> CHD death, non-fatal MI, <br> fatal or non-fatal stroke or <br> any revascularisation | FLARE, LiSA, CIS | $101 / 725$ | $115 / 728$ | 0.91 | 0.71 to 1.17 |



FIGURE 6 I Placebo-controlled studies: statins in secondary CHD prevention: fatal stroke


FIGURE 62 Placebo-controlled studies: statins in secondary CHD prevention: TIA


FIGURE 63 Placebo-controlled studies: statins in secondary CHD prevention: CHD death, non-fatal MI or coronary revascularisation


FIGURE 64 Placebo-controlled studies: statins in secondary CHD prevention: CHD death, non-fatal MI, fatal or non-fatal stroke or revascularisation

# Appendix II <br> Placebo-controlled studies: statins in secondary CVD prevention 

TABLE IIO Placebo-controlled studies: statins in secondary CVD prevention (only results that differ from those in secondary CHD prevention)

| Outcome | Studies contributing data | No. with event/total no.: statin | No. with event/total no.: placebo | RR | 95\% CI |
| :---: | :---: | :---: | :---: | :---: | :---: |
| All-cause mortality | Mohler, FLORIDA, LIPS, PLAC I, PLAC II, REGRESS, CARE, PREDICT, LIPID, 4S, CIS, SCAT | 938/1 1,600 | II76/I I,440 | 0.80 | 0.71 to 0.90 |
| CHD mortality | Mohler, Aronow, FLARE, LiSA, FLORIDA, LIPS, PLAC I, CARE, LIPID, 4S, MAAS, REGRESS, CIS, SCAT | 537/12,001 | 750/I 1,842 | 0.72 | 0.64 to 0.80 |
| Stroke mortality | Mohler, FLORIDA, LIPS, PLAC I, CARE, PREDICT, LIPID, CIS | 38/8624 | 34/8477 | 1.08 | 0.67 to 1.72 |
| PAD (new or worsening intermittent claudication) | Mohler, 4S | 55/2461 | 90/2337 | 0.58 | 0.42 to 0.80 |
| CHD death plus non-fatal MI | Mohler, FLARE, LiSA, LIPS, CARE, LIPID, 4S, CIS | 1259/10,774 | 1703/10,632 | 0.74 | 0.69 to 0.79 |



FIGURE 65 Placebo-controlled studies: statins in secondary CVD prevention: all-cause mortality


FIGURE 66 Placebo-controlled studies: statins in secondary CVD prevention: CHD mortality


FIGURE 67 Placebo-controlled studies: statins in secondary CVD prevention: stroke mortality


FIGURE 68 Placebo-controlled studies: statins in secondary CVD prevention: PAD


FIGURE 69 Placebo-controlled studies: statins in secondary CVD prevention: CHD death plus non-fatal MI

## Appendix 12

## Direct comparisons with other statins: data sheets

TABLE I II Direct comparisons with other statins: study characteristics

| Study | Patient group | Mean baseline LDL-C ( $\mathrm{mmol} \mathrm{l}^{-1}$ ) | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & 452211 / / \\ & 0026^{125} \end{aligned}$ | Primary hypercholesterolaemia | 4.9 | NA | Northern Europe | Rosuvastatin 5-80 or $10-80 \mathrm{mg}$ per day vs atorvastatin 10-80 mg per day | AHA Step I diet | No | 1 | MF | 57 | 138/134/140 |
| $\begin{aligned} & 4522111 / \\ & 0028^{126} \end{aligned}$ | Hypercholesterolaemia | 4.9 | NA | USA | Rosuvastatin 5-80 or 10-80 mg per day vs pravastatin 20-40 mg per day or simvastatin 20-80 mg per day | NCEP Step I diet | No | 1 | MF | 59 | $\begin{aligned} & 123 / 116 / \\ & 118 / 120 \end{aligned}$ |
| $3 T^{83}$ | CVD and dyslipidaemia | 5.2 | NA | Denmark, <br> Finland, <br> Iceland, <br> Norway, <br> Sweden | Atorvastatin 20 mg per day vs simvastatin 20 mg per day | Dietary counselling | No | 1 | MF | 63 | 556/537 |
| $\begin{aligned} & \text { PROVE } \\ & \text { IT-TIMI } \end{aligned}$ | CHD (recent ACS) | Median 2.8 | NA | Australia, <br> Canada, <br> France, <br> Germany, <br> Italy, Spain, <br> UK, USA | Atorvastatin 80 mg per day vs pravastatin 40 mg per day | NCEP diet | $2 \times 2$ factorial design also evaluating a 10-day course of gatifloxacin (antibiotic) or placebo every month during the trial | 2 | MF | 58 | 2099/2063 |

TABLE I II Direct comparisons with other statins: study characteristics (cont'd)

| Study | Patient group | Mean baseline LDL-C ( $\mathrm{mmol} \mathrm{l}{ }^{-1}$ ) | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| REVERSAL ${ }^{90}$ | CHD | 3.9 | NA | USA | Atorvastatin 80 mg per day vs pravastatin 40 mg per day | None reported | No | 1.5 | MF | 56 | 328/329 |
| ${ }^{a}$ In most studies, many patients were, at randomisation, already receiving medications other than statins to treat conditions such as hypertension and diabetes. This medications that specifically formed a part of the study protocol. <br> ACS, acute coronary syndrome. |  |  |  |  |  |  |  |  |  |  |  |

TABLE II2 Direct comparisons with other statins: selected results

| Study | All-cause mortality |  | CHD mortality |  | Total stroke |  | CHD death + non-fatal MI |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Treatment | Control | Treatment | Control | Treatment | Control | Treatment | Control |
| $452211 / 0026^{125}$ | NR | NR | NR | NR | NR | NR | NR | NR |
| $452211 / 0028^{126}$ | NR | NR | NR | NR | NR | NR | NR | NR |
| $3 \mathrm{~T}^{83}$ | NR | NR | NR | NR | 1/556 | 0/537 | NR | NR |
| PROVE IT-TIMI' ${ }^{124}$ | 2.2\% | 3.2\% | NR | NR | 1.0\% | 1.0\% | 8.3\% | 10.0\% |
| REVERSAL ${ }^{\text {90 }}$ | 1/327 | 1/327 | NR | NR | 1/327 | 1/327 | NR | NR |

## Appendix 13

## Comparisons with 'usual care': data sheets

TABLE II3 Comparisons with 'usual care': study characteristics

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: control arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups ${ }^{\text {a }}$ | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Atorvastatin |  |  |  |  |  |  |  |  |  |  |  |
| ALLIANCE ${ }^{88}$ | CHD | 3.8 | 1.2\% | USA | Atorvastatin $10-80 \mathrm{mg}$ per day | No | No | 4 | MF | 61 | 1217/1225 |
| GREACE ${ }^{128}$ | CHD | 4.7 | 0.7\% | Greece | Atorvastatin $10-80 \mathrm{mg}$ per day | No | No | 3 | MF | 59 | 800/800 |
| ESTABLISH ${ }^{89}$ | CHD (patients who had undergone emergency coronary angiography and PCl for ACS) | 3.2 | 0\% | Japan | Atorvastatin 20 mg per day | No | After PCI, all patients received aspirin 100 mg per day and ticlopidine 100 mg b.d. for $>3$ weeks, and cilostazol 100 mg b.d. for 4 days | 0.5 | MF | 62 | 35/35 |
| Pravastatin |  |  |  |  |  |  |  |  |  |  |  |
| ALLHAT- <br> LLT $^{127}$ | Moderate hypercholesterolaemia, wellcontrolled hypertension, with and without CHD | 3.3 | 1.2\% | USA, Puerto Rico, US Virgin Islands, Canada | Pravastatin 40 mg per day | NCEP Step I diet | Therapy designed to achieve BP < $140 /$ $<90 \mathrm{mmHg}$ | 4.8 | MF | 66 | 5170/5185 |

TABLE II4 Comparisons with 'usual care': selected results

| Study | All-cause mortality |  | CHD mortality |  | Total stroke |  | CHD death + non-fatal MI |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Treatment | Control | Treatment | Control | Treatment | Control | Treatment | Control |
| Atorvastatin |  |  |  |  |  |  |  |  |
| ALLIANCE ${ }^{88}$ | 121/1217 | 127/1225 | 43/1217 | 61/1225 | 35/1217 | 39/1225 | 95/1217 | 155/1225 |
| GREACE ${ }^{128}$ | 23/800 | 40/800 | 20/800 | 38/800 | 9/800 | 17/800 | 41/800 | 89/800 |
| ESTABLISH ${ }^{89}$ | 0/35 | 1/35 | 0/35 | 0/35 | NR | NR | 0/35 | 0/35 |
| Pravastatin |  |  |  |  |  |  |  |  |
| ALLHAT-LLT ${ }^{127}$ | 631/5170 | 641/5185 | 160/5170 | 162/5185 | 209/5170 | 231/5185 | 380/5170 | 421/5185 |

# Appendix 14 <br> Assessment of clinical effectiveness: comparisons with 'usual care' 

All four studies that compared a statin with usual care ${ }^{88,89,127,128}$ reported all-cause mortality; the pooled results did not demonstrate a significant effect in favour of statin treatment (Figure 70).

The only study to report cardiovascular mortality and stroke mortality (ALLHAT-LLT) did not demonstrate any treatment effect in either case (RR $0.99,95 \%$ CI 0.84 to 1.15 , and $0.95,95 \%$ CI 0.65 to 1.38 , respectively). All four studies reported CHD mortality, but again the combined results did not demonstrate a significant risk reduction (Figure 71).

None of the studies reported fatal MI. However, both studies that reported instances of non-fatal MI found that atorvastatin treatment was associated with a statistically significant risk reduction (Figure 72).

Two studies reported outcomes related to unstable angina; neither was statistically significant. The GREACE study found a relative risk of (undefined) unstable angina of 0.48 ( $95 \%$ CI 0.23 to 1.00 ), while the ALLIANCE study found a relative risk of hospitalisation for unstable angina of 0.72 ( $95 \%$ CI 0.42 to 1.23 ).

None of the studies reported on stable angina, TIA or peripheral vascular disease. Three studies reported total stroke; again, the combined results were not statistically significant (Figure 73). One study (ALLIANCE) reported the number of patients undergoing peripheral revascularisation; the relative risk was not statistically significant (RR 0.87 ; $95 \%$ CI 0.60 to 1.26).

None of the studies reported separately on CABG or PTCA. Although three studies presented information on total cardiac revascularisation,


FIGURE 70 Comparisons with usual care: all-cause mortality


FIGURE 7I Comparisons with usual care: CHD mortality


FIGURE 72 Comparisons with usual care: effect on non-fatal MI
again the combined result was not statistically significant (Figure 74).

Statin treatment was associated with a statistically significant reduction in the composite risk of CHD death or non-fatal MI (Figure 75).

## Assessment of effectiveness in patients without CHD at baseline: comparison with usual care

The ALLHAT-LLT study presented results relating to all-cause mortality and to a composite of CHD death and non-fatal MI for the subgroup of subjects without CHD at baseline. ${ }^{127}$ However, it should be noted that, as randomisation was not


FIGURE 73 Comparisons with usual care: effect on total stroke


FIGURE 74 Comparisons with usual care: effect on CABG or PTCA
stratified by either prior CHD or baseline LDL-C, these are not true randomised comparisons.
Moreover, the study does not report the number of patients in each group with each outcome, and thus it is only possible to report the relative risks calculated by the investigators, which have been subdivided by baseline LDL-C; none is statistically significant (Table 115).

## Assessment of effectiveness in patients with CHD at baseline: comparison with usual care

The ALLIANCE, ESTABLISH AND GREACE studies were carried out in patients with baseline CHD. Their combined results are more favourable to statin therapy than the combined results of all four studies (Table 116), and this might be taken to


FIGURE 75 Comparisons with usual care: effect on CHD death plus non-fatal MI

TABLE II5 Comparison with usual care: results from the ALLHAT-LLT study non-CHD subgroup

| Outcome | LDL-C | RR $(95 \% \mathbf{C I})$ (investigators' calculations) |
| :--- | :--- | :--- |
| All-cause mortality | $\geq 130 \mathrm{mg} \mathrm{dl}^{-1}$ | $0.96(0.84$ to 1.1 I$)$ |
|  | $<130 \mathrm{mg} \mathrm{dl}^{-1}$ | $1.18(0.90$ to 1.56$)$ |
| CHD death plus non-fatal MI | $\geq 130 \mathrm{mg} \mathrm{dl}^{-1}$ | $0.92(0.77$ to 1.09$)$ |
|  | $<130 \mathrm{mg} \mathrm{dl}^{-1}$ | $0.73(0.49$ to 1.07$)$ |

TABLE II6 Comparisons with usual care by CHD status: relative risk (95\% CI)

| Outcome | Mixed population | Established CHD |
| :---: | :---: | :---: |
| All-cause mortality | 0.92 (0.77 to I.10) | 0.78 (0.51 to I.20) |
| Cardiovascular mortality | 0.99 (0.84 to 1.15) | No data |
| CHD mortality | 0.76 (0.53 to 1.09) | 0.64 (0.47 to 0.87) |
| Stroke mortality | 0.95 (0.65 to 1.38) | No data |
| Total stroke | 0.89 (0.75 to 1.05) | 0.77 (0.48 to I.24) |
| PVD (peripheral revascularisation) | 0.87 (0.60 to I.26) | 0.87 (0.60 to I.26) |
| Non-fatal MI | 0.51 (0.39 to 0.67) | 0.51 (0.39 to 0.67) |
| Stable angina | No data | No data |
| Unstable angina | 0.48 (0.23 to 1.00) | 0.48 (0.23 to I.00) |
| Patients hospitalised for unstable angina | 0.72 (0.43 to I.23) | 0.72 (0.43 to I.23) |
| CABG + PTCA | 0.75 (0.49 to 1.14) | 0.75 (0.49 to I.14) |
| CHD death plus non-fatal MI | 0.65 (0.44 to 0.96) | 0.55 (0.42 to 0.73) |

indicate that statin therapy is more effective, relative to usual care, in patients with existing CHD than in a mixed population. However, as may be seen from Figures 70-75, the results of the GREACE study are consistently more favourable to statin therapy than those of the other studies and, with the removal of the ALLHAT-LLT study from the meta-analysis, the weight given to GREACE rises from $10.77 \%$ in analyses that include all four studies to $36.90 \%$.

The ALLHAT-LLT study also reported the relative risks of all-cause mortality and of CHD death plus non-fatal MI in the subgroup with baseline CHD. Although, this time, the results were not subdivided by LDL-C, they were still not statistically significant (RR $0.95,95 \%$ CI 0.74 to 1.23 , and $1.03,95 \%$ CI 0.77 to 1.38 , respectively). ${ }^{127}$

## Appendix 15

## Comparisons with 'no statin': data sheets

TABLE II7 Comparisons with 'no statin': study characteristics

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Atorvastatin |  |  |  |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { Colivicchi } \\ & 2002^{129} \end{aligned}$ | End-stage CHD | 3.4 | 9.8\% | Italy | Conventional medical treatment + atorvastatin 80 mg per day | None reported | No | 1 | MF | 68 | 40/41 |
| Pravastatin |  |  |  |  |  |  |  |  |  |  |  |
| GISSI-P ${ }^{130}$ | CHD (recent <br> MI) | 3.9 | 1.1\% | Italy | Pravastatin 20 mg per day | Diet | Factorial trial also evaluating supplements of $n-3$ polyunsaturated fatty acids (I g per day), vitamin E 300 mg per day, a combination of the two, or standard treatment | 2 | MF | 60 | 2138/2133 |
| Sato 2001 ${ }^{87}$ | CHD | NR; TC 5.2 | 2.3\% | Japan | Pravastatin 20 mg per day | None reported | No | 1.8 | MF | 60 | 54/66 |

TABLE II8 Comparisons with 'no statin': results

| Study | All-cause mortality |  | CHD mortality |  | Total stroke |  | CHD death + non-fatal MI |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Treatment | Control | Treatment | Control | Treatment | Control | Treatment | Control |
| Atorvastatin <br> Colivicchi 2002 ${ }^{\text {12 }}$ | NR | NR | 3/40 | 4/41 | NR | NR | 7/40 | II/4\| |
| Pravastatin GISSI-P ${ }^{130}$ Sato $2001^{87}$ | $\begin{gathered} 72 / 2138 \\ 1 / 54 \end{gathered}$ | $\begin{gathered} 88 / 2133 \\ 4 / 66 \end{gathered}$ | $\begin{gathered} 3 I / 2138 \\ 1 / 54 \end{gathered}$ | $\begin{gathered} 49 / 2133 \\ 3 / 66 \end{gathered}$ | $\begin{gathered} 20 / 2138 \\ 0 / 54 \end{gathered}$ | $\begin{gathered} 19 / 2133 \\ 1 / 66 \end{gathered}$ | $\begin{gathered} 67 / 2138 \\ 1 / 54 \end{gathered}$ | $\begin{gathered} 83 / 2133 \\ 3 / 66 \end{gathered}$ |

# Appendix 16 <br> Assessment of clinical effectiveness: comparisons with 'no statin' 

Only one study that compared a statin with 'no statin' (GISSI-P) reported both all-cause and cardiovascular mortality: low-dose pravastatin did not have a statistically significant effect on either outcome (RR $0.82,95 \%$ CI 0.60 to 1.11 , and 0.80 , $95 \%$ CI 0.56 to 1.14 , respectively). ${ }^{130}$ However, the combined data from the two studies that provided data on CHD mortality ${ }^{129,130}$ indicated that statin therapy was associated with a reduced risk of an event (Figure 76).

Two studies reported the number of patients suffering any stroke; their combined results did not indicate any benefit from statin therapy (Figure 77). One of these studies (GISSI-P) also reported fatal and non-fatal strokes separately; again, no benefit was demonstrated from statin therapy (RR $1.00,95 \% \mathrm{CI} 0.25$ to 3.98 , and 1.06 , $95 \%$ CI 0.53 to 2.15 , respectively).

GISSI-P was also the only study to report fatal MI; again, the result was not statistically significant
(RR $0.60,95 \%$ CI 0.22 to 1.64 ). ${ }^{130}$ The MIs reported in another study ${ }^{87}$ appeared implicitly to have been fatal but, as this was not specified, they have not been included in the analysis. Although two studies reported non-fatal MI, the results were not significant, even when combined (Figure 78).

None of the studies reported on angina (either stable or unstable angina), TIA or PVD.

Only one study ${ }^{130}$ provided separate data on CABG and PTCA, with relative risks of 0.88 ( $95 \%$ CI 0.68 to 1.14 ) and 0.90 ( 0.63 to 1.29 ), respectively. Although two studies ${ }^{87,130}$ provided data relating to total cardiac revascularisations, again the pooled data were not statistically significant (Figure 79).

All three studies provided data relating to a composite end-point of CHD death plus non-fatal MI, but again the results were not statistically significant (Figure 80).


FIGURE 76 Comparisons with 'no statin': effect on CHD mortality

| Review: Statins <br> Comparison: 59 Secondary CHD: comparisons with 'no statin': total stroke <br> Outcome: 01 Total stroke |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Study or subcategory | Treatment $n / N$ | Control n/N |  | $\begin{aligned} & \text { RR (random) } \\ & 95 \% \mathrm{Cl} \end{aligned}$ | Weight \% | $\begin{aligned} & \text { RR (random) } \\ & 95 \% \mathrm{Cl} \end{aligned}$ |
| O1 Pravastatin |  |  |  |  |  |  |
| GISSI-pl30 | 20/2138 | 19/2133 |  |  | 96.28 | 1.05 (0.56 to 1.96) |
| Sato ${ }^{87}$ | 0/54 | 1/66 |  |  | 3.72 | 0.41 (0.02 to 9.77) |
| Subtotal (95\% Cl) | 2192 | 2199 |  |  | 100.00 | 1.01 (0.55 to 1.87) |
| Total events: 20 (treatment), 20 (control) |  |  |  |  |  |  |
| Test for heterogeneity: $\chi^{2}=0.33, \mathrm{df}=1(p=0.57), I^{2}=0 \%$ |  |  |  |  |  |  |
| Test for overall effect: $z=0.04(p=0.97)$ |  |  |  |  |  |  |
| Total ( $95 \% \mathrm{Cl}$ ) | 2192 | 2199 |  |  | 100.00 | 1.01 (0.55 to 1.87) |
| Total events: 20 (treatment), 20 (control) |  |  |  |  |  |  |
| Test for heterogeneity: $\chi^{2}=0.33, \mathrm{df}=1(p=0.57), I^{2}=0 \%$ |  |  |  |  |  |  |
| Test for overall effect: $z=0.04(p=0.97)$ |  |  |  |  |  |  |
|  |  |  | $0.1 \quad 0.2$ | 0.5 \| 2 |  |  |
|  |  |  | Favours | atment Favo |  |  |

FIGURE 77 Comparisons with 'no statin': effect on total stroke


FIGURE 78 Comparisons with 'no statin': effect on non-fatal MI


FIGURE 79 Comparisons with 'no statin': effect on CABG or PTCA


FIGURE 80 Comparisons with 'no statin': effect on CHD death plus non-fatal MI

## Appendix 17

## Dose comparisons: data sheets

TABLE II9 Dose comparisons: study characteristics

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Com | rator | Lifes inter reco in bo trea grou | ns ded | Add <br> med <br> give <br> both <br> trea <br> grou |  | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A-to-Z ${ }^{\mid 31}$ | ACS | 2.9 | NA | 4I countries in Europe, Australia, New Zealand, North and South America, South Africa and Asia ${ }^{399}$ | Simvastatin 40 mg per day for 30 days, then 80 mg per day | Place <br> 4 mon follow simva 20 mg | for by <br> in day | AHA | diet | No |  | 2 | MF | 61 (median) | 2265/2232 |
| PATE ${ }^{132}$ | With and without previous CVD | 4.3 | NA | Japan | Pravastatin <br> 5 mg per day | Prava 10-20 day | ger | Non |  | No |  | 3.9 | MF | NR | 331/334 |
| ${ }^{a}$ In most studies, many patients were, at randomisation, already receiving medications other than statins to treat conditions such as hypertension and diabetes. This medications that specifically formed a part of the study protocol. |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| TABLE 120 Dose comparisons: selected results |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Study |  |  | All-cause mortality |  | CHD mortality |  |  |  | Total stroke |  |  |  | CHD death + non-fatal MI |  |  |
|  |  |  | Treatment | Control | Treatment |  | Control |  | Treatment |  | Control |  | Treatment |  | Control |
| A-to-Z ${ }^{131}$ |  |  | 104/2265 | 130/2232 | NR |  | NR |  | 28/2265 |  | 35/2232 |  | NR |  | NR |
| PATE ${ }^{132}$ |  |  | 14/331 | 20/334 | NR |  | NR |  | NR |  | NR |  | NR |  | NR |

TABLE 120 Dose comparisons: selected results

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Com | ator | Lifes inte reco in bo trea grou | ns ded | Add med give both trea grou |  | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A-to-Z ${ }^{131}$ | ACS | 2.9 | NA | 4I countries in Europe, Australia, New Zealand, North and South America, South Africa and Asia ${ }^{399}$ | Simvastatin 40 mg per day for 30 days, then 80 mg per day | Place 4 mon follow simva 20 m | for by <br> tin day | AHA | diet | No |  | 2 | MF | 61 (median) | 2265/2232 |
| PATE ${ }^{132}$ | With and without previous CVD | 4.3 | NA | Japan | Pravastatin <br> 5 mg per day | Prava 10-2 day | ger | Non |  | No |  | 3.9 | MF | NR | 331/334 |
| ${ }^{a}$ In most studies, many patients were, at randomisation, already receiving medications other than statins to treat conditions such as hypertension and diabetes. This medications that specifically formed a part of the study protocol. |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| TABLE I20 Dose comparisons: selected results |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Study |  |  | All-cause mortality |  | CHD mortality |  |  |  | Total stroke |  |  |  | CHD death + non-fatal MI |  |  |
|  |  |  | Treatment | Control | Treatment |  | Control |  | Treatment |  | Control |  | Treatment |  | Control |
| A-to-Z ${ }^{131}$ |  |  | 104/2265 | 130/2232 | NR |  | NR |  | 28/2265 |  | 35/2232 |  | NR |  | NR |
| PATE ${ }^{132}$ |  |  | 14/331 | 20/334 | NR |  | NR |  | NR |  | NR |  | NR |  | NR |

## Appendix 18

## Assessment of clinical effectiveness: dose comparisons

Tn the A-to-Z study, the use of an aggressive dose of simvastatin was associated with a reduced risk of cardiovascular mortality; other reported outcomes were not statistically significant ${ }^{131}$ (for details see Table 121).

The PATE study showed no statistically significant results in relation to any clinical end-point, even when all fatal and non-fatal cardiovascular events were pooled (RR of event in low-dose group compared with standard dose $1.44,95 \%$ CI 0.92 to 2.25). The results for the subgroups with and without baseline CVD were therefore not statistically significant. ${ }^{132}$

TABLE 12 I Dose comparisons: aggressive versus lower dose simvastatin in patients with $\mathrm{CHD}^{131}$

| Outcome | RR | $\mathbf{9 5 \%} \mathbf{~ C I}$ |
| :--- | :---: | :---: |
| All-cause mortality | 0.79 | 0.61 to 1.01 |
| Cardiovascular mortality | 0.75 | 0.57 to 0.99 |
| Total stroke | 0.79 | 0.48 to 1.29 |
| Total MI | 0.96 | 0.77 to 1.19 |
| Coronary revascularisations | 0.95 | 0.74 to 1.21 |

## Appendix 19 <br> Subgroup data

## Women

TABLE I22 Placebo-controlled studies: results by gender - meta-analysis

| Outcome | Men |  | Women |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Studies providing data | $\mathbf{R R} \mathbf{( 9 5 \% ~ C I )}$ | Studies providing data | RR (95\% CI) |
| All-cause mortality | KAPS, REGRESS, WOSCOPS, 4S | $\begin{gathered} 0.70 \\ (0.61 \text { to } 0.82) \end{gathered}$ | 4S | $\begin{gathered} 1.16 \\ (0.69 \text { to } 1.95) \end{gathered}$ |
| Cardiovascular mortality | WOSCOPS | $\begin{gathered} 0.68 \\ (0.48 \text { to } 0.98) \end{gathered}$ | No data |  |
| CHD mortality | CARE, REGRESS, WOSCOPS, 4S, CIS | $\begin{gathered} 0.66 \\ (0.56 \text { to } 0.79) \end{gathered}$ | 4S, CARE | $\begin{gathered} 0.83 \\ (0.49 \text { to } 1.38) \end{gathered}$ |
| Stroke mortality | WOSCOPS | $\begin{gathered} 1.50 \\ (0.42 \text { to } 5.30) \end{gathered}$ | No data |  |
| Non-fatal stroke | WOSCOPS | $\begin{gathered} 0.85 \\ (0.56 \text { to } 1.29) \end{gathered}$ | No data |  |
| Total stroke | CARE, KAPS, PROSPER | $\begin{gathered} 0.84 \\ (0.66 \text { to } 1.08) \end{gathered}$ | CARE, PLAC I, PROSPER | $\begin{gathered} 0.76 \\ (0.50 \text { to } 1.13) \end{gathered}$ |
| TIA | PROSPER | $\begin{gathered} 0.72 \\ (0.48 \text { to } 1.09) \end{gathered}$ | PROSPER | $\begin{gathered} 0.80 \\ (0.53 \text { to } 1.21) \end{gathered}$ |
| PVD | No data |  | No data |  |
| Fatal MI | CARE, KAPS, REGRESS | $\begin{gathered} 0.70 \\ (0.42 \text { to } 1.16) \end{gathered}$ | CARE | $\begin{gathered} 0.17 \\ (0.02 \text { to } 1.39) \end{gathered}$ |
| Non-fatal MI | CARE, KAPS, WOSCOPS, 4S, CIS | $\begin{gathered} 0.73 \\ (0.66 \text { to } 0.80) \end{gathered}$ | 4S, CARE | $\begin{gathered} 0.62 \\ (0.47 \text { to } 0.83) \end{gathered}$ |
| Stable angina | No data |  | No data |  |
| Unstable angina (unspecified) | CARE | $\begin{gathered} 0.88 \\ (0.76 \text { to } 1.03) \end{gathered}$ | CARE | $\begin{gathered} 0.87 \\ (0.64 \text { to } 1.20) \end{gathered}$ |
| Hospitalisation for unstable angina | CIS | $\begin{gathered} 0.97 \\ (0.38 \text { to } 2.50) \end{gathered}$ | No data |  |
| CABG | CARE, KAPS | $\begin{gathered} 0.77 \\ (0.63 \text { to } 0.95) \end{gathered}$ | CARE, PLAC I | $\begin{gathered} 0.67 \\ (0.37 \text { to } 1.22) \end{gathered}$ |
| PTCA | CARE, KAPS, REGRESS | $\begin{gathered} 0.60 \\ (0.32 \text { to } \mathrm{I} . \mathrm{II}) \end{gathered}$ | CARE, PLAC I | $\begin{gathered} 0.55 \\ (0.33 \text { to } 0.93) \end{gathered}$ |
| CABG + PTCA | CARE, WOSCOPS, 4S, CIS | $\begin{gathered} 0.72 \\ (0.65 \text { to } 0.8 \mathrm{I}) \end{gathered}$ | 4S, CARE | $\begin{gathered} 0.5 \mathrm{I} \\ (0.37 \text { to } 0.70) \end{gathered}$ |
| CHD death plus non-fatal MI | ASCOT-LLA, CARE, LIPID, PROSPER, WOSCOPS | $\begin{gathered} 0.70 \\ (0.63 \text { to } 0.78) \end{gathered}$ | 4S, ASCOT-LLA, CARE, LIPID, PROSPER | $\begin{gathered} 0.82 \\ (0.69 \text { to } 0.96) \end{gathered}$ |
| CHD death, non-fatal MI or coronary revascularisation | CARE | $\begin{gathered} 0.81 \\ (0.72 \text { to } 0.92) \end{gathered}$ | CARE | $\begin{gathered} 0.58 \\ (0.42 \text { to } 0.81) \end{gathered}$ |

TABLE 123 Placebo-controlled studies: the LIPID and LIPS studies - results by gender

| Outcome | LIPID \% RR reduction ${ }^{\text {a }}$ |  | LIPS $\mathbf{R R}^{\boldsymbol{a}}$ |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Men | Women | Men | Women |
| All-cause mortality | 25 (15 to 34) | 11 (-18 to 33) | NR |  |
| CHD mortality | 25 (12 to 37) | 18 (-24 to 46) | NR |  |
| Total MI | 31 (20 to 41) | 16 (-19 to 41) | NR |  |
| Hospital admission for unstable angina | 16 (7 to 23) | -9 (-33 to 10) | NR |  |
| Total stroke | 25 (6 to 40) | -28 (-114 to 23) | NR |  |
| Coronary revascularisation | 18 (8 to 28) | 25 (-1 to 44) | NR |  |
| CHD death + non-fatal MI | Full data available and incorporated into meta-analysis |  | NR |  |
| CHD death, non-fatal MI or coronary revascularisation | 22 (15 to 29) | 17 (-2 to 33) | 0.79 (0.64 to 0.98) | 0.66 (0.38 to 1.14) |
| ${ }^{a}$ Investigators' calculations. |  |  |  |  |

## People with diabetes

TABLE I24 Placebo-controlled studies: results in people with and without diabetes

| Outcome | People with diabetes |  | People without diabetes |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Studies providing data | $\mathbf{R R} \mathbf{( 9 5 \% ~ C I )}$ | Studies providing data | RR (95\% CI) |
| All-cause mortality | CARDS, LIPID, 4S | $\begin{gathered} 0.72 \\ (0.56 \text { to } 0.93) \end{gathered}$ | LIPID, 4S | $\begin{gathered} 0.73 \\ (0.60 \text { to } 0.87) \end{gathered}$ |
| Cardiovascular mortality | CARDS | $\begin{gathered} 0.67 \\ (0.40 \text { to } 1.10) \end{gathered}$ | No data |  |
| CHD mortality | CARDS, CARE, 4S | $\begin{gathered} 0.84 \\ (0.61 \text { to } 1.17) \end{gathered}$ | CARE, 4S | $\begin{gathered} 0.66 \\ (0.50 \text { to } 0.87) \end{gathered}$ |
| Stroke mortality | CARDS | $\begin{gathered} 0.20 \\ (0.02 \text { to } 1.69) \end{gathered}$ | No data |  |
| Non-fatal stroke | CARDS | $\begin{gathered} 0.66 \\ (0.38 \text { to } 1.15) \end{gathered}$ | No data |  |
| Total stroke | CARDS, CARE, $4 S^{a}$ | $\begin{gathered} 0.63 \\ (0.44 \text { to } 0.91) \end{gathered}$ | CARE, $4 \mathrm{~S}^{\text {a }}$ | $\begin{gathered} 0.66 \\ (0.5 \mathrm{I} \text { to } 0.85) \end{gathered}$ |
| TIA | No data |  | No data |  |
| PVD | No data |  | No data |  |
| Fatal MI | CARDS, CARE | $\begin{gathered} 0.46 \\ (0.25 \text { to } 0.83) \end{gathered}$ | CARE | $\begin{gathered} 0.71 \\ (0.38 \text { to } 1.31) \end{gathered}$ |
| Non-fatal MI | CARDS, DALI, CARE, 4 S | $\begin{gathered} 0.54 \\ (0.32 \text { to } 0.91) \end{gathered}$ | CARE, 4S | $\begin{gathered} 0.70 \\ (0.57 \text { to } 0.85) \end{gathered}$ |
| Stable angina | No data |  | No data |  |
| Unstable angina (unspecified) | CARDS, CARE | $\begin{gathered} 0.88 \\ (0.64 \text { to } 1.20) \end{gathered}$ | CARE | $\begin{gathered} 0.89 \\ (0.77 \text { to } 1.04) \end{gathered}$ |
| Hospitalisation for angina | No data |  | No data |  |
| CABG | CARE | $\begin{gathered} 0.71 \\ (0.46 \text { to } 1.10) \end{gathered}$ | CARE | $\begin{gathered} 0.78 \\ (0.62 \text { to } 0.97) \end{gathered}$ |
| PTCA | CARE | $\begin{gathered} 0.70 \\ (0.5 \mathrm{I} \text { to } 0.98) \end{gathered}$ | CARE | $\begin{gathered} 0.79 \\ (0.65 \text { to } 0.97) \end{gathered}$ |
| CABG + PTCA | CARDS, 4S | $\begin{gathered} 0.70 \\ (0.47 \text { to } 1.03) \end{gathered}$ | 4S | $\begin{gathered} 0.66 \\ (0.56 \text { to } 76) \end{gathered}$ |
| CHD death plus non-fatal MI | ASCOT-LLA, CARE, 4S | $\begin{gathered} 0.73 \\ (0.53 \text { to l.01) } \end{gathered}$ | ASCOT-LLA, CARE | $\begin{gathered} 0.67 \\ (0.51 \text { to } 0.90) \end{gathered}$ |
| CHD death, non-fatal MI or coronary revascularisation | LIPS, CARE | $\begin{gathered} 0.71 \\ (0.54 \text { to } 0.94) \end{gathered}$ | LIPS, CARE | $\begin{gathered} 0.81 \\ (0.73 \text { to } 0.90) \end{gathered}$ |
| a "Cerebrovascular disease event". |  |  |  |  |

TABLE 125 HPS: results in people with diabetes

| Outcome | HPS <br> Event rate ratio ${ }^{a}$ |  |
| :--- | :---: | :---: |
|  | With diabetes | Without diabetes |
| All-cause mortality | NR | NR |
| CHD mortality | 0.80 | NR |
|  | $(0.66$ to 0.96$)$ | NR |
| Total MI | NR | NR |
| First non-fatal MI | 0.67 | NR |
| Hospital admission for unstable angina | $(0.50$ to 0.80$)$ | 0.74 |
| Total stroke | NR | $(0.64$ to 0.86$)$ |
|  | 0.76 | NR |
| Coronary revascularisation | $(0.61$ to 0.94$)$ | 0.74 |
| Any revascularisation (includes non-coronary) | NR | $(0.67$ to 0.82$)$ |
|  | 0.83 | NR |
| Peripheral macrovascular complications | $(0.70$ to 0.97$)$ | 0.73 |
| CHD death + non-fatal MI | Event rate ratio NR but $p=0.03$ | $(0.66$ to 0.8 l$)$ |
|  | 0.73 |  |
| CHD death, non-fatal MI or coronary revascularisation | $(0.62$ to 0.85$)$ | NR |
| ${ }^{\text {a }}$ Investigators' calculations. | NR |  |

## Elderly patients

TABLE I26 Placebo-controlled studies: results by age group

| Outcome | People aged <65 years |  | People aged $>65$ years |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Studies providing data | RR (95\% CI) | Studies providing data | RR (95\% CI) |
| All-cause mortality | 4S | $\begin{gathered} 0.73 \\ (0.58 \text { to } 0.91) \end{gathered}$ | PROSPER, 4S | $\begin{gathered} 0.83 \\ (0.58 \text { to } 1.19) \end{gathered}$ |
| Cardiovascular mortality | No data |  | PROSPER | $\begin{gathered} 0.87 \\ (0.69 \text { to } 1.08) \end{gathered}$ |
| CHD mortality | CARE, 4S | $\begin{gathered} 0.80 \\ (0.42 \text { to } 1.49) \end{gathered}$ | CARE, PROSPER, 4S | $\begin{gathered} 0.66 \\ (0.53 \text { to } 0.82) \end{gathered}$ |
| Stroke mortality | No data |  | PROSPER | $\begin{gathered} 1.58 \\ (0.81 \text { to } 3.09) \end{gathered}$ |
| Non-fatal stroke | No data |  | PROSPER | $\begin{gathered} 0.98 \\ (0.76 \text { to } 1.26) \end{gathered}$ |
| Total stroke | CARE | $\begin{gathered} 0.80 \\ (0.48 \text { to } 1.35) \end{gathered}$ | CARE, PLAC I | $\begin{gathered} 0.61 \\ (0.39 \text { to } 0.95) \end{gathered}$ |
| TIA | No data |  | PROSPER | $\begin{gathered} 0.76 \\ (0.57 \text { to } 1.02) \end{gathered}$ |
| PVD | No data |  | No data |  |
| Fatal MI | No data |  | No data |  |
| Non-fatal MI | CARE, 4S | $\begin{gathered} 0.72 \\ (0.64 \text { to } 0.82) \end{gathered}$ | CARE, PROSPER, 4S | $\begin{gathered} 0.80 \\ (0.69 \text { to } 0.93) \end{gathered}$ |
| Stable angina | No data |  | No data |  |
| Unstable angina (unspecified) | CARE | $\begin{gathered} 0.81 \\ (0.69 \text { to } 0.96) \end{gathered}$ | CARE | $\begin{gathered} 1.08 \\ (0.83 \text { to } 1.39) \end{gathered}$ |
| Hospitalisation for angina | No data |  | No data |  |
| CABG | CARE | $\begin{gathered} 0.83 \\ (0.66 \text { to } 1.06) \end{gathered}$ | CARE, PLAC I | $\begin{gathered} 0.60 \\ (0.42 \text { to } 0.85) \end{gathered}$ |
| PTCA | CARE | $\begin{gathered} 0.75 \\ (0.61 \text { to } 0.93) \end{gathered}$ | CARE, PLAC I | $\begin{gathered} 0.94 \\ (0.62 \text { to } 1.42) \end{gathered}$ |
| $C A B G+$ PTCA | CARE, 4S | $\begin{gathered} 0.72 \\ (0.63 \text { to } 0.82) \end{gathered}$ | CARE, PROSPER, 4 S | $\begin{gathered} 0.70 \\ (0.58 \text { to } 0.84) \end{gathered}$ |
| CHD death plus non-fatal MI | CARE | $\begin{gathered} 0.87 \\ (0.71 \text { to } 1.08) \end{gathered}$ | CARE, PROSPER | $\begin{gathered} 0.74 \\ (0.56 \text { to } 0.97) \end{gathered}$ |
| CHD death, non-fatal MI or coronary revascularisation | CARE | $\begin{gathered} 0.82 \\ (0.72 \text { to } 0.94) \end{gathered}$ | CARE | $\begin{gathered} 0.70 \\ (0.57 \text { to } 0.85) \end{gathered}$ |

## Appendix 20

## Cardiac transplant patients: data sheets

TABLE 127 Cardiac transplant patients: study characteristics

| Study | Patient group | Mean baseline LDL-C ( $\mathrm{mmol} \mathrm{l}^{-1}$ ) | Crude annual CHD mortality: control arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Fluvastatin |  |  |  |  |  |  |  |  |  |  |  |
| $\begin{aligned} & \text { O'Rourke } \\ & 2004^{139} \end{aligned}$ | Cardiac transplant recipients with hyperlipidaemia | 4.4 | 0\% | England | Fluvastatin 40 mg per day vs placebo | AHA Step I diet | Immunosuppressive treatment (Neoral ciclosporin and azathioprine) |  | MF | 52 | 52/27 |
| Pravastatin |  |  |  |  |  |  |  |  |  |  |  |
| Mehra 2002 ${ }^{94}$ | Cardiac transplant recipients | 4.2 | NA | USA | Pravastatin 20 mg per day vs simvastatin 10 mg per day | Dietary counselling (low-fat diet) | Immunosuppressive treatment (ciclosporin, azathioprine and corticosteroids) |  | MF | 53 | 24/26 |
| $\begin{aligned} & \text { Kobashigawa } \\ & 1995^{140} \end{aligned}$ | Cardiac transplant recipients | NR; TC 4.6 | 0\% | USA | Pravastatin 20-40 mg per day vs 'usual care' | Dietary counselling (low-fat, lowcholesterol diet) | Immunosuppressive treatment (ciclosporin, prednisone and azathioprine) | 1 | MF | 52 | 47/50 |
| Simvastatin |  |  |  |  |  |  |  |  |  |  |  |
| Wenke 1997 ${ }^{141}$ | Cardiac transplant recipients | 2.8 | NR | Germany | Simvastatin 5-20 mg per day (mean dose 10 mg per day) vs 'usual care' | AHA Step II diet | Immunosuppressive treatment (ciclosporin A, azathioprine and prednisolone) | 4 | MF | 48 | 35/37 |

TABLE 128 Cardiac transplant patients: selected results

| Study | All-cause mortality |  | CHD mortality |  | Total stroke |  | CHD death + non-fatal MI |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Treatment | Control | Treatment | Control | Treatment | Control | Treatment | Control |
| Fluvastatin <br> O'Rourke $2004^{139}$ | 2/52 | 0/27 | NR | NR | NR | NR | NR | NR |
| Pravastatin <br> Mehra $2002^{94}$ <br> Kobashigawa $1995^{140}$ | $\begin{aligned} & 2 / 24 \\ & 3 / 47 \end{aligned}$ | $\begin{array}{r} 2 / 26 \\ 10 / 50 \end{array}$ | $\begin{aligned} & \text { NR } \\ & \text { NR } \end{aligned}$ | $\begin{aligned} & \text { NR } \\ & \text { NR } \end{aligned}$ | $\begin{aligned} & \text { NR } \\ & \text { NR } \end{aligned}$ | $\begin{aligned} & \text { NR } \\ & \text { NR } \end{aligned}$ | $\begin{aligned} & \text { NR } \\ & \text { NR } \end{aligned}$ | $\begin{aligned} & \text { NR } \\ & \text { NR } \end{aligned}$ |
| Simvastatin <br> Wenke $1997^{141}$ | 4/35 | 11/37 | NR | NR | NR | NR | NR | NR |

## Appendix 21

## Cardiac transplant patients: results

TThe only clinical outcomes reported in the placebo-controlled study of statins in cardiac transplant patients were all-cause mortality and suspected rejection episodes; in neither case was there a statistically significant difference between treatment groups (Table 129).

The head-to-head statin comparison again only reported mortality data, and again found no
statistically significant difference between treatment groups in terms of clinical outcomes (Table 130).

The studies that compared statins with no statin treatment found no statistically significant difference between treatment groups in terms of clinical outcomes (Table 131).

TABLE 129 Statins in cardiac transplant patients: placebo-controlled trial ${ }^{139}$

| Outcome | No. in each group with event | RR (95\% CI) |
| :--- | :--- | :--- |
| All-cause mortality | Fluvastatin: $2 / 52$ <br> Placebo: $0 / 27$ | $2.64(0.13$ to 53.14$)$ |
| Suspected rejection episode | Fluvastatin: $3 / 52$ <br>  | Placebo: $0 / 27$ |

TABLE 130 Statins in cardiac transplant patients: direct comparison ${ }^{94}$

| Outcome | No. in each group with event | RR (95\% CI) |
| :--- | :--- | :--- |
| All-cause mortality | Pravastatin: $2 / 24$ <br> Simvastatin: $2 / 26$ | $1.08(0.17$ to 7.10$)$ |
| CVD mortality | Pravastatin: $1 / 24$ <br> Simvastatin: $1 / 26$ | $\mathrm{I} .08(0.07$ to 16.38$)$ |
| CHD mortality | Pravastatin: $1 / 24$ <br> Simvastatin: $0 / 26$ | $3.24(0.14$ to 75.91$)$ |
| Stroke mortality | Pravastatin: $0 / 24$ <br> Simvastatin: $1 / 26$ | $0.36(0.02$ to 8.43$)$ |

TABLE I3I Statins in transplant patients: effect on all-cause mortality - comparisons with no statin

| Study | No. in each group with event | RR (95\% CI) |
| :--- | :--- | :--- |
| Kobashigawa $1995^{140}$ | Pravastatin $20-40 \mathrm{mg}$ per day: $3 / 47$ <br> Control: $10 / 50$ | $0.32(0.09$ to 1.09$)$ |
| Wenke $1997^{141}$ | Simvastatin $5-20 \mathrm{mg}$ per day: $4 / 35$ <br> Control: $11 / 37$ | $0.38(0.13$ to 1.10$)$ |

## Appendix 22

## Renal transplant patients: data sheets

TABLE 132 Renal transplant patients: study characteristics

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: placebo arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ALERT ${ }^{142}$ | Renal transplant recipients with mild to moderate hypercholesterolaemia | 4.1 | 1.0\% | Belgium, <br> Canada, <br> Denmark, <br> Finland, <br> Germany, <br> Norway, <br> Sweden, <br> Switzerland, UK | Fluvastatin $40-80 \mathrm{mg}$ per day | None reported | No | 5.1 | MF | 50 | 1050/1052 |

TABLE 133 Renal transplant patients: selected results

| Study | All-cause mortality |  | CHD mortality |  | Total stroke |  | CHD death + non-fatal MI |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Treatment | Control | Treatment | Control | Treatment | Control | Treatment | Control |
| ALERT ${ }^{142}$ | 143/1050 | 138/1052 | 36/1050 | 54/1052 | 74\%/1050 | $63^{\circ} / 1052$ | 70/1050 | 104/1052 |

## Appendix 23

## Renal transplant patients: results

The results of the ALERT study are summarised in Table 134.

TABLE I34 Statins in renal transplant recipients: results of the ALERT study ${ }^{142}$

| Outcome | $\mathbf{R R}$ | $\mathbf{9 5 \%} \mathbf{C l}$ |
| :--- | :--- | :--- |
| All-cause mortality | 1.04 | 0.84 to 1.29 |
| Cardiovascular mortality | 0.91 | 0.66 to 1.25 |
| CHD mortality | 0.67 | 0.44 to 1.01 |
| Cerebrovascular mortality | 1.22 | 0.60 to 2.46 |
| Total cerebrovascular events | 1.18 | 0.85 to 1.63 |
| Non-fatal MI | 0.70 | 0.48 to 1.01 |
| CABG | 1.04 | 0.60 to 1.82 |
| PTCA | 0.79 | 0.49 to 1.27 |
| CHD death plus non-fatal MI | 0.67 | 0.50 to 0.90 |
| CHD death, non-fatal MI or coronary revascularisation | 0.84 | 0.66 to 1.06 |

## Appendix 24

## People with familial hypercholesterolaemia: data sheets

TABLE I35 People with familial hypercholesterolaemia: study characteristics

| Study | Patient group | Mean baseline LDL-C (mmol lil | Crude annual CHD mortality: control arm | Country of study | Intervention | Lifestyle interventions recommended in both treatment groups | Additional medication given to both treatment groups | Follow-up (years) | Gender | Mean age (years) | No. treated/ no. controls |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ASAP ${ }^{85}$ | Heterozygous familial hypercholeste rolaemia | 8.2 | NA | The Netherlands | Atorvastatin 80 mg per day vs simvastatin 40 mg per day | None reported | No | 2 | MF | 48 | 160/165 |

TABLE I36 People with familial hypercholesterolaemia: selected results

| Study | All-cause mortality |  | CHD mortality |  | Total stroke |  | CHD death + non-fatal MI |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Treatment | Control | Treatment | Control | Treatment | Control | Treatment | Control |
| ASAP ${ }^{85}$ | 1/160 | 2/160 | 1/160 | 1/160 | NR | NR | NR | NR |

## Appendix 25 <br> People with familial hypercholesterolaemia: results

The results of the ASAP study are summarised in Table 137.

TABLE 137 Statins in patients with heterozygous familial hypercholesterolaemia: direct comparison ${ }^{85}$

| Outcome | Number in each group with event | RR (95\% CI) |
| :--- | :--- | :--- |
| All-cause mortality | Atorvastatin 80 mg per day: $1 / 160$ <br> Simvastatin 40 mg per day: $2 / 160$ | $0.50(0.05$ to 5.46$)$ |
| CVD mortality | Atorvastatin 80 mg per day: $1 / 160$ <br> Simvastatin 40 mg per day: $1 / 160$ | $1.00(0.06$ to 15.85$)$ |
| CHD mortality | Atorvastatin 80 mg per day: $1 / 160$ <br> Simvastatin 40 mg per day: $1 / 160$ | $1.00(0.06$ to 15.85$)$ |

## Appendix 26

## Ethnic minorities

The results of the subgroup analyses of the ALLHAT-LLT study are summarised in Table 138.

TABLE 138 ALLHAT-LLT study: relative risk of event in black and non-black subgroups ( $95 \%$ CI) (investigators' calculations) ${ }^{127}$

| Outcome | Black | Non-black |
| :--- | :---: | :---: |
| All-cause mortality | $\mathrm{I} .0 \mathrm{I}(0.85$ to I .19$)$ | $0.98(0.85$ to 1.13$)$ |
| CHD death plus non-fatal MI | $0.73(0.58$ to 0.92$)$ | $1.02(0.86$ to I.2I) |

## Appendix 27

## Drug toxicity: data from studies with non-statin comparator arms

TABLE I39 Atorvastatin: toxicity


TABLE 139 Atorvastatin: toxicity (cont'd)


TABLE 140 Fluvastatin: toxicity

| Study | Statin dose (mg per day) | Clinical adverse events (excluding all-cause mortality and cardiovascular events) |  |  | Withdrawals/discontinuation of study medication due to adverse events |
| :---: | :---: | :---: | :---: | :---: | :---: |
| O'Rourke$2004^{139}$ | 40 | No. of patients with event |  |  | Fluvastatin: 7/52 Placebo: 2/27 |
|  |  |  | Fluvastatin $(n=52)$ | Placebo $(n=27)$ |  |
|  |  | Suspected rejection episode <br> Minor side-effects (mainly GI) <br> Swelling of tongue and mouth after taking study capsule |  | 0 |  |
|  |  |  | 7 | 1 |  |
|  |  |  | 0 | I |  |
| ALERT ${ }^{142}$ | 40-80 | No. of patients with event |  |  | Fluvastatin: I55/I050 Placebo: I72/I052 |
|  |  | $\begin{array}{cc} \begin{array}{c} \text { Fluvastatin } \\ (n=1050) \end{array} & \text { Placebo } \\ (n=1052) \\ \hline \end{array}$ |  |  |  |
|  |  | Malignancies <br> Musculoskeletal <br> Suicide <br> Graft loss or doubling of serum creatinine | 296 | 316 |  |
|  |  |  | 526 | 531 |  |
|  |  |  | 1 | 0 |  |
|  |  |  | 183 | 165 |  |
| LiSA ${ }^{93}$ | 40-80 | There were two SAEs possibly related to study medication: one elevation of creatine phosphokinase on placebo and one possible hypersensitivity reaction to fluvastatin. On a global assessment of tolerability, $92 \%$ of fluvastatin patients rated tolerability as good or very good compared with $89 \%$ on placebo. However, patients known to be hypersensitive to, or intolerant of, statins were excluded from the study |  |  | Fluvastatin: 6.1\% Placebo: 4.5\% |
|  |  |  |  |  |  |
| FLARE ${ }^{108}$ | 80 | No. (\%) of patients with event |  |  | NR |
|  |  |  | Fluvastatin $(n=409)$ | $\begin{aligned} & \text { Placebo } \\ & (n=425) \end{aligned}$ |  |
|  |  | Malignant disease | 4 (0.8\%) | II (2.1\%) |  |
|  |  | Headache | (3.8\%) | (1.7\%) |  |
|  |  | Nausea | (3.4\%) | (2.3\%) |  |
|  |  | Other pain | (4.4\%) | (2.5\%) |  |
| FLORIDA $^{109}$ | 80 | NR |  |  | Fluvastatin: II.3\% Placebo: I3.5\% |
| LIPS ${ }^{110}$ | 80 | No. of patients with event |  |  | Fluvastatin: I24/844 (14.7\%) Placebo: I04/833 (I2.5\%) |
|  |  |  | Fluvastatin $(n=844)$ | Placebo $(n=833)$ |  |
|  |  | Fatal cancer | 14 | 18 |  |
|  |  | Non-fatal cancer | 46 | 49 |  |
|  |  | Death from respiratory failure | 3 | 2 |  |
|  |  | Death from sepsis | 1 | 3 |  |
|  |  | Other death | 3 | I |  |

TABLE I4I Pravastatin: toxicity

| Study | Statin dose (mg per day) | Clinical adverse events (excluding all-cause mortality and cardiovascular events) |  |  | Withdrawals/discontinuation of study medication due to adverse events |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Kobashigawa$1995^{140}$ | 20-40 | No of patients with event |  |  | NR |
|  |  |  | Pravastatin $(n=47)$ | $\begin{aligned} & \hline \text { Placebo } \\ & (n=50) \end{aligned}$ |  |
|  |  | Death due to cardiac rejection Cancer death Death due to infection | 3 | 10 |  |
|  |  |  | 0 | 1 |  |
|  |  |  | 0 | 1 |  |
| PMSG ${ }^{96}$ | 20-40 | No. of patients with serious adverse events |  |  | Pravastatin: 25/530 <br> Placebo: 33/532 |
|  |  |  | Pravastatin $(n=530)$ | $\begin{gathered} \text { Placebo } \\ (n=532) \end{gathered}$ |  |
|  |  | Angioedema causing withdrawal |  | 0 |  |
|  |  | Pulmonary | 0 | 3 |  |
|  |  | Gl | 2 | 0 |  |
|  |  | Diabetes | I | 0 |  |
| ALLHAT-LLT ${ }^{127}$ | 40 | No. of patients with event |  |  | NR |
|  |  |  | Pravastatin ( $n=5170$ ) | $\begin{gathered} \text { Placebo } \\ (n=5185) \end{gathered}$ |  |
|  |  | Cancer | 378 | 369 |  |
| CAIUS ${ }^{107}$ | 40 | No. of patients with SAE |  |  | NR |
|  |  |  | Atorvastatin $(n=15 \mid)$ | Placebo $(n=154)$ |  |
|  |  | Cancer | 3 | 4 |  |
| CARE ${ }^{\text {II }}$ | 40 | No. of patients with event |  |  | Pravastatin: 45/208I (2.2\%) Placebo: 74/2078 (3.6\%) |
|  |  |  | Pravastatin $(n=208 \mathrm{I})$ | $\begin{gathered} \text { Placebo } \\ (n=2078) \end{gathered}$ |  |
|  |  | Cancer | 172 | 161 |  |
|  |  | Colorectal cancer | 12 | 21 |  |
|  |  | Breast cancer | 21 | I |  |
|  |  | Violent death | 8 | 4 |  |
| KAPS ${ }^{133}$ | 40 | Most common AEs: \% of patients with event |  |  | Pravastatin: 8/224 <br> Placebo: I 2/223 |
|  |  |  | Pravastatin $(n=224)$ | Placebo $(n=223)$ |  |
|  |  | Abdominal pain | 11.2\% | 9.4\% |  |
|  |  | Cough | 8.9\% | 8.5\% |  |
|  |  | No. of patients discontinuing because of event |  |  |  |
|  |  |  | Pravastatin $(n=224)$ | Placebo $(n=223)$ |  |
|  |  | Gl complaints | 3 | 7 |  |
|  |  | Stroke | 1 | 2 |  |
|  |  | Elevated liver enzymes | 1 | 0 |  |
|  |  | Pneumonia | 1 | 0 |  |
|  |  | Eczema | 1 | 0 |  |
|  |  | Nerve pain | 1 | 0 |  |
|  |  | Prostate cancer | 0 | 1 |  |
|  |  | Chest pain | 0 | 1 |  |
|  |  | Depression | 0 | 1 |  |
|  |  |  |  |  | continued |

TABLE I4I Pravastatin: toxicity (cont'd)

| Study | Statin dose (mg per day) | Clinical adverse events (excluding all-cause mortality and cardiovascular events) |  |  | Withdrawals/discontinuation of study medication due to |
| :---: | :---: | :---: | :---: | :---: | :---: |
| LIPID ${ }^{1 / 2}$ | 40 | No. of patients with event |  |  | NR |
|  |  |  | Pravastatin $(n=45 I 2)$ | $\begin{gathered} \text { Placebo } \\ (n=4502) \end{gathered}$ |  |
|  |  | Newly diagnosed primary cancer | 379 | 399 |  |
|  |  | Cancer death | 128 | 141 |  |
|  |  | Deaths or hospitalisations due to accident, violence or attempted suicide | 213 | 221 |  |
|  |  | Death due to trauma or suicide | e 6 | 11 |  |
|  |  | Fracture | 175 | 183 |  |
| PLAC $1^{113}$ | 40 | \% of patients with event |  |  | NR |
|  |  |  | Pravastatin $(n=206)$ | Placebo $(n=202)$ |  |
|  |  | Dyspepsia/heartburn | 17\% | 9\% |  |
| PLAC 1195 | 20-40 | NR |  |  | NR |
| PREDICT ${ }^{1 / 4}$ | 40 | NR |  |  | 6 patients; not attributed to treatment arm |
| PROSPER ${ }^{81}$ | 40 | No. of patients with event |  |  | NR |
|  |  |  | Pravastatin $(n=2891)$ | Placebo $(n=2913)$ |  |
|  |  | Cancer death | 115 | 91 |  |
|  |  | Trauma death/suicide | 2 | 7 |  |
|  |  | SAE | 1608 | 1604 |  |
|  |  | Incident cancer ${ }^{\text {a }}$ | 245 | 199 |  |
|  |  | ${ }^{a}$ Seems to be number of cancers rather than number of patients |  |  |  |
| REGRESS ${ }^{115}$ | 40 | No. of patients discontinuing study medication because of event |  |  | Pravastatin: 16/450 <br> Placebo: 10/435 |
|  |  |  | Pravastatin $(n=450)$ | $\begin{gathered} \text { Placebo } \\ (n=434) \end{gathered}$ |  |
|  |  | Cancer | 3 | 3 |  |
|  |  | Endocrine disorders | 0 | 2 |  |
|  |  | Back pain | 1 | 1 |  |
|  |  | Joint complaints | 1 | 0 |  |
|  |  | Skin rash | 2 | 0 |  |
|  |  | Abdominal cramps | 2 | 0 |  |
|  |  | Worsening vision | 1 | 0 |  |
|  |  | Conjunctivitis | , | 0 |  |
|  |  | Sleep disturbance | 1 | 0 |  |
| WOSCOPS ${ }^{82}$ | 40 | $\underline{\text { No. of patients with event }}$ |  |  |  |
|  |  |  | Pravastatin $(n=3302)$ | $\begin{gathered} \text { Placebo } \\ (n=3293) \end{gathered}$ |  |
|  |  | Incident cancer | 116 | 106 |  |
|  |  | Cancer death | 44 | 49 |  |
|  |  | Suicide | 2 | 1 |  |
|  |  | Trauma death | 3 | 5 |  |
|  |  |  |  |  | continued |

TABLE I4I Pravastatin: toxicity (cont'd)

| Study | Statin dose (mg per day) | Clinical adverse events (excluding all-cause mortality and cardiovascular events) |  |  | Withdrawals/discontinuation of study medication due to adverse events |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Of the 5974 subjects classified as non-diabetic at baseline, 139 developed overt diabetes mellitus over the course of the study. Pravastatin treatment was associated with a significantly reduced risk of developing diabetes (hazard ratio $0.70,95 \% \mathrm{Cl}$ 0.50 to $0.98, p=0.036)^{400}$ |  |  |  |
| Sato $2001{ }^{87}$ | 10 | Excluded patients with known allergy to pravastatin |  |  | NR |
| GISSI-P ${ }^{130}$ | 20 | \% of patients with event |  |  | Pravastatin: 57/2138 |
|  |  |  | Pravastatin $(n=2138)$ | $\begin{gathered} \text { Placebo } \\ (n=2133) \end{gathered}$ |  |
|  |  | Cancer | 16 | 25 |  |

TABLE 142 Rosuvastatin: toxicity

| Study | Statin dose <br> (mg per day) | Clinical adverse events (excluding all-cause <br> mortality and cardiovascular events) | Withdrawals/discontinuation <br> of study medication due to <br> adverse events |
| :--- | :--- | :--- | :--- |
| No studies available with non-statin comparator arms |  |  |  |

TABLE 143 Simvastatin: toxicity

| Study | Statin dose (mg per day) | Clinical adverse events (excluding all-cause mortality and cardiovascular events) |  |  | Withdrawals/discontinuation of study medication due to adverse events |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Wenke 1997 ${ }^{141}$ | 5-20 | Excluded patients with hypersensitivity to statins No. of patients with event |  |  | NR |
|  |  |  |  |  |  |
|  |  |  | Simvastatin $(n=35)$ | Placebo $(n=37)$ |  |
|  |  | Death due to severe graft rejection | I | 5 |  |
|  |  | Death due to severe pulmonary infection | 2 | 2 |  |
|  |  | Death due to graft vessel disease | 1 | 2 |  |
|  |  | Death due to multiple organ failure | 0 | 1 |  |
|  |  | Death due to prostate cancer | 0 | 1 |  |
|  |  | Infection complications | 6 | 5 |  |
|  |  | Diagnosed cytomegalovirus | 4 | 3 |  |
|  |  | Hypertension requiring treatment | 16 | 14 |  |
|  |  |  |  |  | continued |

TABLE 143 Simvastatin: toxicity (cont'd)
$\left.\begin{array}{|lllllll}\hline \text { Study } & \begin{array}{lll}\text { Statin dose } \\ \text { (mg per day) }\end{array} & \begin{array}{l}\text { Clinical adverse events (excluding all-cause } \\ \text { mortality and cardiovascular events) }\end{array} & \begin{array}{l}\text { Withdrawals/discontinuation } \\ \text { of study medication due to }\end{array} \\ \text { adverse events }\end{array}\right]$

## Appendix 28

# Quality checklists for sponsor submissions 

TABLE I44 Pfizer: atorvastatin

## Reference ID

Title

## Authors

Year
Modelling assessments should include:
I. A statement of the problem
2. A discussion of the need for modelling vs alternatives
3. A description of the relevant factors and outcomes
4. A description of the model, including reasons for this type of model and a specification of the scope, time frame, perspective, comparators and settings
5. A description of data sources (including subjective estimates), with a description of the strengths and weaknesses of each source, with reference to a specific hierarchy of evidence
6. A list of assumptions pertaining to the structure of the model (e.g. factors included, relationships and distributions) and the data
7. A list of parameter values that will be used for a base-case analysis, and a list of the ranges in those values that represent appropriate confidence limits for use in sensitivity analysis

Clinical and cost effectiveness of 'statins for the prevention of coronary events'

## Pfizer

2004

Y The economic model is designed to evaluate the costeffectiveness of atorvastatin compared with placebo and simvastatin in the primary and secondary prevention of (a) CHD and (b) CHD plus stroke

N

Y Yes: different baseline cholesterol levels; analysis of different subgroups: diabetics, women. Cost-effectiveness is measured in terms of cost per QALY gained. Information is provided for atorvastatin versus placebo (and simvastatin)
Y A Markov modelling approach is used with each Markov cycle lasting for I year. The annual likelihood of a patient experiencing a fatal or non-fatal coronary event is determined by one of two risk engines: the Framingham risk prediction model and UKPDS Risk Engine. The Framingham risk algorithm was chosen for non-diabetics on the basis that it is the most widely accepted predictive tool in UK clinical practice
Comparator: both placebo and simvastatin are used as comparators. Simvastatin was selected on the basis that it is the most widely prescribed statin in England and Wales
Time-frame: base case - lifetime. The model is flexible: patients can enter the model at any age between 35 and 99 years. If, for example, a men enters the model at the age of 50 , he can potentially receive a maximum of 49 years of statin (or placebo) therapy, unless death occurs before the end of the model timehorizon
Perspective: UK NHS
Y The majority of data sources were identified, although it was not always clear exactly how data are derived. For instance, the distribution of CHD events: primary and subsequent
N Very limited discussion of the strengths and weaknesses of sources was given
Y The key assumptions relating to the structure of the model were described, although limited explanation of reasons for selection of assumptions was given in some cases

N Base-case parameters were listed; however, parameters for sensitivity analysis were not presented in a readily accessible fashion in the report. No justification is given for the distributions selected for sensitivity analysis

TABLE I44 Pfizer: atorvastatin (cont'd)

| 8. The results derived from applying the model <br> for the base case |
| :--- |
| 9. The results of the sensitivity analysis: <br> unidimensional, best/worst case, multidimensional <br> (Monte Carlo/parametric), threshold |
| ?However, it would have been useful if a CHD-only scenario had <br> been presented <br> Very limited univariate sensitivity was undertaken. The results of <br> the PSA in Appendix E are for atorvastatin vs simvastatin only and <br> are the same as the results presented in Table I7 of the main <br> report. The CEACs presented are for atorvastatin vs simvastatin <br> only. No discussion of PSA results was given |
| might affect the results |
| II. A description of the validation undertaken, <br> including concurrence of experts, internal <br> consistency, external consistency and predictive <br> validity |
| I2. A description of the setting to which the <br> results can be applied |
| I3. A description of research in progress that <br> could yield new data that could alter the results <br> of the analysis |

for the base case
9. The results of the sensitivity analysis: unidimensional, best/worst case, multidimensional (Monte Carlo/parametric), threshold
10. A discussion of how the modelling assumptions N might affect the results
II. A description of the validation undertaken, including concurrence of experts, internal consistency, external consistency and predictive validity
12. A description of the setting to which the could yield new data that could alter the results of the analysis
explanation was offered for differences between results in some cases N N

However, it would have been useful if a CHD-only scenario had been presented

Very imited univariate sensitivity was undertaken. The results of report. The CEACs presented are for atorvastatin vs simvastatin only. No discussion of PSA results was given

Y Limited validation was undertaken. The results of the costeffectiveness analysis were compared with previously published results of other cost-effectiveness evaluations. A limited N

TABLE 145 AstraZeneca: rosuvastatin

| Reference ID |  |  |
| :--- | :--- | :--- |
| Title |  | Cost effectiveness of primary and secondary prevention of <br> CHD, a model based on the STELLAR trial |
| Authors |  | Davies A, Hutton J (for AstraZeneca) |
| Year |  |  |
| Modelling assessments should include: |  |  |$\quad$| 2004 |
| :--- | :--- |

TABLE 145 AstraZeneca: rosuvastatin (cont'd)
6. A list of assumptions pertaining to the structure of the model (e.g. factors included, relationships and distributions) and the data
7. A list of parameter values that will be used for a base-case analysis, and a list of the ranges in those values that represent appropriate confidence limits for use in sensitivity analysis
8. The results derived from applying the model for the base case
9. The results of the sensitivity analyses: unidimensional, best/worst case, multidimensional (Monte Carlo/parametric), threshold
10. A discussion of how the modelling assumptions might affect the results, indicating both the direction of the bias and the approximate magnitude of the effect
II. A description of the validation undertaken, including concurrence of experts, internal consistency, external consistency and predictive validity
12. A description of the settings to which the results of the analysis can be applied and a list of factors that could limit the applicability of the results
13. A description of research in progress that could yield new data that could alter the results of the analysis

N List of assumptions = No, other than assumes cholesterol reduction from rosuvastatin will produce a reduction in events as is evidence based in the other statins. Discusses the uncertainty in how chemically induced reduction in cholesterol translates to actual reductions in cholesterol-related CHD risks over medium and longer terms; hence, only does one secondary event and does not apply the Framingham equation in perpetuity
Y List of distributions used in probabilistic $=$ Yes
Y List of factors included $=$ Yes
Costs associated with adverse events excluded as there are no significant differences in adverse event rates among the statins
Patients' non-compliance, discontinuation and failure to titrate in accordance with guidelines not accounted for in the simulation

Y List of parameter values that will be used for a base case $=$ Yes
$\mathrm{Y} \quad$ List of ranges that represent Cl used in sensitivity analysis $=\mathrm{Yes}$

Y Results derived from base case $=$ Yes

Results of sensitivity analysis:
Y Unidimensional = Yes
N Best/Worst case $=\mathrm{No}$
Y Multidimensional (Monte Carlo/parametric) $=$ Yes
Y Threshold $=$ willingness to pay $=$ Yes
Discussion of how assumptions might affect the results Direction of bias =
Magnitude of effect =
Some: (I) excluding monitoring biases model results to less efficacious drugs as titration monitoring costs not included.
(2) Using higher generic simvastatin penetration reduced the difference in cost per patient to target between rosuvastatin and simvastatin. (3) Using different starting doses for the drugs changed the order of cost-effectiveness. (4) Using the higher baseline population cholesterol level increased the cost per patient to target, but the order remained the same. (5) Reducing the baseline population cholesterol levels decreased the estimated cost per patient to target and changed the order to simvastatin, fluvastatin, rosuvastatin, atorvastatin and pravastatin. (6) Lowering the cholesterol targets: the more efficacious (rosuvastatin, atorvastatin, simvastatin) statins were more effective at getting patients to target, therefore average cost-effectiveness ratios (ACERs) were much lower compared with fluvastatin and pravastatin

N Validation undertaken $=$ No
N Concurrence of experts $=\mathrm{No}$
N Internal consistency $=$ not discussed
N External consistency $=$ not discussed
$\mathrm{N} \quad$ Predictive validity $=$ not discussed
N Description of settings to which results can be applied $=$ not discussed
N List of factors that could limit the applicability of results $=$ not discussed

N Not discussed, other than the costing and penetration for generic simvastatin

TABLE I46 Novartis: fluvastatin

## Reference ID

Title
Authors
Year
Modelling assessments should include:
I. A statement of the problem
2. A discussion of the need for modelling vs alternative methodologies
3. A description of the relevant factors and outcomes
4. A description of the model, including reasons for this type of model and a specification of the scope including time-frame, perspective, comparators and setting
5. A description of data sources (including subjective estimates), with a description of the strengths and weaknesses of each source, with reference to a specific classification or hierarchy of evidence
6. A list of assumptions pertaining to: the structure of the model (e.g. factors included, relationships and distributions) and the data
7. A list of parameter values that will be used for a base-case analysis, and a list of the ranges in those values that represent appropriate confidence limits for use in a sensitivity analysis
8. The results derived from applying the model for the base case
9. The results of the sensitivity analyses: unidimensional, best/worst case, multidimensional (Monte Carlo/parametric), threshold
10. A discussion of how the modelling assumptions might affect the results, indicating both the direction of the bias and the approximate magnitude of the effect
II. A description of the validation undertaken, including concurrence of experts, internal consistency, external consistency and predictive validity
12. A description of the settings to which the results of the analysis can be applied and a list of factors that could limit the applicability of the results
13. A description of research in progress that could yield new data that could alter the results of the analysis

## Cost-effectiveness of fluvastatin

## Novartis

2004
$Y$ The economic model is designed to evaluate the cost-effectiveness of fluvastatin for patients following successful PCl
N None given

Y The two arms are described: the treatment group was given dietary and lifestyle counselling and treated with fluvastatin $(40 \mathrm{mg})$ twice daily commencing immediately after first PCl , and the control group was given dietary and lifestyle counselling only. Outcomes are those seen in the LIPS trial on which the model is based. No other details given
$Y$ The model is well described. No reason is given for choosing a Markov approach. The time-frame, perspective, comparators and setting are specified

Y Effectiveness data are based on the only trial available so far to determine the effect of statin treatment on clinical outcomes following a successful PCI. The transition probabilities in the model are based on the individual components of a composite end-point in the trial. These are not published and were estimated by Novartis from actual trial data. It is therefore not possible to verify the accuracy of the state transition probabilities. Sources of quality of life and cost data are well documented. Assumptions made regarding data are likely to have a conservative effect on the results
Y No explicit assumptions pertaining to the structure of the model are given. Assumptions regarding the data are described

Y

Y Costs and health gains only

Y All included

Y Modelling assumptions are discussed. The effect is likely to underestimate the effectiveness of fluvastatin; however, this is not quantified

N No validation was undertaken

Y Setting described. Applicability of non-UK health utilities discussed

N No research in progress described

TABLE 147 Bristol-Myers Squibb: pravastatin

## Reference ID

## Title

Authors
Year
Modelling assessments should include:
I. A statement of the problem
2. A discussion of the need for modelling vs alternative methodologies
3. A description of the relevant factors and outcomes
4. A description of the model, including reasons for this type of model and a specification of the scope including time-frame, perspective, comparators and setting. Note: $n=$ number of health states within submodel
5. A description of data sources (including subjective estimates), with a description of the strengths and weaknesses of each source, with reference to a specific classification or hierarchy of evidence
6. A list of assumptions pertaining to the structure of the model (e.g. factors included, relationships and distributions) and the data
7. A list of parameter values that will be used for a base-case analysis, and a list of the ranges in those values that represent appropriate confidence limits for use in a sensitivity analysis
8. The results derived from applying the model for the base case
9. The results of the sensitivity analyses: unidimensional, best/worst case, multidimensional (Monte Carlo/parametric), threshold
10. A discussion of how the modelling assumptions might affect the results, indicating both the direction of the bias and the approximate magnitude of the effect
II. A description of the validation undertaken, including concurrence of experts, internal consistency, external consistency and predictive validity
12. A description of the settings to which the results of the analysis can be applied and a list of factors that could limit the applicability of the results
13. A description of research in progress that could yield new data that could alter the results of the analysis

## Cost-effectiveness of pravastatin

## Bristol-Myers Squibb

2004

Y The economic model is designed to evaluate the cost-effectiveness of pravastatin within a range of patient characteristics in primary and secondary prevention

N None given

Y The setting is described as far as treatment arms, costs and effectiveness and economic perspective. Outcomes relate to those evaluated in the RCTs on which the model is based
$Y$ The model is reasonably well described. No reason is given for choosing a Markov approach. The time-frame, perspective, comparators and setting are specified

Y/N Effectiveness data are based WOSCOPS (primary) and LIPID (secondary). Brief reasons for including these RCTs and excluding others are given. A description of strengths and weaknesses is not given

N No assumptions are discussed

N No parameter values are listed

Y A full description of the costs and health gains is given for a range of risk parameters, grouped by gender

Y Extensive one-way sensitivity analysis is reported, together with a best/worse case scenario. No PSA is undertaken

N No discussion of how assumptions may affect the results

N No validation was undertaken

Y A limited discussion regarding the applicability is given

N No research in progress described

## Appendix 29

## Efficacy data from AstraZeneca submission

TABLE I48 Efficacy data used in the AstraZeneca submission cost-effectiveness model

|  | Total cholesterol |  |  |  |  | HDL |  |  |  |  | Triglycerides |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Base lipid | \% Change | $\underset{\text { (log-log }}{\text { SE }}$ scale) | Lower confidence limit | Upper confidence limit | Base lipid | \% Change | $\begin{gathered} \text { SE } \\ \text { (log-log } \\ \text { scale) } \end{gathered}$ | Lower confidence limit | Upper confidence limit | Base lipid | \% Change | $\underset{\text { (log-log }}{\text { SE }}$ scale) | Lower confidence limit | Upper confidence limit |
| R 10 | 275 | 32.9\% | 7.75\% | 27.42\% | 38.48\% | 51 | 7.7\% | 18.91\% | 2.44\% | 17.03\% | 179 | 19.8\% | 9.29\% | 14.33\% | 25.93\% |
| R 20 | 274 | 37.6\% | 7.96\% | 31.88\% | 43.30\% | 51 | 9.5\% | 18.36\% | 3.43\% | 19.35\% | 180 | 23.7\% | 9.29\% | 17.78\% | 30.12\% |
| R 40 | 280 | 40.2\% | 8.00\% | 34.44\% | 45.88\% | 50 | 9.6\% | 18.52\% | 3.44\% | 19.59\% | 183 | 26.1\% | 9.26\% | 19.98\% | 32.62\% |
| A 10 | 274 | 27.1\% | 7.59\% | 21.98\% | 32.46\% | 50 | 5.7\% | 20.08\% | 1.43\% | 14.48\% | 174 | 20.0\% | 9.42\% | 14.43\% | 26.23\% |
| A 20 | 275 | 31.8\% | 7.71\% | 26.38\% | 37.34\% | 50 | 4.8\% | 20.74\% | 1.05\% | 13.24\% | 176 | 22.6\% | 9.38\% | 16.74\% | 29.01\% |
| A 40 | 275 | 35.8\% | 7.86\% | 30.17\% | 41.46\% | 50 | 4.4\% | 21.10\% | 0.89\% | 12.68\% | 178 | 26.8\% | 9.41\% | 20.53\% | 33.45\% |
| A 80 | 279 | 38.9\% | 7.95\% | 33.18\% | 44.58\% | 51 | 2.1\% | 24.75\% | 0.19\% | 9.27\% | 181 | 28.2\% | 9.37\% | 21.85\% | 34.87\% |
| S 10 | 275 | 20.3\% | 7.49\% | 15.77\% | 25.24\% | 51 | 5.3\% | 20.15\% | 1.28\% | 13.82\% | 174 | 11.9\% | 9.69\% | 7.62\% | 17.20\% |
| S 20 | 276 | 25.7\% | 7.53\% | 20.70\% | 30.97\% | 50 | 6.0\% | 19.90\% | 1.57\% | 14.88\% | 182 | 17.6\% | 9.23\% | 12.47\% |  |
| S 40 | 272 | 27.9\% | 7.64\% | 22.70\% | 33.32\% | 51 | 5.2\% | 20.22\% | 1.23\% | 13.68\% | 172 | 14.8\% | 9.58\% | 9.98\% | 20.52\% |
| S 80 | 277 | 32.9\% | 7.72\% | 27.44\% | 38.46\% | 51 | 6.8\% | 19.28\% | 1.98\% | 15.85\% | 181 | 18.2\% | 9.25\% | 12.97\% | 24.14\% |
| P 20 | 276 | 14.7\% | 7.56\% | 10.82\% | 19.14\% | 50 | 3.2\% | 22.60\% | 0.47\% | 10.97\% | 187 | 8.2\% | 9.78\% | 4.83\% | 12.69\% |
| P 40 | 271 | 17.2\% | 7.57\% | 12.98\% | 21.93\% | 49 | 4.4\% | 21.32\% | 0.87\% | 12.79\% | 179 | 7.7\% | 10.09\% | 4.39\% | 12.20\% |
| P 80 | 276 | 21.5\% | 7.48\% | 16.87\% | 26.52\% | 50 | 5.6\% | 20.14\% | 1.39\% | 14.34\% | 181 | 13.2\% | 9.41\% | 8.76\% | 18.57\% |
| F 20 | 275 | 16.4\% | 7.53\% | 12.30\% | 21.02\% | 51 | 4.6\% | 20.71\% | 0.98\% | 12.85\% | 179 | 5.5\% | 10.68\% | 2.80\% | 9.51\% |
| F 40 | 275 | 19.9\% | 7.49\% | 15.41\% | 24.81\% | 51 | 5.2\% | 20.22\% | 1.23\% | 13.68\% | 179 | 8.4\% | 9.96\% | 4.92\% | 13.04\% |
| F 80 | 275 | 21.4\% | 7.50\% | 16.77\% | 26.42\% | 51 | 7.5\% | 18.98\% | 2.33\% | 16.77\% | 179 | 14.9\% | 9.38\% | 10.15\% | 20.52\% |

TABLE I49 Efficacy data and distributions assigned in the AstraZeneca cost-effectiveness model

| Drug | Dose (mg) | Mean (SD) <br> \% reduction in TC | Distribution for TC reduction | Mean (SD) <br> \% reduction in LDL-C | Distribution for LDL-C reduction | n |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Rosuvastatin | 10 | 33 (10) | Beta (1126, 2297) | 46 (13) | Beta (1039, 1226) | 156 |
|  | 20 | 38 (II) | Beta (1261, 2095) | 52 (14) | Beta (1122, 1021) | 160 |
|  | 40 | 40 (II) | Beta (1359, 2020) | 55 (13) | Beta (1181, 968) | 157 |
| Atorvastatin | 10 | 27 (8) | Beta (1237, 3324) | 37 (11) | Beta (1180, 2033) | 158 |
|  | 20 | 32 (II) | Beta (930, 1999) | 43 (14) | Beta (782, 1054) | 154 |
|  | 40 | 36 (10) | Beta (1243, 2237) | 48 (13) | Beta (1114, 1217) | 156 |
|  | 80 | 39 (11) | Beta (1189, 1874) | 51 (14) | Beta (1082, 1037) | 165 |
| Fluvastatin | 20 | 13 (6) | Beta $(49,327)$ | 17 (8) | Beta ( 509,1288 ) | 12 |
|  | 40 | 19 (9) | Beta (43, 184) | 23 (10) | Beta (1126, 2094) | 12 |
|  | 80 | 35 (13) | Beta (222, 632) | 26 (9) | Beta (753, 1188) | 40 |
| Pravastatin | 10 | 15 (8) | Beta (431, 2501) | 20 (II) | Beta (1319, 1562) | 160 |
|  | 20 | 17 (9) | Beta (507, 2453) | 24 (11) | Beta (405, 1608) | 164 |
|  | 40 | 22 (9) | Beta (687, 2508) | 30 (13) | Beta (578, 1801) | 161 |
| Simvastatin | 10 | 20 (10) | Beta $(524,2054)$ | 28 (14) | Beta (635, 1504) | 165 |
|  | 20 | 26 (9) | Beta (981, 2842) | 35 (11) | Beta (392, 1415) | 162 |
|  | 40 | 28 (II) | Beta (722, 1868) | 39 (14) | Beta (876, 244I) | 158 |
|  | 80 | 33 (9) | Beta (1505, 3067) | 46 (12) | Beta (1053, 2504) | 163 |

## Appendix 30

## Cost tables from AstraZeneca submission

TABLE 150 Resource use for $\mathrm{CHD}^{a}$ used in the AstraZeneca cost-effectiveness model

|  |  | Distribution | Alpha | Beta | Probability |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Acute $\mathrm{PCI}(\mathrm{ACS})$ | Beta | 53 | 980 | 0.05 |
|  | Acute PCI (stable angina) ${ }^{\text {b }}$ | Beta | 100.6 | 1022.4 | 0.01 |
|  | Repeat revascularisation | Beta | 8 | 157 | 0.04 |
|  | Repeat revascularisation PCI | Beta |  | 0.00 |  |
|  | Death (revascularisation PCl$)$ | Beta | 0 |  | 0.00 |
|  | MI (revascularisation PCI) | Beta | 1 | 7 | 0.12 |
|  | Death (revascularisation CABG) | Beta |  | 0.00 |  |
|  | MI (revascularisation CABG) | Beta |  | 0.00 |  |
|  | Death (no repeat revascularisation) | Beta | 5 | 152 | 0.02 |
|  | MI (no repeat revascularisation) | Beta | 5 | 147 | 0.04 |
|  | CABG (ACS) | Beta | 47 | 933 | 0.04 |
|  | CABG (stable angina) ${ }^{\text {b }}$ | Beta | 9.4 | 970.6 | 0.01 |
|  | Death (CABG) | Beta | 5 | 42 | 0.16 |
|  | MI (CABG) | Beta | 3 | 39 | 0.16 |
|  | 6-month revascularisation | Beta | 48 | 885 | 0.05 |
|  | 6-month revascularisation PCI | Beta | 23 | 25 | 0.64 |
|  | Death (6-month revascularisation PCI$)$ | Beta | 2 | 21 | 0.04 |
|  | MI (6-month revascularisation PCI ) | Beta | 2 | 19 | 0.03 |
|  | Death (6-month revascularisation CABG) | Beta | 0 |  | 0.00 |
|  | MI (6-month revascularisation CABG) | Beta | 4 | 21 | 0.16 |
|  | Death (no revascularisation) | Beta | 68 | 817 | 0.08 |
|  | MI (no revascularisation) | Beta | 40 | 777 | 0.04 |
| Angiography | PCI in acute period | Beta | 51 | 2 | 0.98 |
|  | CABG in acute period | Beta | 38 | 9 | 0.81 |
|  | No initial revascularisation | Beta | 193 | 740 | 0.23 |
| CCU stay | PCl in acute period | Beta | 20 | 33 | 0.39 |
|  | CABG in acute period | Beta | 28 | 18 | 0.60 |
|  | No initial revascularisation | Beta | 375 | 543 | 0.41 |
| Length of inpatient stay | PCI in acute period | Beta | 10.3 | 8.04 | 9.11 |
|  | CABG in acute period | Beta | 15.28 | 12.32 | 39.18 |
|  | No initial revascularisation | Beta | 5.45 | 4.78 | 4.82 |
| Length of CCU stay | PCl in acute period | Beta | 3.7 | 4.12 | 0.81 |
|  | CABG in acute period | Beta | 4.71 | 6.61 | 0.51 |
|  | No initial revascularisation | Beta | 2.11 | 1.95 | 3.05 |
| ${ }^{a}$ Extracts from Palmer et al. (2002). ${ }^{214}$ <br> ${ }^{b}$ Assumed one-fifth of unstable angina intervention rate. CCU, coronary care unit. |  |  |  |  |  |
|  |  |  |  |  |  |

TABLE I5I Unit costs used in the AstraZeneca cost-effectiveness model

| Procedure | Unit cost | Source |
| :--- | :---: | :--- |
| PCI | 1410.04 | Palmer $^{2114}$ |
| CABG | 4902.22 | Palmer $^{214}$ |
| Angiogram | 748.25 | Palmer $^{214}$ |
| Repeat PCI | 2976 | Palmer $^{214}$ |
| Carotid endarterectomy | 2541 | NHS reference costs, 2003403 |
|  |  | (Q05: Extracranial or upper limb arterial surgery) |
| Hospital stay/visit |  |  |
| Non-cardiac | 244.00 | Palmer $^{214}$ |
| Cardiac | 157.47 | Palmer $^{214}$ |
| CCU | 459.04 | Palmer $^{214}$ |
| Day-case non-cardiac | 182.00 | Palmer $^{214}$ |
| Day-case cardiac | 108.58 | Palmer $^{214}$ |
| Outpatient | 59.70 | Palmer $^{214}$ |
| Heart failure clinic | 50.00 | Stewarrt $^{404}$ |
| TIA | 1015.00 | NHS reference costs, 2003 (without complications) |

TABLE 152 Stroke costs used in the AstraZeneca cost-effectiveness model

| Cost of stroke |  | Distribution | Mean cost | SD | N | Source |
| :--- | :--- | :--- | :---: | :---: | :---: | :--- |
|  | Mild | Gamma | 5,099 | 2505 | 83 | Youmananan |
|  | Moderate | Gamma | 4,816 | 2231 | 114 | Youmanana |
|  | Severe | Gamma | 10,555 | 7246 | 210 | Youman $^{202}$ |
| Cost of TIA |  | Distribution | Mean | Alpha | Beta |  |
|  |  | Beta | $11 \%$ | 6.28 | 52 | Mant $^{215}$ |
|  | \% Endarterectomy | Beta | $14 \%$ | 35 | 164 | Mant $^{215}$ |

TABLE 153 Other CVD costs used in the AstraZeneca cost-effectiveness model

| Cost of CHF |  | Distribution | Mean | SD |  | Source |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Days of hospitalisation <br> Proportion of other CVD that is CHF | Gamma <br> None | $\begin{gathered} 12 \\ 50 \% \end{gathered}$ | 12 |  | Stewart ${ }^{404}$ <br> Assumption |
| Cost of PVD |  | Distribution | Mean | SD | $N$ |  |
|  | Emergency aortic surgery | Gamma | 5366 | 2303 | 171 | NHS |
|  | Elective abdominal vascular surgery | Gamma | 2541 | 867 | 4098 | reference |
|  | Lower limb arterial surgery | Gamma | 3391 | 2801 | 4182 | costs, 2003 ${ }^{403}$ |
|  | Bypass to tibial artery | Gamma | 2085 | 874 | 472 |  |
|  | Therapeutic endovascular procedures | Gamma | 1513 | 859 | 10633 |  |
|  | Diagnostic radiology with complications | Gamma | 1453 | 707 | 1862 |  |
|  | Diagnostic radiology without complications | Gamma | 1102 | 661 | 9646 |  |
|  | Amputations | Gamma | 6152 | 2405 | 2341 |  |
|  | Foot procedure for diabetes or arterial disease | Gamma | 2065 | 930 | 941 |  |
|  | PVD $>69$ years or co-morbidity | Gamma | 1756 | 1000 | 2932 |  |
|  | PVD $<70$ years without co-morbidity | Gamma | 1309 | 692 | 1752 |  |

TABLE 154 Quarterly CVD event follow up resource use, used in the AstraZeneca cost-effectiveness model

|  | Length of stay |  |  | No. of patients using resource |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Distribution | Mean | SD | Distribution | Alpha | Beta | $N$ |
| Angina |  |  |  |  |  |  |  |
| Cardiac day case | Gamma | 8.87 | 9.58 | Beta | 1 | 251 | 252 |
| Cardiac non-CCU | Gamma | 6.82 | 6.82 | Beta | 76 | 176 | 252 |
| Cardiac CCU | Gamma | 3.44 | 2.5 | Beta | 17 | 235 | 252 |
| Cardiac outpatient visits |  |  |  | Beta | 115 | 137 | 252 |
| Non-cardiac day case | Gamma | 10.39 | 17.81 | Beta | 1 | 251 | 252 |
| Non-cardiac non CCU | Gamma | 4.86 | 4.91 | Beta | 67 | 185 | 252 |
| Non-cardiac outpatient visits |  |  |  | Beta | 138 | 114 | 252 |
| Angiography |  |  |  | Beta | 20 | 232 | 252 |
| PTCA |  |  |  | Beta | 2 | 250 | 252 |
| CABG |  |  |  | Beta | 7 | 245 | 252 |
| $($ Total no. of patient days $=113,222$ ) |  |  |  | Source: Palme |  |  |  |
| MI |  |  |  |  |  |  |  |
| Cardiac non-CCU | Gamma | 10.8 | 7.82 | Beta | 5 | 22 | 27 |
| Cardiac CCU | Gamma | 8.8 | 6.44 | Beta | 10 | 17 | 27 |
| Cardiac outpatient visits | Gamma | 3.43 | 3.08 | Beta | 21 | 6 | 27 |
| Non-cardiac non-CCU | Gamma | 12 | 13.6 | Beta | 7 | 20 | 27 |
| Non-cardiac outpatient visits | Gamma | 3.27 | 3.45 | Beta | 15 | 12 | 27 |
| Angiography |  |  |  | Beta | 5 | 22 | 27 |
| PTCA |  |  |  | Beta | 3 | 24 | 27 |
| CABG |  |  |  | Beta | 1 | 26 | 27 |
| (Total no. of patient days $=7248$ ) |  |  |  | Source: Palme |  |  |  |
| Post-MI |  |  |  |  |  |  |  |
| Cardiac non-CCU | Gamma | 5.95 | 6.05 | Beta | 5 | 10 | 15 |
| Cardiac CCU | Gamma | 2 | 2 | Beta | I | 14 | 15 |
| Cardiac outpatient visits | Gamma | 2.88 | 1.73 | Beta | 8 | 7 | 15 |
| Non-cardiac non-CCU | Gamma | 7 | 7.94 | Beta | 3 | 12 | 15 |
| Non-cardiac outpatient visits (Total no. of patient days = 2993) | Gamma | 2.33 | 1.32 | Beta <br> Source: Palme | 9 | 6 | 15 |
| Stroke |  |  |  |  |  |  |  |
| Proportion discharged home |  |  |  |  |  |  |  |
| Mild |  |  |  |  |  |  | 68 |
| Moderate |  |  |  | Beta | 207 | 9 | 99 |
| Severe |  |  |  | Beta <br> Source: Youm | $313$ | 15 | 196 |
| CHF |  |  |  | Clinic visits pe | 2 month |  |  |
| Clinic visits (per annum) |  |  |  | Beta | 6 | 6 | NA |
| Hospital days (per annum) | Gamma | 12 | 12 | Source: Stewa |  |  |  |
| PVD |  |  |  |  |  |  |  |
| Annual follow-up cost | Gamma | 1000 | 500 | Source: Jones ${ }^{4}$ |  |  |  |

## Appendix 31

# Summary of key modelling assumptions used in the ScHARR cost-effectiveness model 

All assumptions used in the model have been discussed and validated using expert opinion (Yeo W, Royal Hallamshire Hospital, Sheffield: personal communications, October 2004). The impact of changing the assumptions has been explored before making final decisions. Any changes in the assumptions that have a significant impact on the results have been presented in univariate sensitivity analyses.

The following headings refer to subsections in Chapter 4, in the section 'ScHARR economic analysis' (p. 83).

## Detailed methodology

Al. Base case: it is assumed that statin treatment does not affect probabilities of stroke or TIA events. This assumption is required to meet the criteria laid down in the research question. Further analyses are conducted (scenarios 1 and 2) to explore the affect of amending this assumption.

## Structure of the Markov model

A2. It is assumed that all patients will die if they reach the age of 100 years. As patients in the model are at risk of a CHD event, which increases with age, the majority of patients will die before this age. No sensitivity analysis is conducted to examine the effect of changing this assumption.

## Treatment/comparator

A3. It is assumed that all patients are given standard advice regarding dietary control and lifestyle advice and that an equal proportion of patients in each cohort will receive medications such as aspirin, hypertensive treatments or alternative lipid-lowering treatments. Exploring the impact of changing this assumption would require a different methodology and detailed evidence which is beyond the remit of the current evaluation. It is likely that the majority of costs and benefits associated with this assumption will cancel out and no sensitivity analysis is conducted to explore the impact of changing this assumption.

## Event rates

A4. In the absence of incidence rates for primary CHD events in older patients, it is assumed that
rates increase in proportion to those observed in younger age bands. In addition, the reported rates for first ever stroke are assumed to represent both fatal and non-fatal events, and are apportioned using the reported ratio across all ages.

Holding incidence rates constant from the age of 74 years had little impact on the results, and sensitivity analyses were conducted to explore the impact of changing the ratio across health states.

## Annual risk levels modelled

A5. It is assumed that the annual risk of a CHD event increases linearly with age. The ratio between CHD risk and CVD risk changes with age, and uncertainties in the assumption and the ratio are explored in sensitivity analyses.

## Secondary event rates

A6. In the absence of more detailed evidence, it was assumed that the regression results for probabilities of subsequent events after a 1-year period free of an event could be used to represent all subsequent events irrespective of the time interval or number of previous events. This is a conservative assumption as it implies that there is no additive effect from previous events. Uncertainty is explored in the probabilistic analyses.

A7. Owing to a lack of detailed evidence to provide probabilities of subsequent events following onset of stable angina or TIA that vary with age, it is assumed that the transitions from both the TIA and stable angina health states could be modified using the respective primary incidence rates.

A8. Owing to a lack of evidence, the probability of a fatal CVD event for patients with a history of stable angina is not modelled.

A9. Owing to a lack of published evidence, it is assumed that the probability of a non-fatal MI following a non-fatal stroke is equivalent to the probability of a non-fatal MI for patients with a history of TIA. Again, uncertainty in this assumption is explored in the probabilistic analyses.

A10. It is assumed that the probabilities of vascular death for patients with a history of stroke could be apportioned equally between CHD and CVD fatal events. This is a conservative assumption as the benefits of reduced fatal CHD events are smaller than the benefits associated with reduced fatal CVD events. Again, uncertainty in this assumption is explored in the probabilistic analyses.

## Costs

A11. Assumptions on health state costs are based on published evidence where available and expert opinion (Yeo W: personal communication). Uncertainty in costs is explored in the probabilistic analyses and univariate analyses are performed to explore the impact of changing cost parameters modelled.

A12. It is assumed that statins have a good safety profile and costs associated with possible adverse events are not modelled.

## Utility

A linear decrease in utility is assumed as age increases. This assumption is based on a patientlevel analysis of the Kind data. ${ }^{241}$

## Compliance

The base case assumes that the relative risks derived from the ITT analyses can be generalised to patients taking statin treatment in general clinical practice. This assumption is based on the ITT analysis and the evidence that suggests that after the first few years compliance and continuance stabilise and remain fairly constant in the long term. Uncertainty in this assumption is explored in a series of evaluations that examine the impact of reducing the relative risks applied and the associated statin costs.

## Appendix 32

## Results of the regression analyses used in the ScHARR cost-effectiveness model

TABLE 155 Results of linear regression for utility by age modelled in the ScHARR cost-effectiveness model ${ }^{241}$

| (a) Summary output for linear regression |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Regression statistics |  |  |  |  |  |  |  |  |
| Multiple $R$ $R^{2}$ <br> Adjusted $R^{2}$ <br> Standard error <br> Observations | $\begin{gathered} 0.2005 \\ 0.0402 \\ 0.0397 \\ 0.2576 \\ 1979 \end{gathered}$ |  |  |  |  |  |  |  |
| (b) ANOVA |  |  |  |  |  |  |  |  |
|  | df | SS | MS | $F$ | nificanc |  |  |  |
| Regression <br> Residual <br> Total | $\begin{array}{r} 1 \\ 1977 \\ 1978 \end{array}$ | $\begin{array}{r} 5.50 \\ 131.21 \\ 136.71 \end{array}$ | $\begin{aligned} & 5.497 \\ & 0.066 \end{aligned}$ | 82.822 | 2.1E-19 |  |  |  |
| Coefficients |  | SE | $t$ statistic | $p$-Value | $\begin{aligned} & \text { Lower } \\ & \text { 95\% } \end{aligned}$ | Upper 95\% | $\begin{aligned} & \text { Lower } \\ & \text { 95.0\% } \end{aligned}$ | $\begin{aligned} & \text { Upper } \\ & 95.0 \% \end{aligned}$ |
| Intercept | 1.060 | 0.029 | 36.605 | 2E-224 | 1.003 | 1.117 | 1.003 | 1.118 |
| $x$ | -0.004 | 0.000 | -9.1007 | 2.13E-19 | -0.005 | -0.003 | -0.005 | -0.003 |

TABLE 156 Parameters from regression analysis used to calculate the CVD event risk corresponding to the CHD event risk by age and gender ${ }^{226}$ used in the ScHARR cost-effectiveness model

|  | Men |  | Women |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 35-54 years | 55-74 years | 35-54 years | 55-74 years |
|  | Mean (95\% CI) | Mean (95\% CI) | Mean (95\% CI) | Mean (95\% CI) |
| Slope | 1.25 (1.21 to 1.29) | 1.27 (1.22 to I.32) | 1.27 (1.22 to 1.32) | 1.44 (1.37 to 1.51) |
| Intercept | 0.84 (0.45 to I.23) | 4.92 (3.82 to 6.02) | 1.87 (1.58 to 2.16) | 3.87 (3.09 to 4.65) |

## Appendix 33 <br> Diabetes data used in the ScHARR cost-effectiveness model

TABLE 157 Health state utilities used in the diabetic analysis of the ScHARR cost-effectiveness model ${ }^{204}$

|  | Base case | Diabetic |
| :--- | :---: | :---: |
| Stable angina | 0.808 | $0.724^{a}$ |
| Unstable angina | 0.770 | $0.690^{a}$ |
| Ist year MI | 0.760 | 0.681 |
| Post-MI | 0.760 | 0.681 |
| TIA | 1.000 | 1.000 |
| Ist stroke year I | 0.629 | 0.526 |
| Post Ist stroke | 0.629 | 0.526 |

${ }^{a}$ Adjusted using first year diabetic MI utility and basecase utilities for stable and unstable angina, respectively.

TABLE I58 Health state costs used in the diabetic analysis of the ScHARR cost-effectiveness model ${ }^{200}$

|  | Base case | Diabetic |
| :--- | ---: | ---: |
| Stable angina | $£ \mid 7 I$ | $£ 464^{a}$ |
| Post-stable angina | $£ \mid 7 I$ | $£ 464^{a}$ |
| Unstable angina | $£ 440$ | $£ 464^{a}$ |
| Post-unstable angina | $£ I 7 I$ | $£ 464^{a}$ |
| Ist year costs MI | $£ 4,448$ | $£ 5, I 04$ |
| Ongoing costs MI | $£ I 7 I$ | $£ 464$ |
| Fatal MI | $£ I, I 66$ | $£ I, 567$ |
| TIA | $£ I, 064$ | $£ I, 46 I$ |
| Post-TIA (ongoing costs) | $£ 264$ | $£ 362^{b}$ |
| Ist year costs stroke | $£ 8,046$ | $£ I I, 047^{b}$ |
| Ongoing costs stroke | $£ 2, I 63$ | $£ 2,970$ |
| Fatal stroke | $£ 7,04 I$ | $£ 9,667^{b}$ |

${ }^{a}$ Assumed equal to ongoing costs for MI.
${ }^{b}$ Costs adjusted using ongoing costs for stroke and base-case costs.

## Appendix 34

## Results of univariate sensitivity analyses explored in the ScHARR cost-effectiveness model

## (a) Discount rates

The new NICE guidelines ${ }^{406}$ suggest that future analyses are to be presented using discounting rates of $3.5 \%$ for both costs and benefits. To enable comparison with future evaluations of health care interventions, the CHD base case is also presented using the proposed discounting rates (Table 159).

When using these values, secondary ICERs range from $£ 16,000$ for women aged 65 years to $£ 24,000$ per QALY for women aged 45 years. In primary prevention the ICERs estimated range from $£ 19,000$ for men aged 45 years at $3 \%$ annual risk of a CHD event to $£ 152,000$ per QALY for women aged 75 years at $0.5 \%$ annual risk.

## (b) Relative risks

To explore the sensitivity of the results to changes in the assumptions on relative risk from statin treatment, 1000 samples were generated allowing the relative risk values of statin treatment to vary while holding all other parameters constant at their mean value. As can be seen in Table 160, the model is robust to changes in the values used for the relative risk of statin treatment; hence, only a selection of the results by age and risk level is presented.

## (c) Health state costs

Health state costs were adjusted by plus or minus 20\% (Tables 161 and 162). Increasing or decreasing health state cost has minimal impact on the results. In secondary prevention the ICERS are relatively unchanged. In primary prevention analyses the costs per QALY for men aged 85 years at $0.5 \%$ risk range between approximately $£ 14,900$ and $£ 74,100$ relative to the base case of $£ 14,900$ and $£ 74,200$, respectively. For women the corresponding values are $£ 20,300$ and $£ 84,200$ compared with the base case of $£ 20,300$ and £84,300.

## (d) Statin prescribing costs

A reduction of $20 \%$ and $40 \%$ in the average statin treatment costs was assessed. A full discussion on the rationale for choosing a $20 \%$ and $40 \%$ price reduction is presented in the section 'Cost of statins' (p. 94).

As can be seen in Table 163, decreasing the prices of statins by $20 \%$ and $40 \%$ reduced the highest cost per QALY in secondary prevention from $£ 16,000$ in the base case to $£ 13,000$ and $£ 10,000$, respectively.

Reducing statin costs by $40 \%$ and $20 \%$ for primary prevention reduces the highest ICERs estimated,

TABLE I59 Discounted cost per QALY using 3.5\% discounting for both costs and benefits

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £22,606 | £19,390 | £20,609 | £22,585 | £25,928 | £32,165 | £46,485 |
|  | 55 | £18,824 | £22,86 1 | £24,675 | £27,492 | £32,131 | £40,647 | £60,107 |
|  | 65 | £16,856 | £26,697 | £29,581 | ¢ 33,881 | £40,791 | £53,367 | ¢82,539 |
|  | 75 | £ 17,801 | £36,826 | £41,501 | £48,354 | ¢59,223 | ¢78,819 | ¢123,983 |
|  | 85 | £19,41] | £46,039 | ¢52,139 | ¢60,714 | ¢73,578 | £94,902 | f136,859 |
| Women | 45 | £23,707 | £29,647 | £30,788 | £33,071 | £37,501 | £46,832 | £72,409 |
|  | 55 | £19,539 | £30,428 | £32,106 | £35,116 | £40,62 | £51,816 | ¢81,791 |
|  | 65 | £15,916 | £32,130 | £35,22 1 | £40,029 | £48,099 | £63,665 | £103,942 |
|  | 75 | £16,390 | £46,256 | £51,634 | £59,629 | ¢72,493 | £96,103 | f152,222 |
|  | 85 | £17,800 | £61,344 | £68,134 | ¢77,443 | £90,896 | ¢111,891 | ¢148,957 |

TABLE 160 Discounted cost per QALY using 1000 Monte Carlo samples for each evaluation on the relative risk from statin treatment (holding all other parameters constant at their mean value)

|  | Age (years) | Secondary |  | Primary prevention 1.5\% annual CHD risk |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | SAI | Base case | SAI | Base case |
| Men | 45 | ¢10,203 | £10,239 | ¢12,225 | £12,209 |
|  | 55 | £9,999 | ¢10,035 | ¢17,345 | ¢17,243 |
|  | 65 | £10,485 | £10,525 | £25,266 | £25,133 |
|  | 75 | ¢12,692 | £12,744 | ¢41,607 | £41,489 |
|  | 85 | ¢15,590 | ¢15,657 | ¢58, 100 | £57,957 |
| Women | 45 | ¢10,029 | ¢10,067 | ¢16,714 | £16,571 |
|  | 55 | ¢9,767 | ¢9,804 | £20,730 | £20,598 |
|  | 65 | £9,429 | £9,466 | £28,309 | £28,246 |
|  | 75 | ¢11,232 | ¢11,280 | ¢48,601 | £48,526 |
|  | 85 | ¢13,953 | ¢14,017 | ¢69,244 | £69,147 |

TABLE I6I Plus 20\% on all health state costs

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢10,192 | ¢9,469 | ¢9,951 | ¢10,770 | ¢12,187 | ¢14,846 | ¢ 20,869 |
|  | 55 | £9,980 | £12,644 | £13,526 | £14,924 | ¢17,247 | £21,514 | £ 31,140 |
|  | 65 | ¢10,477 | £16,800 | ¢18,500 | £21,043 | £25,131 | £32,540 | £49,490 |
|  | 75 | ¢12,688 | £26,184 | £29,380 | £ 34,062 | £41,467 | ¢54,729 | ¢84,813 |
|  | 85 | £15,507 | £ 36,668 | ¢41,395 | £48,011 | ¢57,872 | ¢74,043 | ¢105,219 |
| Women | 45 | ¢10,047 | £13,802 | ¢14,113 | ¢14,912 | ¢16,608 | £20,312 | £30,507 |
|  | 55 | ¢9,795 | £16,04 I | ¢16,741 | ¢18,098 | £20,667 | £25,964 | £40,096 |
|  | 65 | £9,463 | £19,433 | £21,136 | £23,816 | £28,339 | £37,057 | £59,35 |
|  | 75 | ¢11,273 | £ 31,698 | £35,177 | ¢40,353 | ¢48,662 | ¢63,800 | ¢99,081 |
|  | 85 | £13,927 | ¢47,545 | ¢52,567 | ¢59,424 | ¢69,264 | ¢84,45 I | £110,739 |

TABLE 162 Minus 20\% on all health state costs

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £ 10,286 | £9,467 | ¢9,966 | ¢10,80) | f\|2,23| | ¢14,899 | £20,92 I |
|  | 55 | ¢10,090 | ¢12,577 | £ 13,481 | ¢14,899 | ¢17,239 | £21,515 | £31,132 |
|  | 65 | ¢10,573 | £16,756 | £18,475 | £21,035 | £25,134 | £32,545 | ¢49,471 |
|  | 75 | ¢12,799 | £26,196 | £29,407 | £ 34,102 | £41,511 | ¢54,764 | ¢84,799 |
|  | 85 | £15,807 | £ 36,855 | ¢41,584 | £48,196 | £58,042 | ¢74,177 | £105,267 |
| Women | 45 | ¢10,086 | ¢13,612 | ¢13,967 | ¢14,805 | ¢16,534 | £20,264 | ¢30,469 |
|  | 55 | ¢9,813 | £15,773 | ¢16,523 | ¢17,924 | £20,529 | £25,852 | £39,985 |
|  | 65 | ¢9,470 | ¢19,145 | £20,887 | £23,601 | £28,152 | £36,887 | £59,166 |
|  | 75 | ¢11,287 | £31,333 | £34,847 | ¢40,055 | ¢48,389 | ¢63,540 | ¢98,801 |
|  | 85 | ¢14,107 | ¢47,227 | ¢52,279 | ¢59,164 | ¢69,029 | ¢84,235 | fl10,531 |

with cost per QALY estimated to be $£ 72,000$ and $£ 92,000$ for women aged 85 years at $0.5 \%$ annual risk of a CHD event, in comparison to the basecase estimate of $£ 111,000$ (Tables 164-66).

If the ICERs estimated are compared with those estimated when reducing costs associated with the different health states, it is clear that the cost of statin treatment has a far greater impact on the cost-effectiveness ratio across all ages.

TABLE 163 CHD analysis: secondary prevention results for a cohort of 1000 patients using a $20 \%$ and $40 \%$ reduction in the weighted statin cost used in the base case

|  |  | Incremental cost per QALY |  |  |
| :--- | :---: | :---: | :---: | :---: |
|  | Age (years) | Base case | Statin cost -40\% | Statin cost $\mathbf{- 2 0 \%}$ | \(\left.\begin{array}{c}Using -20\% on health <br>

state costs\end{array}\right]\)

TABLE 164 CHD analysis: primary prevention results for a cohort of 1000 patients using a $40 \%$ reduction in the weighted statin cost used in the base case

| Age (years) |  | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢6,107 | ¢6,407 | £6,926 | ¢7,83। | £9,540 | ¢13,422 |
|  | 55 | ¢8,202 | ¢8,758 | ¢9,648 | ¢11,138 | £13,886 | ¢20,101 |
|  | 65 | £10,898 | ¢11,986 | £ 13,621 | £16,258 | £21,047 | £32,020 |
|  | 75 | £17,010 | ¢19,076 | £22,109 | £26,914 | £ 35,530 | Ł55,090 |
|  | 85 | £23,906 | £26,995 | £31,324 | £37,782 | ¢48,378 | ¢68,815 |
| Women | 45 | £9,005 | ¢9,180 | £9,672 | £10,746 | £13,117 | ¢19,678 |
|  | 55 | £10,503 | ¢10,927 | ¢11,776 | £13,412 | £16,812 | £25,923 |
|  | 65 | ¢12,727 | ¢13,805 | £15,516 | ¢18,42] | £24,042 | £ 38,450 |
|  | 75 | £20,768 | £23,002 | £26,338 | £31,707 | £41,508 | ¢64,382 |
|  | 85 | £ 31,223 | ¢ $34,48 \mathrm{I}$ | £38,937 | £45,34 I | £55,234 | ¢72,373 |

TABLE 165 Minus $20 \%$ on statin prescribing costs

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢8,354 | ¢7,787 | 68,183 | ¢8,856 | £10,020 | £12,206 | ¢17,159 |
|  | 55 | ¢8,185 | £10,406 | £11,131 | ¢12,280 | ¢14,191 | £17,700 | £25,619 |
|  | 65 | ¢8,594 | ¢13,838 | £15,236 | ¢17,330 | £20,695 | £26,795 | ¢40,750 |
|  | 75 | ¢10,413 | £21,600 | £24,235 | £28,096 | £34,202 | £45,138 | ¢69,948 |
|  | 85 | £12,734 | £ 30,334 | £34,243 | £39,714 | £47,870 | £61,244 | ¢87,029 |
| Women | 45 | ¢8,239 | ¢11,356 | ¢11,610 | ¢ 12,265 | £13,658 | ¢16,702 | £25,083 |
|  | 55 | ¢8,037 | £13,205 | £13,779 | ¢14,894 | £17,005 | £21,360 | £32,982 |
|  | 65 | ¢7,764 | ¢16,008 | £17,408 | ¢19,612 | £23,333 | £30,507 | £48,854 |
|  | 75 | ¢9,256 | £26,141 | £29,007 | £ 33,271 | £40,117 | Ł52,589 | ¢81,662 |
|  | 85 | ¢ 1 1,441 | £ 39,304 | £43,452 | £49,116 | £57,244 | £69,788 | ¢91,504 |

## (e) Baseline utility

Using a constant baseline utility of 1 instead of the change in utility by age measured by Kind and colleagues. ${ }^{241}$

In the secondary prevention evaluations, all patients commence the analysis with a history of an event and thus their quality of life throughout the model is reduced to reflect the health of a patient in the health state that they occupy or

TABLE 166 Minus $40 \%$ on statin prescribing costs

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢6,470 | 66,107 | 66,407 | ¢6,926 | ¢7,831 | £9,540 | ¢13,422 |
|  | 55 | £6,334 | ¢8,202 | ¢8,758 | ¢9,648 | ¢11,138 | ¢13,886 | £20,101 |
|  | 65 | ¢6,663 | £10,898 | £11,986 | £13,621 | ¢16,258 | £21,047 | £32,020 |
|  | 75 | ¢8,082 | £17,010 | £19,076 | £22,109 | £26,914 | £35,530 | ¢55,090 |
|  | 85 | £9,810 | £23,906 | £26,995 | £ 31,324 | £ 67,782 | £48,378 | ¢68,815 |
| Women | 45 | ¢6,412 | ¢9,005 | 69,180 | ¢9,672 | £10,746 | ¢13,117 | ¢19,678 |
|  | 55 | ¢6,270 | £10,503 | £10,927 | £11,776 | ¢13,412 | ¢16,812 | £25,923 |
|  | 65 | ¢6,063 | £12,727 | £13,805 | £15,516 | ¢18,421 | £24,042 | £ 38,450 |
|  | 75 | ¢7,231 | £20,768 | £23,002 | £26,338 | £31,707 | ¢41,508 | ¢64,382 |
|  | 85 | ¢8,866 | £31,223 | ¢ 34,48 I | £ 38,937 | ¢45,34 I | ¢55,234 | £72,373 |

move to. For the primary prevention evaluations a large proportion of patients commence the analyses in the event-free state. Using 1 as the baseline utility value by age assumes that everyone at all ages who is CHD free is in perfect health.

It is acknowledged that when using the data from Kind ${ }^{241}$ there is a small element of doublecounting as a proportion of the patients in the sample will have a history of CHD. However, a series of exploratory evaluations was conducted to examine what, if any, impact this had on the results generated. The analyses suggested that using a constant baseline utility of 1 across all ages would bias the results in favour of statin treatment as patients remaining in the event-free health state would potentially accrue a larger health benefit than was appropriate.

Ideally, the most accurate results would be obtained by assigning quality of life values that change with age for the individual health state. Unfortunately this evidence is not available and a series of sensitivity analyses was conducted to
explore the impact of varying the values and assumptions that have been used to assign quality of life in the model.

If the baseline utility is assigned a value of 1 across all ages, this reduces the estimated costs per QALY for secondary prevention, producing ICERs ranging from approximately $£ 7,000$ for women at aged 65 years to $£ 10,000$ for men aged 85 years (as opposed to $£ 9,000$ per QALY and $£ 16,000$ per QALY, respectively, in the base case).

For the primary prevention analyses, using a baseline utility of 1 gives ICERs ranging from approximately $£ 7000$ for men aged 45 years at $3 \%$ annual risk to $£ 72,000$ per QALY for women aged 85 years at $0.5 \%$ annual CHD risk (Table 167). For women at $0.5 \%$ annual risk the base case estimated cost per QALY is $£ 111,000$ and the large reduction is caused by over $50 \%$ increase in incremental QALYs gained: 30 QALYs gained by the statin cohort when holding the baseline utility constant as opposed to 20 QALYs gained when varying the baseline utility. Using a constant utility

TABLE 167 Using constant baseline utility of I across all ages

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | I.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢7,691 | ¢7,287 | ¢7,638 | ¢8,239 | ¢9,285 | £ 1 1,249 | £15,678 |
|  | 55 | £7,379 | £9,385 | £10,023 | £11,036 | £12,720 | £15,806 | £22,734 |
|  | 65 | ¢7,554 | ¢12,072 | £13,278 | £15,080 | £17,971 | £23,198 | £35,095 |
|  | 75 | £8,854 | f18,151 | £20,345 | £23,554 | £28,620 | £37,666 | ¢58,069 |
|  | 85 | £10,467 | £24,476 | £27,593 | £31,948 | £38,421 | £48,995 | £69,239 |
| Women | 45 | ¢7,453 | f10,417 | £ 10,624 | E11,191 | £12,419 | ¢15,118 | £22,542 |
|  | 55 | ¢7,120 | f11,712 | £12,210 | £13,181 | £15,023 | £18,818 | £28,910 |
|  | 65 | £6,733 | ¢13,769 | £14,969 | £16,852 | £20,025 | £26,126 | £41,643 |
|  | 75 | ¢7,784 | £21,672 | £24,040 | £27,557 | £33,187 | £43,405 | £67,048 |
|  | 85 | ¢9,335 | £31,334 | £34,622 | ¢39,099 | £45,504 | ¢55,346 | ¢72,266 |

of 1 increases the number of incremental QALYs gained by an average of $41 \%$ across all secondary and primary evaluations.

## (f) Health state utilities

## Using plus or minus 10\% on all health state utilities, but allowing the baseline utility to vary with age

There is a large degree of uncertainty surrounding the correct valuation of health states particularly when events vary in severity and may have longterm health implications. It is particularly difficult to assign accurate measurements to quality of life when patients move between health states and when they have had a major event such as a nonfatal MI or stroke and then remain event free for a number of years. There is an added complication when assigning the values to patients experiencing an event at different stages in their lives, as younger patients may feel that the disutility due to an unexpected event has a greater impact on their quality of life than an older patient may.

If the utility values assigned to the different health states are increased by $10 \%$, the statin cohorts gain more benefits from the events avoided.
Consequently, the estimated costs per QALY decrease slightly for the secondary prevention analyses, ranging from approximately $£ 9000$ to $£ 15,100$ for women aged 65 years and men aged 85 years, respectively. Conversely, primary prevention results increase, ranging from $£ 10,400$ for men aged 45 years to $£ 112,900$ for women aged 85 years at $3 \%$ and $0.5 \%$ annual CHD risk, respectively (Table 168).

If the utility values assigned to the different health states are reduced by $10 \%$, the estimated costs per

QALY for secondary prevention of CHD increase from approximately $£ 9500$ to $£ 10,000$ for women aged 65 years and increase from $£ 15,600$ to $£ 16,300$ for men aged 85 years. For the primary prevention analyses, the estimated cost per QALYs decrease, ranging from approximately $£ 8700$ for men aged 45 years at $3 \%$ annual CHD risk to $£ 108,400$ for women aged 85 years at $0.5 \%$ annual risk, in comparison to $£ 9500$ for men aged 45 at $3 \%$ annual risk and $£ 110,600$ for women aged 85 at $0.5 \%$ annual risk in the base case (Table 169).

Varying the values assigned to the health states has opposite impacts on primary and secondary results depending on whether the values are increased or decreased. All patients in secondary evaluations have a quality of life assigned to them based on their current health state; therefore, increasing or decreasing the quality of life value assigned to the health states has the expected result of either decreasing or increasing the estimated cost per QALY, respectively. However, when exploring the cost-effectiveness of statins in primary prevention, because a large proportion of patients commence in the event-free state, and in the statin arm a greater proportion remain in this health state for a longer period, their utility is unaffected by the changes made to the quality of life experienced by patients having an event. Hence, when the values assigned to health state utilities are decreased, although benefits from patients in event states are decreased, the total incremental benefits of the cohorts are increased as the benefits accrued by patients in the eventfree health state outweigh the reduced amounts from patients in the event states. Conversely, increasing utility values for event states produces an overall reduction in the total incremental benefits as fewer patients in the statin arm are affected by the increase in utility.

TABLE 168 Using plus $10 \%$ on all health state utilities, but allowing the baseline utility to vary with age

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | I.5\% | I.0\% | 0.5\% |
| Men | 45 | £9,793 | ¢ 10,426 | £ 10,916 | £11,768 | £13,259 | ¢16,07] | £22,447 |
|  | 55 | £9,460 | ¢13,802 | £14,710 | £16,167 | £18,604 | £23,086 | £33,171 |
|  | 65 | £10,013 | ¢17,812 | ¢19,589 | £22,248 | £26,519 | £34,249 | ¢51,873 |
|  | 75 | £12,193 | £27,727 | £31,068 | £ 35,960 | £43,683 | ¢57,479 | ¢88,60 1 |
|  | 85 | £15,063 | £38,718 | £43,609 | £50,434 | £60,564 | ¢77,062 | £108,483 |
| Women | 45 | £9,568 | f15,981 | £16,150 | £16,875 | £18,590 | £22,482 | £33,306 |
|  | 55 | £9,160 | £ 17,911 | £18,549 | £19,904 | £22,560 | £28,114 | £42,945 |
|  | 65 | £8,963 | £20,580 | £22,349 | £25,137 | £29,846 | £38,911 | £61,983 |
|  | 75 | £ 10,691 | £33,348 | £36,962 | £42,334 | ¢50,94 I | £66,562 | £102,670 |
|  | 85 | £13,366 | £49,634 | ¢54,762 | ¢61,736 | £71,688 | ¢86,921 | fll2,934 |

TABLE 169 Using minus $10 \%$ on all health state utilities, but allowing the baseline utility to vary with age

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £10,727 | ¢8,67I | ¢9,156 | ¢9,954 | ¢11,313 | £13,840 | ¢19,544 |
|  | 55 | ¢10,685 | ¢11,608 | £12,480 | ¢13,837 | £16,068 | £20,143 | £29,336 |
|  | 65 | ¢11,093 | ¢15,858 | ¢17,503 | ¢19,954 | ¢23,884 | £30,998 | ¢47,299 |
|  | 75 | ¢13,346 | £24,814 | £27,890 | £32,390 | £ 39,505 | ¢52,262 | ¢81,323 |
|  | 85 | ¢16,300 | ¢ 34,994 | £ 39,567 | ¢45,978 | ¢55,565 | £71,375 | ¢102,191 |
| Women | 45 | £10,620 | ¢12,000 | £12,417 | ¢13,273 | ¢14,948 | ¢18,485 | £28,110 |
|  | 55 | £10,546 | £14,306 | £15,075 | ¢16,447 | £18,950 | £24,023 | £37,504 |
|  | 65 | ¢10,030 | ¢18,150 | £19,826 | £22,434 | £26,808 | £ 35,218 | 656,763 |
|  | 75 | ¢11,939 | £29,874 | £ 33,258 | £ 38,278 | £46,329 | ¢61,019 | ¢95,474 |
|  | 85 | ¢14,734 | ¢45,332 | ¢50,276 | ¢57,038 | ¢66,779 | ¢81,913 | ¢108,428 |

TABLE I 70 Using constant baseline utility across all ages and plus IO\% on health state utilities

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £7,359 | ¢8,003 | ¢8,349 | ¢8,965 | £10,057 | ¢12,124 | £16,800 |
|  | 55 | ¢6,960 | £ 10,245 | £ 10,891 | £11,936 | £ 13,691 | ¢16,923 | £24,170 |
|  | 65 | ¢7,189 | ¢ 12,801 | ¢14,052 | £15,928 | £18,941 | £24,387 | £36,752 |
|  | 75 | ¢8,475 | ¢19,198 | £21,483 | £24,828 | £ 30,104 | £39,507 | ¢60,610 |
|  | 85 | ¢10,073 | £ 25,757 | £28,979 | £ 33,468 | £40,117 | £50,906 | ¢71,319 |
| Women | 45 | ¢7,085 | ¢ 12,075 | ¢12,152 | ¢12,642 | £13,86\| | ¢16,673 | £24,518 |
|  | 55 | ¢6,656 | ¢13,131 | ¢13,562 | ¢14,510 | £16,393 | £20,35 | £30,912 |
|  | 65 | ¢6,378 | ¢14,668 | £15,896 | £17,840 | £21,128 | £27,455 | £43,496 |
|  | 75 | ¢7,38। | £22,905 | £25,349 | £28,983 | £34,799 | ¢45,326 | ¢69,498 |
|  | 85 | ¢8,905 | £ 32,793 | £ 36,136 | £40,675 | £47,139 | ¢56,996 | £73,725 |

## (g) Baseline and health state utilities

Combining the effect of using a constant value of 1 for baseline quality of life and increasing the values assigned to health states by $10 \%$ produces secondary prevention costs per QALY ranging from approximately $£ 6400$ for women aged 65 years to $£ 10,100$ for men aged 85 years (Table 170). For primary prevention, using a constant value of 1 for baseline quality of life in conjunction with decreasing the values assigned to health states by $10 \%$ produces costs per QALY ranging from approximately $£ 6700$ for men aged 45 years at $3 \%$ annual risk of a CHD event to $£ 70,800$ for women aged 85 years at $0.5 \%$ annual risk of a CHD event (Table 171). However, as stated previously, it is believed that using a conservative alternative.

## (h) Incidence and prevalence

## Incidence and prevalence increased by 150\% for each health state individually

To examine uncertainty in the proportion of patients allocated to the different health states, a series of analyses was performed where the proportion of patients assigned to the starting health states was increased by $150 \%$ (Tables 172-175). Varying the ratio of patients across health states had little impact on the results. Increasing the proportion of patients who commenced the secondary prevention analyses in the non-fatal MI health state by $150 \%$ while holding the other values constant reduced the lowest estimated ICER to around $£ 9200$ (Table 174), while increasing the proportion of patients starting in the non-fatal stroke state increased the lowest estimated ICER to $£ 10,100$ and the highest estimated ICER to £16,600 (Table 175).

TABLE I7I Using constant baseline utility across all ages and minus $10 \%$ on all health state utilities

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢8,055 | ¢6,689 | ¢7,038 | ¢7,622 | ¢8,624 | £10,492 | ¢14,696 |
|  | 55 | ¢7,85 | ¢8,658 | ¢9,283 | £10,262 | ¢11,877 | ¢14,828 | £21,460 |
|  | 65 | ¢7,957 | ¢11,422 | ¢12,584 | ¢14,318 | ¢17,096 | £22,120 | £ 33,581 |
|  | 75 | ¢9,268 | £17,213 | ¢19,321 | £22,405 | £27,275 | ¢ 35,988 | ¢55,732 |
|  | 85 | ¢10,893 | £23,316 | £26,334 | £30,559 | £36,863 | ¢47,222 | ¢67,277 |
| Women | 45 | ¢7,861 | £9,160 | ¢9,437 | £10,039 | ¢11,248 | ¢13,829 | £20,861 |
|  | 55 | ¢7,652 | £10,570 | E11,104 | £12,075 | ¢13,863 | ¢17,500 | £27,152 |
|  | 65 | ¢7,129 | ¢12,975 | ¢14,143 | £15,968 | £19,032 | £24,919 | £ 39,941 |
|  | 75 | ¢8,234 | £20,564 | £22,859 | £26,264 | £ 31,718 | ¢41,641 | ¢64,765 |
|  | 85 | ¢9,809 | £ 30,000 | £33,230 | £ 37,641 | ¢43,979 | ¢53,789 | ¢70,864 |

TABLE I72 Increasing the incidence and prevalence rates for patients commencing in the stable angina health state

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £10,527 | ¢9,640 | ¢10,104 | £10,903 | £12,297 | ¢14,923 | £20,880 |
|  | 55 | £10,223 | £12,682 | £13,533 | £14,894 | £17,168 | £21,359 | £30,836 |
|  | 65 | ¢10,614 | ¢16,787 | ¢18,447 | £20,940 | £24,955 | ¢ 32,245 | ¢48,955 |
|  | 75 | ¢12,888 | £26,097 | £29,230 | £33,828 | £41,110 | 654,177 | ¢83,902 |
|  | 85 | ¢15,985 | £ 37,010 | £41,697 | £48,263 | £58,059 | ¢74,139 | £105,187 |
| Women | 45 | ¢10,364 | £13,588 | £13,815 | ¢14,52\| | £16,095 | ¢19,601 | £29,352 |
|  | 55 | ¢9,876 | ¢15,699 | ¢16,309 | £17,556 | £19,972 | £25,012 | £ 38,574 |
|  | 65 | ¢9,442 | ¢19,082 | £20,680 | £23,223 | £27,547 | ¢ 35,929 | ¢57,497 |
|  | 75 | ¢11,315 | £ 30,841 | ¢34,137 | £39,071 | £47,034 | ¢61,626 | ¢95,955 |
|  | 85 | ¢14,262 | ¢46,44 I | ¢51,298 | £57,968 | £67,604 | ¢82,605 | ¢108,921 |

TABLE 173 Increasing the incidence and prevalence rates for patients commencing in the unstable angina health state

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £9,935 | £9,252 | £9,744 | £10,566 | £11,974 | ¢ 14,601 | £20,527 |
|  | 55 | ¢9,818 | ¢12,290 | £13,174 | ¢14,562 | £16,855 | £21,051 | £30,493 |
|  | 65 | ¢10,175 | ¢16,248 | £17,918 | £20,410 | £24,405 | £31,637 | £48,178 |
|  | 75 | £12,125 | £25,111 | £28,217 | £ 32,762 | £ 39,946 | ¢52,821 | ¢82,113 |
|  | 85 | ¢14,562 | ¢ 35,250 | £ 39,858 | ¢46,314 | Ł55,961 | ¢71,849 | £102,749 |
| Women | 45 | ¢9,64 | ¢13,018 | ¢13,384 | f14,218 | ¢15,914 | ¢19,558 | £29,525 |
|  | 55 | ¢9,515 | ¢15,352 | £16,086 | ¢17,456 | £20,006 | £25,221 | £39,098 |
|  | 65 | ¢9,114 | ¢18,768 | £20,460 | £23,106 | £27,555 | £ 36,115 | Ł58,020 |
|  | 75 | £10,646 | ¢ 30,620 | £34,044 | £39,130 | £47,288 | ¢62,163 | ¢96,957 |
|  | 85 | ¢12,919 | ¢46,197 | ¢51,177 | £57,982 | ¢67,769 | ¢82,929 | £109,355 |

A similar series of analyses was performed adjusting the proportion and thus the probability of the distribution across primary events. The largest impact was a result of increasing the proportion of patients assigned to an initial primary non-fatal stroke health state. This adjustment (an increase of $150 \%$ ) increased the ICERs produced from $£ 9000$ to $£ 10,000$ for men
aged 45 years and from $£ 111,000$ to $£ 115,000$ for women aged 85 years.

Increasing the proportion of patients assigned to the primary non-fatal MI health state decreased the ICERs to $£ 8900$ for men aged 45 years and £106,000 for women aged 85 years.

TABLE I74 Increasing the incidence and prevalence rates for patients commencing in the non-fatal MI health state

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢9,773 | ¢8,88। | ¢9,368 | £10,176 | ¢11,553 | ¢14,1I7 | ¢19,897 |
|  | 55 | ¢9,668 | £11,853 | £12,719 | £14,076 | £16,315 | £20,409 | £29,628 |
|  | 65 | £10,255 | £15,675 | £17,299 | £19,722 | £23,608 | £30,647 | £46,775 |
|  | 75 | £12,425 | £24,132 | £27,146 | £ 31,557 | £ 38,536 | ¢51,064 | ¢79,665 |
|  | 85 | ¢15,45 | £ 33,918 | £ 38,424 | £44,748 | ¢54,220 | ¢69,883 | £100,577 |
| Women | 45 | £9,590 | £12,966 | £13,310 | ¢14,118 | ¢15,783 | £19,373 | £29,209 |
|  | 55 | ¢9,458 | ¢14,974 | £15,690 | ¢17,027 | ¢19,520 | £24,621 | £ 38,209 |
|  | 65 | ¢9,227 | £18,023 | ¢19,652 | £22,203 | £26,499 | £34,780 | ¢56,043 |
|  | 75 | £11,075 | £29,008 | £ 32,280 | £ 37,149 | ¢44,979 | 659,313 | ¢93,136 |
|  | 85 | ¢13,880 | ¢43,654 | £48,49 I | ¢55,132 | ¢64,745 | ¢79,788 | £106,457 |

TABLE 175 Increasing the incidence and prevalence rates for patients commencing in the non-fatal stroke health state

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £10,725 | ¢10,291 | £10,804 | f11,679 | ¢13,195 | ¢16,043 | £22,500 |
|  | 55 | £ 10,451 | £13,869 | £14,831 | £16,349 | £18,866 | £23,474 | £ 33,821 |
|  | 65 | ¢11,048 | £18,616 | £20,486 | £23,274 | £27,736 | £ 35,781 | ¢54,016 |
|  | 75 | ¢13,503 | £29,217 | £32,740 | £37,877 | ¢45,954 | ¢60,296 | ¢92,292 |
|  | 85 | £16,564 | £40,687 | £45,762 | ¢52,809 | 663,199 | £79,956 | £111,336 |
| Women | 45 | ¢10,635 | £15,024 | £15,392 | ¢16,288 | ¢18,155 | ¢22,192 | £33,200 |
|  | 55 | ¢10,298 | ¢17,466 | £18,293 | ¢19,829 | £22,677 | £28,469 | ¢43,699 |
|  | 65 | ¢10,078 | £21,424 | £23,358 | £26,359 | £31,367 | ¢40,908 | ¢64,857 |
|  | 75 | £12,004 | £34,679 | £38,540 | ¢44,225 | ¢53,250 | ¢69,455 | £106,248 |
|  | 85 | £14,893 | Ł51,310 | £56,616 | £63,770 | ¢73,877 | ¢89,140 | ¢114,691 |

TABLE I76 Increasing the incidence and prevalence rates for patients commencing in the TIA health state

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £10,306 | ¢9,509 | £10,035 | £ 10,901 | ¢12,374 | ¢15,108 | £21,259 |
|  | 55 | £10,057 | ¢12,785 | £13,723 | ¢15,184 | £17,586 | £21,961 | £31,758 |
|  | 65 | £10,573 | ¢17,172 | £18,941 | £21,573 | £25,780 | £ 33,371 | £50,636 |
|  | 75 | £12,820 | £26,771 | £30,053 | £ 34,848 | ¢42,412 | £55,920 | £86,430 |
|  | 85 | £15,775 | £36,954 | £41,706 | ¢48,350 | ¢58,242 | ¢74,445 | £105,630 |
| Women | 45 | £10,149 | £13,853 | £14,271 | £15,178 | ¢16,997 | £20,868 | £ 31,367 |
|  | 55 | ¢9,896 | £16,001 | £16,795 | ¢18,248 | £20,927 | £26,371 | ¢40,75 |
|  | 65 | ¢9,502 | ¢19,539 | £21,323 | £24,098 | £28,747 | £ 37,655 | £60,302 |
|  | 75 | £11,394 | £ 32,207 | £ 35,815 | £41,156 | £49,690 | £65,167 | £101,005 |
|  | 85 | ¢14,182 | £48,344 | ¢53,470 | ¢60,44 I | ¢70,400 | ¢85,680 | ¢111,907 |

## (i) Rate of increase in risk of CHD over time

## Using upper and lower 95\% Cls for natural increase in CHD and corresponding increase in annual CVD risk in the primary prevention analyses

Increasing both the natural increase in CHD risk with age for the primary prevention population
and the corresponding calculated annual CVD risk increases the lowest estimated cost per QALY by $4 \%$ for men aged 45 years at a starting annual CHD risk of $3 \%$, and decreases the highest estimated cost per QALY by just $2 \%$ for women aged 85 years at $0.5 \%$ annual risk of a CHD event (Table 177). Using the lower 95\% CI for the natural increase in CHD risk with age and the corresponding annual CVD risk has a similar but

TABLE 177 Upper CI for natural age-increased risk in CHD risk and the corresponding increase in annual CVD risk

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £10,239 | ¢9,871 | ¢10,329 | ¢11,116 | £12,480 | ¢15,027 | ¢20,682 |
|  | 55 | ¢10,035 | ¢ 13,014 | ¢13,862 | £15,210 | £17,443 | ¢21,508 | £30,468 |
|  | 65 | ¢10,525 | ¢17,068 | £18,724 | £21,195 | £25,143 | £ 32,222 | ¢48,040 |
|  | 75 | ¢12,744 | £26,386 | £29,504 | £34,058 | ¢41,218 | ¢53,917 | ¢82, 125 |
|  | 85 | £15,657 | £ 66,842 | £41,479 | £47,957 | £57,582 | ¢73,288 | £103,288 |
| Women | 45 | ¢10,067 | ¢14,306 | £14,566 | £15,307 | £16,926 | £20,490 | ¢30,210 |
|  | 55 | ¢9,804 | ¢16,439 | ¢17,084 | £18,371 | £20,835 | ¢25,918 | £39,299 |
|  | 65 | £9,466 | ¢19,575 | £21,229 | £23,831 | £28,213 | £ 36,610 | £57,752 |
|  | 75 | ¢11,280 | £ 31,539 | £34,921 | £ 39,944 | £47,98। | ¢62,538 | ¢96,032 |
|  | 85 | ¢14,017 | ¢47,148 | ¢52,069 | ¢58,785 | £68,415 | ¢83,259 | ¢108,906 |

TABLE 178 Lower Cl for natural age-increased risk in CHD risk and the corresponding increase in annual CVD risk

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | ¢10,239 | ¢9,074 | ¢9,597 | ¢ 10,464 | ¢11,947 | ¢14,735 | ¢21,167 |
|  | 55 | ¢10,035 | £12,210 | ¢13,149 | ¢14,617 | £17,050 | £21,541 | £ 31,901 |
|  | 65 | ¢10,525 | ¢16,488 | £18,250 | £20,885 | ¢25,130 | ¢32,894 | Ł51,081 |
|  | 75 | ¢12,744 | £25,992 | £29,282 | ¢34, IIO | £41,774 | ¢55,628 | ¢87,734 |
|  | 85 | ¢15,657 | £ 36,680 | £41,500 | ¢48,253 | £58,342 | ¢74,960 | ¢107,291 |
| Women | 45 | ¢10,067 | £13,112 | £13,516 | ¢14,411 | ¢16,216 | £20,088 | £30,794 |
|  | 55 | ¢9,804 | £ 15,371 | £16,176 | ¢ 17,646 | £20,358 | £25,901 | £40,837 |
|  | 65 | £9,466 | £18,994 | £20,786 | £23,581 | £28,278 | £37,349 | ¢60,873 |
|  | 75 | ¢11,280 | £ 31,485 | £35,100 | ¢40,469 | £49,089 | ¢64,856 | ¢102,058 |
|  | 85 | ¢14,017 | £47,623 | ¢52,78। | ¢59,813 | ¢69,897 | ¢85,458 | ¢ 112,423 |

converse impact on the results, decreasing the lower estimated cost per QALY by $4 \%$ and increasing the highest estimated cost per QALY by 2\% (Table 178).

## (j) Time-frame of the model

As the evidence used to model benefits from statin treatment is derived from trials of a relatively short time-horizon in comparison with the lifetime model in the current evaluation, the cost and benefits associated with just 10 years of statin treatment are explored.

Shortening the time-frame of the model to 10 years of statin treatment increases the estimated costs per QALY across all age groups (Table 179). The discounted ICERs using the 10 -year horizon range from approximately $£ 24,000$ to $£ 125,000$ per QALY for women and from $£ 20,000$ to $£ 100,000$ per QALY for men. Using a 10-year horizon as opposed to lifetime has a greater impact on the results for the younger ages, and
these results suggest that it is less cost-effective to treat younger patients than older patients.
Younger patients are less likely to benefit from statins in the first 10 years of treatment as the risk of subsequent and fatal events is lower in younger patients. However, if treatment is started at an earlier age and continued over the patient's lifetime the costs avoided and health benefits gained accrue to reduce the cost per QALY.

The 10-year results for older patients ( $£ 20,000$ and $£ 19,000$ for men and women aged 85 years) are comparable to those estimated for a lifetime of treatment ( $£ 16,000$ and $£ 14,000$ for men and women aged 85 years).

Examining the costs and benefits over 10 years has a large impact on the primary prevention results, with the ICER for 45 -year-old men at $3 \%$ annual risk of a CHD event increasing from $£ 10,000$ to $£ 36,000$ per QALY, and the ICER for 85 -year-old women at $0.5 \%$ annual risk increasing from $£ 111,000$ to $£ 367,000$ per QALY (Table 180).
The impact of assessing only 10 years of benefits is

TABLE I79 CHD secondary prevention: 10-year results for a cohort of 1000 patients

|  | Age (years) | Undiscounted |  |  | Discounted |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | Incremental costs | Incremental QALYs | Incremental cost per QALY | Incremental costs | Incremental QALYs | Incremental cost per QALY |
| Men | 45 | £3,292,690 | 29 | ¢115,032 | £2,584,265 | 26 | £99,501 |
|  | 55 | £3,175,936 | 49 | ¢64,353 | £2,502,553 | 45 | £55,853 |
|  | 65 | £2,923,748 | 79 | ¢37,019 | £2,319,143 | 72 | £32,309 |
|  | 75 | £2,528,75 | 92 | £27,405 | £2,038,55 I | 84 | £24,257 |
|  | 85 | ¢1,836,161 | 86 | ¢21,399 | £1,542,956 | 79 | £19,577 |
| Women | 45 | £3,351,264 | 23 | £143,962 | £2,629,071 | 21 | £124,530 |
|  | 55 | £3,267,634 | 40 | £82,506 | £2,570,147 | 36 | £71,537 |
|  | 65 | £3,043,878 | 78 | £39,259 | £2,403,566 | 70 | £34,145 |
|  | 75 | £2,735,210 | 99 | £27,761 | Ł2,180,838 | 90 | £24,346 |
|  | 85 | £2,092,025 | 101 | £20,693 | ¢1,724,416 | 93 | £18,634 |

TABLE 180 CHD analysis: primary prevention for a cohort of 1000 patients at varying annual CHD risk - 10 -year time-frame (discounted cost per QALY)

|  | Age (years) | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £35,766 | £41,708 | ¢50,574 | ¢65,133 | £93,281 | £170,072 |
|  | 55 | ¢42,744 | ¢49,607 | ¢59,836 | ¢76,591 | £108,809 | ¢195,489 |
|  | 65 | ¢44,987 | ¢52,324 | ¢63,225 | ¢81,016 | £115,042 | £205,498 |
|  | 75 | ¢52,973 | ¢61,590 | ¢74,357 | ¢95, I 12 | £134,538 | £237,735 |
|  | 85 | ¢52,719 | ¢61,451 | ¢74,349 | ¢95,241 | ¢134,72 | £236,928 |
| Women | 45 | £57,557 | ¢66,842 | ¢80,845 | £104,202 | £ 150,605 | £286,019 |
|  | 55 | ¢60,976 | ¢70, 144 | ¢84,04 I | ¢107,275 | £153,367 | £286,503 |
|  | 65 | ¢57,541 | ¢66,586 | ¢80,208 | £102,838 | £147,376 | £273,953 |
|  | 75 | ¢74,867 | ¢86,730 | ¢104,474 | ¢133,699 | £190,430 | £346,534 |
|  | 85 | ¢80,799 | ¢93,862 | f113,263 | ¢144,931 | £205,55 I | £367,198 |

greater at lower levels of baseline risk, as fewer secondary CHD events will occur within the first 10 years.

## (k) Compliance

Using the 'worst case', scenario, where full compliance is reduced to $55 \%$ and $50 \%$ by year 5 for secondary and primary patients, respectively, and the full treatment costs are incurred: in
secondary prevention the lowest ICER (for women aged 65 years) increases to $£ 14,000$ from $£ 9000$, while the highest ICER (for men aged 85 years) increases to $£ 22,000$ from $£ 16,000$ per QALY (Table 181). Using the same scenario, with the full treatment costs, primary analyses produce ICERs ranging from $£ 16,000$ for men aged 45 years at $3 \%$ annual risk of a CHD event to $£ 133,000$ for women aged 75 years at $0.5 \%$ annual risk of a CHD event, in comparison with the base-case range of $£ 9000-111,000$.

TABLE I8I Compliance using the worst case scenario with the full treatment costs

|  | Age (years) | Secondary | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 3.0\% | 2.5\% | 2.0\% | 1.5\% | 1.0\% | 0.5\% |
| Men | 45 | £15,582 | £16,079 | ¢17,039 | ¢18,578 | £21,155 | £25,900 | £36,547 |
|  | 55 | £15,07] | £21,025 | £22,665 | £25,180 | £29,270 | £36,674 | ¢53,209 |
|  | 65 | £15,478 | £27,524 | £30,486 | ¢ 34,854 | £41,793 | £54,239 | £82,387 |
|  | 75 | £18,266 | £41,748 | ¢46,985 | £54,567 | £66,397 | ¢87,22 I | £133,042 |
|  | 85 | £21,518 | £54,103 | ¢60,639 | ¢69,534 | ¢82,298 | £102,080 | £136,72\| |
| Women | 45 | £15,315 | £22,108 | £22,957 | £24,602 | £27,741 | £34,25 I | ¢51,622 |
|  | 55 | £14,694 | £25,414 | £26,882 | £29,418 | £33,945 | £42,954 | £66,305 |
|  | 65 | £13,905 | £ 30,631 | ¢ 33,621 | ¢ 38,187 | £45,712 | £59,886 | £94,955 |
|  | 75 | £16,177 | £48,588 | ¢54,135 | ¢62,205 | ¢74,824 | £97,000 | £145,357 |
|  | 85 | £19,3\|2 | £66,314 | ¢72,613 | ¢80,859 | ¢92,062 | £108,080 | £132,743 |

## Appendix 35

# Results of additional sensitivity analyses requested by the Appraisal Committee 

TThe additional analyses requested are detailed below.

- the cost-effectiveness of lowering risk thresholds for treatment (scenario 1: CHD plus stroke outcomes)
- sensitivity of economic results to the analytical time-horizon of the model and assumptions impacting on long-term extrapolation (scenario 1: CHD plus stroke outcomes)
- the cost-effectiveness of lowering risk thresholds for treatment using $3.5 \%$ discount rates for both costs and benefits (scenario 1: CHD plus stroke outcomes).

The outcomes of these analyses are summarised below.

The cost-effectiveness of lowering risk thresholds for treatment considers the naturally framed question: ‘Having accepted a threshold of $x \%$ CHD risk, what is the cost-effectiveness of lowering that threshold to $y \%$, taking into account the increased numbers of people, incurring costs and receiving benefits, who would be eligible for treatment?'

In considering the cost-effectiveness of lowering the risk threshold for treatment from, say, $3 \%$ to $2.5 \%$, it is clear that people with a risk of greater than $3 \%$ would be treated under both options. The cost and QALY impact in these people can therefore be disregarded. The cost-effectiveness of reducing the threshold is therefore the costeffectiveness of treating people with a risk level between 2.5 and $3 \%$ versus not treating them.

Since incremental costs and QALYs differ with age and risk level, the overall cost-effectiveness for that population group is estimated from the number of people expected to fall into each age and risk category.

The weighted ICERs for men are comparable across all CHD risk levels, ranging between approximately $£ 15,500$ and $£ 18,300$. The ICERs for women are slightly higher than for men, with a range of $£ 19,500-29,500$.

The sensitivity of economic results to the analytical time-horizon of the model and assumptions
impacting on long-term extrapolation were examined. The scenarios evaluated in the main report demonstrate the cost-effectiveness of statin treatment over a patient's lifetime, with a sensitivity analysis presented for the base-case CHD scenario using a time-horizon of 10 years. However, the majority of the effectiveness data is derived from RCTs with an average duration of approximately 5 years. Hence, a large proportion of the costs and benefits associated with statin treatment is based on extrapolations within the model. An additional series of analyses was performed exploring the sensitivity of the model to assumptions that impact on long-term estimates of costs and benefits. The economic results at $5,10,15$ and 20 years, together with the lifetime results for scenario 1 (CHD plus stroke outcomes) are presented.

The analysis demonstrates that for the CHD risks considered (up to $4.5 \%$ per annum) the costeffectiveness of treating people over the age of 75 years who have not experienced a previous CHD event is over $£ 20,000$ per QALY for all risk levels and over $£ 30,000$ for all but the highest risk groups modelled for over 20 years. It should be noted that the number of people in these categories is small.

With respect to cohorts aged 45,55 and 65 years, the cost-effectiveness of statin therapy is highly sensitive to the time-horizon of the analysis. For example, for men and women aged 55 years, analysed over the full lifetime, all estimates of cost-effectiveness are $£ 20,000$ per QALY or better. However, when using a 10-year horizon all estimates of cost-effectiveness are worse than $£ 30,000$ per QALY. Using a time-horizon of 20 years the cost-effectiveness at greater than $3 \%$ CHD risk is better than $£ 20,000$. The results for the ages of 45 and 65 years show a similar pattern of sensitivity to analytical time-horizon.

## Cost-effectiveness of statin treatment weighted by the proportion risk (scenario I: CHD plus stroke outcomes)

An additional analysis was performed to estimate the cost-effectiveness of treating people between

TABLE 183 Numbers of people in each age/risk group (derived from HSE $1998^{203}$ and England and Wales population 2003)a

| Age (years) | Men |  |  |  | Women |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 45-54 | 55-64 | 65-74 | 75-84 | 45-54 | 55-64 | 65-74 | 75-84 |
| Annual CHD risk |  |  |  |  |  |  |  |  |
| 1.0\% | 147,522 | 156,160 | 67,054 | 7,791 | 22,579 | 62,202 | 116,955 | 100,450 |
| I.1\% | 132,769 | 156,160 | 75,995 | 15,582 | 22,579 | 74,642 | 49,481 | 86,100 |
| 1.2\% | 95,889 | 131,760 | 67,054 | 31,165 | 12,902 | 70,495 | 49,481 | 57,400 |
| 1.3\% | 73,761 | 92,720 | 67,054 | 23,373 | 9,677 | 53,908 | 67,474 | 50,225 |
| 1.4\% | 70,073 | 122,000 | 75,995 | 62,329 | 16,128 | 41,468 | 62,976 | 21,525 |
| 1.5\% | 55,321 | 107,360 | 80,465 | 38,956 | 9,677 | 29,028 | 62,976 | 43,050 |
| 1.6\% | 51,633 | 48,800 | 80,465 | 0 | 3,226 | 24,881 | 40,484 | 14,350 |
| 1.7\% | 36,880 | 78,080 | 71,525 | 31,165 | 6,451 | 29,028 | 26,990 | 0 |
| 1.8\% | 25,816 | 63,440 | 75,995 | 38,956 | 12,902 | 16,587 | 26,990 | 7,175 |
| 1.9\% | 11,064 | 53,680 | 80,465 | 85,703 | 0 | 12,440 | 40,484 | 28,700 |
| 2.0\% | 18,440 | 68,320 | 58,114 | 46,747 | 0 | 12,440 | 17,993 | 35,875 |
| 2.1\% | 33,192 | 73,200 | 67,054 | 15,582 | 0 | 16,587 | 0 | 7,175 |
| 2.2\% | 14,752 | 53,680 | 67,054 | 62,329 | 0 | 12,440 | 17,993 | 14,350 |
| 2.3\% | 25,816 | 48,800 | 62,584 | 31,165 | 3,226 | 12,440 | 8,997 | 0 |
| 2.4\% | 11,064 | 53,680 | 35,762 | 23,373 | 3,226 | 8,294 | 22,491 | 0 |
| 2.5\% | 7,376 | 68,320 | 75,995 | 31,165 | 3,226 | 4,147 | 22,491 | 7,175 |
| 2.6\% | 11,064 | 39,040 | 31,292 | 15,582 | 0 | 0 | 4,498 | 7,175 |
| 2.7\% | 7,376 | 43,920 | 44,703 | 23,373 | 6,451 | 8,294 | 13,495 | 7,175 |
| 2.8\% | 3,688 | 19,520 | 40,233 | 15,582 | 0 | 4,147 | 8,997 | 7,175 |
| 2.9\% | 0 | 19,520 | 53,644 | 31,165 | 0 | 8,294 | 8,997 | 0 |
| 3.0\% | 3,688 | 9,760 | 62,584 | 23,373 | 3,226 | 8,294 | 8,997 | 7,175 |
| ${ }^{a}$ The HSE does not include anyone over the age of 85 years. |  |  |  |  |  |  |  |  |

$x$ and $y \%$ compared with not treating them. In considering the cost-effectiveness of lowering the risk threshold for treatment from, say, $3 \%$ to $2.5 \%$, it is clear that people with a risk of greater than $3 \%$ would be treated under both options. The cost and QALY impact in these people can therefore be disregarded. The cost-effectiveness of reducing the threshold is therefore the cost-effectiveness of treating people with a risk level between $2.5 \%$ and $3 \%$ versus not treating them.

Since incremental costs and QALYs differ with age and risk level, the overall cost effectiveness for that population group is estimated from the number of people expected to fall into each age and risk category (Table 183). The incremental costs and the incremental QALYs obtained from scenario 1 (CHD plus stroke outcomes), using increments of CHD risk of 0.01 per annum, were weighted using the number of people in each of these age and risk groups.

The total incremental costs and QALYs are multiplied by the number of people in each category to give a cost per QALY of treating people at risk levels between $x$ and $y \%$ compared with not treating them.

As can be seen in Table 184, the weighted ICERs for men are comparable across all CHD risk levels, ranging between approximately $£ 15,500$ and $£ 18,300$. The ICERs for women are slightly higher than for men, with a range of £19,500-29,500.

## Sensitivity of economic results to the analytical time-horizon of the model and assumptions impacting on long-term extrapolation

The scenarios evaluated in the main report demonstrate the cost-effectiveness of statin treatment over a patient's lifetime. However, the majority of the effectiveness data is derived from RCTs with an average duration of approximately 5 years. Hence, a large proportion of the costs and benefits associated with statin treatment is based on extrapolations within the model. The key assumptions underpinning the extrapolations are:

- Effectiveness of statins is maintained over the time-horizon of the model.

TABLE 184 Discounted weighted cost per QALY, comparing treating people at CHD risk between $\mathrm{x} \%$ and $\mathrm{y} \%$ per annum (scenario I: CHD plus stroke outcomes)

| Treating between $\boldsymbol{x}$ and $\boldsymbol{y} \%$ | Total weighted incremental cost | Total weighted incremental QALY | Weighted cost per QALY |
| :---: | :---: | :---: | :---: |
| Men |  |  |  |
| I.5\% to $1.0 \%$ | £7,228,416,284 | 394,220 | £18,336 |
| 2.0\% to $1.5 \%$ | £4,403,78।,134 | 249,449 | ¢17,654 |
| 3.0\% to 2.0\% | £5,531,945,178 | 350,048 | £15,803 |
| 2.5\% to 2.0\% | £3,355,782,620 | 209,889 | ¢15,988 |
| 3.0\% to 2.5\% | Ł2, 176,162,559 | 140,159 | £15,526 |
| Women |  |  |  |
| 1.5\% to $1.0 \%$ | £4,284,769,082 | 145,259 | £29,498 |
| 2.0\% to $1.5 \%$ | £1,783,546,414 | 74,797 | £23,845 |
| 3.0\% to 2.0 \% | ¢1,259,349,710 | 61,083 | £20,617 |
| 2.5\% to 2.0 \% | £772,631,864 | 36,032 | £21,443 |
| 3.0\% to 2.5 \% | £486,717,846 | 25,05 I | ¢19,429 |

- Compliance is maintained over time, and this is true for individuals identified as low and high risk.
- Events are distributed within global CHD risk levels, by age and gender.
- Multiple events occur over long time-horizons: the complexity of this issue and the lack of robust evidence mean that it is difficult to estimate the direction of any bias introduced.

The NICE methods guidance states:

> "2.2.6.1 The time span used in the appraisal usually reflects the period over which the main differences between technologies from the point of view of both their likely health effects and use of healthcare resources are expected to be experienced, taking into account the limitations of supporting evidence."
> "5.8.3 Providing an all-embracing definition of what constitutes a high-quality model is not possible, but some guidelines are available. In general, all structural assumptions and data inputs should be clearly documented and justified. This is particularly important in the case of modelling to extrapolate costs and health benefits over an extended time horizon. In such circumstances alternative time horizon scenarios should be considered in order to compare the implications of different assumptions for the results."

The original report presented 10-year results for base-case CHD; therefore, an additional series of analyses was performed exploring a broader range of time-horizons for scenario 1 (CHD plus stroke outcomes). Tables 185 and 186 present the costeffectiveness ratios across different horizons for the age and risk groups being considered.

As can be seen, as the time-horizon over which the costs and benefits associated with statin treatment are accrued increases from 5 years to lifetime, the ICERs decrease. In addition, as the initial annual CHD risk decreases, the range in the ICERs across the age groups increases.

The results demonstrate that irrespective of risk, it is not cost-effective to treat cohorts over the age of 75 years. However, the majority of these people will be at a high risk or would be secondary patients and hence will already be receiving statin treatment.

Restricting the discussion of the differing horizon results to cohorts aged 45,55 and 65 years, the male ICERs estimated are comparable across the ages. For example, the approximate ICERs for men aged 45,55 and 65 years at $1.5 \%$ annual risk are $£ 27,700$ ( $£ 38,700, £ 61,400$, $£ 135,300$ ), $£ 30,800$ ( $£ 44,100, £ 72,100, £ 162,600$ ) and $£ 33,700$ ( $£ 46,100, £ 75,800$, $£ 182,300$ ) when using a time-horizon of $20(15,10,5)$ years.

The female ICERs are also comparable across the age groups 45,55 and 65 years, and are all higher than those estimated for men. For example, using a 20-year horizon and cohorts at $1.5 \%$ annual CHD risk, the estimated ICERs are approximately $£ 45,100, £ 42,400$ and $£ 41,300$ for women aged 45,55 and 65 years, respectively. Similarly, using a 10-year horizon the ICERs for women at $1.5 \%$ risk are $£ 62,900, £ 62,100$ and $£ 60,000$ for the ages of 45,55 and 65 years, respectively.

TABLE 185 Comparing the incremental discounted cost per QALY results across the different time-horizons by age/risk level for men

| Horizon |  | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1.50\% | 2.00\% | 2.50\% | 3.00\% | 3.50\% | 4.00\% |
|  | Start age | 45 | 45 | 45 | 45 | 45 | 45 |
| Lifetime |  | ¢12,080 | ¢10,664 | ¢9,839 | ¢9,347 | ¢9,06 | ¢8,913 |
| 20 |  | £27,724 | £22,628 | ¢19,527 | ¢17,482 | £16,066 | £15,056 |
| 15 |  | ¢38,697 | ¢ 30,864 | £26,085 | ¢22,899 | ¢20,650 | ¢19,001 |
| 10 |  | ¢61,416 | ¢47,791 | £39,491 | £33,928 | £29,960 | £27,002 |
| 5 |  | £ 135,290 | £102,705 | ¢82,989 | ¢69,788 | ¢60,340 | ¢53,252 |
|  | Start age | 55 | 55 | 55 | 55 | 55 | 55 |
| Lifetime |  | £17,073 | ¢14,757 | ¢13,357 | ¢12,467 | ¢ 11,891 | £11,525 |
| 20 |  | £30,849 | £25,353 | £21,984 | ¢19,754 | £18,206 | £17,100 |
| 15 |  | £44,083 | ¢ 35,412 | ¢30,095 | £26,542 | ¢24,033 | ¢22,194 |
| 10 |  | £72,064 | ¢56,429 | £46,878 | £40,470 | £35,897 | £ 32,490 |
| 5 |  | ¢162,619 | ¢123,966 | £100,570 | ¢84,904 | ¢73,694 | ¢65,285 |
|  | Start age | 65 | 65 | 65 | 65 | 65 | 65 |
| Lifetime |  | £24,867 | £20,807 | ¢18,276 | £16,579 | ¢15,389 | £14,530 |
| 20 |  | £ 33,651 | £27,438 | £23,590 | £21,007 | ¢19,181 | ¢17,843 |
| 15 |  | ¢46,142 | ¢ 36,926 | £31,238 | £27,409 | £24,683 | £22,663 |
| 10 |  | ¢75,769 | ¢59,258 | ¢49,133 | £42,317 | £ 37,437 | £ 33,788 |
| 5 |  | £182,270 | ¢138,828 | f112,519 | ¢94,894 | ¢82,277 | ¢72,809 |
|  | Start age | 75 | 75 | 75 | 75 | 75 | 75 |
| Lifetime |  | £41,025 | £33,684 | £29,038 | £25,862 | £23,578 | £21,876 |
| 20 |  | £45, III | £36,548 | ¢31,208 | ¢27,591 | £25,004 | £23,082 |
| 15 |  | Ł55,835 | ¢44,649 | ¢37,704 | ¢ 33,003 | £29,635 | £27,123 |
| 10 |  | ¢88,640 | ¢69,439 | £57,616 | £49,633 | £43,903 | £39,610 |
| 5 |  | £221,243 | ¢168,622 | £136,738 | £115,373 | £100,075 | ¢88,595 |
|  | Start age | 85 | 85 | 85 | 85 | 85 | 85 |
| Lifetime |  | ¢57,397 | £47,601 | £41,031 | £36,337 | ¢ 32,829 | £ 30,121 |
| 20 |  | ¢57,397 | £47,601 | £41,031 | ¢36,337 | ¢32,829 | £30,121 |
| 15 |  | ¢57,397 | ¢47,601 | £41,031 | £36,337 | ¢ 32,829 | £ 30,121 |
| 10 |  | £89,821 | ¢70,228 | ¢58,120 | £49,920 | £44,016 | £39,577 |
| 5 |  | £205,862 | ¢156,884 | £127,143 | £107,186 | ¢92,881 | ¢82,137 |

## Cost-effectiveness of lowering risk thresholds for treatment using 3.5\% discount rates for both costs and benefits (scenario I: CHD plus stroke outcomes)

As in the first of these additional analyses, the total incremental costs and QALYs were multiplied by the number of people in each category to give a cost per QALY of treating people at risk levels between $x$ and $y \%$ compared with not treating them, the key difference being that costs and benefits are discounted at $3.5 \%$ in the following evaluations.

As can be seen in Table 187, the weighted ICERs for men range between approximately $£ 26,500$ ( $3.0 \%$ to $2.5 \%$ ) and $£ 44,900$ ( $1.0 \%$ to $0.5 \%$ ) and increase as initial CHD risk level decreases. The
weighted ICERs for women are higher than the corresponding results for men and range from approximately $£ 33,300$ ( $3.0 \%$ to $2.5 \%$ ) to $£ 78,000$ (1.0\% to $0.5 \%$ ).

## Cost-effectiveness of lowering risk thresholds for treatment using different age groups (scenario I: CHD plus stroke outcomes) with 3.5\% discount rates for both costs and benefits

The results presented in Table 188 use the same methodologies as the first and third of these additional sensitivity analyses, and the results are presented by age group as opposed to an overall weighted ICER by risk level.

TABLE 186 Comparing the incremental discounted cost per QALY results across the different time-horizons by age/risk level for women

| Horizon |  | Annual CHD risk |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1.50\% | 2.00\% | 2.50\% | 3.00\% | 3.50\% | 4.00\% |
| Lifetime | Start age | 45 | 45 | 45 | 45 | 45 | 45 |
|  |  | ¢16,410 | ¢14,702 | ¢13,879 | ¢13,538 | ¢13,492 | ¢13,647 |
| 20 |  | ¢45,129 | ¢36,817 | £31,954 | £28,860 | £26,800 | £25,402 |
| 15 |  | ¢62,913 | £50,104 | £42,491 | £37,520 | £34,077 | £31,601 |
| 10 |  | £98,617 | £76,656 | ¢63,496 | £54,775 | £48,608 | £44,047 |
| 5 |  | £209,159 | £159,135 | ¢129,091 | £109,074 | ¢94,800 | ¢84,121 |
|  | Start age | 55 | 55 | 55 | 55 | 55 | 55 |
| Lifetime |  | £20,387 | ¢17,813 | £16,436 | ¢15,705 | ¢15,372 | ¢15,308 |
| 20 |  | £42,437 | ¢35,037 | ¢ 30,741 | £28,060 | £26,334 | £25,230 |
| 15 |  | ¢62,075 | ¢50,067 | £42,972 | £38,397 | ¢ 35,295 | £ 33,135 |
| 10 |  | £101,235 | £79,495 | ¢66,500 | £57,937 | Ł51,936 | £47,550 |
| 5 |  | £219,483 | ¢167,719 | £136,658 | ¢115,991 | ¢101,283 | ¢90,307 |
|  | Start age | 65 | 65 | 65 | 65 | 65 | 65 |
| Lifetime |  | £27,942 | ¢23,439 | £20,761 | ¢19,047 | ¢17,908 | ¢17,139 |
| 20 |  | £41,306 | £33,577 | £28,960 | £25,956 | £23,898 | £22,444 |
| 15 |  | ¢57,976 | £46,294 | £39,282 | £34,669 | £31,454 | £29,127 |
| 10 |  | ¢96,145 | ¢75,140 | ¢62,496 | ¢54,105 | ¢48,174 | ¢43,795 |
| 5 |  | £232,074 | £176,899 | £143,755 | ¢ 121,681 | ¢105,953 | ¢94,201 |
|  | Start age | 75 | 75 | 75 | 75 | 75 | 75 |
| Lifetime |  | £47,997 | ¢ 39,741 | ¢34,589 | £31,119 | £28,661 | £26,864 |
| 20 |  | ¢56,299 | ¢45,518 | £38,966 | £34,618 | £31,566 | £29,340 |
| 15 |  | ¢73,427 | ¢58,591 | ¢49,566 | ¢43,552 | £39,301 | £ 36,173 |
| 10 |  | £133,853 | ¢96,959 | ¢80,623 | £69,702 | 661,930 | ¢56,152 |
| 5 |  | £335,165 | £255,719 | £207,908 | £176,024 | ¢153,282 | £136,274 |
|  | Start age | 85 | 85 | 85 | 85 | 85 | 85 |
| Lifetime |  | ¢68,600 | ¢58,772 | ¢51,924 | £46,907 | £43,094 | £40,116 |
| 20 |  | ¢68,600 | ¢58,772 | 651,924 | ¢46,907 | ¢43,094 | ¢40,116 |
| 15 |  | ¢68,600 | ¢58,772 | 651,924 | ¢46,907 | ¢43,094 | ¢40,116 |
| 10 |  | £135,256 | £105,860 | ¢87,843 | ¢75,710 | ¢67,015 | £60,503 |
| 5 |  | £368,518 | £281,364 | £228,683 | £193,442 | £168,244 | £149,358 |

TABLE 187 Discounted weighted cost per QALY, comparing treating at CHD risk between $\mathrm{x} \%$ and $\mathrm{y} \%$ per annum using $3.5 \%$ discounting rates

| Annual CHD risk level | Cost | QALY | Weighted cost per QALY |
| :---: | :---: | :---: | :---: |
| Men |  |  |  |
| I.0\% to 0.5\% | £13,446,792,896 | 299,206 | ¢44,942 |
| 1.5\% to $1.0 \%$ | £9,231,474,512 | 260,269 | ¢35,469 |
| 2.0\% to $1.5 \%$ | ¢5,493,480,530 | 172,108 | £31,919 |
| 2.5\% to 2.0\% | ¢4,166,716,702 | 147,524 | £28,244 |
| 3.0\% to 2.5\% | £2,675,731,299 | 101,084 | £26,470 |
| 3.0\% to 2.0\% | ¢6,842,448,00 I | 248,608 | £27,523 |
| Women |  |  |  |
| 1.0\% to 0.5\% | £15,740,710,901 | 204,428 | ¢76,999 |
| 1.5\% to $1.0 \%$ | £5,359,083,526 | 98,232 | ¢54,555 |
| 2.0\% to $1.5 \%$ | Ł2,231,518,185 | 51,501 | ¢43,329 |
| 2.5\% to 2.0\% | ¢962,071,647 | 25,226 | £38,137 |
| 3.0\% to 2.5\% | ¢607,172,653 | 17,705 | £34,295 |
| 3.0\% to $2.0 \%$ | £1,569,244,300 | 42,931 | ¢ 36,553 |

TABLE 188 Discounted weighted cost per QALY for individual age groups, comparing treating at CHD risk between $\mathrm{x} \%$ and $\mathrm{y} \%$ per annum using 3.5\% discounting rates

| Annual CHD risk level | Age groups (years) |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | 45-54 | 55-64 | 65-74 | 75-84 |
| Men |  |  |  |  |
| I.0\% to 0.5\% | £40,339 | ¢50,131 | ¢63,654 | ¢96,567 |
| 1.5\% to $1.0 \%$ | £29,507 | £36,659 | ¢46,85 | ¢65,515 |
| 2.0\% to $1.5 \%$ | £24,625 | £30,008 | £ 37,275 | ¢52,193 |
| 2.5\% to 2.0\% | £21,575 | ¢26,098 | £ 31,780 | ¢45,025 |
| 3.0\% to 2.5\% | £20,05 I | £23,878 | £28,103 | ¢38,992 |
| Women |  |  |  |  |
| I.0\% to 0.5\% | ¢60,594 | £67,543 | ¢84,95 I | £127,615 |
| 1.5\% to $1.0 \%$ | £42,616 | ¢46,468 | ¢56,480 | ¢87,04 I |
| 2.0\% to $1.5 \%$ | ¢ 35,419 | £ 38,144 | ¢ 44,444 | ¢66,969 |
| 2.5\% to $2.0 \%$ | £31,045 | ¢33,537 | £ 37,217 | ¢57,79 I |
| 3.0\% to $2.5 \%$ | £30,126 | ¢30,842 | £ 33,731 | ¢49,239 |

As can be seen, the ICERs for men are lower than those for women across all risk and age groups. In addition, the ICERs increase as the initial risk level decreases and increase as age increases. The ICERs range from approximately $£ 20,100$ and
£30,100 for men and women, respectively, aged $45-54$ years at an initial $3.0 \%$ CHD risk, to $£ 96,600$ and $£ 127,600$ for men and women aged $75-84$ years at an initial $1.0 \%$ CHD risk.

## Feedback

The HTA Programme and the authors would like to know your views about this report.

The Correspondence Page on the HTA website (http://www.hta.ac.uk) is a convenient way to publish your comments. If you prefer, you can send your comments to the address below, telling us whether you would like us to transfer them to the website.

We look forward to hearing from you.

The National Coordinating Centre for Health Technology Assessment,
Mailpoint 728, Boldrewood,
University of Southampton,
Southampton, SOI6 7PX, UK.
Fax: +44 (0) 2380595639 Email: hta@hta.ac.uk
http://www.hta.ac.uk

