

# **Stapled haemorrhoidectomy (haemorrhoidopexy) for the treatment of haemorrhoids: a systematic review and economic evaluation**

J Burch, D Epstein, A Baba-Akbari,  
H Weatherly, D Fox, S Golder, D Jayne,  
M Drummond and N Woolacott

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# Stapled haemorrhoidectomy (haemorrhoidopexy) for the treatment of haemorrhoids: a systematic review and economic evaluation

J Burch,<sup>1\*</sup> D Epstein,<sup>2</sup> A Baba-Akbari,<sup>1</sup>  
H Weatherly,<sup>2</sup> D Fox,<sup>1</sup> S Golder,<sup>1</sup> D Jayne,<sup>3</sup>  
M Drummond<sup>2</sup> and N Woolacott<sup>1</sup>

<sup>1</sup> Centre for Reviews and Dissemination, University of York, UK

<sup>2</sup> Centre for Health Economics, University of York, UK

<sup>3</sup> St James's University Hospital, Leeds, UK

\* Corresponding author

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## Abstract

### Stapled haemorrhoidectomy (haemorrhoidopexy) for the treatment of haemorrhoids: a systematic review and economic evaluation

J Burch,<sup>1\*</sup> D Epstein,<sup>2</sup> A Baba-Akbari,<sup>1</sup> H Weatherly,<sup>2</sup> D Fox,<sup>1</sup> S Golder,<sup>1</sup> D Jayne,<sup>3</sup> M Drummond<sup>2</sup> and N Woolacott<sup>1</sup>

<sup>1</sup> Centre for Reviews and Dissemination, University of York, UK

<sup>2</sup> Centre for Health Economics, University of York, UK

<sup>3</sup> St James's University Hospital, Leeds, UK

\* Corresponding author

**Objectives:** To determine the safety, clinical effectiveness and cost-effectiveness of circular stapled haemorrhoidopexy (SH) for the treatment of haemorrhoids.

**Data sources:** Main electronic databases were searched up to July 2006.

**Review methods:** Randomised controlled trials (RCTs) with 20 or more participants that compared SH with any conventional haemorrhoidectomy (CH) technique in people of any age with prolapsing haemorrhoids for whom surgery is considered a relevant option, were used to evaluate clinical effectiveness. An economic model of the surgical treatment of haemorrhoids was developed.

**Results:** The clinical effectiveness review included 27 RCTs ( $n = 2279$ ; 1137 SH; 1142 CH). All had some methodological flaws; only two reported recruiting patients with second, third and fourth degree haemorrhoids, and 37% reported using an appropriate method of randomisation and/or allocation concealment. In the early postoperative period 95% of trials reported less pain following SH; by day 21 the pain reported following SH and CH was minimal, with little difference between the two techniques. Significantly fewer patients had unhealed wounds at 6 weeks following SH [odds ratio (OR) 0.08, 95% confidence interval (CI) 0.03 to 0.19,  $p < 0.001$ ]. Residual prolapse was more common after SH (OR 3.38, 95% CI 1.00 to 11.47,  $p = 0.05$ , nine RCTs, results of a sensitivity analysis). There was no difference between SH and CH in the incidence of bleeding or postoperative complications. SH resulted in shorter operating times, hospital stay, time to first bowel movement and return to normal activity. In the short term (between 6 weeks and a year) prolapse was

more common after SH (OR 4.68, 95% CI 1.11 to 19.71,  $p = 0.04$ , six RCTs). There was no difference in the number of patients complaining of pain between SH and CH. In the long term (1 year and over), there was a significantly higher rate of prolapse after SH (OR 4.34, 95% CI 1.67 to 11.28,  $p = 0.003$ , 12 RCTs). There was no difference in the number of patients experiencing pain, or the incidence of bleeding, between SH and CH. There was no difference in the total number of reinterventions, or reinterventions for pain, bleeding or complications, between SH and CH. Significantly more reinterventions were undertaken after SH for prolapse at 12 months or longer (OR 6.78, 95% CI 2.00 to 23.00,  $p = 0.002$ , six RCTs). Overall, there was no statistically significant difference in the rate of complications between SH and CH. In the economic assessment it was found that, on average, CH dominated SH. However, CH and SH had very similar costs and quality-adjusted life-years (QALYs). On average, the difference in costs between the procedures was £19 and the difference in QALY was  $-0.001$ , favouring CH, over 3 years. In terms of QALYs, the superior quality of life due to lower pain levels in the early postoperative period with SH was offset by the higher rate of symptoms over the follow-up period, compared with CH. The results are very sensitive to modelling assumptions, particularly the valuation of utility in the early postoperative period. The probabilistic sensitivity analysis showed that, at a threshold incremental cost-effectiveness ratio of £20,000–30,000 per QALY, SH had a 45% probability of being cost-effective.

**Conclusions:** SH was associated with less pain in the immediate postoperative period, but a higher rate of residual prolapse, prolapse in the longer term and

reintervention for prolapse. There was no clear difference in the rate or type of complications associated with the two techniques and the absolute and relative rates of recurrence and reintervention for both are still uncertain. CH and SH had very similar costs and QALYs, the cost of the staple gun being offset by savings in hospital stay. Should the price of the gun change, the conclusions of the economic analysis may also change. Some training may be required in the use of the staple gun; this is not expected to have major resource implications. Given the currently available clinical evidence and the results of the economic analysis, the decision as to whether SH or CH is conducted could primarily be

based on the priorities and preferences of the patient and surgeon. An adequately powered, good-quality RCT is required, comparing SH with CH, recruiting patients with second, third and fourth degree haemorrhoids, and having a minimum follow-up period of 5 years to ensure an adequate evaluation of the reintervention rate. Other areas for research are the effectiveness of SH in patients with fourth degree haemorrhoids and patients with co-morbid conditions, the reintervention rates for all treatments for haemorrhoids, utilities of patients up to 6 months postoperatively, the trade-offs of patients for short-term pain versus long-term outcomes, and the ability of SH to reduce hospital stays in a real practice setting.



# Contents

<b>Glossary and list of abbreviations</b> .....	vii	<b>Acknowledgements</b> .....	99
<b>Executive summary</b> .....	ix	<b>References</b> .....	101
<b>1 Background</b> .....	1	<b>Appendix 1</b> Literature search strategies .....	109
Description of health problem .....	1	<b>Appendix 2</b> Table of excluded studies with rationale .....	125
Current service provision .....	2	<b>Appendix 3</b> Data extraction form .....	127
Description of technology under assessment .....	3	<b>Appendix 4</b> Quality assessment .....	129
<b>2 Definition of decision problem</b> .....	7	<b>Appendix 5</b> Bayesian metaregression of VAS pain scores .....	133
Decision problem .....	7	<b>Appendix 6</b> Data extraction tables .....	135
Overall aims and objectives of assessment .....	7	<b>Appendix 7</b> Sensitivity analyses .....	165
<b>3 Assessment of clinical effectiveness</b> .....	9	<b>Appendix 8</b> Results of a literature search to identify data to inform estimates of resource use and costs .....	185
Methods for reviewing clinical effectiveness .....	9	<b>Appendix 9</b> Abstract relevant to calculation of utilities .....	187
Results of review of clinical effectiveness ...	12	<b>Appendix 10</b> Methods of the statistical analysis to determine the probabilities of health states .....	189
<b>4 Assessment of cost-effectiveness evidence</b> .....	47	<b>Health Technology Assessment reports published to date</b> .....	195
Systematic review of existing cost-effectiveness evidence .....	47	<b>Health Technology Assessment Programme</b> .....	211
York economic assessment .....	56		
<b>5 Assessment of factors relevant to the NHS and other parties</b> .....	91		
Learning curve .....	91		
Follow-up appointments .....	91		
Ability to work .....	91		
<b>6 Discussion</b> .....	93		
Statement of principal findings .....	93		
Strengths and limitations of the assessment .....	93		
Uncertainties .....	94		
Other relevant factors .....	95		
<b>7 Conclusions</b> .....	97		
Implications for service provision .....	97		
Recommendations for research .....	97		







## Glossary and list of abbreviations

Technical terms and abbreviations are used throughout this report. The meaning is usually clear from the context, but a glossary is provided for the non-specialist reader. In some cases, usage differs in the literature, but the term has a constant meaning throughout this review.

### Glossary

**Anastomosis** Surgical connection.

**Anoderm** Lining of the anal canal immediately inferior to the dentate line and extending for about 1.5 cm to the anal verge.

**Day-case surgery** Surgery with hospital stay less than 24 hours.

**Dentate line** A ring of tissue on top of the anal canal which separates the anus from the rectum.

**Disutility** The reduction in utility compared with a healthy population.

**Everting** Turning out the prolapsed haemorrhoidal tissue and taking it towards the lumen of the anal canal for resection during haemorrhoidectomy.

**Obturator** The central removable core of the staple gun's circular anal dilator which allows easy insertion of the tip into the anal canal and easy visibility of the anal canal during haemorrhoidopexy. The obturator is also used to push the prolapsed haemorrhoidal tissue back and lift it into place.

**PPH01** First package for Procedure for Prolapse and Haemorrhoids (PPH), produced by Ethicon Endo-Surgery (Johnson & Johnson), discontinued in 2004.

**PPH03** Second package for PPH, produced by Ethicon Endo-Surgery (Johnson & Johnson) in 2004.

**Premedication** Drugs, usually sedatives and/or analgesics, given several hours before anaesthesia/surgery.

**Pruritis** Itching.

**STRAM kit** An adaptor produced by Tyco to convert their stapler to be suitable to perform stapled haemorrhoidopexy.

**Submucosal** Layer of tissue below the mucous membrane.

**Submucosal anastomosis** The surgical connection of connective tissue that lies below the mucous membrane of the anal canal; connects the submucosal tissue of the proximal and distal parts of the anal canal above the dentate line once the prolapsed haemorrhoidal tissue is resected.

**Utility** A measure of the strength of an individual's preference for a given health state or outcome. Utilities assign numerical values on a scale from 0 (death) to 1 (optimal or 'perfect' health), and provide a single number that summarises health-related quality of life.

**List of abbreviations**

BP	bodily pain	M&M	Milligan–Morgan
CDSR	Cochrane Database of Systematic Reviews	NA	not applicable
CEAC	cost-effectiveness acceptability curve	NICE	National Institute for Health and Clinical Excellence
CENTRAL	Cochrane Central Register of Controlled Trials	NLH	National Library for Health
CH	conventional haemorrhoidectomy	NR	not reported
CI	confidence interval	NRR	National Research Register
CINAHL	Cumulative Index to Nursing and Allied Health Literature	OPCS	Office of Population Censuses and Surveys
CRD	Centre for Reviews and Dissemination	OR	odds ratio
DARE	Database of Abstract of Reviews of Effects	PF	physical functioning (SF-36)
EE-S	Ethicon Endo-Surgery	PPH	procedure for prolapse and haemorrhoids
EQ-5D	EuroQoL 5 Dimensions	PSSRU	Personal Social Services Research Unit
EVPI	expected value of perfect information	QALY	quality-adjusted life-year
GH	general health	RBL	rubber-band ligation
HCHS	Hospital and Community Health Services	RCT	randomised controlled trial
HES	Hospital Episode Statistics	RP	role–physical (SF-36)
HLB	Hospital Leopold Bellan	SCI	Science Citation Index
HODaR	Health Outcomes Data Repository	SD	standard deviation
HRG	Healthcare Resource Group	SE	standard error
HRQoL	Health-related quality of life	SF-36	Short Form 36
IBD	inflammatory bowel disease	SF-36 BP	SF-36 bodily pain
IBS	irritable bowel syndrome	SF-6D	Short Form 6 Dimensions
ICER	incremental cost-effectiveness ratio	SH	stapled haemorrhoidopexy
IQR	interquartile range	SIGN	Scottish Intercollegiate Guidelines Network
LOS	length of stay	TRIP	Turning Research Into Practice
		TTO	time trade-off
		VAS	visual analogue scale
		WMD	weighted mean difference

All abbreviations that have been used in this report are listed here unless the abbreviation is well known (e.g. NHS), or it has been used only once, or it is a non-standard abbreviation used only in figures/tables/appendices in which case the abbreviation is defined in the figure legend or at the end of the table.



## Executive summary

### Background

Haemorrhoids are inflammation or prolapse of the vascular tissues of the anal canal. They affect people of any age and gender; they most commonly occur between the ages of 45 and 65 years. Symptoms include rectal bleeding, pain, irritation and mucous discharge. Treatments include conservative management, non-excisional interventions and surgical haemorrhoidectomy. Haemorrhoidectomy is typically used when conservative management or non-excisional interventions fail. Approximately 8000 haemorrhoidectomies were performed in England in 2004/05. A range of techniques is used, including Milligan–Morgan, Ferguson, Parks, Fansler–Arnold and Fansler–Anderson; Milligan–Morgan is most commonly used in the UK. In 1998, Longo introduced a procedure called stapled haemorrhoidopexy (SH), which involves stapling haemorrhoids into their original position and excising excess haemorrhoidal tissue.

### Objective

The objective of this review was to determine the safety, clinical effectiveness and cost-effectiveness of circular SH for the treatment of haemorrhoids.

### Methods

A systematic review of the clinical and cost-effectiveness literature was conducted. Twenty-six electronic databases and Internet resources were searched from inception to July 2006, including MEDLINE, MEDLINE In Process, EMBASE, BIOSIS, CENTRAL, CINAHL and the HTA Database. Randomised controlled trials (RCTs) with 20 or more participants; comparing SH with any conventional haemorrhoidectomy (CH) technique; in people of any age with prolapsing haemorrhoids, for whom surgery is considered a relevant option, were used to evaluate clinical effectiveness. The main outcomes were pain, bleeding, prolapse and reintervention rate. Pooled odd ratios (ORs) or mean differences with 95% confidence intervals (CIs) were calculated using a random-effects model if there was no statistically

significant heterogeneity between more than three studies; where there were three or fewer studies included in the analysis, a fixed-effects model was used. An economic model of the surgical treatment of haemorrhoids was developed.

### Results

The searches identified 653 references, of which 147 full papers were retrieved and screened for relevance. The clinical effectiveness review included 27 RCTs ( $n = 2279$ ; 1137 SH; 1142 CH). All had some methodological flaws; only two reported recruiting patients with second, third and fourth degree haemorrhoids, and 37% reported using an appropriate method of randomisation and/or allocation concealment.

In the early postoperative period 95% of trials reported less pain following SH; by day 21 the pain reported following SH and CH was minimal, with little difference between the two techniques. Significantly fewer patients had unhealed wounds at 6 weeks following SH (OR 0.08, 95% CI 0.03 to 0.19,  $p < 0.001$ ). Residual prolapse was more common after SH (OR 3.38, 95% CI 1.00 to 11.47,  $p = 0.05$ , nine RCTs, results of a sensitivity analysis). There was no difference between SH and CH in the incidence of bleeding or postoperative complications. SH resulted in shorter operating times, hospital stay, time to first bowel movement and time to normal activity.

In the short term (between 6 weeks and a year) prolapse was more common after SH (OR 4.68, 95% CI 1.11 to 19.71,  $p = 0.04$ , six RCTs). There was no difference in the number of patients complaining of pain between SH and CH. Significantly fewer wounds remained unhealed at 6 weeks after SH (OR 0.08, 95% CI 0.03 to 0.19,  $p < 0.001$ , nine RCTs).

In the long term (over a year) there was a significantly higher rate of prolapse after SH (OR 4.34, 95% CI 1.67 to 11.28,  $p = 0.003$ , 12 RCTs). There was no difference in the number of patients experiencing pain, or the incidence of bleeding, between SH and CH.

There was no difference in the total number of reinterventions, or reinterventions for pain, bleeding or complications, between SH and CH. Significantly more reinterventions were undertaken after SH for prolapse at 12 months or longer (OR 6.78, 95% CI 2.00 to 23.00,  $p = 0.002$ , six RCTs).

Overall, there was no statistically significant difference in the rate of complications between SH and CH.

In the economic assessment it was found that, on average, CH dominated SH. However, CH and SH had very similar costs and quality-adjusted life-years (QALYs). On average, the difference in costs between the procedures was £19 and the difference in QALY was  $-0.001$ , favouring CH, over 3 years.

In terms of costs, the additional cost of the staple gun was largely offset by savings in operating time and hospital stay. In terms of QALYs, the superior quality of life due to lower pain levels in the early postoperative period with SH were offset by the higher rate of symptoms over the follow-up period, compared with CH. The results are very sensitive to modelling assumptions, particularly the valuation of utility in the early postoperative period.

The probabilistic sensitivity analysis showed that, at a threshold incremental cost-effectiveness ratio of £20,000–30,000 per QALY, SH had a 45% probability of being cost-effective.

### Limitations and uncertainties

No large, high-quality RCTs conducted in a representative population were located. There were limited data relating to recurrence and reintervention rates in the long term. There is currently no evidence relating to the efficacy of the PPH03 staple gun (Endo Ethicon-Surgery) or the Autosuture staple gun with the STRAM kit adaptor (Tyco Healthcare). Insufficient data were available for subgroups of patients (with different degrees of presurgery haemorrhoids, undergoing surgery as a day-case procedure, and co-morbid conditions) to assess the impact of these factors on outcomes. The main limitation of the economic study is the lack of directly observed utility data in the early postoperative period.

## Conclusions

SH was associated with less pain in the immediate postoperative period, but a higher rate of residual prolapse, prolapse in the longer term and reintervention for prolapse. There was no clear difference in the rate or type of complications associated with the two techniques. The absolute and relative rates of recurrence and reintervention for SH and CH are still uncertain.

CH and SH had very similar costs and QALYs, the cost of the staple gun being offset by savings in hospital stay. Should the price of the gun change, the conclusions of the economic analysis may change.

Some training may be required in the use of the staple gun; this is not expected to have major resource implications for the NHS. Given the currently available clinical evidence and the results of the economic analysis, the decision as to whether SH or CH is conducted could primarily be based on the priorities and preferences of the patient and surgeon.

## Recommendations for research

The following areas are recommended for further research.

- An adequately powered, good-quality RCT is required, comparing SH with CH, recruiting patients with second, third and fourth degree haemorrhoids, and having a minimum follow-up period of 5 years to ensure an adequate evaluation of the reintervention rate.
- The effectiveness of SH in patients with fourth degree haemorrhoids and patients with co-morbid conditions should be evaluated.
- All treatments for haemorrhoids (conservative, non-surgical and surgical) need to be reviewed, investigating and comparing reintervention rates.
- Research is needed into utilities up to 6 months postoperatively.
- The trade-offs of patients for short-term pain versus long-term outcomes should be assessed through a discrete choice experiment.
- The ability of SH to reduce hospital stays, by shortening inpatient admissions or increasing the proportion of day cases, should be explored in a real practice setting.

# Chapter I

## Background

### Description of health problem

#### Definition of haemorrhoids

Haemorrhoidal tissue is a normal component of the anal canal in any healthy individual. It is composed predominantly of vascular tissue, supported by smooth muscle and connective tissue.<sup>1</sup> The main haemorrhoidal cushions lie at the left lateral, right anterolateral and right posterolateral portions of the anal canal,<sup>2</sup> and function as a compressible lining which allows the anus to close completely.<sup>2</sup> The term haemorrhoid (or pile) is usually used to describe the enlargement of the vascular tissues, which become inflamed or prolapsed.<sup>1</sup> Haemorrhoids result from the hypertrophy of the haemorrhoidal plexus and pathological changes in the anal cushions.<sup>3,4</sup>

#### Epidemiology

Haemorrhoidal disease affects people of any age and gender, but its true prevalence has not been well documented.<sup>5,6</sup> The reported prevalence of haemorrhoids varies widely depending on the study population and the methods and definition used;<sup>7,8</sup> it is estimated to be between 4.4 and 24.5%.<sup>7,9</sup> However, this may be an underestimate, as many patients may have the disease but not consult a physician.<sup>6,9,10</sup>

Haemorrhoids most commonly occur between the ages of 45 and 65 years.<sup>9</sup> The risk of haemorrhoids increases in men until the age of 60 years, and then declines.<sup>7</sup> In women haemorrhoids are most common during the childbearing years,<sup>7</sup> with between 13 and 30% of women experiencing some degree of haemorrhoids following childbirth.<sup>11</sup> While it is thought that there is a higher rate of haemorrhoids in men,<sup>9</sup> some studies have reported a similar rate in men and women,<sup>7</sup> or a lower rate in men.<sup>8,12</sup> In 2004/05, the mean age of people undergoing haemorrhoidectomies in England was 53 years, and 53% of admissions were men.<sup>13</sup>

#### Aetiology and pathogenesis

The main cause of haemorrhoids is unknown,<sup>14</sup> but there is a well-recognised association with fibre intake, constipation, prolonged straining,<sup>15</sup> and hormonal changes and straining associated with

constipation during pregnancy.<sup>4</sup> Straining, and the passage of constipated stools, result in engorgement of the vascular tissues which, if prolonged, may result in the fragmentation of the connective tissue and subsequent haemorrhoidal prolapse. The prolapsed cushion is thought to have impaired venous return, causing dilatation of the plexus and venous stasis, and inflammation occurs with erosion of the lining epithelium, resulting in bleeding.<sup>4</sup>

There is some evidence to suggest that vascular dilatation and an increased arterial inflow contributes to the development of haemorrhoids, rather than being a consequence of haemorrhoid development.<sup>16</sup> Haemorrhoids have also been associated with chronic diarrhoea.<sup>15</sup>

If haemorrhoids develop during pregnancy, it tends to be in the third trimester.<sup>17</sup> Management should be as conservative as possible to avoid risks to the foetus,<sup>17</sup> with surgery only undertaken for intractable disease, and delayed until the foetus is viable.<sup>4</sup> Performing the procedure under local anaesthetic is considered to be the safest option.<sup>17</sup>

#### Classification of haemorrhoids

Haemorrhoids can be internal or external according to their position relative to the dentate line. The dentate line lies approximately 2 cm from the anal verge and demarcates the transition from the upper anal canal, lined with columnar epithelium, to the lower anal canal, lined with sensate squamous epithelium.<sup>4</sup> Internal haemorrhoids originate from the internal haemorrhoidal venous plexus of the anal canal above the dentate line, and external haemorrhoids originate from the external haemorrhoidal plexus below the dentate line.<sup>2,4</sup> Although this division is anatomical, rather than functional, it has implications for surgical treatment. This review focuses on the management of internal haemorrhoids.

Internal haemorrhoids are frequently classified into four categories depending on the degree of prolapse (*Table 1*).<sup>4</sup> Haas and colleagues reported that about 25% of haemorrhoids were grade III or IV.<sup>18</sup>

**TABLE 1** Classification of internal haemorrhoids<sup>4</sup>

Classification by severity	Characteristics	Treatment
Grade I (first degree)	Small, bleed at defecation, but no prolapse	Attention to bowel habit and avoidance of straining on defecation
Grade II (second degree)	Bleed and prolapse from anus at defecation, but reduce spontaneously	Initial treatment is usually rubber-band ligation or injection sclerotherapy. Where these interventions fail, surgery may be considered
Grade III (third degree)	Bleed, mucous discharge, prolapse, but can be manually reduced	Haemorrhoidectomy
Grade IV (fourth degree)	Bleed, mucous discharge, prolapse that cannot be manually reduced	Haemorrhoidectomy

This classification is of practical benefit as it is useful in determining treatment. It does, however, omit patients with internal haemorrhoids suffering from anal discomfort or soiling, or claiming a large cutaneous component, but having no prolapse or bleeding.<sup>14</sup> Lunniss and Mann have proposed a new classification by combining prolapse and bleeding with other symptoms,<sup>19</sup> but their classification is more complicated and perhaps more difficult for routine use in clinical management. It is not used generally and has not been used in this report.<sup>14</sup>

### Clinical presentation

The symptoms associated with enlarged internal haemorrhoids include rectal bleeding, perianal pain, discomfort, mucous discharge and perianal itching or irritation (referred to as pruritis and usually caused by discharge).<sup>3,4,6,14,20</sup> First degree haemorrhoids may present with only bleeding. An increase in the degree of haemorrhoids may increase the probability of other symptoms being present.<sup>14</sup>

Rectal bleeding appears to be the most common symptom associated with haemorrhoids.<sup>5</sup> Haemorrhoidal bleeding is bright red and usually noticed on wiping or in the toilet bowl.<sup>4</sup> In some patients the predominant clinical presentation is prolapse, where a mass is protruding through the anus, usually following a bowel action. In the early stages of the disease the prolapse is typically small and reduces spontaneously, but over time this may become larger and result in a persistent mass.<sup>5</sup> This may lead to leakage of mucus, which causes perianal irritation and discomfort.<sup>21</sup>

The epithelium covering the haemorrhoids is derived from the anoderm in the lower half of the anal canal and is sensitive to pain, whereas that of the upper half is derived from the rectal

epithelium and is relatively insensitive.<sup>1</sup> Therefore, internal haemorrhoids are not commonly associated with anal pain unless they become thrombosed, strangulated or acutely prolapsed.<sup>5</sup> Soiling may occur with third and fourth degree haemorrhoids as a result of impaired continence.<sup>4</sup> Haemorrhoids are frequently associated with anal skin tags, which may lead to difficulty with perianal hygiene.<sup>22</sup>

### Significance for NHS

In England in 2004/05, approximately 23,000 haemorrhoidal procedures were performed as hospital day-case or inpatient admissions, of which about 8000 were excisional surgery.<sup>13</sup>

### Current service provision

#### Management of disease

Patients with no bleeding or prolapse or with infrequent symptoms may not require any therapy.<sup>5</sup> For those who do require some form of management, the treatment of haemorrhoids can be classified as: conservative management; non-excisional interventions; and surgical haemorrhoidectomy.<sup>4,6</sup> The choice of treatment will depend on the severity and frequency of symptoms.<sup>5</sup>

#### Conservative management

Conservative management is the approach used when the symptoms are minor and do not interrupt the patient's normal activities. This includes attention to bowel habit and changes in diet and lifestyle, with fibre intake being the most common recommendation.<sup>5</sup> Although there is no conclusive evidence on the beneficial effect of fibre supplements, it is suggested that increasing fibre intake to soften stool combined with laxatives to relieve constipation will reduce straining.<sup>2,4</sup>

A range of ointments is available, which contain local anaesthetics, mild astringents or steroids, providing short-term relief from discomfort and irritation. However, these do not deal with the underlying problem, and continued use can cause eczema and sensitisation of the endoderm, and rectal absorption can lead to systemic side-effects.<sup>4</sup>

### **Non-excisional interventions**

Non-excisional interventions are generally used when haemorrhoidal symptoms do not respond to conservative management or when the symptoms on initial presentation would indicate that conservative management alone is unsuitable. Non-excisional interventions include rubber-band ligation (RBL), injection sclerotherapy, cryotherapy, infrared coagulation, laser therapy and diathermy coagulation.<sup>6</sup> Assessment of these interventions is beyond the scope of this review; further information can be found elsewhere.<sup>4,14,17,20,23</sup>

### **Surgical interventions**

If a non-excisional intervention fails to control symptoms, patients may be considered for surgical haemorrhoidectomy.<sup>6</sup> Third and fourth degree haemorrhoids are often treated by surgical intervention;<sup>6</sup> however, surgery is also considered for second degree haemorrhoids which have not responded to non-excisional interventions.<sup>6</sup> Surgery can be performed as a day-case, with suitability for a day-case procedure being judged by social factors, age, body mass index and comorbidity.<sup>24</sup>

The two most commonly conducted surgical techniques are open (Milligan–Morgan) and closed (Ferguson) haemorrhoidectomy.<sup>14</sup> These are surgical procedures using scalpel, diathermy or laser.<sup>6</sup> Milligan–Morgan is the most frequently used technique in the UK.<sup>25</sup> This involves grasping and everting the haemorrhoid and ligating the vascular pedicle. The wounds are left open to granulate, separated by bridges of skin and mucosa.<sup>4</sup> The Milligan–Morgan procedure is thought to be relatively safe and effective for managing advanced haemorrhoidal disease; however, because the anodermal wounds are left open, healing is delayed and may cause considerable discomfort and prolonged morbidity after the operation.<sup>22</sup>

The Ferguson technique is a modified version of the Milligan–Morgan technique, where excision and ligation are performed with the haemorrhoid in its anatomical position, and the wound is closed using a continuous suture in an attempt to

promote wound healing. This technique is more frequently used in the USA.<sup>4</sup>

The Parks submucosal haemorrhoidectomy is another technique that uses intra-anal incisions directly over each haemorrhoid, with anodermal flaps raised to either side of each incision, and the underlying haemorrhoidal tissue is excised. The flaps are loosely sutured together at the conclusion of the operation. No anoderm is excised along with the haemorrhoidal tissue during this technique.<sup>26,27</sup>

LigaSure is a haemostatic system that permanently seals blood vessels by transforming the collagen and elastin within vessels walls (Tyco Healthcare, Gosport, UK).<sup>28</sup> The LigaSure device is applied across the base of the haemorrhoid until coagulation of the tissue is complete; the haemorrhoid is then excised along the coagulated strip of tissue.<sup>29</sup> This method therefore differs from the open technique in that the wound is sealed, and from the closed technique in that sutures are not used to seal the wound.

Haemorrhoidal artery ligation operation (HALO) is a new surgical technique during which Doppler ultrasound is used to locate the artery supplying the prolapsed haemorrhoid, and a suture is positioned around the artery, cutting off the blood supply to the haemorrhoid. Over time, the haemorrhoidal tissue shrivels, so relieving symptoms.<sup>30,31</sup>

There is currently no consensus as to which intervention is 'best practice'. Methods used in all surgical haemorrhoidectomies [collectively referred to as conventional haemorrhoidectomy (CH)] are subject to adaptations, resulting in a wide variation in the surgical techniques used to treat haemorrhoids between countries, institutions and even surgeons within the same institution.

A range of postoperative complications is associated with CH. Short-term complications include urinary retention,<sup>4,26</sup> bleeding<sup>4,26,32–34</sup> and perianal sepsis.<sup>4</sup> Long-term complications include anal fissure,<sup>32</sup> anal stenosis,<sup>26,32,33,35,36</sup> incontinence,<sup>4,26</sup> anal fistula, external haemorrhoidal thrombosis<sup>32</sup> and the recurrence of haemorrhoidal symptoms.<sup>37,38</sup>

## **Description of technology under assessment**

Stapled haemorrhoidopexy (SH) is a new alternative to CH introduced by Longo in 1998.<sup>39</sup>

The original technique involved stapling haemorrhoids into their original position, and leaving the haemorrhoidal tissue to shrivel over time. Residual haemorrhoidal tissue, however, is prone to thrombosis and infection. Pain, bleeding and discharge can also recur.<sup>40</sup> Therefore, the technique was modified so that haemorrhoidal tissue was repositioned and excess prolapsing tissue excised.<sup>40</sup> Several terms are synonymous with SH, including procedure for prolapse and haemorrhoids (PPH), stapled mucosectomy, stapled prolapsectomy and stapled haemorrhoidectomy.

During SH, a stapling device is passed into the anal canal, which simultaneously excises excess prolapse and creates a submucosal anastomosis and a closed wound high in the anorectum.<sup>6</sup> The insertion of the anal dilator causes the reduction of the prolapse of the anoderm and parts of the anal mucous membrane. The prolapsed mucous membrane falls into the lumen of the anal dilator once the obturator is removed. As the anal dilator is transparent, the dentate line can be visualised.<sup>41</sup> A pursestring suture is placed 4–6 cm from the anal verge, proximal to the dentate line.<sup>25,41</sup>

The pursestring suture and its correct placement are thought to control the volume of tissue drawn into the centre of the stapler chamber. Incorrect placement of the suture can lead to problems such as an incomplete excision of excess tissue; the inclusion of perirectal fat; or a staple line too close to the dentate line, which may increase pain and the risk of anal stenosis.<sup>42</sup> Once the pursestring suture is in place, the circular stapler is introduced to the anus. The stapler is opened to its maximum position, and the head positioned proximal to the suture. The suture is tied with a closing knot and the ends are pulled through the lateral holes of the stapler. It is knotted externally or fixed using a clamp, and tightened onto the shaft.<sup>41</sup> The entire casing of the stapler is introduced into the anal canal, and moderate traction put on the pursestring to draw the prolapsed mucous membrane into the casing of the stapler. The instrument is then tightened and fired to staple the prolapse. When the gun is fired, a double row of titanium staples is released and a knife within the head of the gun excises the excess rectal mucosa.<sup>25</sup> The stapler is kept closed for approximately 20 seconds after firing to promote haemostasis. The staple line should be examined and absorbable sutures used if bleeding from the staple line occurs.<sup>41</sup> Most of the staples used to create the anastomosis fall out after a few weeks, but some are retained and incorporated into the

scar tissue, usually without any adverse effects. The procedure is described in detail and illustrated by Corman and colleagues (2003).<sup>43</sup>

One advantage of SH is the lack of anal wounds.<sup>44</sup> In addition, stapled haemorrhoidopexy aims to resect only rectal mucosa. However, some studies have reported circular muscle, myentric plexus, longitudinal muscle<sup>45,46</sup> and squamous epithelium in the excised tissue.<sup>46</sup> This is thought to be due to the pursestring suture being placed too low or too deep, and may become less common with increased experience in conducting SH.<sup>46</sup> It is recommended that the stapler should not be used where the combined tissue thickness is less than 1.0 mm or greater than 2.5 mm, as an inadequate mucosal repair and inadequate haemostasis may result. In addition, the internal diameter of the rectum must be sufficient to accommodate the instrument and accessories, precluding its use in anal stenosis.

A range of postoperative complications is associated with SH. Many are the same as with CH: urinary retention,<sup>4,44</sup> bleeding,<sup>3,4,32,44</sup> perianal sepsis,<sup>3,44</sup> anal fissure, incontinence,<sup>4</sup> anal fistula, external haemorrhoidal thrombosis<sup>32,44</sup> and the recurrence of haemorrhoidal symptoms. There is also a risk of sphincter damage,<sup>32,44</sup> anastomotic stricture, the equivalent of anal stricture sometimes experienced after CH,<sup>32,44,47</sup> rectal obstruction,<sup>48</sup> proctitis<sup>49</sup> and perirectal haemotoma.<sup>50</sup> SH is thought to be more commonly associated with pelvic/perianal sepsis,<sup>3,4,44,51–55</sup> rectal perforation<sup>56,57</sup> and rectovaginal fistula,<sup>3,44</sup> but may reduce the incidence of incontinence.<sup>44</sup>

- Pelvic sepsis is likely to occur after full-thickness rectal injury, and may be a result of the incorporation of gas-producing organisms in the perianal space during the anastomosis, subcutaneous necrosis or rectovaginal fistula.<sup>44</sup>
- Rectovaginal fistula/rectal perforation occurs as a result of trapping the vaginal wall in the staple line. There is also a risk of entrapping a peritoneocele or enterocele in the pursestring, particularly in women who have had a hysterectomy.<sup>44</sup>
- Injuries to the internal anal sphincter can be a result of a full-thickness excision to the rectal wall, or stretching of the anal sphincter by the stapler head.<sup>25</sup> During SH, anal stenosis may be avoided by the use of a larger sized stapler, and the avoidance of the use of a narrow stapler in people with a narrow anal canal, who should undergo an alternative intervention.<sup>44</sup>



- The risk of incontinence is thought to be reduced with SH, as the venous cushions are left intact, as opposed to healing with scar tissue production as after CH.<sup>44</sup>

Compared with CH, SH is thought to cause less postoperative pain and bleeding,<sup>3</sup> reduce operative time and length of hospital stay, and allow a shorter convalescence. The reduction in the degree of postoperative pain may be the main reason why SH is fairly common in Europe.<sup>58</sup> The safety and clinical effectiveness of this technique, particularly in the long term (recurrence and incontinence), and its cost-effectiveness, need to be appraised.<sup>4,11</sup>

### Device development

The first attempts at treating haemorrhoids using a staple gun were undertaken using linear staplers.<sup>59-61</sup> These staplers were designed for use during other gastrointestinal operations, and there was difficulty gaining access to the anal canal.<sup>62</sup> As a result of these early attempts, adapters for linear staplers and circular staplers were developed. Tyco Healthcare produced an adaptor for their Autosuture instrument called the STRAM kit. In contrast, Ethicon Endo-Surgery (EE-S; Johnson & Johnson) developed a circular stapler specifically for haemorrhoidopexy. The first of these was the HCS33 stapler in 1999, which came as part of the PPH01 pack. PPH01 was replaced in 2004 by PPH03, which differed by its ability to adjust the closed staple height down to 0.75 mm, rather than 1 mm, and the provision of clear plastic accessories to assist visualisation of the staple line.

### Current usage in the NHS

It is thought that approximately 1500 SHs were conducted in the UK between 1998 and 2002.<sup>25</sup>

### Anticipated costs associated with intervention

Several studies have compared the cost of SH and CH.<sup>45,63,64</sup> Ho and colleagues<sup>63</sup> and Kirsch and colleagues<sup>64</sup> found that SH is more expensive than conventional surgery. Wilson and colleagues,<sup>45</sup> however, found SH to be less expensive than CH owing to a reduced operating time and length of hospital stay. They also suggested that patients undergoing SH may return to work earlier than after CH.<sup>45</sup>

The mean cost of inpatient elective anal surgery was £1127 and varied between £900 and £1425 in 2005/06 in NHS hospitals, based on an intermediate anal procedure cost without

complications. The associated length of stay (LOS) was 1.51 days on average.<sup>65</sup> If performed as a day-case procedure, based on an intermediate anal procedure cost without complications, the mean cost was £750 and varied between £554 and £937.<sup>65</sup> The SH operation is associated with higher equipment costs since it includes the cost of a staple gun, which is approximately £420 per case.<sup>66</sup> However, Farinetti and Saviano<sup>67</sup> found that, on average, the SH operation was associated with a shorter operation time than CH, which offset the higher equipment costs associated with this procedure. The cost of the hospital stay contributes to the total cost of the operation. If it can be successfully performed as a day-case procedure rather than as an inpatient procedure, there may be potential for offsetting cost savings.

### Important subgroups of patients with reference to SH

#### Co-morbid conditions

Certain co-morbid conditions have been identified that require a modification in the treatment of haemorrhoids. The success of SH and CH may be reduced, or in some cases contraindicated, by the presence of conditions such as Crohn's disease, HIV, inflammatory bowel disease (IBD) and irritable bowel syndrome (IBS), acute inflammatory episodes of the large bowel and incontinence.<sup>4,17,20</sup> Treatment should be undertaken once perianal sepsis and inflammation are controlled, and surgery conducted on a selective basis with antibiotic cover.<sup>4</sup> People with HIV, particularly those with AIDS, should preferably be treated conservatively, owing to the risk of septic complications and the potential for delayed wound healing.<sup>4</sup> A conservative approach to the management of haemorrhoids in patients with chronic liver disease or cirrhosis has been advised, owing to portal hypertension, associated rectal varices, impaired coagulation and poor nutritional status.<sup>17</sup>

#### Different degrees of haemorrhoids before surgery

Patients may respond differently to haemorrhoidal surgery depending on the severity of their disease. There is some controversy as to the suitability of SH in those with fourth degree haemorrhoids, with some thinking that SH may be more suitable for the treatment of third degree haemorrhoids.<sup>66</sup> The reasons highlighted for not using SH on people with fourth degree haemorrhoids have been the difficulty gaining access to the anal canal,<sup>25</sup> difficult placement of the pursestring suture,<sup>68</sup> excess tissue to be excised being too bulky to fit into the housing of the staple gun<sup>25</sup>

and incomplete mucosal resection resulting in residual prolapse.<sup>68</sup> However, evidence to support these views has been lacking.

***Patients undergoing a first or repeated surgery***

Success of surgery may differ depending on whether a patient is undergoing a first or a repeated surgery and the type of previous operation. Recurrent haemorrhoidal symptoms may be less severe than the original symptoms, probably owing to the removal of haemorrhoidal tissue. The majority of the patients with recurrent symptoms will respond to conservative or non-surgical therapies; however, if the symptoms are not controlled by these therapies, reoperation will need to be considered. It is unclear how suitable SH is as a repeat procedure, and whether the efficacy of SH will differ when undertaken as the repeated operation following SH or CH.

***Day-case versus inpatient surgery and use of local, regional or general anaesthesia***

Both SH and CH can be, and are, conducted as day cases. Length of hospital stay may be dependent on several factors, including when the study was conducted, type of anaesthesia and type of procedure used. Older studies may use general anaesthesia more frequently, and report longer hospital stays. SH may be more suitable for local and regional anaesthesia and day-case procedures as there are no open wounds on the anoderm, the sensitive part of the anus, and therefore pain may be expected to be less. However, some argue that the wounds left by CH can be infiltrated with local anaesthetic and therefore negate any difference in relation to this. These are important issues, as type of anaesthesia and length of hospital stay may have a significant impact on surgical costs and outcomes.

## Chapter 2

# Definition of decision problem

### Decision problem

The potential reduction in operating time, hospital stay, time to return to work and postoperative pain makes SH seem an attractive alternative to CH for the treatment of internal haemorrhoids. However, uncertainties over the incidence of complications, recurrence of haemorrhoidal symptoms and the requirement for reintervention in the longer term, together with uncertainty over the cost-effectiveness of SH relative to CH, at present preclude a recommendation for the introduction of SH across the NHS.

To investigate these uncertainties and attempt to inform practice, a systematic review of the clinical evidence is required. The evidence reviewed should be from randomised controlled trials (RCTs) that compare SH with CH, in people of any age with prolapsing haemorrhoids for whom surgery is considered a viable option. Prolapse, pain, bleeding and reintervention rates should be considered the main outcomes. Other outcomes

evaluated should include operating time, duration of hospital stay, wound healing, time to first bowel movement and complications. Subgroups of interest include patients with fourth degree haemorrhoids or co-morbid conditions, and those undergoing repeat procedures.

An economic evaluation is required that considers the clinical and cost outcomes from the NHS and personal social services perspective. Attempts should be made to identify not only subgroups of individuals, but also conditions and settings of care (e.g. inpatient or day-case procedure; general or local anaesthesia), where the technology is particularly clinically effective and cost-effective or contraindicated.

### Overall aims and objectives of assessment

The aim of this review is to determine the safety, clinical effectiveness and cost-effectiveness of circular SH for the treatment of haemorrhoids.



# Chapter 3

## Assessment of clinical effectiveness

### Methods for reviewing clinical effectiveness

#### Search strategy

##### Resources searched

The following resources were searched to retrieve papers relating to SH. No language or date restrictions were applied. However, SH was introduced in 1998; therefore, trials evaluating this technology would not be located before this date. A range of free-text terms and subject headings was used to provide a focused strategy, and a variety of search strategies was used (details of the search strategies used are presented in Appendix 1):

- databases of systematic reviews
  - Cochrane Database of Systematic Reviews (CDSR) (Cochrane Library: <http://www.library.nhs.uk/>)
  - Database of Abstracts of Reviews of Effects (DARE) (CRD Internal Database)
- health/medical-related databases
  - BIOSIS (EDINA: discontinued 31 July 2006)
  - CENTRAL (Cochrane Central Register of Controlled Trials) (Cochrane Library: <http://www.library.nhs.uk/>)
  - Cumulative Index to Nursing and Allied Health Literature (CINAHL) (OvidWeb: <http://gateway.ovid.com/athens>)
  - EMBASE (OvidWeb: <http://gateway.ovid.com/athens>)
  - Health Technology Assessment Database (HTA) (CRD internal database)
  - MEDLINE (OvidWeb: <http://gateway.ovid.com/athens>)
  - MEDLINE In Process and other non-indexed citations (OvidWeb: <http://gateway.ovid.com/athens>)
  - Science Citation Index (SCI) (Web of Knowledge: <http://wos.mimas.ac.uk/>)
- databases of conference proceedings
  - ISI Proceedings: science and technology (Web of Knowledge: <http://wos.mimas.ac.uk/>)
  - Zetoc Conferences (MIMAS: <http://zetoc.mimas.ac.uk/>)
- databases for ongoing and recently completed research
  - ClinicalTrials.gov (<http://www.clinicaltrials.gov/>)
  - MetaRegister of Controlled Trials (<http://www.controlled-trials.com/>)
  - National Research Register (NRR) (<http://www.update-software.com/national/>)
- clinical guidelines and systematic reviews resources
  - Clinical Evidence (BMJ Publishing Group)
  - Health Evidence Bulletin Wales (<http://hebw.cf.ac.uk>)
  - National Guideline Clearinghouse (<http://www.guideline.gov/>)
  - National Institute for Health and Clinical Excellence (NICE) (<http://www.nice.org.uk/>)
  - National Library for Health (NLH) Guidelines Finder (<http://www.library.nhs.uk/guidelinesfinder/>)
  - Scottish Intercollegiate Guidelines Network (SIGN) (<http://www.sign.ac.uk/>)
  - Turning Research Into Practice (TRIP+) (<http://www.tripdatabase.com/index.html>)
- topic-specific websites
  - American Society of Colon and Rectal Surgeons (<http://ascrs.affiniscape.com/index.cfm>)
  - Association of Coloproctology of Great Britain and Ireland (<http://www.acpgbi.org.uk>)
  - Association of Surgeons of Great Britain and Ireland (<http://www.asgbi.org.uk/>)
  - Digestive Disorders Foundation (<http://www.digestivedisorders.org.uk>)
  - Hemorrhoids File (<http://www.lifestages.com/health/hemorrhho.html>).

#### Inclusion and exclusion criteria

Two reviewers independently screened all titles and abstracts (JB, AB). Full paper manuscripts of any studies thought to be potentially relevant by either reviewer were obtained. The relevance of each study was assessed according to the criteria stated below. A table of retrieved studies that appeared relevant but were excluded during the screening process is provided in Appendix 2. Any discrepancies were resolved by consensus, or where consensus could not be reached, a third reviewer was consulted (NW).

For any study retrieved only as an abstract, authors were contacted to request additional information. Where additional information was not obtained, abstracts were included only if sufficient outcome

data were available. Studies in any language were included as long as a translator was available.

### **Study designs**

RCTs with 20 or more participants were used to evaluate efficacy. Studies with fewer than 20 participants were excluded, as these are likely to be underpowered, particularly for rarer outcomes, and of poorer quality.

### **Interventions and comparators**

The intervention of interest was SH and the comparator of interest was CH. Studies comparing circular SH (also called PPH, stapled mucosectomy, stapled prolapsectomy and stapled haemorrhoidectomy) with any conventional surgical haemorrhoidectomy where excision is conducted using scalpel, scissors or diathermy were included in the review. Studies comparing SH with non-excisional interventions were excluded.

Studies evaluating haemorrhoidopexy undertaken using a linear stapler were excluded, as linear staplers were designed for use in gastrointestinal operations other than haemorrhoidectomy, and difficulty gaining access to the anal canal makes it a less suitable technique than circular SH.<sup>62</sup>

In the protocol, it was stated that studies evaluating the use of circular staple guns for haemorrhoidopexy would be included in the review. Once studies evaluating SH had been retrieved, it became apparent that a range of staple guns was used: PPH01, PPH33, ILS33, CDH33 and Autosuture®. The authors investigated what type of gun each of these codes referred to, to ensure that they were all circular staplers suitable for SH. ILS33 and CDH33 are circular staplers produced by EE-S (Johnson & Johnson); however, they are not designed to perform an SH. Autosuture (Tyco Healthcare) is a stapler that can be converted for use during SH with an adaptor called the STRAM kit. On this information, studies evaluating ILS33, CDH33 and Autosuture without the STRAM kit adaptor were excluded from the review, as they are not designed for conducting SH. The use of the STRAM kit had to be confirmed either in the paper or by contact with the authors for the data to be included in the review.

Studies reporting the use of the HCS33 were classified as using PPH01, as the HCS33 was the first stapler to be produced by EE-S, and was part of the PPH01 package. Where studies stated the use of PPH33 or PPH, the decision to classify as PPH01 or PPH03 was made using the trial or

publication date. PPH03 was introduced in 2004, and PPH01 discontinued. Therefore, any trials undertaken or published in 2003 or before were classified as PPH01. Any trials conducted in 2005 and later were classified as PPH03. Studies stating that they used CAD33, the circular anal dilator that is contained in the PPH01 and PPH03 packages, were also categorised as PPH01 or PPH03 depending on the trial dates or date of publication, as above. Where the trial dates were not reported, and the publication date led to ambiguity, the trial authors were contacted. For those studies where information could not be obtained the gun used was classified as PPH-unspecified. The impact of the results of studies where the type of gun used was not reported or was categorised as PPH-unspecified was investigated using sensitivity analyses if heterogeneity was observed as a result of including these studies.

In summary, studies evaluating either PPH01 or PPH03 (EE-S) or Autosuture using the STRAM kit (Tyco Healthcare) were eligible for inclusion. No other staplers designed for SH were identified.

### **Population**

Trials of people of any age with prolapsing haemorrhoids, including those with haemorrhoids that reduce spontaneously, for whom surgery was considered a relevant option were included in the review. Trials of patients undergoing emergency procedures for thrombosed haemorrhoids were excluded.

### **Outcomes**

Outcomes were classified as perioperative/postoperative (<6 weeks), short term (>6 weeks to <12 months), 12 months and long term (>12 months). Where studies reported continuous outcomes as medians and ranges, authors were contacted for mean and standard deviation (SD). Overall patient satisfaction, indicating a preference for one or other technique or no preference, was extracted at each time-point if reported. A full list of outcomes extracted at each time-point is provided in Appendix 3.

### **Perioperative/postoperative outcomes (within 6 weeks)**

Six weeks was chosen for the perioperative/postoperative follow-up period as pain and discomfort can last for 3–4 weeks, particularly after CH. The primary outcomes were pain and bleeding. Secondary outcomes included residual prolapse, operating time, duration of hospital stay, wound healing, time to first bowel movement and complications (urinary retention or infection).

Prolapse was not a primary outcome within this time-frame as patients are often too tender for rectal examination; although some studies may report residual prolapse, it could not be expected that this would be consistent across studies.

#### *Pain*

The time at which people often report the most severe pain is 2–4 days postoperatively, as any effects of local anaesthetics applied to the wounds cease. It would have been ideal to extract the number of days that analgesia was required by patients in each arm of the trial, irrespective of the route of administration or dose. However, these data were lacking in most studies, with pain scores, the mean number of tablets/injections required (often with no indication of period or effectiveness) or the number of patients requiring different types of analgesia being more commonly reported. Therefore, the visual analogue scale (VAS) scores and number of patients requiring different types of analgesia were extracted. All VAS scores were converted to a 10-mm scale and the values closest to 3 days and 14 days extracted. A mean score for the first 7 days was considered an acceptable value for the 3-day value. A mean score encompassing days between 10 and 20 days postoperatively was considered an acceptable value for the 14-day score. The types of analgesia administered were classified as opioid injections, other injections, opioid oral analgesia and other oral analgesia.

#### *Skin tags*

Skin tags that remain after SH can cause pruritis and difficulty with personal hygiene. The only treatment is to excise them, but they are located on the sensitive anoderm, making the procedure painful. Although skin tags can cause serious irritation to some patients, they cause no problems for many; data on their incidence were not extracted. However, to gain an insight into the incidence of troublesome skin tags, the number of reinterventions undertaken for their excision at subsequent time-points was extracted. In addition, the excision of skin tags as a concomitant procedure during the initial surgery was noted, as this may impact on the pain experienced by patients postoperatively.

#### *Bleeding*

Where reported, the total number of patients with any bleeding episode, and the number requiring intervention were extracted separately.

#### *Wound healing*

Where reported, wound healing was recorded at both 6 and 12 weeks. The number of wounds

healed at 6 weeks will give an indication as to the technique most likely to have delayed wound healing, and the number healed at 12 weeks will indicate the number of wounds not healing due to complications.

#### *Duration of hospital stay*

Day case was defined as being discharged from hospital within 24 hours of admission.

#### *Infection*

Wound and systemic infections were extracted separately. Patients reported as having a fever were presumed to have a systemic infection. Any studies just reporting 'number of patients with infection' were assumed to have wound infection.

#### *Anal stenosis and anastomotic stricture*

Anal stenosis (narrowing of the anal sphincter) is a complication that may be experienced after CH, and anastomotic stricture (narrowing at the staple line/anastomosis) after SH. These were considered equivalent outcomes for the two procedures and were directly compared.

#### **Short-term outcomes (up to 12 months; nearest to 6 months)**

The primary outcomes were prolapse, pain and bleeding. Secondary outcomes were the need for further intervention (for symptoms or complications), incontinence, urgency and assessment of quality of life. Although faecal urgency and faecal incontinence are both a result of sphincter dysfunction, these were extracted separately because of their different impact on the patient and potential for treatment. Squeeze and resting pressures are also measures of sphincter function (resting pressure indicates the ability to maintain passive continence, and squeeze pressure to delay defecation), but these were not extracted as they are recorded using a range of techniques and measures, and the outcomes of faecal urgency and incontinence are more relevant to the current review.

#### **Outcomes at 12 months**

The primary outcomes were prolapse, pain and bleeding and the need for further intervention. Secondary outcomes included incontinence and assessment of quality of life.

#### **Long-term outcomes (>12 months)**

The primary outcome was recurrent prolapse. Secondary outcomes included bleeding, incontinence, anal stenosis and the need for further intervention. Long-term outcomes at all

time-points beyond 12 months were extracted owing to the paucity of such data.

### Data extraction strategy

All data relating to both study design and quality were extracted by one reviewer and independently checked for accuracy by a second (JB, AB). Disagreements were resolved through consensus, or where consensus could not be reached, a third reviewer was consulted (NW). Non-English-language studies were extracted by one reviewer (JB) along with a native speaker of that language. Where multiple publications of the same study were identified, data were extracted and reported as a single study. A list of the type of data extracted at each time-point is provided in Appendix 3.

### Quality assessment strategy

The quality of the individual studies was assessed by one reviewer and independently checked by a second (JB, AB). Disagreements were resolved through consensus, or where consensus could not be reached, a third reviewer was consulted (NW). The quality of RCTs was assessed using standard checklists adapted to incorporate topic-specific quality issues.<sup>69</sup> The checklist is provided in Appendix 4, together with the guidelines used to score each criterion.

### Data analysis

Odds ratios (ORs) and 95% confidence intervals (CIs) were calculated for dichotomous outcomes. Mean differences and 95% confidence intervals were calculated for continuous outcomes. Data are reported separately for each outcome measure. All meta-analyses were conducted in RevMan 4.2.9 (Cochrane Collaboration). Pooled odds ratios and 95% CIs were calculated for dichotomous outcomes, and weighted mean differences (WMDs) and 95% CIs for continuous outcomes. Heterogeneity was assessed using the  $\chi^2$  test and  $I^2$  statistic.

Studies were pooled in primary analyses if there was no statistically significant heterogeneity between studies. A random-effects model was used, unless there were three or fewer studies included in the analysis, in which case a fixed-effect model was used. Sources of heterogeneity, such as patient population and quality criteria, were investigated by visual inspection of the forest plots and explored further using sensitivity analyses. Possible effects of study quality on the effectiveness data and review findings are discussed. For the primary outcomes (pain, prolapse, bleeding), sensitivity analyses were

conducted to explore the impact of the high losses to follow-up. For both primary and secondary outcomes, sensitivity analyses were conducted to explore the impact of outlying results.

The relationship between VAS pain score, days from primary surgery and treatment was explored further using Bayesian metaregression (Appendix 5). A metaregression was undertaken to include the covariate 'time from surgery' in the analysis; however, the primary aim was to find a relationship not between time and the treatment effect, but between time and the VAS 'baseline' (i.e. after conventional surgery); to start from 'prior' information about the parameters of interest, and update these priors using the data. In this case a Bayesian analysis was undertaken because 'Bayesian' software (Winbugs) used to fit the model is extremely flexible and allows the choice of many different distributions for the regression.

Predefined subgroups of interest included: degree of haemorrhoid before surgery; patients undergoing a first or repeated surgery; local, regional or general anaesthetic; and the presence of co-morbid conditions. An attempt was made to determine any differences in outcome when the procedures were conducted as day-case or inpatient surgery, to determine whether either technology is more suited to be undertaken as day-case surgery. It was anticipated that insufficient data would be obtained to investigate the presence of co-morbid conditions, as they were likely to be excluded from studies.

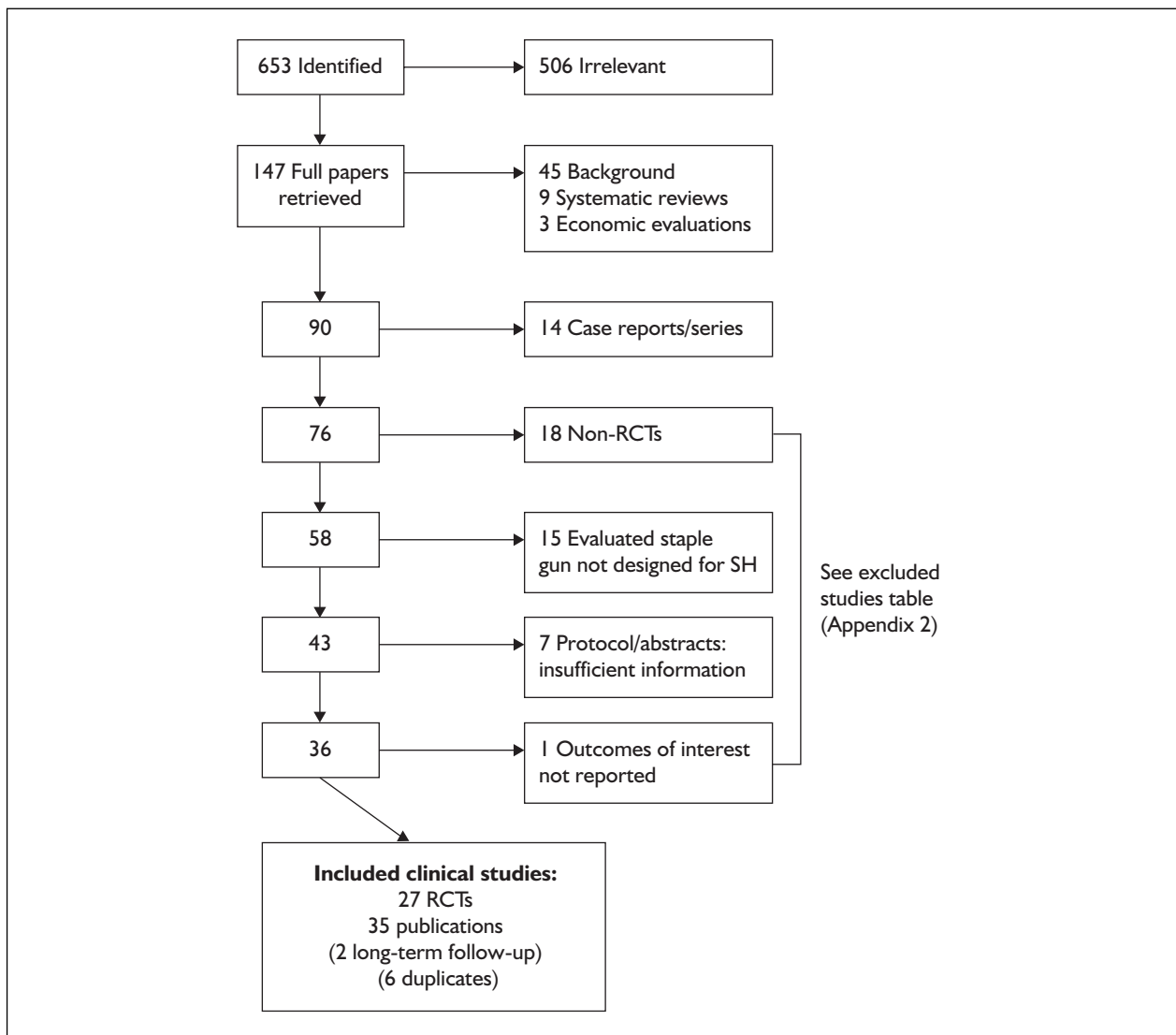
The company submission consisted of a review of clinical data already in the public domain, therefore confidentiality was not an issue for this review.

## Results of review of clinical effectiveness

### Quantity and quality of research available

The electronic searches and handsearches retrieved 653 references. Of these, 147 full papers considered potentially relevant to the review of clinical effectiveness were retrieved and screened for relevance. Twenty-seven RCTs, reported in 35 publications, met the inclusion criteria. Two publications were the long-term follow-up of RCTs reported as full manuscripts,<sup>70,71</sup> and two abstracts reported different outcomes from the same RCT.<sup>72,73</sup> The flow of studies through the review is shown in *Figure 1*.





**FIGURE 1** Flow of studies through the review. The total number of participants was 2279; 1137 received SH and 1142 received CH.

Four RCTs were included in languages other than English: two German,<sup>74,75</sup> one Italian<sup>76</sup> and one Chinese.<sup>77</sup> Two RCTs were only available as abstracts.<sup>72,73,78</sup> Four RCTs related to trials conducted in the UK,<sup>45,72,73,78,79</sup> 15 in other European countries,<sup>28,70,74–76,80–90</sup> one in the USA,<sup>91</sup> four in Asia,<sup>63,71,77,92,93</sup> one in India,<sup>94</sup> one in Saudi Arabia<sup>95</sup> and one in Mexico.<sup>96</sup>

The main characteristics of the included trials are summarised in *Table 2*, with data extraction tables provided in Appendix 6.

Six RCTs did not report the staple gun used.<sup>72–74,76,78,81,96</sup> The remaining 21 RCTs used PPH01. Twenty studies used Milligan–Morgan as the CH technique, with diathermy<sup>63,70,71,76,79,82,84–86,88,89,93</sup> or without diathermy.<sup>28,45,77,80,81,83,87,92,94,95</sup> One study using

Milligan–Morgan reported using Fansler–Arnold segmental plastic reconstruction in six patients.<sup>28</sup> Six studies used the Ferguson technique.<sup>72,73,78,90,91,96</sup> One study used the Parks and Fansler–Arnold techniques,<sup>74</sup> and one study used the Fansler–Anderson technique.<sup>75</sup>

Twenty-three studies reported the degree of haemorrhoids experienced by patients before surgery. Only three studies recruited the full spectrum of patients eligible for surgery: grade II, III and IV haemorrhoids.<sup>85,93,95</sup> Of the other studies, eight studies included patients with grade III and IV degree haemorrhoids,<sup>28,70,74,77,84,86,89,94,96</sup> four studies included patients with grades II and III,<sup>71,76,80,90</sup> six were restricted to patients with grade III,<sup>45,75,81,82,91,92</sup> and two were restricted to patients with grade IV haemorrhoids (*Table 2*).<sup>87,88</sup>

TABLE 2 Main characteristics of the included studies

Study	Participants			Interventions
	Number	Population	Degree of haemorrhoids	
Ascanelli, 2005 <sup>76</sup> Trial dates: Start: 2001 Finish: 2003	Total: 100 SH: 50 CH: 50	Age: Range: 30–73 Number male: 21	Grades included: II+III Grade II: NR Grade III: NR	Staple gun: Mechanical suture Comparator: M&M + diathermy Anaesthesia: SH: Combination CH: Combination
Basdanis, 2005 <sup>84</sup> Trial dates: Start: 2000 Finish: 2002	Total: 95 SH: 50 CH: 45	Age: Range: 22–72 Number male: 54	Grades included: III+IV Grade III: 73 Grade IV: 22	Staple gun: PPH01 Comparator: M&M + diathermy and LigaSure Anaesthesia: SH: Combination CH: Combination
Bikhchandani, 2005 <sup>94</sup> Trial dates: Start: 2001 Finish: 2003	Total: 84 SH: 42 CH: 42	Age: Mean: 47 Variance: NR Number male: 70	Grades included: III+IV Grade III: 71 Grade IV: 13	Staple gun: PPH01 Comparator: M&M Anaesthesia: SH: Regional CH: Regional
Boccasanta, 2001 <sup>87</sup> Trial dates: Start: 1996 Finish: 1999	Total: 80 SH: 40 CH: 40	Age: Mean: 51 Range: 21–92 Number male: 33	Grade included: IV Grade IV: 80	Staple gun: PPH01 Comparator: M&M + HLB Anaesthesia: SH: Combination CH: Combination
Cheetham, 2003 <sup>79</sup> Trial dates: NR	Total: 31 SH: 15 CH: 16	Age: Range: 26–72 Number male: 22	Grade included: NR All participants had symptomatic prolapsing haemorrhoids	Staple gun: PPH01 Comparator: M&M + diathermy Anaesthesia: SH: General CH: General
Chung, 2005 <sup>92</sup> Trial dates: Start: 2001 Finish: 2003	Total: 88 SH: 43 CH: 45	Age: Mean: 45.7 Variance: NR Number male: 59	Grade included: III Grade III: 88	Staple gun: PPH01 Comparator: M&M + Harmonic Scalpel Anaesthesia: SH: Combination CH: Combination

continued

TABLE 2 Main characteristics of the included studies (cont'd)

Study	Participants			Interventions
	Number	Population	Degree of haemorrhoids	
Correa-Rovelo, 2002 <sup>96</sup> Trial dates: NR	Total: 84 SH: 42 CH: 42	Age: Mean: 45.15 Range: 27–77 Number male: 41	Grades included: III+IV Grade III: 60 Grade IV: 24	Staple gun: NR  Comparator: Ferguson  Anaesthesia: SH: Combination CH: Regional
Docherty, 2001 <sup>78</sup> Trial dates: NR	Total: 46 SH: 26 CH: 20	Age: NR Number male: NR	Grades included: NR	Staple gun: NR  Comparator: Ferguson  Anaesthesia: SH: NR CH: NR
Gravie, 2005 <sup>83</sup> Trial dates: Start: 1999 Finish: 2000	Total: 126 SH: 63 CH: 63	Age: Mean: 47.5 Variance: NR Number male: NR	Grades included: NR 85% had reducible prolapse, 5% had non- reducible and five patients had no prolapse	Staple gun: PPH01  Comparator: M&M  Anaesthesia: SH: NR CH: NR
Hasse, 2004 <sup>75</sup> Trial dates: Start: 1998 Finish: 2001	Total: 80 SH: 40 CH: 40	Age: Mean: 47.1 Variance: NR Number male: 39	Grade included: III Grade III: 80	Staple gun: PPH01  Comparator: Fransler and Anderson  Anaesthesia: SH: General CH: General
Hetzer, 2002 <sup>90</sup> Trial dates: Start: 1999 Finish: 2000	Total: 40 SH: 20 CH: 20	Age: Mean: 47.6 Range: 28–74 Number male: 29	Grades included: II+III Grade II: 12 Grade III: 28	Staple gun: PPH01  Comparator: Ferguson  Anaesthesia: SH: Combination CH: Combination
Ho, 2000 <sup>63,71</sup> Trial dates: Start: 1999 Finish: 2000	Total: 119 SH: 57 CH: 62	Age: Mean: 48.6 Variance: NR Number male: 59	Grades included: II+III Grade II: NR Grade III: NR Grade IV: NR	Staple gun: PPH01  Comparator: M&M + diathermy  Anaesthesia: SH: General CH: General

continued

**TABLE 2** Main characteristics of the included studies (cont'd)

Study	Participants			Interventions
	Number	Population	Degree of haemorrhoids	
Kairaluoma, 2003 <sup>82</sup> Trial dates: Start: 1999 Finish: 2000	Total: 60 SH: 30 CH: 30	Age: Range: 17–65 Number male: 32	Grade included: III Grade III: 60	Staple gun: PPH0I  Comparator: M&M + diathermy  Anaesthesia: SH: General CH: General
Kraemer, 2005 <sup>28</sup> Trial dates: NR	Total: 50 SH: 25 CH: 25	Age: Range: 28–82 Number male: 27	Grades included: III+IV Grade III: 46 Grade IV: 4	Staple gun: PPH0I  Comparator: M&M + LigaSure  Fransler–Arnold segmental plastic reconstruction in six patients  Anaesthesia: SH: Combination CH: Combination
Krska, 2003 <sup>81</sup> Trial dates: NR	Total: 50 SH: 25 CH: 25	Age: Mean: 50.8 Variance: NR Number male: 37	Grade included: III Grade III: 50	Staple gun: NR  Comparator: M&M  Anaesthesia: SH: Regional CH: Regional
Lau, 2004 <sup>93</sup> Trial dates: Start: 2001 Finish: 2002	Total: 24 SH: 13 CH: 11	Age: Mean: 49.1 Variance: NR Number male: 11	Grades included: II–IV Grade II: 13 Grade III: 6 Grade IV: 4  One patient not classified	Staple gun: PPH0I  Comparator: M&M + diathermy  Anaesthesia: SH: General CH: General
Ortiz, 2002 <sup>89</sup> Trial dates: Start: 1999 Finish: 2000	Total: 55 SH: 27 CH: 28	Age: Mean: 47.6 Variance: NR Number male: 32	Grades included: III+IV Grade III: 29 Grade IV: 26	Staple gun: PPH0I  Comparator: M&M + diathermy  Anaesthesia: SH: Regional CH: Regional
Ortiz, 2005 <sup>88</sup> Trial dates: Start: 2001 Finish: 2002	Total: 31 SH: 15 CH: 16	Age: Mean: 48 Range: 28–69 Number male: 19	Grade included: IV Grade IV: 31	Staple gun: PPH0I  Comparator: M&M + diathermy  Anaesthesia: SH: Regional CH: Regional

continued

TABLE 2 Main characteristics of the included studies (cont'd)

Study	Participants			Interventions
	Number	Population	Degree of haemorrhoids	
Palimento, 2003 <sup>70,86</sup> Trial dates: Start: 1999 Finish: 2000	Total: 74 SH: 37 CH: 37	Age: Range: 25–84 Number male: 47	Grades included: III+IV Grade III: 34 Grade IV: 40	Staple gun: PPH01 Comparator: M&M + diathermy Anaesthesia: SH: Regional CH: Regional
Pavlidis, 2002 <sup>85</sup> Trial dates: Start: 1999 Finish: 2000	Total: 80 SH: 40 CH: 40	Age: Mean: 47.5 Range: 29–75 Number male: 47	Grades included: II–IV Grade II: 16 Grade III: 55 Grade IV: 9	Staple gun: PPH01 Comparator: M&M + diathermy Anaesthesia: SH: Regional CH: Regional
Ren, 2002 <sup>77</sup> Trial dates: NR	Total: 90 SH: 45 CH: 45	Age: Range: 29–82 Number male: 60	Grades included: III+IV Grade III: 68 Grade IV: 22	Staple gun: PPH01 Comparator: M&M Anaesthesia: SH: General CH: General
Schmidt, 2002 <sup>74</sup> Trial dates: Start: 1998 Finish: 2000	Total: 152 SH: 72 CH: 80	Age: Range: 24–91 Number male: 94	Grades included: III+IV Grade III: 123 Grade IV: 29	Staple gun: NR Comparator: Parks and Fransler–Arnold Anaesthesia: 105 had regional 47 had general
Senagore, 2004 <sup>91</sup> Trial dates: Start: 2001 Finish: 2002	Total: 156 SH: 77 CH: 79	Age: Mean: 49.5 Range: 23–78 Number male: 107	Grade included: III Grade III: 156	Staple gun: PPH01 Comparator: Ferguson Anaesthesia: SH: NR CH: NR
Shalaby, 2001 <sup>95</sup> Trial dates: Start: 1997 Finish: 1998	Total: 200 SH: 100 CH: 100	Age: Mean: 46.6 SD: 13.1 Number male: 124	Grades included: II–IV Grade II: 23 Grade III: 62 Grade IV: 77 A further 37 patients were described as having prolapse One patient not classified	Staple gun: PPH 01 Comparator: M&M Anaesthesia: SH: General CH: General

continued

**TABLE 2** Main characteristics of the included studies (cont'd)

Study	Participants			Interventions
	Number	Population	Degree of haemorrhoids	
Thaha, 2003 <sup>73</sup> Trial dates: NR	Total: 90 SH: 48 CH: 42	Age: Median: 50 Range: 24–81 Number male: 52	Grades included: NR	Staple gun: NR  Comparator: Ferguson
Thaha, 2004 <sup>72</sup> Trial dates: NR	Total: 182 SH: 91 CH: 91	Age: Median: 50 Range: 24–81 Number male: 103		Anaesthesia: SH: NR CH: NR
Van de Stadt, 2005 <sup>80</sup> Trial dates: Start: 2000 Finish: 2001 Language: English	Total: 40 SH: 20 CH: 20	Age: Mean: 48 Range: 19–78 Number male: 29	Grades included: II+III Grade II: NR Grade III: NR Grade IV: NR	Staple gun: PPH0I  Comparator: M&M  Anaesthesia: SH: Combination CH: Combination  One patient in each did not have general anaesthesia
Wilson, 2002 <sup>45</sup> Trial dates: NR	Total: 62 SH: 32 CH: 30	Age: Range: 40–67 Number male: NR	Grade included: III Grade III: 62	Staple gun: PPH0I  Comparator: M&M  Anaesthesia: SH: NR CH: NR

HLB, Hospital Leopold Bellan; M&M, Milligan–Morgan; NR, not reported.

Twenty-one studies reported the type of anaesthetic used in each arm of the trial. Seven studies used general anaesthetic (GA) in both arms,<sup>71,75,77,79,82,93,95</sup> six used regional anaesthetic (RA) in both arms,<sup>70,81,85,86,88,89,94</sup> seven used a GA in some patients and RA in others in both arms (combination),<sup>28,76,80,84,87,90,92</sup> and one study used RA for those undergoing CH and a combination for those undergoing SH.<sup>96</sup>

Eight RCTs did not state whether they included or excluded people with co-morbid conditions.<sup>72–74,76–78,84,85,90</sup> One study specifically stated including people with fissures, anal prolapse, skin tags and eczema.<sup>28</sup> The remaining 18 studies excluded people with a range of co-morbid conditions, such as bleeding disorders<sup>63,75,79</sup> and anticoagulation therapy;<sup>79,82,88,89,91,92</sup> anal stenosis,<sup>45</sup> fissures,<sup>80,82,83,86,88,89,92–95</sup> fistulae,<sup>80,82,83,86,88,89,92–95</sup>

prolapse,<sup>93</sup> or other associated anal pathology;<sup>80,82,83,92,94,96</sup> previous anal surgery;<sup>63,88,89,92,96</sup> colorectal cancer,<sup>80,81,86,87,91</sup> rectal polyps<sup>45</sup> or radiotherapy;<sup>80</sup> IBD;<sup>80,86–89,92</sup> incontinence;<sup>89</sup> irreducible,<sup>63,80,93</sup> external,<sup>92</sup> or thrombosed haemorrhoids;<sup>75,80,83,93,95</sup> HIV<sup>75</sup> or immunosuppression;<sup>96</sup> abscesses;<sup>86,92</sup> dermatitis<sup>80,89</sup> or eczema.<sup>88</sup> Some studies excluded patients with diabetes or coronary artery disease;<sup>81</sup> women who were pregnant<sup>75</sup> or had had an episiotomy;<sup>75</sup> people under the age of 18 years<sup>79,80</sup> or over the age of 70 years;<sup>82</sup> or people with mental deficits.<sup>86</sup>

Twenty-one studies did not report whether the participants had undergone prior treatment for haemorrhoidal disease.<sup>28,45,63,70–74,76–81,84–89,91,92,95,96</sup> One study reported that none of the participants had had any previous intervention,<sup>75</sup> and two that there had been no prior surgery.<sup>83,93</sup> Three studies included patients that had undergone

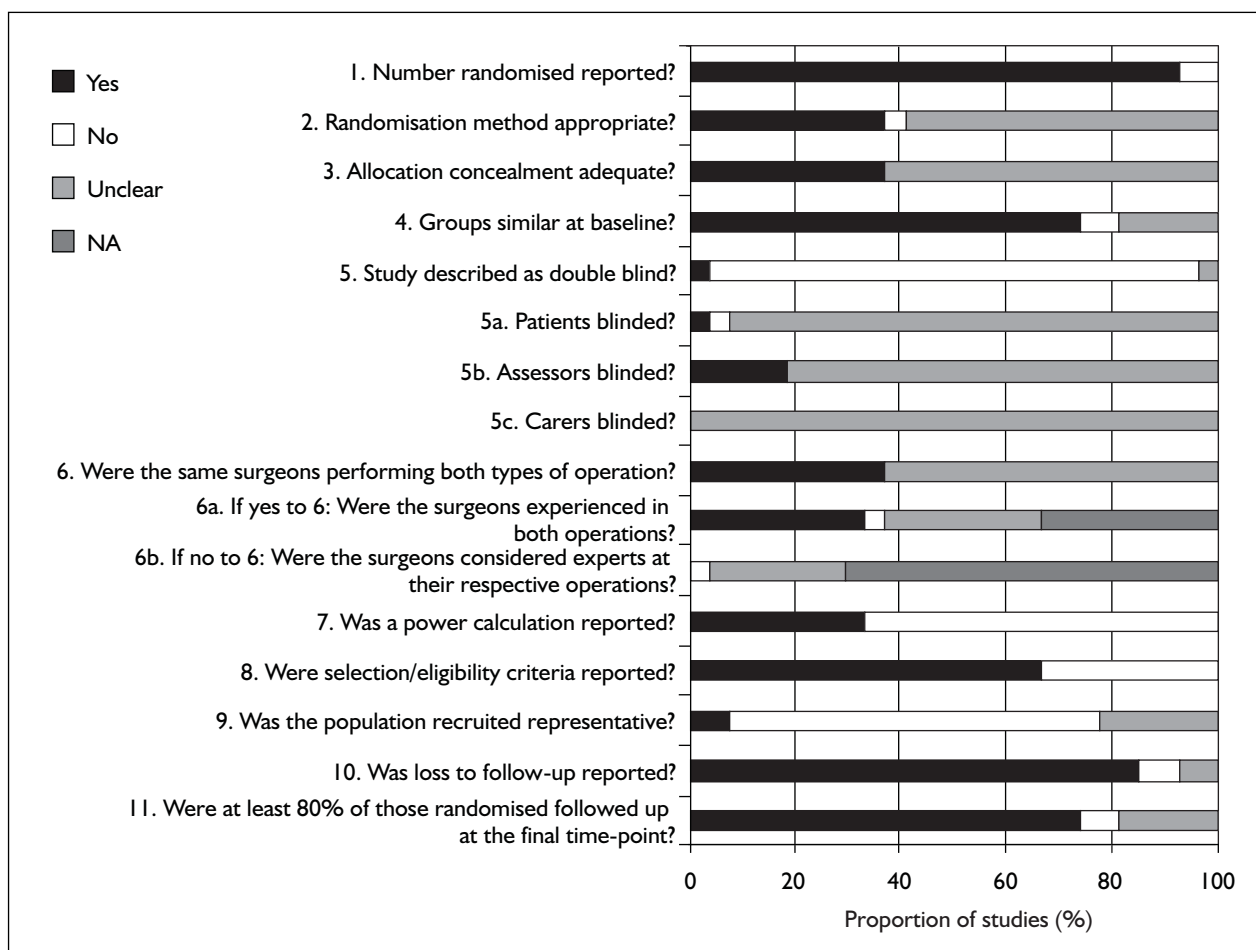
prior non-excisional interventions,<sup>82,93,94</sup> one of which also included patients who had previously undergone CH.<sup>82</sup>

The quality of the included studies varied; all included studies had some methodological flaws. *Figure 2* gives the proportion of studies that scored 'Yes', 'No', 'Unclear' or 'Not applicable' (NA) for each of the quality criteria. Full results of the quality assessment are available in Appendix 4.

Overall, 4% of studies were described as double blind, 4% reported that patients were blind to the surgical procedure, and 19% that outcomes assessors were blind. Thirty-seven per cent of studies reported using an appropriate method of randomisation and/or allocation concealment. It was stated in 37% of studies that the same surgeons conducted both SH and CH, and in 33% that these surgeons were experienced in both techniques. Only 33% of studies reported the use of a power calculation, with one of these trials not recruiting the number of participants stated as being required to be adequately powered for the

primary outcome.<sup>79</sup> Seven per cent of RCTs had a loss to follow-up of greater than 80% at the final time-point, with a further 19% not reporting whether there were losses to follow-up or not.

All three studies reporting recruiting what was considered an appropriate patient spectrum for this review (people with grade II, III and IV haemorrhoids) had other methodological flaws.<sup>85,93,95</sup> One did not report the method of randomisation or allocation concealment,<sup>85</sup> the second did not report the method of allocation concealment or whether outcomes assessors were blind to treatment,<sup>95</sup> and the third did not report the method of randomisation or whether outcomes assessors were blind to treatment.<sup>93</sup> Some of the included studies recruited a restricted patient population, for example both Boccasanta<sup>87</sup> and Ortiz<sup>88</sup> recruited only patients with fourth degree haemorrhoids. However, across the studies a range of populations across the entire patient spectrum was included; results from people with grade II, III and IV haemorrhoids were evaluated in the current review.



**FIGURE 2** Proportion of included studies that scored 'Yes', 'No', 'Unclear' or 'Not applicable' for each of the quality criteria

The study by Schmidt and colleagues reported the use of alternate randomisation, an inappropriate method of randomisation that may result in selection bias.<sup>74</sup> The lack of reporting of the method of randomisation in a further 16 studies meant that the potential for selection bias between the arms of the trial could not be assessed. Selection bias can lead to significant differences in the patient population in each arm of a trial, and therefore one arm may have more or less favourable outcomes as a result of the population recruited rather than the intervention being investigated. Of the 16 trials where the method of randomisation was unclear, 11 reported that the groups were similar at baseline. The method of allocation concealment was also poorly reported, with ten trials reporting the use of an appropriate method. This means that the potential for selection and confounding biases could not be assessed in the remaining 17 trials. The method of randomisation and allocation concealment were either inappropriate or unclear for 11 trials.

An issue to be considered when evaluating a recently introduced technology is the learning curve during the postintroduction period. It is therefore possible that outcomes after SH may be less favourable in trials conducted soon after the introduction of the technique. The trial by Kairuloama and colleagues was conducted between 1999 and 2000, immediately after the introduction of staple guns.<sup>82</sup> Although this is not the only trial that was conducted around this time, the authors did state that they had had technical problems during the SH procedure, and this does seem to impact on a range of postoperative outcomes. In addition, the study by Cheetham and colleagues, which did not report the dates between which the trial was conducted, but was published in 2003, suspended recruitment owing to a high incidence of pain and urgency approximately 8 months postoperatively.<sup>79</sup> The authors stated that these complications may have been due to incorporation of muscle into the resected tissue, differences in surgical practice, and the presence of concomitant anal pathology.<sup>58,79</sup>

## Assessment of effectiveness

### Pain

#### Early postoperative pain (up to 14 days)

Twenty-one studies reported pain using a VAS in the early postoperative period (*Table 3*). Of these, 20 (95%) reported that patients experienced less pain following SH than CH; only eight provided a measure of variance, six of which were statistically significant in favour of SH. Although

these eight studies provided sufficient data to include in a meta-analysis, there was statistically significant heterogeneity between them ( $p < 0.001$ ,  $I^2 = 98.5\%$ ), and pooling was not undertaken.<sup>63,73,77,85,93-96</sup>

By visual examination of forest plots and consideration of the characteristics of the trials, possible causes of the heterogeneity observed between studies reporting pain scores in the early postoperative period were identified. These were the preoperative degree of haemorrhoids of the recruited patients, country in which the trial was conducted and sample size. There was no indication that the following factors contributed to the heterogeneity: the time-point at which pain was recorded, study quality, the inclusion or exclusion of people with co-morbid conditions and the staple gun used. There was insufficient information to examine whether the excision of skin tags as a concomitant procedure impacted on the degree of postoperative pain experienced.

The study by Lau<sup>93</sup> that reported SH to be more painful than CH was a small, underpowered study conducted in Hong Kong, which recruited a high proportion of patients (57%) with second degree haemorrhoids and had the longest operating time of all studies for SH (SH: mean 35.4 minutes, SD 9.89; CH: mean 29.8 minutes, SD 13.01). Exclusion of this trial from the analysis did not eliminate, or even diminish, the highly significant heterogeneity between studies ( $p < 0.001$ ,  $I^2 = 98.7\%$ ; Appendix 7, *Figure 23*).<sup>93</sup>

In addition to these factors, the VAS is a subjective outcome measure, and its application may vary across studies, causing heterogeneity. The VAS scores could be influenced by such basic factors as how the use of a VAS is described to patients, when the scores are recorded, the postoperative analgesic regimen employed, and whether the VAS score was recorded before or after analgesia was administered. This is reflected in the different effect sizes reported in the trials, but with each effect size having tight confidence intervals.

The number of patients requiring different types of analgesia in the immediate postoperative period was reported in 11 studies (*Table 4*). Given that the standard postoperative analgesic regimens may vary between hospitals, with different regimens being administered for similar pain levels, it was deemed inappropriate to pool these results, regardless of the presence or absence of statistical heterogeneity. There were no clear trends in favour of SH or CH.



**TABLE 3** VAS pain scores during the early postoperative period

Study	Number randomised		Time-point	SH Mean (SD)	CH Mean (SD)	Mean difference (95% CI)
	SH	CH				
Ascanelli, 2005 <sup>76</sup>	50	50	12 h	2 (NR)	7 (NR)	-5
Correa-Rovelo, 2002 <sup>96</sup>	42	42	24 h	2.8 (1.4)	5.5 (1.4)	-2.70 (-3.30 to -2.10)
Pavlidis, 2002 <sup>85</sup>	40	40	24 h	0.7 (0.2)	2.4 (0.5)	-1.70 (-1.87 to -1.53)
Shalaby, 2001 <sup>95</sup>	100	100	24 h	2.5 (1.3)	7.6 (0.7)	-5.10 (-5.39 to -4.81)
Lau, 2004 <sup>93</sup>	13	11	Mean 2 days	3.5 (2.5)	2.6 (1.5)	0.90 (-0.72 to 2.52)
Ho, 2000 <sup>63</sup>	57	62	In hospital	4.5 (3.0)	5 (3.1)	-0.50 (-1.61 to 0.61)
Bikhchandani, 2005 <sup>94</sup>	42	42	3 days	1.52 (1.43)	4.5 (2.11)	-2.98 (-3.75 to -2.21)
Hetzler, 2002 <sup>90</sup>	20	20	3 days	0.8 (NR)	5.4 (NR)	-4.6
Kraemer, 2005 <sup>28</sup>	25	25	3 days	4.2 (NR)	3.7 (NR)	0.5
Krska, 2003 <sup>81</sup>	25	25	3 days	4 (NR)	7.4 (NR)	-3.4
Van de Stadt, 2005 <sup>80</sup>	20	20	3 days	2.6 (NR)	4.7 (NR)	-2.1
Boccasanta, 2001 <sup>87</sup>	40	40	3 days	4 (NR)	6.5 (NR)	-2.5
Senagore, 2004 <sup>91</sup>	77	79	3 days	5 (NR)	6.25 (NR)	-1.25
Thaha, 2003 <sup>73</sup>	48	42	Mean 7 days	1.9 (1.58)	3.1 (1.97)	-1.20 (-1.94 to -0.46)
Schmidt, 2002 <sup>74</sup>	72	80	Mean 7 days	1.83 (NR)	3.74 (NR)	-1.91
Ren, 2002 <sup>77</sup>	45	45	Unclear	2.2 (0.4)	6.4 (2.1)	-4.20 (-4.82 to -3.58)
				<b>Median (range)</b>	<b>Median (range)</b>	
Basdanis, 2005 <sup>84</sup>	50	45	24 h	3 (1-6)	6 (3-7)	
Palimento, 2003 <sup>86</sup>	37	37	24 h	3 (1-6)	5 (3-7)	
Kairaluoma, 2003 <sup>82</sup>	30	30	3 days	3.36 (NR)	5.88 (NR)	
Cheetham, 2003 <sup>79</sup>	15	16	3 days	2.7 (NR)	7 (NR)	
Chung, 2005 <sup>92</sup>	43	45	Mean 7 days	1.5 (0.7-6)	3.5 (1.9-6)	

**TABLE 4** Number of people requiring intramuscular or oral analgesia (opioids or other) during the immediate postoperative period

	SH n/N (%)	CH n/N (%)	OR (95% CI)
<i>Injections: opioid</i>			
Kraemer, 2005 <sup>28</sup>	1/25 (4.0)	0/25 (0)	3.12 (0.12 to 80.39)
Ortiz, 2005 <sup>88</sup>	1/15 (6.7)	2/16 (12.5)	0.50 (0.04 to 6.17)
Gravie, 2005 <sup>83</sup>	11/63 (17.5)	24/63 (38.1)	0.34 (0.15 to 0.78)
<i>Injections: other</i>			
Correa-Rovelo, 2002 <sup>96</sup>	1/42 (2.4)	2/42 (4.8)	0.49 (0.04 to 5.59)
<i>Injections: not specified/combo</i>			
Wilson, 2002 <sup>45</sup>	0/32 (0)	0/30 (0)	-
Shalaby, 2001 <sup>95</sup>	49/100 (49.0)	100/100 (100)	0 (0 to 0.08)
Cheetham, 2003 <sup>79</sup>	2/15 (13.3)	0/16 (0)	6.11 (0.27 to 138.45)
Ortiz, 2002 <sup>89</sup>	3/27 (11.1)	5/28 (17.9)	0.58 (0.12 to 2.69)
Ren, 2002 <sup>77</sup>	6/45 (13.3)	17/45 (37.8)	0.25 (0.09 to 0.72)
<i>Oral: opioid</i>			
Kraemer, 2005 <sup>28</sup>	8/25 (32.0)	6/25 (24.0)	1.49 (0.43 to 5.17)
Ascanelli, 2005 <sup>76</sup>	2/50 (4.0)	4/50 (8.0)	0.48 (0.08 to 2.74)
<i>Oral: not specified/combo</i>			
Kraemer, 2005 <sup>28</sup>	25/25 (100)	25/25 (100)	-
Gravie, 2005 <sup>83</sup>	62/63 (98.4)	62/63 (98.4)	1.00 (0.06 to 16.35)
Senagore, 2004 <sup>91</sup>	54/77 (70.1)	67/79 (84.8)	0.48 (0.22 to 1.01)
Ortiz, 2002 <sup>89</sup>	27/27 (100)	28/28 (100)	-

**Pain in the later postoperative period**

The degree of pain experienced by patients after both SH and CH lessened over the 3 weeks postoperatively (Table 5). However, all eight studies evaluating pain using a VAS between 10 and 15 days postoperatively reported that patients experienced less pain following SH than CH; only three provided a measure of variance, two of which showed a statistically significant difference in favour of SH.<sup>63,94,96</sup> These three studies reported sufficient data to be included in a meta-analysis; however, there was statistically significant heterogeneity between studies ( $p < 0.001$ ,  $I^2 = 91\%$ ).<sup>63,94,96</sup> Given the potential sources of heterogeneity related to VAS scores already discussed, pooling was not undertaken.

Although few trials could be included in the meta-analysis, given that 97% of all studies reporting mean VAS scores over the first 15 days reported less pain after SH, it was considered prudent to investigate this further. All mean VAS scores were extracted for each time-point measured in any study that reported this outcome (Figure 3). VAS scores were measured each day up to 21 days postoperatively in at least one study. Each data point was plotted and a trend line fitted to give a visual representation of the trend in postoperative pain over time. A value of 0.05 was added to one VAS score of zero to allow the curve to be fitted.

Bayesian metaregression of these data predicts that VAS pain (on a scale of 0 to 10) is on average 3.0 in the SH group and 5.3 in the CH group at day

1, decreasing to less than 0.5 in both groups at 21 days (Appendix 5).

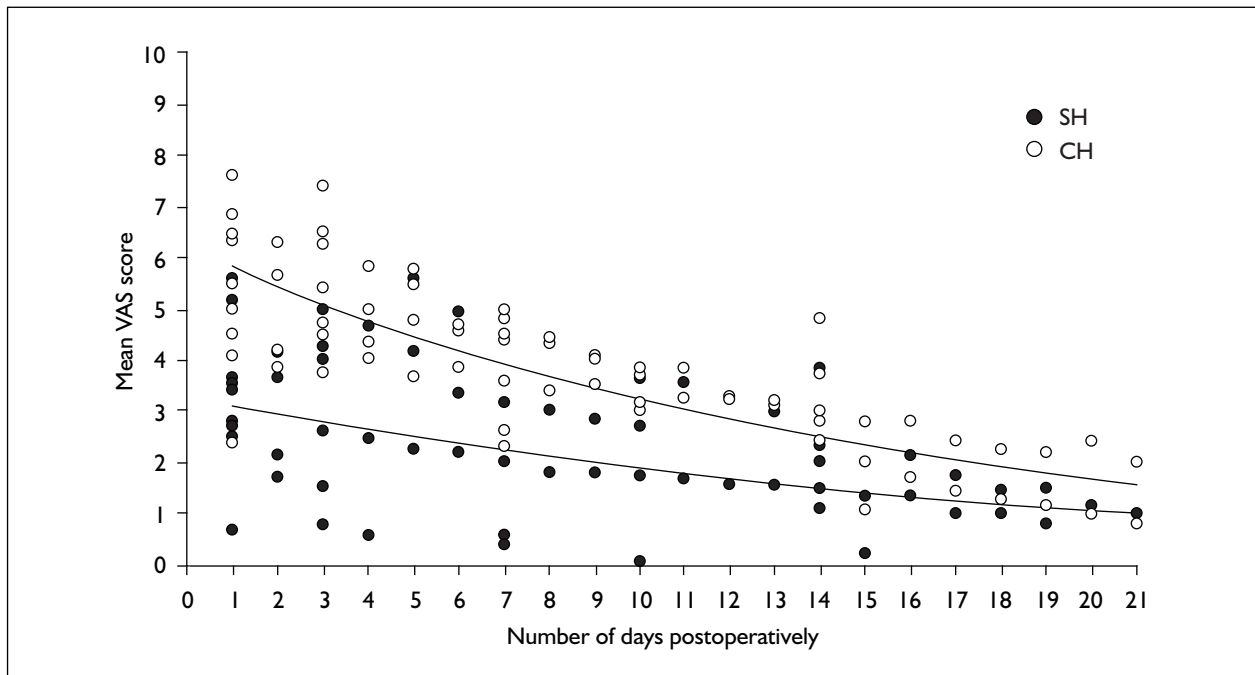
**Pain at follow-up**

For short-term follow-up (>6 weeks and <12 months) the results and the time-points varied considerably. The trial conducted by Cheetham<sup>79</sup> reported a significantly greater number of patients complaining of discomfort after SH. Recruitment to this study was suspended owing to the high incidence of pain and urgency experienced by patients after SH, resulting in the study being small and underpowered. The authors stated that the incorporation of muscle into the resected tissue (in four out of five patients experiencing these complications) could have resulted in an increased incidence of pain and urgency, but other factors such as differences in surgical practice and the presence of concomitant anal pathology may also have contributed.<sup>58,79</sup> This study seemed to be responsible for the heterogeneity observed. When this study was removed from the analysis the pooled OR was reduced to 0.30 (95% CI 0.09 to 1.01,  $p = 0.05$ ; Appendix 7, Figure 26), further favouring SH. Although this did not reach statistical significance, there was no longer any significant heterogeneity between studies ( $\chi^2 p = 0.48$ ,  $I^2 = 0\%$ ).

At 12 months and later the number of patients complaining of pain was low. When results were pooled, there was no significant difference between SH and CH at any subsequent time-point (Table 6).

**TABLE 5** VAS pain scores 10–15 days postoperatively

Study	Number randomised		Time-point	SH Mean (SD)	CH Mean (SD)	Mean difference (95% CI)
	SH	CH				
Boccasanta, 2001 <sup>87</sup>	40	40	10 days	2.7 (NR)	3.8 (NR)	-1.1
Ascanelli, 2005 <sup>76</sup>	50	50	10 days	0 (NR)	3 (NR)	-3
Correa-Rovelo, 2002 <sup>96</sup>	42	42	14 days	1.1 (1.4)	3.7 (1.5)	-2.60 (-3.22 to -1.98)
Ho, 2000 <sup>63</sup>	57	62	14 days	3.8 (3.78)	4.8 (3.15)	-1.00 (-2.25 to 0.25)
Kraemer, 2005 <sup>28</sup>	25	25	14 days	2.3 (NR)	2.4 (NR)	-0.1
Van de Stadt, 2005 <sup>80</sup>	20	20	14 days	1.5 (NR)	2.8 (NR)	-1.3
Senagore, 2004 <sup>91</sup>	77	79	14 days	2 (NR)	3 (NR)	-1.0
Bikhchandani, 2005 <sup>94</sup>	42	42	15 days	0.21 (0.52)	1.05 (1.21)	-0.84 (-1.24 to -0.44)
				<b>Median (range)</b>	<b>Median (range)</b>	
Cheetham, 2003 <sup>79</sup>	15	16	10 days	0.7 (NR)	2.3 (NR)	
Kairaluoma, 2003 <sup>82</sup>	30	30	14 days	0 (NR)	1.47 (NR)	



**FIGURE 3** Mean VAS pain scores reported in the included RCTs over the 21-day postoperative period

**TABLE 6** Number of people complaining of pain at follow-up

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Ho, 2000 <sup>63</sup>	3 months	1/57 (1.8)	3/62 (4.8)	0.35 (0.04 to 3.48)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	6 months	2/41 (4.9)	3/41 (7.3)	0.65 (0.10 to 4.11)
Cheetham, 2003 <sup>79</sup>	8 months	7/14 (50.0)	2/16 (12.5)	7.00 (1.14 to 42.97)
Bikhchandani, 2005 <sup>94</sup>	11 months	0/39 (0)	5/40 (12.5)	0.08 (0 to 1.53)
Pooled result				0.73 (0.12 to 4.46) $p = 0.74$
Test for heterogeneity				$\chi^2 p = 0.04, I^2 = 64\%$
Hetzer, 2002 <sup>90</sup>	12 months	0/20 (0)	0/20 (0)	–
Kairaluoma, 2003 <sup>82</sup>	12 months	0/30 (0)	0/30 (0)	–
Ortiz, 2005 <sup>88</sup>	12 months	0/15 (0)	0/16 (0)	–
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Ortiz, 2002 <sup>89</sup>	16 months	1/27 (3.7)	0/28 (0)	3.23 (0.13 to 82.71)
Ho, 2000 <sup>63,71</sup>	18 months	1/27 (3.7)	1/33 (3.0)	1.23 (0.07 to 20.64)
Palimento, 2003 <sup>86</sup>	18 months	6/37 (16.2)	7/37 (18.9)	0.83 (0.25 to 2.76)
Pooled result				1.03 (0.37 to 2.88) $p = 0.95$
Test for heterogeneity				$\chi^2 p = 0.73, I^2 = 0\%$
Van de Stadt, 2005 <sup>80</sup>	46 months	6/20 (30.0)	3/20 (15.0)	1.37 (0.29 to 6.61)
Palimento, 2003 <sup>70,86</sup>	5 years	4/37 (10.8)	3/37 (8.1)	2.43 (0.51 to 11.51)
Pooled result				1.84 (0.61 to 5.52) $p = 0.28$
Test for heterogeneity				$\chi^2 p = 0.61, I^2 = 0\%$

**Pain: summary**

During the early postoperative period, SH was less painful than CH. The pain experienced lessened over time after both SH and CH. However, patients still experienced less pain following SH

than CH at 10 to 15 days postoperatively, but there was little difference by day 21. Up to 1 year and beyond, there was no difference in the number of patients experiencing pain between the two types of surgery.

**Bleeding**

**Bleeding in the immediate postoperative period**

Sixteen studies reported bleeding in the early postoperative period,<sup>28,45,63,74,77,78,81,82,84,86,87,90-93,96</sup> 14 of which reported no statistically significant difference in the incidence of bleeding between the SH and CH. The pooled OR demonstrated no statistically significant difference in rate of bleeding between SH and CH (Figure 4).

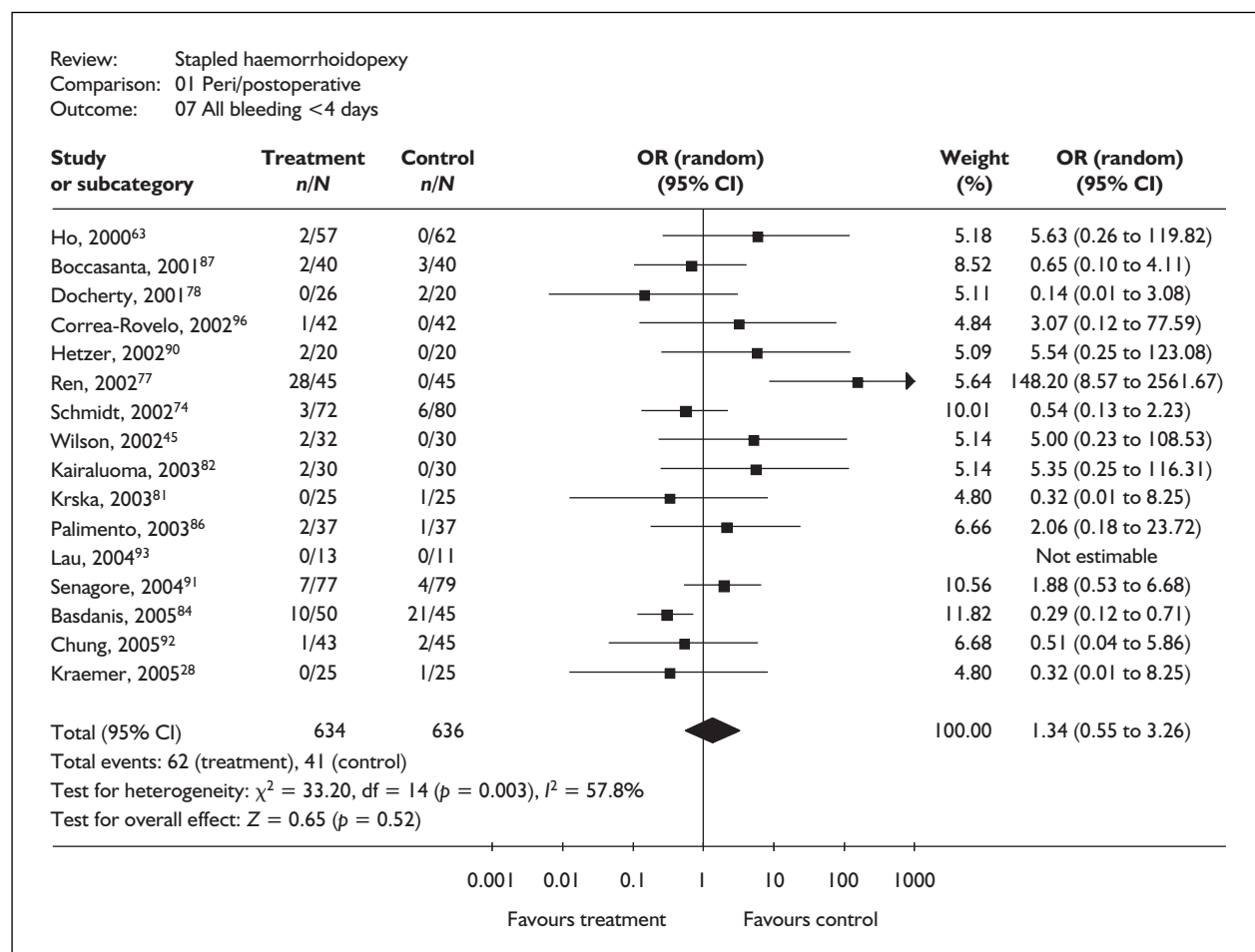
There was evidence of heterogeneity between the studies ( $I^2 = 57.8$ ,  $p = 0.003$ ). The study by Ren and colleagues<sup>77</sup> reported a particularly high incidence of bleeding after SH which seemed to be responsible for this heterogeneity. This study, published in Chinese, may have included patients who required haemostatic sutures during the perioperative period of SH, who were not included in the data extracted from the other studies. When this study was excluded from the analysis (Appendix 7, Figure 28), there was no longer any significant heterogeneity between studies ( $\chi^2 p = 0.24$ ,  $I^2 = 19.2\%$ ). In addition, there was a shift in the direction of effect, with the

OR now 0.86 (95% CI 0.46 to 1.61,  $p = 0.63$ ). The results of this sensitivity analysis seem to be far more representative of the incidence of bleeding than the analysis including Ren.<sup>77</sup>

Twenty-two studies reported the rate of patients who required intervention for bleeding during the early postoperative period (Figure 5).<sup>45,63,74-76,78-82,84-90,92-96</sup> In general, the number of patients requiring intervention was small (up to three patients with SH; up to two patients with CH) and none of these studies found any statistically significant differences in the rate of interventions required for bleeding, hence the pooled result was not statistically significant.

**Bleeding in the later postoperative period (14 days to 8 weeks)**

Six studies reported bleeding between 14 days and 8 weeks after the operation (Table 7). The pooled OR of two studies demonstrated a significantly higher incidence of bleeding after CH at 14 days. At 4-6 weeks after surgery, there was generally a higher incidence of bleeding after SH; however,



**FIGURE 4** Number of people with bleeding in the immediate postoperative period

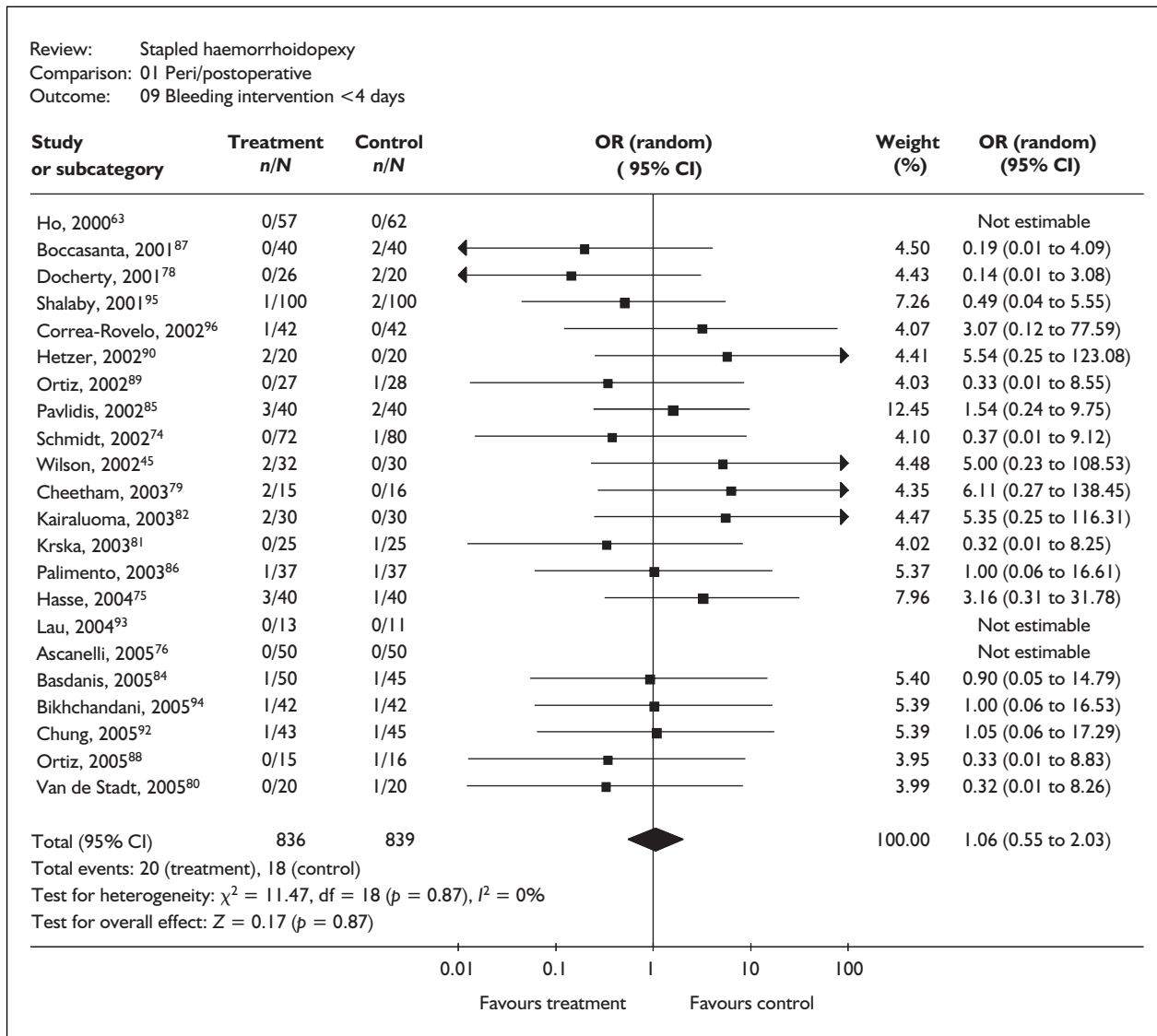


FIGURE 5 Number of people with bleeding that required intervention in the immediate postoperative period

TABLE 7 Number of people with bleeding between 14 days and 8 weeks postoperatively

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Correa-Rovelo, 2002 <sup>96</sup>	14 days	14/42 (33.3)	23/42 (54.8)	0.41 (0.17 to 1.00)
Ho, 2000 <sup>63</sup>	14 days	19/57 (33.3)	33/62 (53.2)	0.44 (0.21 to 0.92)
Pooled result				0.43 (0.024 to 0.76) $p = 0.003$
Test for heterogeneity				$\chi^2 p = 0.92$ , $I^2 = 0\%$
Basdanis, 2005 <sup>84</sup>	4 weeks	0/50 (0)	1/45 (2.2)	0.29 (0.01 to 7.39)
Cheetham, 2003 <sup>79</sup>	6 weeks	4/15 (26.7)	1/16 (6.3)	5.45 (0.53 to 55.80)
Ho, 2000 <sup>63</sup>	6 weeks	9/57 (15.8)	7/62 (11.3)	1.47 (0.51 to 4.26)
Kairaluoma, 2003 <sup>82</sup>	6 weeks	10/30 (33.3)	2/30 (6.7)	7.00 (1.38 to 35.48)
Kraemer, 2005 <sup>28</sup>	6 weeks	3/25 (12.0)	4/25 (16.0)	0.72 (0.14 to 3.59)
Correa-Rovelo, 2002 <sup>96</sup>	8 weeks	6/42 (14.3)	5/42 (11.9)	1.23 (0.35 to 4.40)
Pooled result				1.75 (0.97 to 3.14) $p = 0.06$
Test for heterogeneity				$\chi^2 p = 0.26$ , $I^2 = 22.7\%$

the pooled OR demonstrated no significant difference between SH and CH. Only one study<sup>63</sup> reported the incidence of bleeding requiring intervention: 0% after SH and 4.8% after CH (OR 0.94; 95% CI 0.36 to 2.49).

#### Bleeding during short-term follow-up (6 weeks to 1 year)

Six studies reported the incidence of bleeding between 6 weeks and 1 year postoperatively (Table 8). The incidence of bleeding varied greatly, ranging from 0 to 28.6% after SH and 0 to 21.5% after CH; none of the studies reported a significant difference between SH and CH; consequently, nor did the pooled estimates.

Six studies reported the incidence of bleeding at 12 months (Table 8), none of which reported a significant difference between SH and CH; consequently, nor did the pooled estimates.

One study reported bleeding at 16 months postoperatively,<sup>89</sup> one at 18 months and 5 years,<sup>70,86</sup> and another at 46 months.<sup>80</sup> None of these reported a statistically significant difference in bleeding between SH and CH, consequently, nor did the pooled estimates (Table 8).

#### Bleeding: summary

The only time-point where there was a significant difference in the incidence of bleeding was at 14 days postoperatively; however, this was based on the meta-analysis of only two studies. In general, there was no significant difference in incidence of bleeding between SH and CH during the late postoperative period, or at subsequent follow-up.

#### Prolapse

##### Prolapse in the postoperative period

Only nine studies reported residual prolapse postoperatively, and the number of events in most trials was low (Table 9).

The scarcity of data for this time-point is likely to be due to patients being too tender for rectal examination. Where residual prolapse was reported, it tended to be observed more often after SH than CH. The pooled result showed a statistically significantly higher incidence of residual prolapse after SH. However, only one trial<sup>82</sup> reported a significantly higher incidence of residual prolapse after SH than CH. This trial reported experiencing technical difficulties during SH and seemed to account for the significance of the pooled result. When it was removed from the

**TABLE 8** Number of patients complaining of bleeding at follow-up

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Ho, 2000 <sup>63</sup>	3 months	1/57 (1.8)	2/62 (3.2)	0.54 (0.05 to 6.07)
Correa-Rovelo, 2002 <sup>96</sup>	6 months	8/41 (19.5)	2/41 (4.9)	4.73 (0.94 to 23.82)
Senagore, 2004 <sup>91</sup>	6 months	10/77 (13.0)	17/79 (21.5)	0.54 (0.23 to 1.28)
Cheetham, 2003 <sup>79</sup>	8 months	4/14 (28.6)	3/16 (18.8)	1.73 (0.31 to 9.57)
Boccasanta, 2001 <sup>87</sup>	< 1 year	0/40 (0)	2/40 (5.0)	0.19 (0.01 to 4.09)
			Pooled result	1.00 (0.36 to 2.77) $p = 1.00$
			Test for heterogeneity	$\chi^2 p = 0.13, I^2 = 43.7\%$
Ascanelli, 2005 <sup>76</sup>	12 months	2/50 (4.0)	0/50 (0)	5.21 (0.24 to 111.24)
Hasse, 2004 <sup>75</sup>	12 months	3/38 (7.9)	1/38 (2.6)	3.17 (0.31 to 31.95)
Kairaluoma, 2003 <sup>82</sup>	12 months	4/30 (13.3)	1/30 (3.3)	4.46 (0.47 to 42.51)
Ortiz, 2005 <sup>88</sup>	12 months	1/15 (6.7)	1/16 (6.3)	1.07 (0.06 to 18.82)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Senagore, 2004 <sup>91</sup>	12 months	9/59 (15.3)	6/58 (10.3)	1.56 (0.52 to 4.70)
			Pooled result	2.09 (0.91 to 4.83) $p = 0.08$
			Test for heterogeneity	$\chi^2 p = 0.85, I^2 = 0\%$
Ortiz, 2002 <sup>89</sup>	16 months	2/27 (7.4)	1/28 (3.6)	2.16 (0.18 to 25.32)
Palimento, 2003 <sup>86</sup>	18 months	8/37 (21.6)	5/37 (13.5)	1.77 (0.52 to 6.01)
			Pooled result	1.84 (0.62 to 5.50) $p = 0.28$
			Test for heterogeneity	$\chi^2 p = 0.89, I^2 = 0\%$
Van de Stadt, 2005 <sup>80</sup>	46 months	5/20 (25.0)	6/20 (30.0)	0.78 (0.19 to 3.13)
Palimento, 2003 <sup>70,86</sup>	5 years	3/37 (8.1)	2/37 (5.4)	1.54 (0.24 to 9.82)
			Pooled result	1.00 (0.33 to 3.01) $p = 1.00$
			Test for heterogeneity	$\chi^2 p = 0.56, I^2 = 0\%$

TABLE 9 Number of patients with prolapse

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Shalaby, 2001 <sup>95</sup>	1 week	1/100 (1.0)	2/100 (2.0)	0.49 (0.04 to 5.55)
Bikhchandani, 2005 <sup>94</sup>	15 days	2/42 (4.8)	0/42 (0)	5.25 (0.24 to 112.66)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Cheetham, 2003 <sup>79</sup>	6 weeks	2/15 (13.3)	0/16 (0)	6.11 (0.27 to 138.45)
Kairaluoma, 2003 <sup>82</sup>	6 weeks	12/30 (40.0)	1/30 (3.3)	19.33 (2.31 to 161.57)
Kraemer, 2005 <sup>28</sup>	6 weeks	2/25 (8.0)	0/25 (0)	5.43 (0.25 to 118.96)
Ortiz, 2005 <sup>88</sup>	6 weeks	0/15 (0)	0/16 (0)	–
Ortiz, 2002 <sup>89</sup>	6 weeks	0/27 (0)	0/28 (0)	–
Lau, 2004 <sup>93</sup>	8 weeks	6/13 (46.2)	1/11 (9.1)	8.57 (0.84 to 87.83)
Pooled result				5.18 (1.73 to 15.50) $p = 0.003$
Test for heterogeneity				$\chi^2 p = 0.38, I^2 = 5.8\%$
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Basdanis, 2005 <sup>84</sup>	6 months	3/50 (6.0)	0/40 (0)	5.97 (0.30 to 119.01)
Correa-Rovelo, 2002 <sup>96</sup>	6 months	1/41 (2.4)	0/41 (0)	3.07 (0.12 to 77.69)
Senagore, 2004 <sup>91</sup>	6 months	5/77 (6.5)	0/79 (0)	12.06 (0.66 to 221.98)
Cheetham, 2003 <sup>79</sup>	8 months	2/14 (14.3)	1/16 (6.3)	2.50 (0.20 to 31.00)
Boccasanta, 2001 <sup>87</sup>	< 1 year	0/40 (0)	0/40 (0)	–
Pooled result				4.68 (1.11 to 19.71) $p = 0.04$
Test for heterogeneity				$\chi^2 p = 0.86, I^2 = 0\%$
Hasse, 2004 <sup>75</sup>	12 months	6/38 (15.8)	0/38 (0)	15.40 (0.84 to 283.85)
Hetzer, 2002 <sup>90</sup>	12 months	1/20 (5.0)	1/20 (5.0)	1.00 (0.06 to 17.18)
Kairaluoma, 2003 <sup>82</sup>	12 months	5/30 (16.7)	0/30 (0)	13.16 (0.69 to 249.48)
Ortiz, 2005 <sup>88</sup>	12 months	8/15 (53.3)	0/16 (0)	37.40 (1.90 to 736.26)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Senagore, 2004 <sup>91</sup>	12 months	2/59 (3.4)	2/58 (3.4)	0.98 (0.13 to 7.22)
Shalaby, 2001 <sup>95</sup>	12 months	1/95 (1.1)	2/80 (2.5)	0.41 (0.04 to 4.66)
Pooled result				3.20 (0.71 to 14.45) $p = 0.13$
Test for heterogeneity				$\chi^2 p = 0.08, I^2 = 48.8\%$
Ortiz, 2002 <sup>89</sup>	16 months	7/27 (25.9)	0/28 (0)	20.85 (1.13 to 368.05)
Ho, 2000 <sup>63,71</sup>	18 months	3/27 (11.1)	1/33 (3.0)	4.00 (0.39 to 40.88)
Gravie, 2005 <sup>83</sup>	2 years	4/52 (7.7)	1/57 (1.8)	4.67 (0.50 to 43.18)
Pooled result				6.25 (1.53 to 25.54) $p = 0.01$
Test for heterogeneity				$\chi^2 p = 0.64, I^2 = 0\%$
Van de Stadt, 2005 <sup>80</sup>	46 months	5/20 (25.0)	0/20 (0)	14.55 (0.75 to 283.37)
Palimento, 2003 <sup>70,86</sup>	5 years	0/31 (0)	0/29 (0)	–
Pooled result for 12–46 months				4.34 (1.67 to 11.28) $p = 0.003$
Test for heterogeneity				$\chi^2 p = 0.20; I^2 = 26\%$

analysis, the OR decreased to 3.38 (95% CI 1.00 to 11.47,  $p = 0.05$ ; test for heterogeneity:  $\chi^2 p = 0.50$ ,  $I^2 = 0\%$ ; Appendix 7, Figure 30).

#### Prolapse between 6 weeks and 1 year

Six studies reported prolapse between 6 weeks and 1 year postoperatively (Table 9). When the trials reporting the rate of prolapse at 6 and 8 months were pooled, there was a significantly higher incidence of prolapse after SH than CH.

#### Prolapse at 12 months and beyond

Seven studies reported prolapse at 12 months (Table 9).<sup>75,82,85,88,90,91,95</sup> The pooled estimate did

not show any statistically significant difference in rate of prolapse between SH and CH at 12 months. There was some evidence of heterogeneity between the studies ( $I^2 = 48.8$ ,  $p = 0.08$ ). Preoperative degree of haemorrhoids is a possible reason for heterogeneity between these studies; the 2005 study by Ortiz and colleagues only recruited patients with grade IV haemorrhoids.<sup>88</sup> When this study was removed from the analysis, there remained no significant differences between SH and CH, but there was no longer any significant heterogeneity between studies ( $\chi^2 p = 0.18$ ,  $I^2 = 35.5\%$ ; Appendix 7, Figure 32).

Five studies reported prolapse at longer term follow-up (Table 9). The pooled estimate showed that prolapse was observed significantly more often at 16–24 months postoperatively after SH than CH. The pooled OR for 12–46 months demonstrated that prolapse was, again, significantly more common after SH (OR 4.34, 95% CI 1.67 to 11.28,  $p = 0.003$ ; Table 9). This analysis contained two studies that did not report any incident of prolapse in either arm, and therefore did not contribute to the pooled result. Although this is an appropriate method to adopt in these circumstances,<sup>97,98</sup> the impact that these trials may have had if included in the analysis was investigated. Either 1 was added to both arms of those trials where no incidents were reported only, or 1 was added to all cells (0.5 cannot be added manually to cells in RevMan). Both of these analyses still showed a significant difference in favour of CH (OR 3.43, 95% CI 1.46 to 8.10,  $p = 0.005$ , and OR 2.85, 95% CI 1.44 to 5.64,  $p = 0.003$ , respectively) with no significant heterogeneity.

The original analysis contained the study by Ortiz and colleagues<sup>88</sup> that only recruited patients with grade IV haemorrhoids and the study by Kairaluoma and colleagues<sup>82</sup> that experienced technical difficulties. When these studies were removed from the analysis (Appendix 7, Figure 35), the OR decreased to 3.11, but was still significant (95% CI 1.14 to 8.49,  $p = 0.03$ ); there was still no significant heterogeneity between studies ( $\chi^2 p = 0.26$ ,  $I^2 = 21.2\%$ ). Adding 1 to both arms of those trials where no incidents were reported only, or adding 1 to all cells, did not alter this result (OR 3.28, 95% CI 1.63 to 6.57,  $p = 0.0008$ ;  $\chi^2 p = 0.35$ ,  $I^2 = 10.4\%$ , and OR 2.47, 95% CI 1.38 to 4.43,  $p = 0.002$ ;  $\chi^2 p = 0.37$ ,  $I^2 = 7.5\%$ , respectively).

#### **Prolapse: summary**

Prolapse seemed to be more common after SH than CH during the immediate postoperative period (residual prolapse); however, this result was influenced by two studies, one of which reported experiencing technical difficulties during SH. Prolapse was significantly more common after SH in the short term (up to 1 year). Although the incidence of prolapse was not significantly different between SH and CH when data from only 12 months were analysed, the significantly higher rate of prolapse after SH became evident when data from later time-points were included in the analysis.

#### **Symptoms controlled**

Fifteen studies reported the number of patients with symptoms controlled, or recurrent symptoms

(Table 10). There was no evidence that the number of patients with haemorrhoidal symptoms was consistently greater after either SH or CH, either postoperatively or in the longer term. Significant heterogeneity was observed between studies for each meta-analysis, therefore pooling was not undertaken. When the trials by Kairaluoma<sup>82</sup> (technical difficulties) and Ortiz<sup>88</sup> (only grade IV haemorrhoids) were excluded from the analysis, there was no longer any statistical heterogeneity between studies at less than 3 months ( $\chi^2 p = 0.66$ ,  $I^2 = 0\%$ ; Appendix 7, Figure 37). There was still moderate heterogeneity at 12 months ( $\chi^2 p = 0.11$ ,  $I^2 = 59.9\%$ ; Appendix 7, Figure 39). Neither analysis showed a significant difference between SH and CH in the control of symptoms (<3 months: OR 0.85, 95% CI 0.48 to 1.53,  $p = 0.59$ ; 12 months: OR 1.05, 95% CI 0.52 to 2.11,  $p = 0.89$ ).

#### **Persistent minor symptoms**

Ten RCTs reported the incidence of itching or pruritis postoperatively (Table 11). Overall, the pooled OR demonstrated no significant difference in the incidence of itching or pruritis after SH or CH at any time-point.

Only two studies reported the incidence of mucus or slime discharge (one at 6 weeks and one at 6 months), and both studies reported a higher incidence after CH than SH (Table 11).

#### **Complications**

##### **Anal stenosis/anastomotic stricture**

Eighteen studies reported the incidence of anal stenosis after CH or anastomotic stricture after SH (Table 12). The pooled OR demonstrated no significant difference between SH and CH at any time-point.

##### **Faecal incontinence/urgency**

Twenty-one studies reported the incidence of faecal incontinence (Table 13). The reported OR demonstrated no significant differences in the incidence of incontinence at any of the time-points. There were no incidents of incontinence reported in the longer term.

Ten studies reported the incidence of faecal urgency (Table 14). This outcome was infrequently reported, and there was no evidence that urgency was any more common after SH or CH at any time-point.

##### **Urinary retention**

Nineteen studies reported urinary retention postoperatively: three reported the same incidence



**TABLE 10** Number of patients with symptoms controlled/uncontrolled, or complaining of recurrent symptoms

Study	Time-point	SH n/N (%)	CH n/N (%)	Symptoms uncontrolled		OR (95% CI)
				SH	CH	
<i>Symptoms controlled</i>						
Cheetham, 2003 <sup>79</sup>	6 weeks	8/15 (53.3)	11/16 (68.8)	7/15 (46.7)	5/16 (31.2)	1.93 (0.44 to 8.33)
Hasse, 2004 <sup>75</sup>	6 weeks	31/40 (77.5)	28/40 (70.0)	9/40 (22.5)	12/40 (30.0)	0.68 (0.25 to 1.85)
Kairaluoma, 2003 <sup>82</sup>	6 weeks	15/30 (50.0)	27/30 (90.0)	15/30 (50.0)	3/30 (10.0)	9.00 (2.24 to 36.17)
Kraemer, 2005 <sup>28</sup>	6 weeks	21/25 (84.0)	21/25 (84.0)	4/25 (16.0)	4/25 (16.0)	1.00 (0.22 to 4.54)
Correa-Rovelo, 2002 <sup>96</sup>	2 months	31/41 (75.6)	28/41 (68.3)	10/41 (24.4)	13/41 (31.7)	0.69 (0.26 to 1.83)
Pavlidis, 2002 <sup>85</sup>	3 months	40/40 (100)	40/40 (100)	0/40 (0)	0/40 (0)	–
Test for heterogeneity $\chi^2 p = 0.03, I^2 = 63.9\%$						
Ren, 2002 <sup>77</sup>	4 months	40/45 (88.9)	37/45 (82.2)	5/45 (11.1)	8/45 (17.8)	0.58 (0.17 to 1.93)
Chung, 2005 <sup>92</sup>	6 months	41/43 (95.3)	43/45 (95.6)	2/43 (4.7)	2/45 (4.4)	1.05 (0.14 to 7.80)
Correa-Rovelo, 2002 <sup>96</sup>	6 months	32/41 (78.1)	35/41 (85.4)	9/41 (21.9)	6/41 (14.6)	1.64 (0.53 to 5.12)
Hasse, 2004 <sup>75</sup>	6 months	32/38 (84.2)	21/38 (55.3)	6/38 (15.8)	17/38 (44.7)	0.23 (0.08 to 0.68)
Senagore, 2004 <sup>91</sup>	6 months	63/77 (81.8)	51/79 (64.6)	14/77 (18.2)	28/79 (35.4)	0.40 (0.19 to 0.85)
Cheetham, 2003 <sup>79</sup>	8 months	5/14 (35.7)	11/16 (68.8)	9/14 (64.3)	5/16 (31.2)	3.96 (0.87 to 18.12)
Test for heterogeneity $\chi^2 p = 0.02, I^2 = 62.3\%$						
Hasse, 2004 <sup>75</sup>	12 months	33/38 (86.8)	29/38 (76.3)	5/38 (13.2)	9/38 (23.7)	0.49 (0.15 to 1.62)
Kairaluoma, 2003 <sup>82</sup>	12 months	22/30 (73.0)	28/30 (93.3)	8/30 (26.7)	2/30 (6.7)	5.09 (0.98 to 26.43)
Pavlidis, 2002 <sup>85</sup>	12 months	40/40 (100)	40/40 (100)	0/40 (0)	0/40 (0)	–
Senagore, 2004 <sup>91</sup>	12 months	44/59 (74.6)	48/58 (82.8)	15/59 (25.4)	10/58 (17.2)	1.64 (0.67 to 4.02)
Test for heterogeneity $\chi^2 p = 0.07, I^2 = 63.2\%$						
<b>OR (95% CI)</b>						
<i>Symptom recurrence</i>						
Correa-Rovelo, 2002 <sup>96</sup>	2 months	0/42 (0)	0/42 (0)	–	–	–
Basdanis, 2005 <sup>84</sup>	6 months	3/50 (6.0)	0/40 (0)	5.97 (0.30 to 119.01)	–	–
Hetzer, 2002 <sup>90</sup>	12 months	1/20 (5.0)	1/20 (5.0)	1.00 (0.06 to 17.18)	–	–
Ascanelli, 2005 <sup>76</sup>	12 months	2/50 (4.0)	0/50 (0)	5.21 (0.24 to 111.24)	–	–
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–	–	–
Pooled result 3.35 (0.67 to 16.67) $p = 0.14$						
Test for heterogeneity $\chi^2 p = 0.63, I^2 = 0\%$						

after both SH and CH,<sup>79,81,87</sup> nine a lower incidence after SH<sup>74,78,86,89,90,93–96</sup> and seven a lower incidence after CH.<sup>28,45,63,80,84,91,92</sup> The pooled estimate revealed no significant difference between SH and CH (*Figure 6*). One study<sup>45</sup> reported a much higher incidence of urinary retention after SH (31%) compared to CH and other studies. When this study was removed from the analysis (Appendix 7, *Figure 41*), the OR decreased and favoured SH, but not statistically significantly so (OR 0.76, 95% CI: 0.53 to 1.09,  $p = 0.14$ ; test for heterogeneity:  $\chi^2 p = 0.70, I^2 = 0\%$ ).

#### Other complications

Complications reported included anal fissure, anal fistula, haemorrhoidal thrombosis, pelvic/perianal sepsis, rectovaginal fistula, infection and mortality (*Table 15*). The results of the individual trials were

variable. The pooled odds ratio, where calculable, failed to demonstrate significant differences between SH and CH.

Of the six studies reporting the occurrence of anal fissure, three reported this complication after SH<sup>79,80,95</sup> and three after CH.<sup>80,91,99</sup> Of the four studies reporting the occurrence of anal fistula, none reported this complication after SH,<sup>81,89–91</sup> but two reported anal fistula after CH.<sup>89,91</sup> Of the 11 studies reporting the occurrence of haemorrhoidal thrombosis, eight reported this complication after SH<sup>63,80,81,85,87–90,92,95,96</sup> and two after CH.<sup>87,95</sup> Three studies reported no incidences of haemorrhoidal thrombosis after either procedure.<sup>81,85,96</sup> Where reported, there were no incidents of pelvic/perianal sepsis (five studies)<sup>63,81,84,85,94</sup> or rectovaginal fistula (three studies)<sup>84,85,94</sup> at any time-point.

**TABLE 11** Number of patients complaining of itching/pruritis or mucus/slime discharge

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
<i>Itching/pruritis</i>				
Correa-Rovelo, 2002 <sup>96</sup>	2 weeks	1/42 (2.4)	2/42 (4.8)	0.02 (0 to 0.40)
Basdanis, 2005 <sup>84</sup>	4 weeks	2/50 (4.0)	1/45 (2.2)	1.83 (0.16 to 20.93)
Senagore, 2004 <sup>91</sup>	4 weeks	3/77 (3.9)	3/79 (3.8)	1.03 (0.20 to 5.25)
Ho, 2000 <sup>63</sup>	6 weeks	5/57 (8.8)	11/62 (17.7)	0.45 (0.14 to 1.37)
Kraemer, 2005 <sup>28</sup>	6 weeks	2/25 (8.0)	1/25 (4.0)	2.09 (0.18 to 24.61)
Lau, 2004 <sup>93</sup>	8 weeks	1/13 (7.7)	4/11 (36.4)	0.15 (0.01 to 1.58)
			Pooled result	0.49 (0.17 to 1.43) $p = 0.19$
			Test for heterogeneity	$\chi^2 p = 0.12$ ; $I^2 = 42.6\%$
Ho, 2000 <sup>63</sup>	3 months	2/57 (3.5)	2/62 (3.2)	1.09 (0.15 to 8.04)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	6 months	2/41 (4.9)	4/41 (9.8)	9.25 (1.01 to 84.73)
			Pooled result	2.41 (0.56 to 10.43) $p = 0.24$
			Test for heterogeneity	$\chi^2 p = 0.15$ ; $I^2 = 50.6\%$
Ortiz, 2005 <sup>88</sup>	12 months	6/15 (40.0)	1/16 (6.3)	10.00 (1.03 to 97.04)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Ortiz, 2002 <sup>89</sup>	16 months	3/27 (11.1)	2/28 (7.1)	1.63 (0.25 to 10.58)
Ho, 2000 <sup>63,71</sup>	18 months	1/27 (3.7)	2/33 (6.1)	0.60 (0.05 to 6.95)
Van de Stadt, 2005 <sup>80</sup>	46 months	4/20 (20.0)	1/20 (5.0)	4.75 (0.48 to 46.91)
			Pooled result	2.60 (0.83 to 8.14) $p = 0.10$
			Test for heterogeneity	$\chi^2 p = 0.35$ ; $I^2 = 7.8\%$
<i>Mucus/slime discharge</i>				
Ho, 2000 <sup>63</sup>	6 weeks	0/57 (0)	3/62 (4.8)	0.15 (0.01 to 2.93)
Shalaby, 2001 <sup>95</sup>	6 months	2/100 (2.0)	14/100 (14.0)	0.13 (0.03 to 0.57)

Of 349 patients across four trials, there were only three reports of wound infection, one after SH and two after CH (Table 16). The incidence of systemic infection/fever was also low, ranging from 0 to 3.3% after SH and 0 to 5.1% after CH in the six studies that reported this outcome (Table 16).

#### Complications: summary

There does not appear to be any significant difference between SH and CH in relation to the incidence of postoperative complications.

#### Wound healing

Of the nine trials that reported the number of wounds healed/not healed at 6 weeks (Table 17), two reported that 5% of patients still had unhealed wounds after SH, and eight reported between 6.7 and 52.5% of patients with unhealed wounds after CH. The pooled estimate demonstrated a highly significant difference, with fewer patients with unhealed wounds at 6 weeks after SH.

Three trials reported the number of wounds healed/not healed at 12 weeks. All SH wounds

had healed; however, two trials reported that 6.3% and 20% of patients still had unhealed wounds after CH.

#### Reinterventions

##### Total number of reinterventions

Fourteen studies reported the total number of people requiring a reintervention; the pooled odds ratios demonstrated no significant difference between SH and CH at any time-point (Table 18). Two studies reported much higher rates of reintervention after SH than CH; one by Kairaluoma,<sup>82</sup> which reported an uncharacteristically high incidence of prolapse after SH possibly due to technical difficulties during SH; and the other by Ortiz,<sup>88</sup> which included only patients with grade IV haemorrhoids. When these two studies were removed from the analysis, there remained no significant difference between SH and CH (OR 0.75, 95% CI 0.33 to 1.70); however, significant heterogeneity between the studies was no longer observed ( $\chi^2 p = 0.68$ ,  $I^2 = 0\%$ ; Appendix 7, Figure 43).

When the data for 12 months and beyond were pooled, there was no significant difference

**TABLE 12** Number of patients with anal stenosis/anastomotic stricture at follow-up

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Van de Stadt, 2005 <sup>80</sup>	Postoperative	0/20 (0)	2/20 (10.0)	0.18 (0.01 to 4.01)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Ren, 2002 <sup>77</sup>	4 weeks	0/45 (0)	0/45 (0)	–
Senagore, 2004 <sup>91</sup>	4 weeks	2/77 (2.6)	0/79 (0)	5.26 (0.25 to 111.47)
Hasse, 2004 <sup>75</sup>	6 weeks	3/40 (7.5)	0/40 (0)	7.56 (0.38 to 151.28)
Ho, 2000 <sup>63</sup>	6 weeks	5/57 (8.8)	5/62 (8.1)	1.10 (0.30 to 4.00)
Kairaluoma, 2003 <sup>82</sup>	6 weeks	1/30 (3.3)	1/30 (3.3)	1.00 (0.06 to 16.76)
Kraemer, 2005 <sup>28</sup>	6 weeks	0/25 (0)	1/25 (4.0)	0.32 (0.01 to 8.25)
Correa-Rovelo, 2002 <sup>96</sup>	8 weeks	1/42 (2.4)	1/42 (2.4)	1.00 (0.06 to 16.53)
			Pooled result	1.15 (0.47 to 2.79) $p = 0.76$
			Test for heterogeneity	$\chi^2 p = 0.61; I^2 = 0\%$
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	6–14 months	1/41 (2.4)	1/41 (2.4)	1.00 (0.06 to 16.55)
Bikhchandani, 2005 <sup>94</sup>	11 months	0/39 (0)	0/40 (0)	–
Boccasanta, 2001 <sup>87</sup>	< 1 year	2/40 (5.0)	3/40 (7.5)	0.65 (0.10 to 4.11)
			Pooled result	0.74 (0.16 to 3.46) $p = 0.70$
			Test for heterogeneity	$\chi^2 p = 0.80, I^2 = 0\%$
Ascanelli, 2005 <sup>76</sup>	12 months	0/50 (0)	1/50 (2.0)	0.33 (0.01 to 8.21)
Hetzer, 2002 <sup>90</sup>	12 months	0/20 (0)	0/20 (0)	–
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Shalaby, 2001 <sup>95</sup>	12 months	2/95 (2.1)	5/80 (6.3)	0.32 (0.06 to 1.71)
			Pooled result	0.32 (0.07 to 1.42) $p = 0.14$
			Test for heterogeneity	$\chi^2 p = 0.99; I^2 = 0\%$
Ortiz, 2002 <sup>89</sup>	16 months	0/27 (0)	0/28 (0)	–
Van de Stadt, 2005 <sup>80</sup>	46 months	0/20 (0)	2/20 (10.0)	0.18 (0.01 to 4.01)
Palimento, 2003 <sup>70,86</sup>	5 years	0/31 (0)	0/29 (0)	–

between SH and CH; there was a modest degree of heterogeneity between studies (Figure 7).

### Reinterventions for prolapse

The most commonly reported reason for a reintervention was the presence of prolapse (Table 19). Of the six studies that reported a reintervention for prolapse, five reported a higher incidence after SH than CH, and the pooled OR demonstrated a significantly higher incidence of reintervention for prolapse at 12 months and beyond postoperatively after SH than CH (Figure 8). When the studies by Ortiz<sup>88</sup> and Kairaluoma<sup>82</sup> were removed from the analysis (Appendix 7, Figure 45), there was still a statistically significantly higher rate of reintervention for prolapse after SH than CH (OR 4.99, 95% CI 1.05 to 23.60,  $p = 0.04$ ).

### Reinterventions for bleeding

Reinterventions for bleeding were reported in five trials (Table 19); however, the data were sparse and the event rates low, making it difficult to draw conclusions.<sup>76,80,82,83,85</sup> The pooled odds ratio based on only two trials<sup>76,82</sup> demonstrated a statistically significantly higher rate of

reinterventions after SH than CH for bleeding at 12 months or later postoperatively (Table 19). However, one of these trials experienced technical difficulties during the SH procedure.<sup>82</sup> Two further trials reported no patients requiring reintervention for bleeding at 12<sup>85</sup> and 46 months.<sup>80</sup>

### Reinterventions for pain

Across two trials,<sup>80,85</sup> no patient was reported as having undergone a reintervention due to pain (Table 19).

### Reinterventions for complications

The data regarding reinterventions for complications were sparse and the event rates were generally low, again making it difficult to draw conclusions. Pooled results demonstrated no statistically significant difference in the rate of reinterventions for skin tag removal or anal stenosis (Table 20).

### Reinterventions for symptoms and complications: summary

Overall, there was no difference in the total number of reinterventions required, or

**TABLE 13** Number of patients with faecal incontinence

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Pavlidis, 2002 <sup>85</sup>	1 week	0/40 (0)	1/40 (2.5)	0.33 (0.01 to 8.22)
Correa-Rovelo, 2002 <sup>96</sup>	2 weeks	0/42 (0)	1/42 (2.4)	0.33 (0.01 to 8.22)
Hetzer, 2002 <sup>90</sup>	3 weeks	0/20 (0)	0/20 (0)	–
Chung, 2005 <sup>92</sup>	4 weeks	0/43 (0)	0/45 (0)	–
Ren, 2002 <sup>77</sup>	4 weeks	6/45 (13.3)	7/45 (15.6)	0.84 (0.26 to 2.71)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Senagore, 2004 <sup>91</sup>	4 weeks	3/77 (3.9)	4/79 (5.1)	0.76 (0.16 to 3.51)
Ho, 2000 <sup>63</sup>	6 weeks	0/57 (0)	2/62 (3.2)	0.21 (0.01 to 4.48)
Kairaluoma, 2003 <sup>82</sup>	6 weeks	4/30 (13.3)	2/30 (6.7)	2.15 (0.36 to 12.76)
Kraemer, 2005 <sup>28</sup>	6 weeks	0/25 (0)	0/25 (0)	–
Lau, 2004 <sup>93</sup>	8 weeks	0/25 (0)	0/25 (0)	–
Schmidt, 2002 <sup>74</sup>	12 weeks	0/13 (0)	0/11 (0)	0.15 (0.01 to 3.01)
			Pooled result	0.73 (0.35 to 1.51) $p=0.39$
			Test for heterogeneity	$\chi^2 p = 0.72; I^2 = 0\%$
Ho, 2000 <sup>63</sup>	3 months	0/57 (0)	1/62 (1.6)	0.36 (0.01 to 8.93)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Chung, 2005 <sup>92</sup>	6 months	0/43 (0)	0/45 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	6 months	0/41 (0)	2/41 (4.9)	0.19 (0.01 to 4.09)
Senagore, 2004 <sup>91</sup>	6 months	3/77 (3.9)	10/79 (12.7)	0.28 (0.07 to 1.06)
Van de Stadt, 2005 <sup>80</sup>	6 months	2/20 (10.0)	0/20 (0)	5.54 (0.25 to 123.08)
Bikhchandani, 2005 <sup>94</sup>	11 months	3/39 (7.7)	4/40 (10.0)	0.75 (0.16 to 3.59)
Boccasanta, 2001 <sup>87</sup>	< 1 year	1/40 (2.5)	1/40 (2.5)	1.00 (0.06 to 16.56)
			Pooled result	0.51 (0.22 to 1.20) $p = 0.12$
			Test for heterogeneity	$\chi^2 p = 0.56; I^2 = 0\%$
Ascanelli, 2005 <sup>76</sup>	12 months	0/50 (0)	1/50 (2.0)	0.33 (0.01 to 8.21)
Hetzer, 2002 <sup>90</sup>	12 months	0/20 (0)	0/20 (0)	–
Kairaluoma, 2003 <sup>82</sup>	12 months	3/30 (10.0)	1/30 (3.3)	3.22 (0.32 to 32.89)
Ortiz, 2005 <sup>88</sup>	12 months	0/15 (0)	0/160 (0)	–
Pavlidis, 2002 <sup>85</sup>	12 months	1/40 (2.5)	1/40 (2.5)	1.00 (0.06 to 16.56)
Senagore, 2004 <sup>91</sup>	12 months	3/59 (5.1)	6/58 (10.3)	0.46 (0.11 to 1.95)
Shalaby, 2001 <sup>95</sup>	12 months	0/95 (0)	0/80 (0)	–
			Pooled result	0.75 (0.26 to 2.15) $p = 0.59$
			Test for heterogeneity	$\chi^2 p = 0.52, I^2 = 0\%$
Ortiz, 2002 <sup>89</sup>	16 months	0/27 (0)	0/28 (0)	–
Palimento, 2003 <sup>86</sup>	18 months	0/27 (0)	0/37 (0)	–
Van de Stadt, 2005 <sup>80</sup>	46 months	0/20 (0)	0/20 (0)	–
Palimento, 2003 <sup>70,86</sup>	5 years	0/37 (0)	0/37 (0)	–

reintervention for pain, bleeding or complications, between SH and CH. However, there was a significantly greater number of reinterventions for prolapse after SH.

#### Type of reintervention undertaken

The reinterventions undertaken in the trials were CH, SH, unspecified surgery, RBL, sclerotherapy, skin tag removal and an unspecified medical intervention (Table 21).

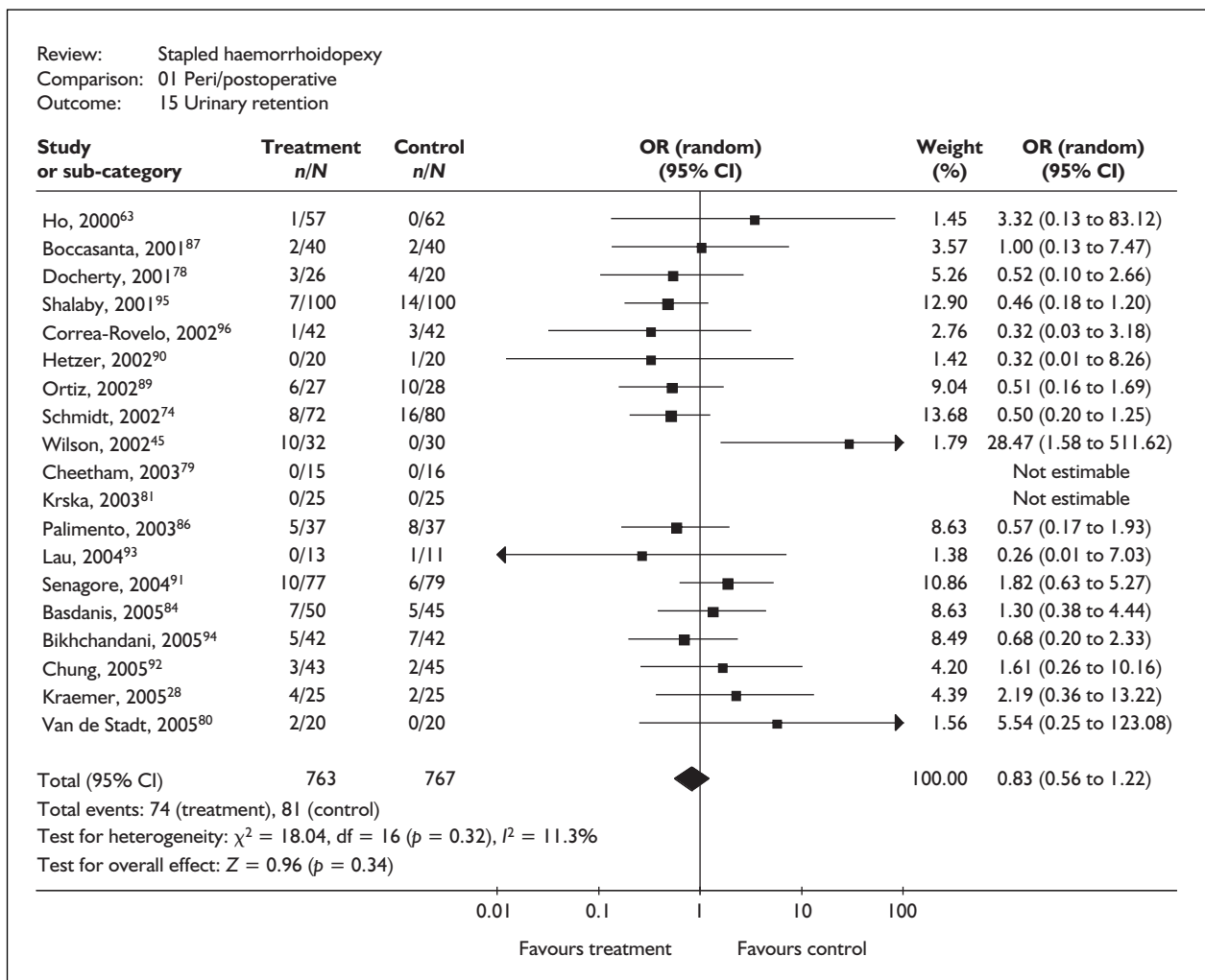
The need to undertake a CH was reported in seven trials (Table 21). The pooled OR demonstrated a significantly higher rate of CH 1 year and beyond after SH than CH. However, this analysis includes the trial that experienced

technical difficulties<sup>82</sup> and the trial that included only people with fourth degree haemorrhoids.<sup>88</sup> When these trials were removed from the analysis, the odds ratio decreased to 4.76 (95% CI 0.99 to 23.04,  $p = 0.05$ ; Appendix 7, Figure 47). Two trials reported the incidence of SH as a reintervention technique (Table 21); one reported a single patient requiring SH at 12 months after SH;<sup>95</sup> the other reported no incidence of SH as a reintervention.<sup>85</sup> Three trials reported the need for repeat surgery without specifying the type of surgery undertaken (Table 21);<sup>80,91,95</sup> none reported a significant difference between SH and CH.

Six trials reported the use of RBL within 18 months of the original procedure (Table 22).

**TABLE 14** Number of patients with faecal urgency

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Chung, 2005 <sup>92</sup>	4 weeks	0/43 (0)	0/45 (0)	–
Senagore, 2004 <sup>91</sup>	4 weeks	0/77 (0)	1/79 (1)	0.34 (0.01 to 8.24)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	2 months	0/42 (0)	1/42 (2)	0.33 (0.01 to 8.22)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Chung, 2005 <sup>92</sup>	6 months	0/43 (0)	0/45 (0)	–
Van de Stadt, 2005 <sup>80</sup>	6 months	2/20 (10.0)	2/20 (10.0)	1.00 (0.13 to 7.89)
Cheetham, 2003 <sup>79</sup>	8 months	3/15 (21.4)	0/16 (0)	9.24 (0.44 to 195.69)
Pooled result for 2–8 months				1.58 (0.43 to 5.79) $p = 0.49$
Test for heterogeneity				$\chi^2 p = 0.30; I^2 = 16.4\%$
Ortiz, 2005 <sup>88</sup>	12 months	2/15 (13.3)	3/16 (18.8)	0.67 (0.10 to 4.67)
Ascanelli, 2005 <sup>76</sup>	12 months	3/50 (6.0)	0/50 (0)	7.44 (0.37 to 147.92)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Ortiz, 2002 <sup>89</sup>	16 months	2/27 (7.4)	4/28 (14.3)	0.48 (0.08 to 2.87)
Van de Stadt, 2005 <sup>80</sup>	46 months	0/20 (0)	0/20 (0)	–
Pooled result for $\geq 12$ months				1.04 (0.36 to 3.03) $p = 0.94$
Test for heterogeneity				$\chi^2 p = 0.27; I^2 = 22.6\%$



**FIGURE 6** Number of people with urinary retention in the immediate postoperative period

**TABLE 15** Number of patients with anal fissure, anal fistula or haemorrhoidal thrombosis, or who died

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
<i>Anal fissure</i>				
Van de Stadt, 2005 <sup>80</sup>	Postoperative	1/20 (5.0)	2/20 (10.0)	0.47 (0.04 to 5.69)
Shalaby, 2001 <sup>95</sup>	1 week	1/100 (1.0)	0/100 (0)	3.03 (0.12 to 75.28)
Senagore, 2004 <sup>91</sup>	4 weeks	0/77 (0)	2/79 (2.5)	0.20 (0.01 to 4.23)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Cheetham, 2003 <sup>79</sup>	6 weeks	1/15 (6.7)	0/16 (0)	3.41 (0.13 to 90.49)
Kraemer, 2005 <sup>28</sup>	6 weeks	0/25 (0)	1/25 (4.0)	0.32 (0.01 to 8.25)
			Pooled result	0.72 (0.19 to 2.77) $p = 0.64$
			Test for heterogeneity	$\chi^2 p = 0.62; I^2 = 0\%$
Shalaby, 2001 <sup>95</sup>	6 months	0/100 (0)	3/100 (3.0)	0.14 (0.01 to 2.72)
<i>Anal fistula</i>				
Senagore, 2004 <sup>91</sup>	4 weeks	0/77 (0)	2/79 (2.5)	0.20 (0.01 to 4.23)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Ortiz, 2002 <sup>89</sup>	6 weeks	0/27 (0)	1/28 (3.6)	0.33 (0.01 to 8.55)
Hetzer, 2002 <sup>90</sup>	12 months	0/20 (0)	0/20 (0)	–
<i>Haemorrhoidal thrombosis</i>				
Van de Stadt, 2005 <sup>80</sup>	Postoperative	2/20 (10.0)	0/20 (0)	5.54 (0.25 to 123.08)
Shalaby, 2001 <sup>95</sup>	1 week	3/100 (3.0)	3/100 (3.0)	1.00 (0.20 to 5.08)
Boccasanta, 2001 <sup>87</sup>	10 days	2/40 (5.0)	6/40 (15.0)	0.47 (0.08 to 2.75)
Hetzer, 2002 <sup>90</sup>	3 weeks	1/20 (5.0)	0/20 (0)	3.15 (0.12 to 82.16)
Chung, 2005 <sup>92</sup>	4 weeks	2/43 (4.7)	0/45 (0)	5.48 (0.26 to 117.55)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Ortiz, 2005 <sup>88</sup>	6 weeks	1/15 (6.7)	0/16 (0)	3.41 (0.13 to 90.49)
Ortiz, 2002 <sup>89</sup>	6 weeks	1/27 (3.7)	0/28 (0)	3.23 (0.13 to 82.71)
Ho, 2000 <sup>63</sup>	6 weeks	1/57 (1.8)	0/62 (0)	3.32 (0.13 to 83.12)
Correa-Rovelo, 2002 <sup>96</sup>	2 months	0/42 (0)	0/42 (0)	–
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
			Pooled result	1.55 (0.64 to 3.74) $p = 0.33$
			Test for heterogeneity	$\chi^2 p = 0.76; I^2 = 0\%$
Correa-Rovelo, 2002 <sup>96</sup>	6 months	0/41 (0)	0/41 (0)	–
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Van de Stadt, 2005 <sup>80</sup>	46 months	1/20 (5.0)	0/20 (0)	3.15 (0.12 to 82.16)
<i>Mortality</i>				
Hetzer, 2002 <sup>90</sup>	3 weeks	0/20 (0)	0/20 (0)	–
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–

**TABLE 16** Number of patients with wound or systemic infections

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
<i>Wound</i>				
Chung, 2005 <sup>92</sup>	4 weeks	0/43 (0)	0/45 (0)	–
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Senagore, 2004 <sup>91</sup>	4 weeks	0/77 (0)	1/79 (1.3)	0.34 (0.01 to 8.42)
Ortiz, 2002 <sup>89</sup>	6 weeks	1/27 (3.7)	1/28 (3.6)	1.04 (0.06 to 17.49)
<i>Systemic</i>				
Bikhchandani, 2005 <sup>94</sup>	15 days	1/42 (2.4)	0/42 (0)	3.07 (0.12 to 77.59)
Chung, 2005 <sup>92</sup>	4 weeks	0/43 (0)	0/45 (0)	–
Senagore, 2004 <sup>91</sup>	4 weeks	0/77 (0)	4/79 (5.1)	0.11 (0.01 to 2.05)
Krska, 2003 <sup>81</sup>	4 weeks	0/25 (0)	0/25 (0)	–
Kairaluoma, 2003 <sup>82</sup>	6 weeks	1/30 (3.3)	1/30 (3.3)	1.00 (0.06 to 16.76)
Ho, 2000 <sup>63</sup>	6 weeks	0/57 (0)	1/62 (1.6)	0.36 (0.01 to 8.93)
			Pooled result	0.56 (0.12 to 2.57) $p = 0.46$
			Test for heterogeneity	$\chi^2 p = 0.46; I^2 = 0\%$

**TABLE 17** Number of patients with unhealed wounds between 3 and 12 weeks postoperatively

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Hetzer, 2002 <sup>90</sup>	3 weeks	0/20 (0)	4/20 (20.0)	0.09 (0 to 1.78)
Basdanis, 2005 <sup>84</sup>	4 weeks	0/50 (0)	0/45 (0)	–
Ren, 2002 <sup>77</sup>	4 weeks	0/45 (0)	3/45 (6.7)	0.13 (0.01 to 2.66)
Senagore, 2004 <sup>91</sup>	4 weeks	0/77 (0)	6/79 (7.6)	0.07 (0 to 1.32)
Cheetham, 2003 <sup>79</sup>	6 weeks	0/15 (0)	2/16 (12.5)	0.19 (0.01 to 4.24)
Hasse, 2004 <sup>75</sup>	6 weeks	2/40 (5.0)	21/40 (52.5)	0.05 (0.01 to 0.22)
Ho, 2000 <sup>63</sup>	6 weeks	0/57 (0)	9/62 (14.5)	0.05 (0 to 0.86)
Van de Stadt, 2005 <sup>80</sup>	6 weeks	1/20 (30.0)	6/20 (5.0)	0.12 (0.01 to 1.14)
Correa-Rovelo, 2002 <sup>96</sup>	2 months	0/42 (0)	4/42 (9.5)	0.10 (0.01 to 1.93)
			Pooled result	0.08 (0.03 to 0.19) $p < 0.001$
			Test for heterogeneity	$\chi^2 p = 0.99, I^2 = 0\%$
Van de Stadt, 2005 <sup>80</sup>	>6 weeks	0/20 (0)	0/20 (0)	–
Hetzer, 2002 <sup>90</sup>	12 weeks	0/20 (0)	4/20 (20.0)	0.09 (0 to 1.78)
Cheetham, 2003 <sup>79</sup>	12 weeks	0/15 (0)	1/16 (6.3)	0.33 (0.01 to 8.83)
Ho, 2000 <sup>63</sup>	12 weeks	0/57 (0)	0/62 (0)	–
			Pooled result	0.15 (0.02 to 1.28) $p = 0.08$
			Test for heterogeneity	$\chi^2 p = 0.56, I^2 = 0\%$

**TABLE 18** Total number of patients reported as having undergone a secondary intervention up to 46 months after surgery

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
Gravie, 2005 <sup>83</sup>	Within 2 months	3/63 (4.8)	3/63 (4.8)	1.00 (0.19 to 5.15)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	6 months	1/41 (2.4)	0/41 (0)	3.07 (0.12 to 77.69)
Boccasanta, 2001 <sup>87</sup>	< 1 year	2/40 (5.0)	3/40 (7.5)	0.65 (0.10 to 4.11)
			Pooled result	1.00 (0.33 to 3.05) $p = 1.00$
			Test for heterogeneity	$\chi^2 p = 0.71, I^2 = 0\%$
Hetzer, 2002 <sup>90</sup>	12 months	1/20 (5.0)	1/20 (5.0)	1.00 (0.06 to 17.18)
Shalaby, 2001 <sup>95</sup>	12 months	3/95 (3.2)	5/80 (6.3)	0.49 (0.11 to 2.11)
Senagore, 2004 <sup>91</sup>	12 months	2/59 (3.4)	4/58 (6.9)	0.47 (0.08 to 2.69)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Docherty, 2001 <sup>78</sup>	12 months	5/26 (19.2)	4/20 (20.0)	0.95 (0.22 to 4.13)
Kairaluoma, 2003 <sup>82</sup>	12 months	8/30 (26.7)	1/30 (3.3)	10.55 (1.23 to 90.66)
Ortiz, 2005 <sup>88</sup>	12 months	5/15 (33.3)	0/16 (0)	17.29 (0.86 to 346.04)
Ascanelli, 2005 <sup>76</sup>	12 months	2/50 (4.0)	0/50 (0)	5.21 (0.24 to 11.24)
			Pooled result	1.56 (0.54 to 4.51) $p = 0.41$
			Test for heterogeneity	$\chi^2 p = 0.09, I^2 = 45.2\%$
Ortiz, 2002 <sup>89</sup>	16 months	3/27 (11.1)	0/28 (0)	8.14 (0.40 to 165.53)
Ho, 2000 <sup>63,71</sup>	18 months	2/27 (7.4)	4/33 (12.1)	0.58 (0.10 to 3.44)
Gravie, 2005 <sup>83</sup>	2 years	0/52 (0)	0/57 (0)	–
Van de Stadt, 2005 <sup>80</sup>	46 months	4/20 (20.0)	0/20 (0)	11.18 (0.56 to 222.98)
			Pooled result	2.36 (0.77 to 7.28) $p = 0.13$
			Test for heterogeneity	$\chi^2 p = 0.13; I^2 = 51.0\%$
			Pooled estimate for $\geq 12$ months	1.74 (0.71 to 4.24) $p = 0.23$
			Test for heterogeneity	$\chi^2 p = 0.08, I^2 = 41.0\%$

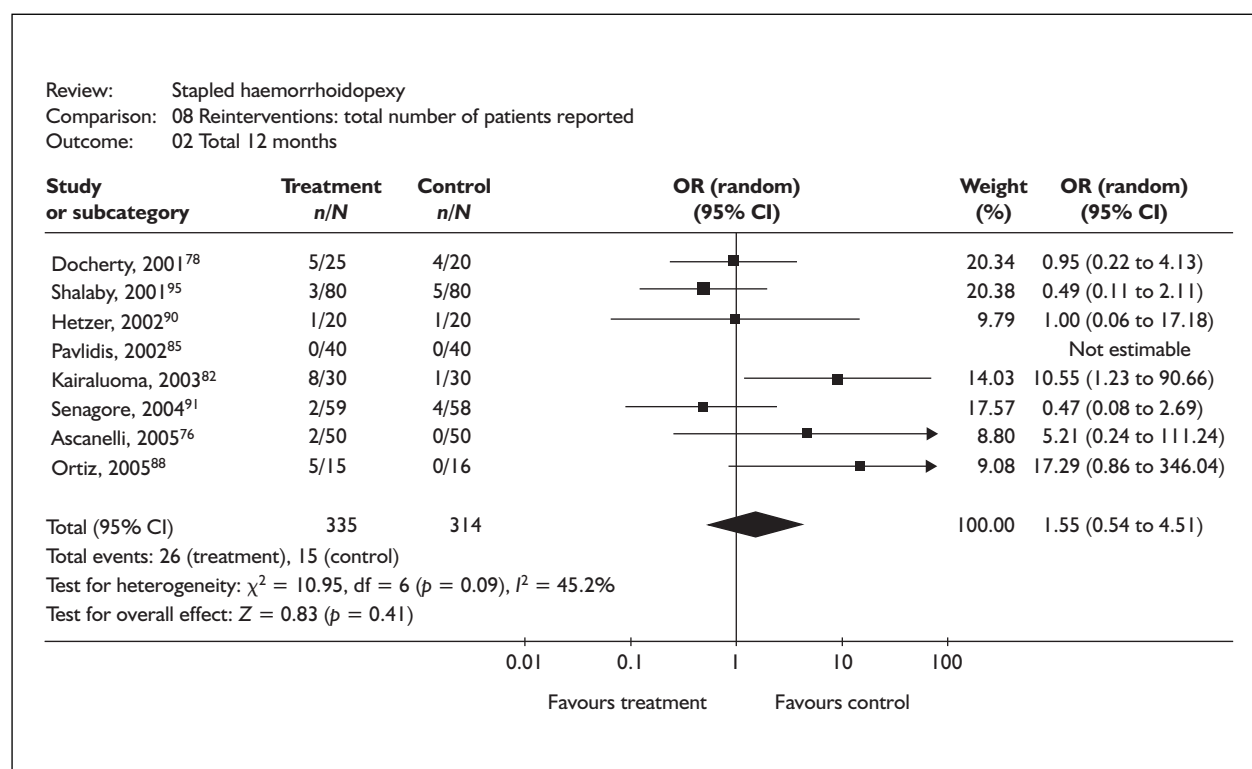


FIGURE 7 Number of people requiring some type of reintervention at 12 months or longer postoperatively

TABLE 19 Number of patients with the symptom that required reintervention

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
<b>Prolapse</b>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	6 months	1/41 (2.4)	0/41 (0)	3.07 (0.12 to 77.69)
Ortiz, 2005 <sup>88</sup>	12 months	5/15 (33.3)	0/16 (0)	17.29 (0.86 to 346.04)
Hetzer, 2002 <sup>90</sup>	12 months	1/20 (5.0)	1/20 (5.0)	1.00 (0.06 to 17.18)
Kairaluoma, 2003 <sup>82</sup>	12 months	7/30 (23.3)	1/30 (3.3)	8.83 (1.01 to 76.96)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Ortiz, 2002 <sup>89</sup>	16 months	3/27 (11.1)	0/28 (0)	8.14 (0.40 to 165.53)
Van de Stadt, 2005 <sup>80</sup>	46 months	4/20 (20.0)	0/20 (0)	11.18 (0.56 to 222.98)
Pooled estimate for $\geq 12$ months				6.78 (2.00 to 23.00) $p = 0.002$
Test for heterogeneity				$\chi^2 p = 0.68$ , $I^2 = 0\%$
<b>Bleeding</b>				
Gravie, 2005 <sup>83</sup>	<2 months	2/63 (3.2)	0/63 (0)	5.16 (0.24 to 109.73)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Ascanelli, 2005 <sup>76</sup>	12 months	2/50 (4.0)	0/50 (0)	5.21 (0.24 to 111.24)
Kairaluoma, 2003 <sup>82</sup>	12 months	7/30 (23.3)	1/30 (3.3)	8.83 (1.01 to 76.96)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Van de Stadt, 2005 <sup>80</sup>	46 months	0/20 (0)	0/20 (0)	–
Pooled estimate for $\geq 12$ months				7.44 (1.27 to 43.43) $p = 0.03$
Test for heterogeneity				$\chi^2 p = 0.78$ , $I^2 = 0\%$
<b>Pain</b>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Van de Stadt, 2005 <sup>80</sup>	46 months	0/20 (0)	0/20 (0)	–



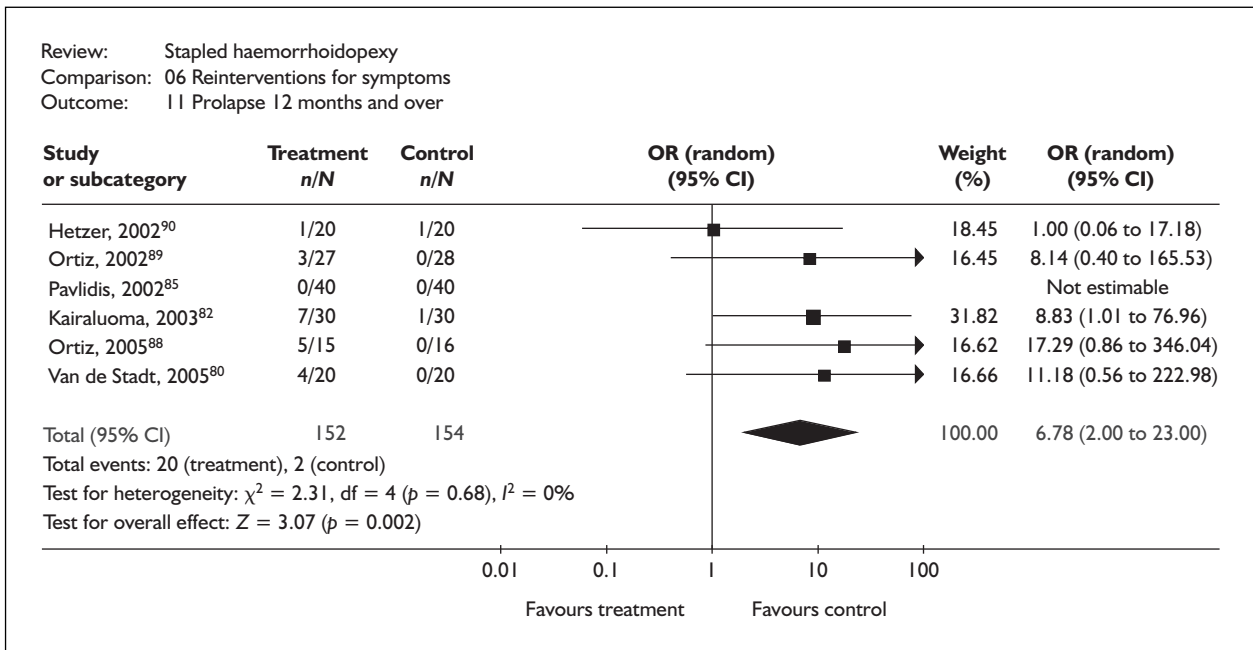


FIGURE 8 Number of people requiring reintervention for prolapse at 12 months or longer postoperatively

TABLE 20 Number of patients with a complication that required reintervention

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
<b>Anal stenosis</b>				
Gravie, 2005 <sup>83</sup>	<2 months	0/63 (0)	1/63 (1.6)	0.33 (0.01 to 8.21)
Boccasanta, 2001 <sup>87</sup>	<1 year	2/40 (5.0)	3/40 (7.5)	0.65 (0.10 to 4.11)
Shalaby, 2001 <sup>95</sup>	12 months	2/95 (2.1)	5/80 (6.3)	0.32 (0.06 to 1.71)
Pooled estimate for within 12 months				0.42 (0.13 to 1.32) $p = 0.14$
Test for heterogeneity				$\chi^2 p = 0.85$ , $I^2 = 0\%$
<b>Skin tag removal</b>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Kairaluoma, 2003 <sup>82</sup>	12 months	1/30 (3.3)	0/30 (0)	3.10 (0.12 to 79.23)
Senagore, 2004 <sup>91</sup>	12 months	0/59 (0)	1/58 (1.7)	0.32 (0.01 to 8.07)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Pooled estimate for $\geq 12$ months				0.99 (0.14 to 7.15) $p = 0.99$
Test for heterogeneity				$\chi^2 p = 0.33$ , $I^2 = 0\%$
<b>Faecaloma<sup>a</sup></b>				
Gravie, 2005 <sup>83</sup>	<2 months	0/63 (0)	2/63 (3.2)	0.19 (0.01 to 4.12)

<sup>a</sup> An accumulation of hardened faeces in the colon or rectum giving the appearance of an abdominal tumour.

The pooled odds ratio demonstrated no significant difference between SH or CH. One trial<sup>76</sup> reported the use of sclerotherapy in two patients following SH (Table 22). One trial<sup>63,71</sup> reported the need for an unspecified medical intervention, carried out in one patient after SH and two patients after CH (Table 22).

**Type of reintervention undertaken: summary**  
 It seems that those requiring reintervention for haemorrhoidal disease rather than complications

underwent CH, and therefore the requirement for CH as a reintervention was significantly higher after SH, reflecting the increased rate of prolapse. There was no significant difference in the requirement for any other type of reintervention between SH and CH.

**Operating time**

Mean operating time was reported in 19 studies, ranging from 9 to 35.4 minutes for SH and 11.5 to 53 minutes for CH (Table 23).

**TABLE 21** Number of patients requiring surgical reintervention

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
<i>Conventional haemorrhoidectomy</i>				
Gravie, 2005 <sup>83</sup>	2 days	1/63 (1.6)	0/63 (0)	3.05 (0.12 to 76.26)
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Ortiz, 2005 <sup>88</sup>	12 months	5/15 (33.3)	0/16 (0)	17.29 (0.86 to 346.04)
Kairaluoma, 2003 <sup>82</sup>	12 months	4/30 (13.3)	0/30 (0)	10.63 (0.53 to 201.45)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Docherty, 2001 <sup>78</sup>	12 months	4/26 (15.4)	0/20 (0)	8.20 (0.42 to 161.83)
Ho, 2000 <sup>63,71</sup>	18 months	1/27 (3.7)	1/33 (3.0)	1.23 (0.07 to 20.64)
Ortiz, 2002 <sup>89</sup>	16 months	3/31 (11.1)	0/28 (0)	8.14 (0.04 to 165.53)
		Pooled estimate for $\geq 12$ months		6.54 (1.75 to 24.50) $p = 0.005$
		Test for heterogeneity		$\chi^2 p = 0.75, I^2 = 0\%$
<i>Stapled haemorrhoidopexy</i>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Shalaby, 2001 <sup>95</sup>	12 months	1/95 (1.1)	0/80 (0)	2.56 (0.10 to 63.60)
<i>Surgery: unspecified</i>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Shalaby, 2001 <sup>95</sup>	12 months	1/95 (1.1)	2/80 (2.5)	0.41 (0.04 to 4.66)
Senagore, 2004 <sup>91</sup>	12 months	0/59 (0)	3/58 (5.2)	0.13 (0.01 to 2.64)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Van de Stadt, 2005 <sup>80</sup>	46 months	4/20 (20.0)	0/20 (0)	11.18 (0.56 to 222.98)

**TABLE 22** Number of patients requiring non-excisional surgery as the reintervention procedure

Study	Time-point	SH n/N (%)	CH n/N (%)	OR (95% CI)
<i>Rubber band ligation</i>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Correa-Rovelo, 2002 <sup>96</sup>	6 months	1/41 (2.4)	0/41 (0)	3.07 (0.12 to 77.69)
Kairaluoma, 2003 <sup>82</sup>	12 months	3/30 (10.0)	1/30 (3.3)	3.22 (0.32 to 32.89)
Hetzer, 2002 <sup>90</sup>	12 months	1/20 (5.0)	1/20 (5.0)	1.00 (0.06 to 17.18)
Senagore, 2004 <sup>91</sup>	12 months	2/59 (3.4)	0/58 (0)	5.09 (0.24 to 108.29)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Docherty, 2001 <sup>78</sup>	12 months	1/26 (3.8)	1/20 (5.0)	0.76 (0.04 to 12.95)
Ho, 2000 <sup>63,71</sup>	18 months	0/27 (0)	1/33 (3.0)	0.39 (0.02 to 10.07)
		Pooled estimate for $\geq 12$ months		1.52 (0.43 to 5.34) $p = 0.51$
		Test for heterogeneity		$\chi^2 p = 0.74, I^2 = 0\%$
<i>Sclerotherapy</i>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Ascanelli, 2005 <sup>76</sup>	12 months	2/50 (4.0)	0/50 (0)	5.21 (0.24 to 111.24)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
<i>Skin tag removal</i>				
Pavlidis, 2002 <sup>85</sup>	3 months	0/40 (0)	0/40 (0)	–
Kairaluoma, 2003 <sup>82</sup>	12 months	1/30 (3.3)	0/30 (0)	3.10 (0.12 to 79.23)
Pavlidis, 2002 <sup>85</sup>	12 months	0/40 (0)	0/40 (0)	–
Senagore, 2004 <sup>91</sup>	12 months	0/59 (0)	1/58 (1.7)	0.32 (0.01 to 8.07)
<i>Medical</i>				
Ho, 2000 <sup>63,71</sup>	18 months	1/27 (3.7)	2/33 (6.1)	0.60 (0.05 to 6.95)

**TABLE 23** Mean or median number of minutes operating time

Study	Number randomised		SH	CH	Mean difference (95% CI)
	SH	CH	Mean (measure of variance)	Mean (measure of variance)	
Bikhchandani, 2005 <sup>94</sup>	42	42	24.28 (SD 4.25)	45.21 (SD 5.36)	-20.93 (-23.00 to -18.86)
Boccasanta, 2001 <sup>87</sup>	40	40	25 (SD 3.1)	50 (SD 5.3)	-25.00 (-26.90 to -23.10)
Chung, 2005 <sup>92</sup>	43	45	17 (SD 7.3)	18.5 (SD 6.4)	-1.50 (-4.37 to 1.37)
Correa-Rovelo, 2002 <sup>96</sup>	42	42	11.9 (SD 3.1)	46.4 (SD 10.4)	-34.50 (-37.78 to -31.22)
Hasse, 2004 <sup>75</sup>	40	40	16.3 (SD 0.8)	49 (SD 11.8)	-32.70 (-36.37 to -29.03)
Ho, 2000 <sup>63</sup>	57	62	17.6 (SD 9.8)	11.4 (SD 7.1)	6.20 (3.10 to 9.30)
Kairaluoma, 2003 <sup>82</sup>	30	30	21.86 (SD 9.1)	22.46 (SD 6.4)	-0.06 (-4.58 to 3.38)
Lau, 2004 <sup>93</sup>	13	11	35.4 (SD 9.89)	29.8 (SD 13.01)	5.60 (-3.78 to 14.98)
Pavlidis, 2002 <sup>85</sup>	40	40	23 (SD 5)	35 (SD 10)	-12.00 (-15.46 to -8.54)
Ren, 2002 <sup>77</sup>	45	45	12.3 (SD 6.7)	17.6 (SD 9.3)	-5.30 (-8.65 to -1.95)
Shalaby, 2001 <sup>95</sup>	100	100	9 (SD 2.7)	19.7 (SD 4.7)	-10.70 (-11.76 to -9.64)
Ascanelli, 2005 <sup>76</sup>	50	50	22 (range 18-38)	35 (range 30-45)	-13.0
Kraemer, 2005 <sup>28</sup>	25	25	21 (range 6-54)	26 (range 10-80)	-5.0
Ortiz, 2002 <sup>89</sup>	27	28	19 (range 14.35)	33.5 (range 15-90)	-14.5
Ortiz, 2005 <sup>88</sup>	15	16	24 (range 15-37)	39 (range 10-90)	-15.0
Senagore, 2004 <sup>91</sup>	77	79	31 (range 5-79)	35 (range 12-89)	- 4.0
Gravie, 2005 <sup>83</sup>	63	63	21 (NR)	31 (NR)	-10.0
Krska, 2003 <sup>81</sup>	25	25	28 (NR)	46 (NR)	-18.0
Schmidt, 2002 <sup>74</sup>	72	80	21.65 (NR)	52.98 (NR)	-31.33
Van de Stadt, 2005 <sup>80</sup>	20	20	22.2 (NR)	25.7 (NR)	-3.5
			<b>Median (range)</b>	<b>Median (range)</b>	
Basdanis, 2005 <sup>84</sup>	50	45	15 (8-17)	13 (9.2-16.1)	
Hetzer, 2002 <sup>90</sup>	20	20	30 (15-45)	43 (25-60)	
Kairaluoma, 2003 <sup>82</sup>	30	30	21 (11-59)	22 (14-40)	
Palimento, 2003 <sup>86</sup>	37	37	25 (15-49)	30 (20-44)	
Wilson, 2002 <sup>45</sup>	32	30	12 (NR)	18 (NR)	

Two trials reported a longer mean operating time for SH than CH;<sup>63,93</sup> the remainder reported a shorter operating time for SH. Five further studies reported median operating times, ranging from 12 to 30 minutes for SH and 13 to 43 minutes for CH (Table 23). Only one<sup>84</sup> reported a longer operating time for SH than CH; the remainder reported a shorter operating time for SH. Eleven studies provided sufficient data to include in a meta-analysis, however, significant heterogeneity between studies ( $p < 0.001$ ,  $I^2 = 98.7\%$ ) meant that pooling was not undertaken.<sup>63,75,77,82,85,87,92-96</sup>

The heterogeneity between trials may be due to the method by which the operating time was measured; some trials measured operating time from the onset of anaesthesia, whereas others measured time in the operating theatre, or actual operating time from incision to application of a dressing. With this as a potential confounder, it was not possible to determine whether the anaesthetic used or the degree of haemorrhoids had an impact on the results of this outcome (Appendix 7, Table 69).

Overall, operating time seems to be shorter for SH than for CH.

#### Duration of hospital stay

Nineteen trials reported data on duration of hospital stay (Table 24). Sixteen studies reported the mean length of hospital stay; this ranged from 0.75 to 5.8 days after SH and 0.92 to 11.2 days after CH. Fourteen of these studies reported a shorter hospital stay after SH than CH. Owing to significant heterogeneity between the studies that provided sufficient data to be included in a meta-analysis ( $p < 0.001$ ,  $I^2 = 97.5\%$ ), pooling was not undertaken.

Preoperative degree of haemorrhoids, differences in hospital discharge protocols and the methods by which length of stay was measured may be the possible reasons for heterogeneity between these studies. Studies recruiting people with grade II haemorrhoids seem to have shorter durations of hospital stay than studies recruiting people with more severe haemorrhoidal disease, although this is

**TABLE 24** Mean or median duration of hospital stay (days)

Study	Number randomised		SH	CH	Mean difference (95% CI)
	SH	CH	Mean (measure of variance)	Mean (measure of variance)	
Bikhchandani, 2005 <sup>94</sup>	42	42	1.24 (SD 0.62)	2.76 (SD 1.01)	-1.52 (-1.88 to -1.16)
Boccasanta, 2001 <sup>87</sup>	40	40	2 (SD 0.5)	3 (SD 0.4)	-1.00 (-1.20 to -0.80)
Gravie, 2005 <sup>83</sup>	63	63	2.2 (SD 1.2)	3.1 (SD 1.7)	-0.90 (-1.41 to -0.39)
Hasse, 2004 <sup>75</sup>	40	40	1 (SD 0.5)	4 (SD 0.7)	-3.00 (-3.27 to -2.73)
Ho, 2000 <sup>63</sup>	57	62	2.1 (SD 0.76)	2 (SD 0.79)	0.10 (-0.18 to 0.38)
Lau, 2004 <sup>93</sup>	13	11	1.44 (SD 0.53)	2.13 (SD 0.84)	-0.69 (-1.26 to -0.12)
Pavlidis, 2002 <sup>85</sup>	40	40	1.7 (SD 0.5)	3.2 (SD 0.3)	-1.50 (-1.68 to -1.32)
Ren, 2002 <sup>77</sup>	45	45	5.8 (SD 2.3)	11.2 (SD 3.7)	-5.40 (-6.67 to -4.13)
Shalaby, 2001 <sup>95</sup>	100	100	1.1 (SD 0.2)	2.2 (SD 0.5)	-1.10 (-1.21 to -0.99)
Ascanelli, 2005 <sup>76</sup>	50	50	0.75 (range 0.25-1.67)	0.92 (range 0.25-2)	-0.17
Basdanis, 2005 <sup>84</sup>	50	45	1.6 (range 1-2)	2.1 (range 2-3)	-0.5
Hetzer, 2002 <sup>90</sup>	20	20	2.4 (range 1-4)	2.1 (range 1-4)	0.3
Kraemer, 2005 <sup>28</sup>	25	25	4 (range 2-10)	5 (range 2-10)	-1.0
Schmidt, 2002 <sup>74</sup>	72	80	3.04 (range 1-8)	6.14 (range 3-9)	-3.1
Krska, 2003 <sup>81</sup>	25	25	3.5 (NR)	6.2 (NR)	-2.5
Van de Stadt, 2005 <sup>80</sup>	20	20	1.5 (NR)	2.25 (NR)	-0.75
			<b>Median (range)</b>	<b>Median (range)</b>	
Chung, 2005 <sup>92</sup>	43	45	1 (1-5)	3 (2-5)	
Wilson, 2002 <sup>45</sup>	32	30	1 (0.9-2)	1.9 (1-2)	
Senagore, 2004 <sup>91</sup>	77	79	NR (0-2)	NR (1-2)	

more apparent after CH than SH (Appendix 7, Table 70).<sup>28,74,75,77,81</sup>

Two studies favoured SH far more than the other studies (Table 24).<sup>75,77</sup> The trial by Hasse and colleagues<sup>75</sup> was restricted to patients with third degree haemorrhoids, and the trial by Ren and colleagues<sup>77</sup> recruited 76% of patients with third degree haemorrhoids, with the remainder with fourth degree haemorrhoids. Another study<sup>85</sup> had a similar high proportion of patients with third degree haemorrhoids (69%), but this study had a more representative population, with patients with both second and fourth degree haemorrhoids recruited. When the studies by Hasse<sup>75</sup> and Ren<sup>77</sup> were removed from the analysis, there was little effect on the result and there was still significant heterogeneity between studies (Appendix 7, Figure 49).

Two additional studies reported the median length of hospital stay; both reported a shorter hospital stay after SH.<sup>45,92</sup> One further study<sup>91</sup> reported only the range. Two studies did not report data for hospital stay: one<sup>82</sup> reported that all procedures were day cases for both SH and CH, and the other<sup>79</sup> that 80% of SH and 88% of CH were undertaken as day cases.

When placed in chronological order, there was no indication that the length of hospital stay decreased with more recent trials.

Overall, SH resulted in a shorter hospital stay than CH. Trials recruiting patients with second degree haemorrhoids generally reported shorter hospital stays than those recruiting patients with third and/or fourth degree haemorrhoids.

#### **Time to first bowel movement**

All seven studies measuring the mean number of days to first bowel movement reported a shorter time following SH than CH (Table 25). Two studies reporting the median days to first bowel movement showed no difference between SH and CH.<sup>45,92</sup> When the results of studies that provided sufficient data to be included in a meta-analysis were analysed, there was a significantly shorter time to first bowel movement after SH. However, although there was a statistically significant difference between the treatments, this translates into a fairly small difference between treatments in real time to first bowel movement, and is unlikely to be clinically significant.

Overall, SH resulted in a shorter time to first bowel movement than CH; however, the actual

**TABLE 25** Mean or median number of days to first bowel movement

Study	Number randomised		SH	CH	Mean difference (95% CI)
	SH	CH	Mean (measure of variance)	Mean (measure of variance)	
Bikhchandani, 2005 <sup>94</sup>	42	42	2.16 (SD 0.79)	2.33 (SD 0.79)	-0.17 (-0.51 to 0.17)
Correa-Rovelo, 2002 <sup>96</sup>	42	42	1.1 (SD 0.3)	1.43 (SD 0.59)	-0.33 (-0.53 to -0.13)
Gravie, 2005 <sup>83</sup>	63	63	1.6 (SD 1)	2.1 (SD 1.1)	-0.50 (-0.87 to -0.13)
Pooled estimate					-0.33 (-0.48 to -0.17) $p < 0.001$
Test for heterogeneity					$\chi^2 p = 0.43, I^2 = 0\%$
Kraemer, 2005 <sup>28</sup>	25	25	2 (range 1-4)	3 (range 1-5)	-1.0
Ortiz, 2005 <sup>88</sup>	15	16	3.14 (range 1-5)	3.5 (range 1-6)	-0.36
Ortiz, 2002 <sup>89</sup>	27	28	2.9 (range 0-5)	3.2 (range 1-6)	-0.3
Senagore, 2004 <sup>91</sup>	77	79	1.4 (95% CI 1 to 1.8)	2 (95% CI 1.6 to 2.5)	-0.6
			Median (range)	Median (range)	
Chung, 2005 <sup>92</sup>	43	45	2 (1-3)	2 (1-4)	
Wilson, 2002 <sup>45</sup>	32	30	1 (1-3)	1 (1-2)	

difference in the time to first bowel movement between the two treatments is unlikely to be clinically significant.

#### Time to return to work/normal activity

Twenty trials reported the time to resume normal activity/return to work (Table 26); 19 reported a

shorter time after SH, and one<sup>72</sup> reported the same time after SH and CH. Fifteen trials reported the mean number of days to normal activity; this ranged from 6.1 to 23.1 days after SH and 9.8 to 53.9 after CH. For all ten trials for which it could be tested, the number of days to normal activity was significantly shorter after SH

**TABLE 26** Mean or median number of days to normal activity

Study	Number randomised		SH	CH	Mean difference (95% CI)
	SH	CH	Mean (measure of variance)	Mean (measure of variance)	
Basdanis, 2005 <sup>84</sup>	50	45	6.3 (SD 1.5)	9.8 (SD 1.9)	-3.50 (-4.19 to -2.81)
Bikhchandani, 2005 <sup>94</sup>	42	42	8.12 (SD 2.48)	17.62 (SD 5.59)	-9.50 (-11.35 to -7.65)
Boccasanta, 2001 <sup>87</sup>	40	40	8 (SD 0.9)	15 (SD 1.4)	-7.00 (-7.52 to -6.48)
Chung, 2005 <sup>92</sup>	43	45	6.7 (SD 4.3)	15.6 (SD 6.0)	-8.90 (-11.07 to -6.73)
Correa-Rovelo, 2002 <sup>96</sup>	42	42	6.1 (SD 3.5)	15.2 (SD 4.8)	-9.10 (-10.90 to -7.30)
Gravie, 2005 <sup>83</sup>	63	63	14 (SD 10)	24 (SD 13)	-10.00 (-14.05 to -5.95)
Hasse, 2004 <sup>75</sup>	40	40	11.2 (SD 7.1)	21.2 (SD 9.2)	-10.00 (-13.60 to -6.40)
Ho, 2000 <sup>63</sup>	57	62	17.1 (SD 14.35)	22.9 (SD 14.17)	-5.80 (-10.93 to -0.67)
Ren, 2002 <sup>77</sup>	45	45	7.9 (SD 3.2)	14.2 (SD 6.5)	-6.30 (-8.42 to -4.18)
Shalaby, 2001 <sup>95</sup>	100	100	8.2 (SD 1.9)	53.9 (SD 5.8)	-45.70 (-46.90 to -44.50)
Hetzer, 2002 <sup>90</sup>	20	20	6.7 (range 2-14)	20.7 (range 7-45)	-14.0
Ortiz, 2002 <sup>89</sup>	27	28	23.1 (range 0-98)	26.6 (range 0-112)	-2.7
Schmidt, 2002 <sup>74</sup>	72	80	6.2 (range 3-14)	14.5 (range 7-34)	-8.3
Krska, 2003 <sup>81</sup>	25	25	12 (NR)	25.5 (NR)	-13.5
Thaha, 2004 <sup>72</sup>	91	91	14 (NR)	14 (NR)	-
			Median (range)	Median (range)	
Cheetham, 2003 <sup>79</sup>	15	16	10 (3-38)	14 (3-21)	
Kairaluoma, 2003 <sup>82</sup>	30	30	8 (1-21)	14 (1-33)	
Palimento, 2003 <sup>86</sup>	37	37	28 (12-40)	34 (16-50)	
Wilson, 2002 <sup>45</sup>	32	30	14 (NR)	18 (NR)	
Ascanelli, 2005 <sup>76</sup>	50	50	NR (10-25)	NR (20-45)	

**TABLE 27** Overall patient satisfaction

Study	Time-point	Patient satisfaction
Bikhchandani, 2005 <sup>94</sup>	15 days	SH
Kraemer, 2005 <sup>28</sup>	6 weeks	Neither
Ho, 2000 <sup>63</sup>	6 weeks	Neither
Correa-Rovelo, 2002 <sup>96</sup>	2 months	Neither
Ascanelli, 2005 <sup>76</sup>	NR	SH
Ho, 2000 <sup>63</sup>	3 months	Neither
Pavlidis, 2002 <sup>85</sup>	3 months	SH
Correa-Rovelo, 2002 <sup>96</sup>	6 months	Neither
Chung, 2005 <sup>92</sup>	6 months	SH
Cheetham, 2003 <sup>79</sup>	8 months	Neither
Shalaby, 2001 <sup>95</sup>	6 months	SH
Bikhchandani, 2005 <sup>94</sup>	11 months	More patients were satisfied after SH Mean satisfaction scores the same for SH and CH
Pavlidis, 2002 <sup>85</sup>	12 months	Neither
Kairaluoma, 2003 <sup>82</sup>	12 months	Neither
Hasse, 2004 <sup>75</sup>	12 months	Neither
Ortiz, 2002 <sup>89</sup>	16 months	Neither
Palimento, 2003 <sup>86</sup>	18 months	Neither
Ho, 2000 <sup>63,71</sup>	18 months	Neither
Van de Stadt, 2005 <sup>80</sup>	46 months	CH
Palimento, 2003 <sup>70,86</sup>	5 years	Neither

than CH (Table 26). However, there was statistically significant heterogeneity between these studies ( $p < 0.001$ ,  $I^2 = 99.8\%$ ), therefore a pooled effect size was not calculated.

The definition of return to normal activity may vary between trials (return to work, period of disability, etc.) and the interpretation and assessment of normal activity may differ between patients. These factors may explain some of the heterogeneity observed between the studies. In addition, one study<sup>95</sup> reported an unusually long convalescence time after CH. When this trial was removed from the analysis, there was still statistically significant heterogeneity between studies, precluding pooling ( $p < 0.001$ ,  $I^2 = 93.2\%$ ; Appendix 7, Figure 51).

Four trials reported the median number of days to normal activity; this ranged from 8 to 28 days after SH and 14 to 34 after CH. The study by Ascanelli and colleagues<sup>76</sup> reported only the range.

Overall, SH resulted in a shorter period before patients could resume normal activity or return to work compared to CH.

#### **Patient satisfaction**

Fourteen studies reported patient satisfaction (Table 27). In general, there was no preference for one or other procedure. Where a difference in

satisfaction was reported, it was in favour of SH within the first year postoperatively<sup>76,85,92,94,95</sup> and CH approximately 4 years postoperatively.<sup>80</sup>

## **Discussion of the clinical evaluation**

### **Effectiveness**

The findings of the review of clinical effectiveness are summarised in Table 28.

In the immediate postoperative period SH was less painful than CH. By day 21, the pain reported following SH and CH was minimal, with little difference between the two techniques. There was no increase in bleeding associated with SH compared with CH; however, there was a higher rate of residual prolapse. SH was associated with shorter operating times, hospital stay, time to first bowel movement and time to normal daily activities.

In the short term (>6 weeks to <1 year) prolapse was more common after SH. There was no difference in the number of patients complaining of pain between SH and CH. However, wound healing was significantly better at 6 weeks after SH.

In the longer term (12 months and beyond) there was a significantly higher rate of prolapse after SH compared with CH. Although there was no difference between SH and CH in the total number of reinterventions undertaken, there was a

**TABLE 28** Summary of clinical effectiveness: whether results show a statistically significant difference in favour of SH or CH for each outcome evaluated

Outcome	Time-point			
	< 6 weeks	> 6 weeks < 12 months	12 months	> 12 months
Pain	SH	Neither	Neither	Neither
Bleeding	Neither <sup>a</sup>	Neither	Neither <sup>b</sup>	Neither
Haemorrhage	Neither	NA	NA	NA
Prolapse	CH	CH	Neither	CH
Urinary retention	Neither	NA	NA	NA
Operating time	SH <sup>c</sup>	NA	NA	NA
Hospital stay	SH <sup>c</sup>	NA	NA	NA
Time to first bowel movement	SH <sup>c</sup>	NA	NA	NA
Return to work/normal activity	SH <sup>c</sup>	NA	NA	NA
Faecal incontinence	Neither	Neither	Neither	Neither
Faecal urgency	Neither	Neither	Neither	Neither
Anal stenosis/anastomotic stricture	Neither	Neither	Neither	Neither
Anal fistula	Neither	–	Neither	–
Anal fissure	Neither	Neither	–	–
Haemorrhoidal thrombosis	Neither	Neither	–	–
Pelvic sepsis	Neither	Neither	Neither	Neither
Wound infection	Neither	NA	NA	NA
Systemic infection	Neither	NA	NA	NA
Wound healing	SH	NA	NA	NA
Symptom control	NA	Neither	Neither	Neither
Reintervention – overall	NA	Neither	Neither	Neither
Reintervention – for prolapse	NA	–	CH	CH
Reintervention – for complications	NA	–	Neither	Neither
Reintervention – requiring CH	NA	–	CH	CH
Reintervention – requiring non-excisional treatment	NA	–	Neither	Neither

<sup>a</sup> Results are from a sensitivity analysis thought to be more representative than the analysis of including all trials.  
<sup>b</sup> Non-significant trend towards CH observed ( $p < 0.1$ ).  
<sup>c</sup> Pooling was not undertaken owing to heterogeneity between studies; however, the overall trend was apparent.

significantly higher rate of reintervention for prolapse, and the use of CH as a secondary procedure after SH.

Overall, there was no significant difference in the rate of complications between SH and CH. The most serious complications associated with haemorrhoidal surgery are faecal urgency and incontinence, as these can lead to a lifelong reduction in quality of life due to the inability to treat these conditions. This review found no differences in the incidence of incontinence or urgency between SH and CH at any time-point during the follow-up period, and there were no incidents of incontinence reported beyond 1 year postoperatively after either procedure.

One of the most frequently reported complications of haemorrhoidal surgery is anastomotic stricture (after SH) or anal stenosis (after CH). The review found that the frequency of these complications was low (0–8.8% for anastomotic stricture; 0–10%

anal stenosis after CH); there was no difference in their incidence after SH and CH at any time-point. There was also no evidence to suggest that the incidence of urinary retention, anal fissure, anal fistula, rectovaginal fistula, pelvic/perianal sepsis, haemorrhoidal thrombosis and infection were more common after either surgical procedure.

#### Variability between studies

The quality of studies did not appear to impact on the results of any meta-analysis. However, all the included studies had some methodological flaws, and there were no large, high-quality RCTs conducted in a representative population for comparison.

There was no evidence that the type of CH undertaken impacted on the relative difference to SH for any postoperative outcome. There was also no indication that those studies that did not report the type of staple gun used, and may therefore

have used either PPH03 or a staple gun not designed for SH, adversely affected any postoperative outcome measure.

Although the included studies did not provide data to explore these issues thoroughly, two factors seemed to be foremost in causing variability between studies for particular outcomes: the degree of haemorrhoids and the apparent experience of the surgeons. The degree of haemorrhoids is thought to impact on the clinical outcome following haemorrhoidal surgery. It is thought that SH may be unsuitable for people with fourth degree haemorrhoids owing to difficulty gaining access to the anal canal,<sup>25</sup> difficult placement of the pursestring suture,<sup>68</sup> excess tissue to be excised being too bulky to fit into the housing of the staple gun,<sup>25</sup> incomplete mucosal resection<sup>68</sup> and residual symptomatic prolapse.<sup>68</sup> The studies recruiting a high proportion of patients with fourth degree haemorrhoids seemed to contribute to the heterogeneity for some outcomes. Two studies included in this review, by Ortiz<sup>88</sup> and Boccasanta,<sup>87</sup> restricted recruitment to those with fourth degree haemorrhoids. Unlike Ortiz,<sup>88</sup> Boccasanta<sup>87</sup> reported data for only a few outcomes for which meta-analyses could not be conducted, or for postoperative complications for which incidents were low and heterogeneity between studies was not observed. Thus, the effect of this trial was not explored in sensitivity analyses. Most notably, the study by Ortiz<sup>88</sup> reported a greater proportion of patients requiring reintervention after SH compared to CH at 1 year than any other study. These studies also tended to report higher levels of postoperative pain; however, this was after both procedures. The degree of haemorrhoids did not seem to cause heterogeneity in the analyses of bleeding,<sup>87,88</sup> prolapse,<sup>87,88</sup> anal stenosis/anastomotic stricture,<sup>87</sup> urinary retention,<sup>87</sup> faecal incontinence<sup>87,88</sup> or haemorrhoidal thrombosis.<sup>87,88</sup>

The learning curve when introducing a new procedure may result in the new procedure appearing less effective and less safe. One of the included studies reported experiencing technical difficulties during the SH procedure.<sup>82</sup> This was one of the earliest trials undertaken after the introduction of SH, conducted between 1999 and 2000. The technical difficulties experienced during SH seemed to have led to an uncharacteristically high incidence of residual prolapse, and the requirement for reintervention. When this study was excluded from these analyses, heterogeneity was eliminated.

Most studies did not report whether patients with co-morbid conditions were included in the study; those that did, generally reported that they were excluded. Only one study<sup>28</sup> reported that they included patients with co-morbid conditions. The only outcome for which this study provided results and seemed to differ from other studies, was the tendency for a longer duration of hospital stay.

The use of general anaesthesia did not appear to result in longer operating times or length of hospital stay. There was no evidence that older studies used general anaesthetic more frequently, or had longer durations of hospital stay than more recent trials. There was also no apparent impact of the type of anaesthesia used and outcomes following surgery.

### **Comparison with other systematic reviews**

The findings of this review are generally similar to results reported by previous reviews.<sup>32,66,100,101</sup> The review by EE-S reported that the incidence of prolapse was not significantly higher after SH in people with third degree haemorrhoids,<sup>66</sup> but their findings were based on a meta-analysis of four RCTs, one of which was excluded from the current study owing to its use of a staple gun not designed for SH.<sup>102</sup> Of 16 studies reporting the incidence of prolapse in the current review, four were restricted to patients with third degree haemorrhoids. Of these one reported a significant increase in the incidence of prolapse in the early postoperative period,<sup>82</sup> and the others either no difference between SH or a tendency towards increased prolapse after SH compared to CH at other time-points.<sup>75,81,91</sup> Considering the general trend in favour of CH in both patients with third degree haemorrhoids and a wider spectrum of patients, it is possible that these trials were underpowered. There is currently no evidence to recommend SH as particularly suitable for patients with third degree haemorrhoids.

When considering the difference between SH and CH in relation to complications, no differences were found in the incidence of major complications (incontinence, urgency, anastomotic stricture/anal stenosis) at any time during the follow-up period. In relation to incontinence and anastomotic stricture/anal stenosis, the EE-S review and recent Cochrane review reported a non-significant trend favouring SH,<sup>66,101</sup> and other reviews reported inconclusive results<sup>32,100</sup> owing to the lack of available studies and an insufficient period of follow-up in those studies available, or no significant difference between SH and CH.<sup>32,66,100,101,103</sup>



**Conclusions of the evaluation of clinical effectiveness**

SH was associated with less pain in the immediate postoperative period; however, it was also associated with a higher rate of residual prolapse, prolapse in the longer term and reintervention for prolapse.

There was no clear difference in the rate or type of complications associated with the two techniques.

The absolute and relative rates of recurrence and reintervention, for SH and CH, are still uncertain.



## Chapter 4

# Assessment of cost-effectiveness evidence

To assess the cost-effectiveness of circular SH for the treatment of haemorrhoids, this chapter reviews the existing cost-effectiveness evidence, including the EE-S submission to NICE, and reports York's independent economic assessment of the cost-effectiveness of circular SH for the treatment of haemorrhoids.

### Systematic review of existing cost-effectiveness evidence

#### Methods

To review the existing cost-effectiveness evidence base, papers obtained during the clinical effectiveness review (see the section 'Search strategy', p. 9) were searched to check whether they included cost-effectiveness data. In addition, four economics databases were searched to identify additional economic evaluations (see 'Cost-effectiveness', p. 115).

To obtain data to populate parameters of the York economic model, specific searches were undertaken. These included searches for relevant data on health-related quality of life (HRQoL), the incidence and prevalence of haemorrhoids, RCTs evaluating open versus closed haemorrhoidectomy, cohort studies of complications and symptoms associated with haemorrhoidal surgery, and the length of hospital stay following haemorrhoidal surgery as reported in the section 'Economic model', p. 115.

In terms of the inclusion criteria, a broad range of studies was considered in the assessment of cost-effectiveness, including economic evaluations conducted alongside trials, modelling studies and analyses of administrative databases. Any duplicate references that were obtained were taken out and the remaining references were checked for relevance by a health economist. Studies were included in the cost-effectiveness review if they considered the costs and outcomes associated with two or more surgical procedures in the treatment of haemorrhoids. Therefore, studies based on cost-consequence analysis, cost-utility analysis, cost-effectiveness analysis, cost-minimisation and cost-benefit analysis were eligible for inclusion.

A data-extraction form for use in previous technology assessment reviews (TARs) was used to abstract data on all economic evaluations reviewed. The quality of the cost-effectiveness studies was assessed based on a checklist updated from that developed by Drummond and colleagues,<sup>104</sup> and which reflects the criteria for economic evaluation detailed in the methodological guidance developed by NICE<sup>105</sup> (Appendix 4 and *Table 65*, p. 163) In addition, EE-S (Johnson and Johnson) submitted an economic model which is discussed below.

#### Results

Based on the above review, no formal full economic evaluations assessing the cost-effectiveness of SH for the treatment of haemorrhoids were found in the published literature. One study<sup>67</sup> examined the costs associated with surgical procedures for haemorrhoids in some detail and is summarised in Appendix 8.

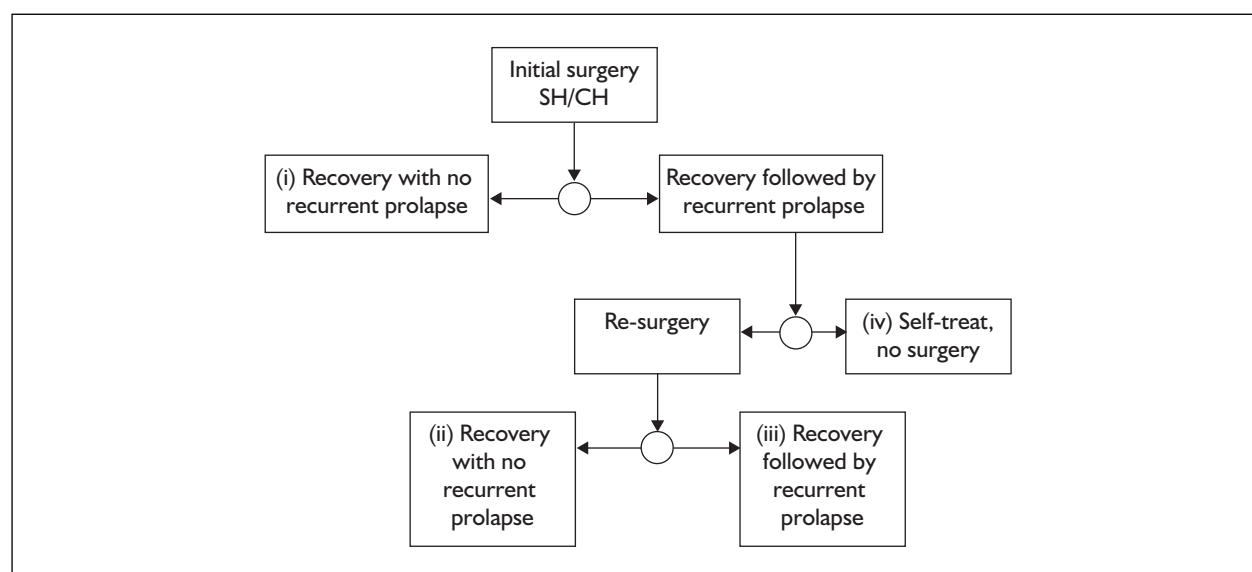
#### **Economic evaluation received from EE-S** Overview

The EE-S submission compared the use of SH with CH (using Milligan–Morgan open haemorrhoidectomy), in the treatment of third and fourth degree haemorrhoids. A cost-utility analysis was undertaken using a probabilistic, cohort-based decision tree. Data on clinical effectiveness for use in the model were obtained from a systematic review of the literature. The model followed a 1-year time-horizon and was undertaken from the perspective of the UK NHS.

#### Model structure

Patients entered the model having had initial surgery: SH or CH. Subsequently, patients could follow one of four pathways through the model (*Figure 9*). These were:

- (i) full recovery and no recurrent prolapse
- (ii) a recovery period in which the patient experiences a severe recurrent prolapse requiring re-surgery, followed by no further prolapse
- (iii) a recovery period in which the patient experiences a severe recurrent prolapse



**FIGURE 9** Structure of the EE-S economic model

- requiring re-surgery followed by a second recurrent prolapse
- (iv) A recovery period in which the patient experiences a less severe recurrent prolapse which can be self-treated.

Therefore, no account was taken of symptoms other than prolapse, or complications. For those patients with recurrent prolapse, reintervention was determined by the level of prolapse severity. Patients with more severe recurrent prolapse had re-surgery, whereas patients with less severe recurrent prolapse self-treated. Following re-surgery, patients were at risk of a second recurrent prolapse.

In the model it was assumed that the type of re-surgery undergone was the same as that on entry into the model. Therefore, the benefits and costs associated with surgery, including those incurred in the recovery period, were repeated in pathways (ii) and (iii) above. It was assumed that the average time from initial surgery to recurrence of prolapse was 120 days. The waiting time from recurrence with severe symptoms to reintervention was assumed to be 10 days.

A 1-year time-horizon was modelled since EE-S suggested that there is no difference in treatment effect after 1 year and that any prolapse beyond that time is a new prolapsing haemorrhoid, rather than a recurrence due to treatment failure. Therefore, it was not necessary to discount costs or benefits associated with the treatment, given the short time-horizon of the model.

#### Data used in the EE-S model

*Effectiveness and utility data used in the EE-S model*  
Based on the NICE reference case, EE-S aimed to estimate the relative treatment effect of SH compared to CH in terms of quality-adjusted life-years (QALYs) using a generic measure of HRQoL. QALYs are calculated by multiplying the length of time in a particular health state by its corresponding utility value. Utility values for the NICE reference case should be elicited using a choice-based preference measure. Since data were not estimated directly in any trial, they were estimated indirectly by synthesising evidence from a number of sources.

To convert generic HRQoL data into utility values for each day during the recovery period, EE-S took a series of steps.

1. The HRQoL of SH and CH at about 7 weeks post surgery was estimated from an RCT<sup>45</sup> which reported mean scores for the four physical health dimensions of the Short Form 36 (SF-36).<sup>45</sup>
2. Then these mean SF-36 dimensions were mapped to utilities.
3. To incorporate postoperative pain (a key outcome associated with surgery), the mean SF-36 bodily pain (BP) dimension score was adjusted using data on pain in the early postoperative period, reported by a separate RCT.<sup>80</sup>
4. Lastly, the data were extrapolated to predict pain, SF-36 dimensions and ultimately utilities for the entire first year and were used to

generate a QALY associated with SH and CH over 1 year. Each step is explained in more detail next.

The first step was to estimate the HRQoL of CH and SH at 7 weeks. Wilson and colleagues<sup>45</sup> used the SF-36 to measure HRQoL preoperatively and at around 7 weeks postoperatively, and these data are shown in *Table 29*. Mean summary scores of the four physical health dimensions of the SF-36 scores were reported; that is, for bodily pain (BP), general health (GH), physical functioning (PF) and role-physical (RP). The study did not report the four mental health dimensions of the SF-36.

The second step was to predict utilities from the mean SF-36 dimensions. It is possible to generate utilities from the SF-36 using the Short Form 6 Dimensions (SF-6D).<sup>106</sup> However, individual patient data were not available from the trial, so using the Brazier SF-6D scoring algorithm was not an option. Instead, EE-S estimated a relationship between the SF-36 dimension scores and utility, using a cross-sectional data set of patients aged 39–67 who were registered with a GP in Sheffield.<sup>107</sup> The SF-6D algorithm was used to calculate the utility for each individual in the data set. SF-36 dimension scores were calculated for each individual for the four physical health dimensions. Multivariate linear regression was carried out to estimate how utility would change, on average, for a one-point change in the SF-36 summary dimensions, assuming that all other dimensions remained constant. The mean coefficients estimated by this regression were:

$$\text{SF-6D utility score} = 0.4339 + (0.0008 \times \text{PF score}) + (0.0008 \times \text{RP score}) + (0.0016 \times \text{BP score}) + (0.0012 \times \text{GH score}) \quad (1)$$

Standard errors (SEs) and regression diagnostics were not reported, so it was not possible to reflect fully the uncertainty in the utility estimates.

Predicted utility scores were calculated by summing the product of the SF-36 dimension scores from *Table 29* with the corresponding regression coefficient for the preoperative period and at 7 weeks postoperatively for CH and for SH. The results of this calculation are shown in *Table 30*.

The third step taken by EE-S to estimate utility each day was to adjust the utilities predicted in *Table 30* to reflect daily changes in pain. Pain is a key short-term outcome associated with surgery for haemorrhoids. It is most severe in the days immediately after surgery and diminishes over time. The assumption made by EE-S is that the utilities estimated in *Table 30* from the SF-36 after 6–8 weeks represent the utilities at that particular point in time, rather than average utility over the preceding recovery period. The methods and data used to make these calculations are described next.

A single study<sup>80</sup> was used to estimate the pain each day associated with SH and CH, over a 21-day recovery period, based on a VAS.

For each arm of the study, an exponential curve was fitted to the observed VAS scores over the first 21 days to predict VAS scores every day up to 7 weeks. The mean coefficients estimated by this function were:

$$\begin{aligned} \text{Mean VAS after CH at day } t &= \exp(1.59 - 0.039 \times t) \\ \text{Mean VAS after SH at day } t &= \exp(1.00 - 0.073 \times t) \end{aligned} \quad (2)$$

**TABLE 29** Preoperative and postoperative SF-36 scores for patients undergoing SH and CH

SF-36 dimension	SF-36 score <sup>a</sup>			
	SH <sup>b</sup>		CH <sup>c</sup>	
	Preoperation	6–8 weeks postoperation	Preoperation	6–8 weeks postoperation
PF	90	95	90	90
RP	100	100	100	100
BP	81	50	49	41
GH	61	61	61	61

<sup>a</sup> Results read from graph in Wilson *et al.*<sup>45</sup>  
<sup>b</sup> SH includes patients with Endo Ethicon PPH and Autosuture devices.  
<sup>c</sup> CH was open haemorrhoidectomy.

**TABLE 30** Predicted utility scores for SH and CH preoperatively and at 6–8 weeks postoperatively

SF-36 data set	Predicted utility score	
	SH	CH
Preoperation	0.789	0.738
6–8 weeks postoperation	0.743	0.726

Scores were obtained by summing the product of the SF-36 dimension scores from the Wilson RCT<sup>45</sup> with the corresponding regression coefficient [equation (1)].

A mapping exercise was carried out to predict what the mean SF-36 BP dimension score would have been if this instrument had been used by patients each day instead of the VAS. No studies were found that reported both SF-36 and VAS scores at a corresponding time-point. Instead, it was assumed that the SF-36 BP score observed in each arm of the Wilson study at 7 weeks corresponded to an extrapolated VAS pain score (Table 31).<sup>45</sup> Two more data points were imputed. It was assumed that the maximum VAS pain score of 10 maps to an SF-36 bodily pain score of 1, and a zero VAS pain score maps to a bodily pain score of 100. It was then assumed there was an exponential relationship between VAS pain and SF-36 BP, and this was fitted using these four data points. The EE-S submission did not state whether other ways were tried to predict the SF-36 BP score from the VAS score, for example, assuming a linear relationship. The mean coefficients estimated by this function were:

$$\text{Mean SF-36 BP score} = \exp(4.2025 - 0.4216 \times \text{Mean VAS}) \quad (3)$$

The final step taken to estimate utilities over the first year was to extrapolate the data. Mean VAS pain scores were available from a single RCT<sup>80</sup> each day for the first 21 days. These scores were extrapolated using the functions estimated by equation (2) to predict pain scores each day after SH and CH for the first year. The predicted pain scores were used to predict the mean SF-36 BP

dimension scores each day over the same period using equation (3). A further adjustment was made to other SF-36 dimensions from the Wilson RCT<sup>45</sup> to reflect possible changes in HRQoL over the first year. As shown in Table 29, based on the SF-36 the average PF score was 95 following SH, and 90 following CH. For the other dimensions (i.e. RP and GH) the scores were the same for both interventions and were assumed to remain so for the duration of the model. The model assumed that the score in the SH arm remained constant, whereas the score in the CH arm increased linearly from 90 at 7/8 weeks to 95 at 12 months, although data were not available to support this assumption, other than the findings in Wilson.<sup>45</sup> The predicted SF-36 dimension scores were multiplied by the coefficients estimated in equation 1 to generate utility values for each day of the year following SH and CH. Finally, the predicted utility scores for each day over the first year were used to generate a QALY for a patient undergoing a prolapse-free recovery [pathway (i)] (Table 32).

There is evidence that some patients will experience a recurrent prolapse following the initial operation [pathways (ii), (iii) and (iv)]. EE-S undertook a meta-analysis of recurrent prolapse and re-surgery due to prolapse, based on the results of 13 studies. As stated above, it was assumed that for those patients experiencing a recurrent prolapse, this was observed 120 days postoperatively, based on Ortiz.<sup>89</sup> The results of seven studies were meta-analysed to obtain the proportion of patients who were diagnosed with a recurrent prolapse who then self-treated [pathway (iv)]. Since no corresponding data on HRQoL for these patients were available, the model assumed that patient utility was equivalent to the preoperative utility in patients with a severe prolapse.<sup>45</sup> For patients with severe recurrent prolapse it was assumed that re-surgery was required [pathways (ii) and (iii)] and the associated QALYs were the same as those associated with the initial recovery curves. The patients who experienced a second recurrent prolapse [pathway (iii)] were assumed to remain in that state for the

**TABLE 31** Sources of data used by the EE-S to map mean SF-36 BP to mean VAS pain

VAS pain score (0–10 scale)	Mean VAS	SF-36 bodily pain score (0–100 scale)	Mean SF-36 BP
Van de Stadt <sup>80</sup> SH arm (extrapolated from weeks 3–7)	0.093	7 weeks SH arm <sup>45</sup>	50
Van de Stadt <sup>80</sup> CH arm (extrapolated from weeks 3–7)	0.786	7 weeks CH arm <sup>45</sup>	42
Assumption	0	Assumption	100
Assumption	10	Assumption	0

**TABLE 32** QALYs gained in the EE-S cost–utility model

Health state	Mean
<i>Treatment with SH</i>	
(i) Full recovery and no recurrent prolapse	0.769
(ii) A recovery period in which the patient experiences a severe recurrent prolapse requiring re-surgery, followed by no further prolapse	0.764
(iii) A recovery period in which the patient experiences a severe recurrent prolapse requiring re-surgery, followed by a second recurrent prolapse	0.753
(iv) A recovery period in which the patient experiences a less severe recurrent prolapse which can be self-treated	0.747
<i>Treatment with CH</i>	
(i) Full recovery and no recurrent prolapse	0.760
(ii) A recovery period in which the patient experiences a severe recurrent prolapse requiring re-surgery, followed by no further prolapse	0.748
(iii) A recovery period in which the patient experiences a severe recurrent prolapse requiring re-surgery, followed by a second recurrent prolapse	0.738
(iv) A recovery period in which the patient experiences a less severe recurrent prolapse which can be self-treated	0.739

remainder of the model. *Figure 10* illustrates the utility curves associated with each of the four patient pathways.

#### *Resource-use and cost data summary*

To calculate the costs associated with SH and CH, EE-S estimated the resource use and costs of either procedure, comprising surgical and hospital costs, the use of a staple gun for SH, day case and inpatient stays. *Table 33* shows key resource use and cost inputs. EE-S used a microcosting study, based on data from laparoscopic colorectal surgery, to estimate the cost of haemorrhoidal surgery. The list price for the haemorrhoidal circular stapler was used. Based on a meta-analysis of five studies, time spent in surgery was estimated and these data were combined with the cost per minute of surgery and the cost of the staple gun as appropriate, to calculate the total surgery cost.

Inpatient and day-case costs were calculated using Hospital Episode Statistics (HES) data and Office of Population Censuses and Surveys (OPCS) data. In the UK for 2004/05 it was estimated that approximately 23,000 haemorrhoidal procedures were undertaken, of which 13,000 were RBL and sclerotherapy and 8000 were CH (OPCS code H511). Based on patients aged 15–74 years inclusive, it was estimated that 26.8% of cases were undertaken as day-case procedures, while 73.2% required an inpatient stay. EE-S used these inpatient figures for CH. For SH, the proportion

of inpatients was taken from a single study.<sup>108</sup> The inpatient length of stay for patients who were not day cases was based on a meta-analysis of two studies.<sup>109,110</sup> The average hotel cost per day on an inpatient ward was estimated by the long-stay outlier payment from the Admitted Patient Care Tariff, which lists the prices of hospital care in England and Wales. No specific data on the cost of day case excluding surgery were found and therefore this was assumed to be the same as a day on an inpatient ward. Follow-up management costs and the cost of self-treatment were not included. The average cost of hospital stay (excluding surgery) was calculated for SH and CH by:

$$AvCost_t = p_t \times C + (1 - p_t) \times N_t \times C \quad (4)$$

where  $t = \text{SH or CH}$ ,  $P_t =$  proportion of patients undergoing day surgery for treatment  $t$ ,  $N_t =$  average inpatient nights for patients not undergoing day surgery for treatment  $t$ , and  $C =$  hotel cost per day on an inpatient ward.

#### **Results**

Results from the base-case scenario are shown in *Table 34*. The incremental cost per QALY gained with SH compared to CH was £22,416 in the model. Based on a cost-effectiveness acceptability curve (CEAC), it was shown that at a threshold incremental cost-effectiveness ratio (ICER) of £30,000 there was a greater than 70% probability that SH was a more cost-effective option than CH.

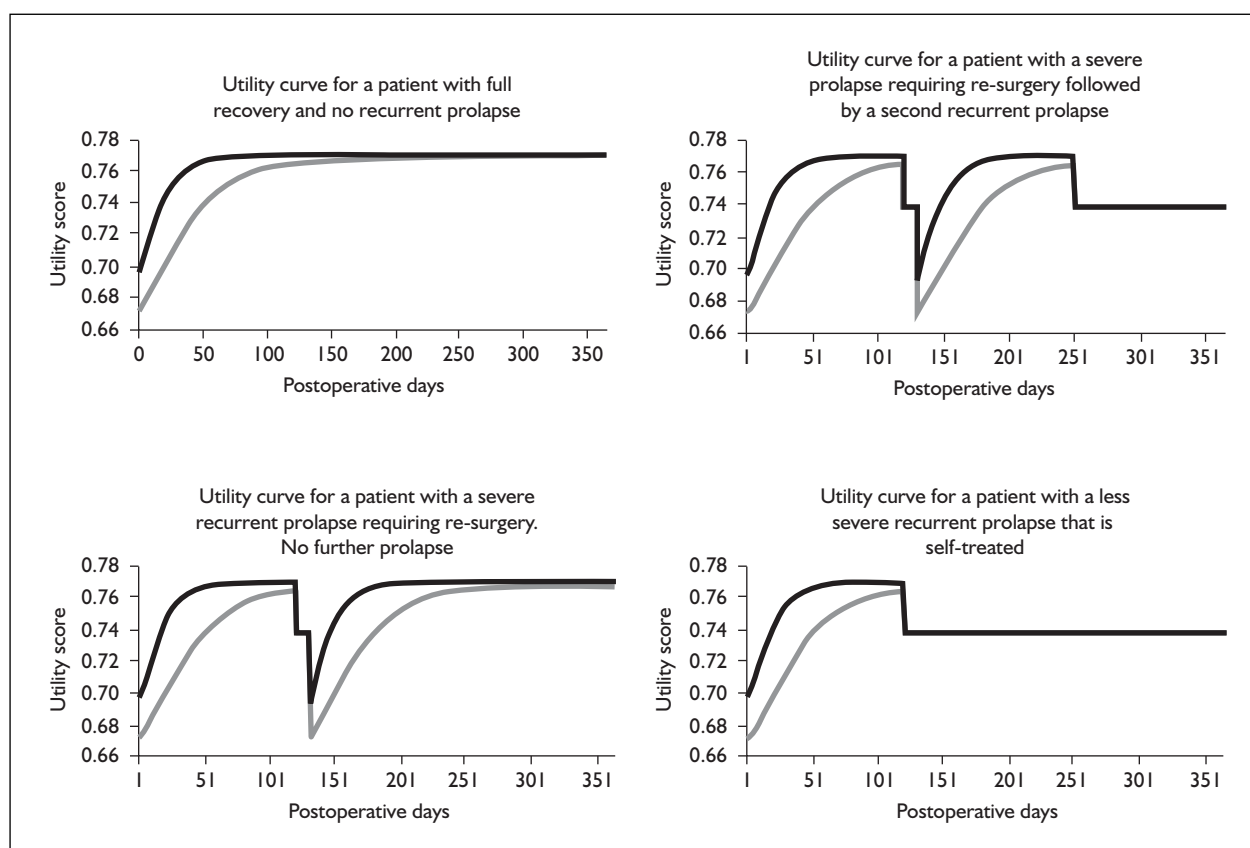


FIGURE 10 Utility curves for the four patient pathways through the model (dark line for SH, lighter line for CH)

TABLE 33 Resource-use and unit-cost data used in the EE-S model

Variable	Procedure	
	SH	CH
Cost of surgery per minute (excluding haemorrhoidal circular stapler)	£7.95	£7.95
Cost of haemorrhoidal circular stapler	£420	–
Time in surgery (minutes)	18.49	28.20
Total surgery cost	£567	£224
Cost of hospital stay (day)	£224	£224
Percentage of patients incurring inpatient stay	42.9%	73.2%
Inpatient length of stay (nights) for patients not undergoing day surgery	1.60	2.58
Total procedure cost	£849	£707
Percentage of patients suffering prolapse	10.10%	2.60%
Time to recurrent prolapse (days)	120	120
Time to surgery post recurrent prolapse (days)	10	10
Probability of re-surgery for recurrent prolapse	66.2%	27.2%

TABLE 34 Cost-effectiveness results from the EE-S model

Procedure	Mean cost per patient	Mean QALYs gained per patient	ICER (approx. 95% CI)
SH	£904	0.77	£22,416 (dominating to £49,621)
CH	£713	0.76	
Difference	£191	0.009	–



**TABLE 35** Several one-way sensitivity analysis results<sup>a</sup>

Variable adjusted in one-way sensitivity analysis	Cost per QALY of SH
Cost of surgery: extreme case in which there is no surgery saving time using SH	£30,000
Cost of haemorrhoidal stapler, discounted by 30%	£6,970
Cost of hospital stay, varied from £100 to £300 per day	
£100 per day	£35,000
£300 per day	£15,000
Percentage of inpatient episodes: % of patients incurring an inpatient stay	
0%	£47,000
100%	£21,000
Percentage of inpatient episodes: % of SH incurring an inpatient stay	
0%	£16,000
100%	£33,000
Mean inpatient length of stay, varying the WMD of inpatient length of stay between SH and CH	
0	£42,500
2.2	SH dominates
Adding an additional 0.5-day stay to the mean length of stay of both procedures (WMD remains the same)	SH dominates
Assuming all day-case episodes to calculate the cost of a hospital stay	£13,439
Percentage of patients suffering recurrent prolapse	
Assuming rate of recurrence is 2.6% for either procedure	£16,558
Stapled procedure prolapse rate fixed at 10.1%; open procedure re-prolapse rate varied	
0% patients suffering recurrent prolapse	£25,000
20% patients suffering recurrent prolapse	£14,000
Open procedure prolapse rate fixed at 2.6%; stapled procedure re-prolapse rate varied	
0% patients suffering recurrent prolapse	£15,000
20% patients suffering recurrent prolapse	£35,000
Time to recurrent prolapse	
At 25 days	£23,496
At 335 days	£21,000
Time to surgery after recurrent prolapse	
0 days	£22,801
100 days	£24,169
Probability of re-surgery following recurrent prolapse	
If 100% of patients undergo SH re-surgery and 0% undergo CH re-surgery	£22,614
If 0% of patients undergo SH re-surgery and 100% undergo CH re-surgery	£24,747
If 0% of patients undergo SH re-surgery and 0% undergo CH re-surgery	£24,589
If 100% of patients undergo SH re-surgery and 100% undergo CH re-surgery	£22,747
Physical functioning score	
If physical functioning scores at 56 days are assumed equal across procedures	£27,000
If physical functioning scores at 56 days become equal at day 300	£23,000

<sup>a</sup> Many of these figures were read off a graph.

### Sensitivity analysis

One-way sensitivity analyses were performed to test the robustness of the results to variation in the following costs and effects: the cost of surgery, the cost of hospital stay, the percentage of inpatient episodes, the mean inpatient length of stay, the percentage of patients suffering recurrent prolapse, the time to recurrent prolapse, the probability of re-surgery following recurrent prolapse and the physical functioning score (Table 35). The sensitivity analyses showed that the

results for SH ranged from dominating CH to an ICER of £47,000.

### Conclusion

The EE-S submission to NICE suggested that SH is cost-effective compared with CH, based on the results of the use of the “Proximate<sup>®</sup> PPH Procedure for Prolapse and Haemorrhoids Set” for haemorrhoidopexy. The EE-S report argued that SH is associated with less pain, faster healing, shorter operative time, a shorter length of stay in

hospital and greater potential to deliver SH on a day-case basis, compared with CH.

### Comments on methodology

#### Time-horizon

EE-S assumed that the treatment effects of the two surgical procedures were equivalent at 1 year. They based this on the assumption that utility in patients with successful surgery is equal at 1 year and that any prolapse beyond this point was a new prolapse rather than a recurrent prolapse. As reported in the clinical review (see the section 'Prolapse at 12 months and beyond', p. 27), when data were pooled for 12 months and beyond, recurrent prolapse was significantly more common after SH than CH.

As well as potential differences in treatment effect, exposure time may influence the number of recurrent prolapses that are recorded. However, this is not considered in the EE-S analysis. A possible implication of not designing a model with a longer time-horizon may be that the disutility associated with further recurrent prolapse is not fully captured.

The EE-S model also assumes that the time to re-surgery [i.e. pathways (ii) and (iii)] takes place very shortly after recurrence of symptoms (i.e. 10 days). This is a highly optimistic clinical assumption. The expert clinical advice to the York group was that the average time from recurrence of symptoms to re-surgery in the NHS is typically around 12 months, with a typical minimum of 6 months. Minimising the time to re-surgery minimises the disutility associated with the preoperative period(s). Since SH is associated with a higher recurrent prolapse rate, minimising the impact of preoperative disutility underestimates the disutility associated with SH compared with CH.

Further to this, in the EE-S model, the recovery period after surgery and re-surgery extends for about 120 days. As reported by EE-S, and as reported in the section 'Pain' (p. 22), SH was less painful than CH during the early postoperative period, with pain lessening in the later postoperative period (post-14 days) in both arms of the trials. Nevertheless, patients still experienced less pain following SH than CH. Based on a metaregression of the ten studies which reported a mean VAS and a measure of variance (standard deviation), at 21 days the average pain score for all patients decreased to less than 0.5 (on a scale of 0–10) (see *Figure 3*, p. 23). Given such a low level of pain, it seems inappropriate to extend the average difference in

pain in the recovery period for as long as 120 days, simply by extrapolating the short-term data.

#### Resource-use data

EE-S stated that the probability of re-surgery for recurrent prolapse, given that a prolapse had occurred, was 66% following SH and 27% following CH. There is no explanation as to why, if a prolapse does recur, it should be more serious in the SH group. Since the model assumes a short waiting time of 10 days for surgery, patients with severe prolapse only experience a brief disutility from the symptoms. However, the model assumes that mild symptoms persist for the rest of the year, with the same disutility as severe symptoms. Furthermore, patients with severe symptoms have a repeat of their original surgery. The combined effect of these assumptions is that, although the model recognises that patients have a greater risk of recurrence following SH, the symptoms are of a brief duration and the disutility following a revision of surgery is relatively low, and has less overall impact on health in the SH group than in the CH group.

EE-S calculated mean overall length of stay in each group as the proportion of day cases plus the proportion who were not day cases multiplied by the expected length of stay of patients who were not day cases. The number of day cases in each group was not based on RCT data. Instead, different sources of data were used, and therefore the patients may differ in other characteristics apart from the intervention received. EE-S used two RCTs to estimate the 'nights spent in hospital' by patients who were not day cases.<sup>109,110</sup> They estimated a weighted average length of stay of 1.60 nights for SH and 2.58 for CH (difference = -0.95, 95% CI -2.46 to 0.5). Of these studies, Racialbuto and colleagues<sup>110</sup> stated that they did not take advantage of the opportunity offered by SH to adopt day-case surgery, and in the other,<sup>109</sup> data were not extracted correctly to estimate length of stay of patients who were not day cases. In addition, both studies were excluded from the York group's meta-analysis since the staple gun CDH33 was used, and this is not designed for SH.

To estimate the time spent in theatre, EE-S synthesised data using a random-effects meta-analysis of five studies.<sup>85,92,94,95,110</sup> EE-S estimated a weighted mean surgery time of 18.49 minutes for SH and 28.20 minutes for CH (WMD = 9.71, 95% CI 3.60 to 15.82). Again, Racialbuto<sup>110</sup> was the study excluded from the York group's meta-analysis as the CDH33 staple gun was used.

**VAS pain and utility data**

A single study<sup>80</sup> was used to incorporate the effects of pain experienced postoperatively. The authors justified this on the basis that Van de Stadt provided the most comprehensive VAS pain scores, reporting daily mean scores for patients at rest from day 0 to day 21 postoperatively. As reported in the section 'Pain in the later postoperative period' (p. 22), studies reported mean VAS pain scores; therefore, by selecting one study EE-S did not make use of all the available data.<sup>28,63,73,74,76,77,79–82,84–87,90–93,95,96</sup>

Wilson<sup>45</sup> was a key source of data, since it was the only RCT which recorded the SF-36 in the early postoperative period. However, there are problems with this study that limit both its external and internal validity. To obtain scores for the physical health dimensions of the SF-36, Wilson and colleagues<sup>45</sup> combined the results of SH using the Autosuture device without using the STRAM kit adaptor (Tyco Healthcare) with those using a PPH01 (EE-S). Therefore, the Autosuture arm of this trial was excluded from the review of clinical effectiveness (Chapter 3). In addition, the preoperative SF-36 scores in the combined SH and the CH arm differ substantially. The summary of the SF-36 scores for the BP component was 50 in the preoperative CH arm and 80 in the preoperative combined SH arm, which suggests that there may be a problem with the random assignment of patients to one of the three interventions. It is worth noting that these figures were taken from a graph. EE-S recognised this and their correction was to assume that both groups started from the lower SF-36 baseline score. Lastly, the SF-36 was only reported for four out of the eight dimensions.

The approach taken by EE-S to estimate utility was (i) to start from the SF-36 dimensions reported in Wilson,<sup>45</sup> (ii) to adjust the SF-36 BP score using RCT evidence on daily VAS pain during the early

postoperative period, (iii) to make assumptions about how the other seven dimensions of the SF-36 might also have changed over the same period, and (iv) to score the adjusted SF-36 eight dimensions in terms of utility.

There are several differences between the SF-36 instrument and the VAS pain score which create difficulty in mapping VAS to the SF-36 BP score. The two HRQoL instruments ask the responder to consider their health over different periods. The VAS score asks about current pain, whereas the SF-36 asks about 'average' health during the previous 4 weeks. The VAS score is a single numeric rating scale asking about current pain, whereas SF-36 BP consists of two questions, 'Q7. How much physical pain have you had during the last 4 weeks?' and 'Q8. During the past 4 weeks, how much did pain interfere with your normal work (including both work outside the home and housework)?' The VAS score is a continuous scale of 0 (no pain) to 10 (worst pain imaginable), whereas the SF-36 questions are categorised into five or six ordinal responses. *Table 36* shows the SF-36 BP responses and the scoring system on a scale of 0 (worst) to 100 (best).

The SF-36 is a measure of average health over a 4-week period, rather than a measure of current health. Furthermore, it includes information about function as well as severity of pain. For these reasons it is unlikely that there would be a close correlation between the VAS score each day and the SF-36 BP, and therefore it seems unreasonable to use the VAS score to try to predict what the SF-36 BP would have been if patients had been given the SF-36 every day instead of the VAS.

There was a lack of good-quality RCTs that recorded either HRQoL or utility in the crucial early postoperative period; therefore, modelling assumptions such as those used by the EE-S were essential. However, the EE-S submission did not

**TABLE 36** SF-36 scoring system for bodily pain dimension<sup>111</sup>

Q7: Pain	Q8: How much does pain restrict daily activities?					
	Q8 not answered	Not at all	A little	Moderate	Quite a bit	Extreme
None	100	100	80	70	60	50
Very mild	88	84	74	64	54	44
Mild	64	72	62	52	42	32
Moderate	42	61	51	41	31	21
Severe	24	52	42	32	22	12
Very severe	0	40	30	20	10	0

carry out sensitivity analyses to explore alternative modelling approaches to reflect the uncertainty about such methods.

### Recurrence of prolapse

EE-S estimated that 10.1% of patients would experience recurrence of prolapse following SH and 2.6% following CH. These estimates were the weighted mean of the results of a meta-analysis. However, a series of meta-analyses was reported to explore potential subgroup effects. It is not clear from the report which meta-analysis was used to inform the base case, and therefore the assessment group cannot comment on whether it was appropriate.

### Reinterventions

No account was taken of the use of non-excisional procedures (e.g. skin tags, RBL or sclerotherapy) in patients experiencing a recurrence of symptoms following surgery. The York group's expert clinical advice was that it is more likely that most surgeons would recommend non-excisional procedures in the first instance, and only if this failed would further surgery be considered.

The authors assumed that the same surgical procedure was applied to any patients requiring re-surgery. The York group's expert clinical advice was that it is more likely that in actual practice, about half of patients requiring re-surgery would undergo an SH, and about half would undergo CH.

### Summary of review of literature and critical appraisal of EE-S model

In summary, this section did not find any published cost-effectiveness studies which compared circular SH with CH. EE-S submitted an economic evaluation, which identified several of the challenges required to assess the cost-effectiveness of these technologies. These included dealing with a lack of RCTs comparing utility in the early postoperative period, estimating the rate of treatment failure in the first year and estimating the utility following treatment failure.

There were some limitations to the EE-S model:

- The time-horizon required to include all relevant costs and consequences associated with treatment may be longer than 1 year.
- The model did not use all the available evidence from the RCTs to estimate pain and other outcomes.
- The model did not consider complications and symptoms, other than prolapse.

- The model did not conduct sensitivity analyses on alternative ways to estimate utility.

In an attempt to synthesise all of the available evidence and to overcome these limitations a new cost-effectiveness model was developed.

## York economic assessment

This section is in five parts. The first part describes the objectives of the York economic assessment, the structure of the model and the assumptions underlying the base case. In the second part the data used to populate parameters of the model are described, comprising effectiveness, utility, resource use and cost estimates associated with SH and CH, from 0 to 6 weeks postoperatively and over the medium and longer term up to 3 years. The third part shows the results of the base-case and sensitivity analyses. In the fourth part the York economic assessment is compared to the EE-S model. The section concludes with a discussion.

### Model structure

A model was developed to estimate the costs and QALYs of SH and CH over a 3-year period (*Figure 11*). The perspective of the model was the health and social care system of England and Wales. The price year was 2005/06 and the discount rate for cost and health benefits was 3.5%. The patient group was assumed to be aged between 46 and 65 years and requiring surgery for haemorrhoidal symptoms. This is the most common age category in which people are affected by haemorrhoidal disease.<sup>9</sup>

The 3-year time-horizon was chosen because, based on clinical advice, serious complications of surgery such as incontinence may have long-term consequences. Furthermore, it is possible for symptoms to recur after 1 year. However, based on clinical advice, it is likely that further prolapses that occur after 3 years are new haemorrhoids rather than recurrence.

The structure of the model in *Figure 11* is a decision tree. Patients undergo either SH or CH and have a 6-week recovery period, based on clinical opinion that most wounds would heal within this time. It was assumed that perioperative and postoperative pain, and complications, do not affect future prognosis or costs. A distinction is made in the model between complications and recurrent symptoms. They arise from distinct processes. Complications are a technical failure of

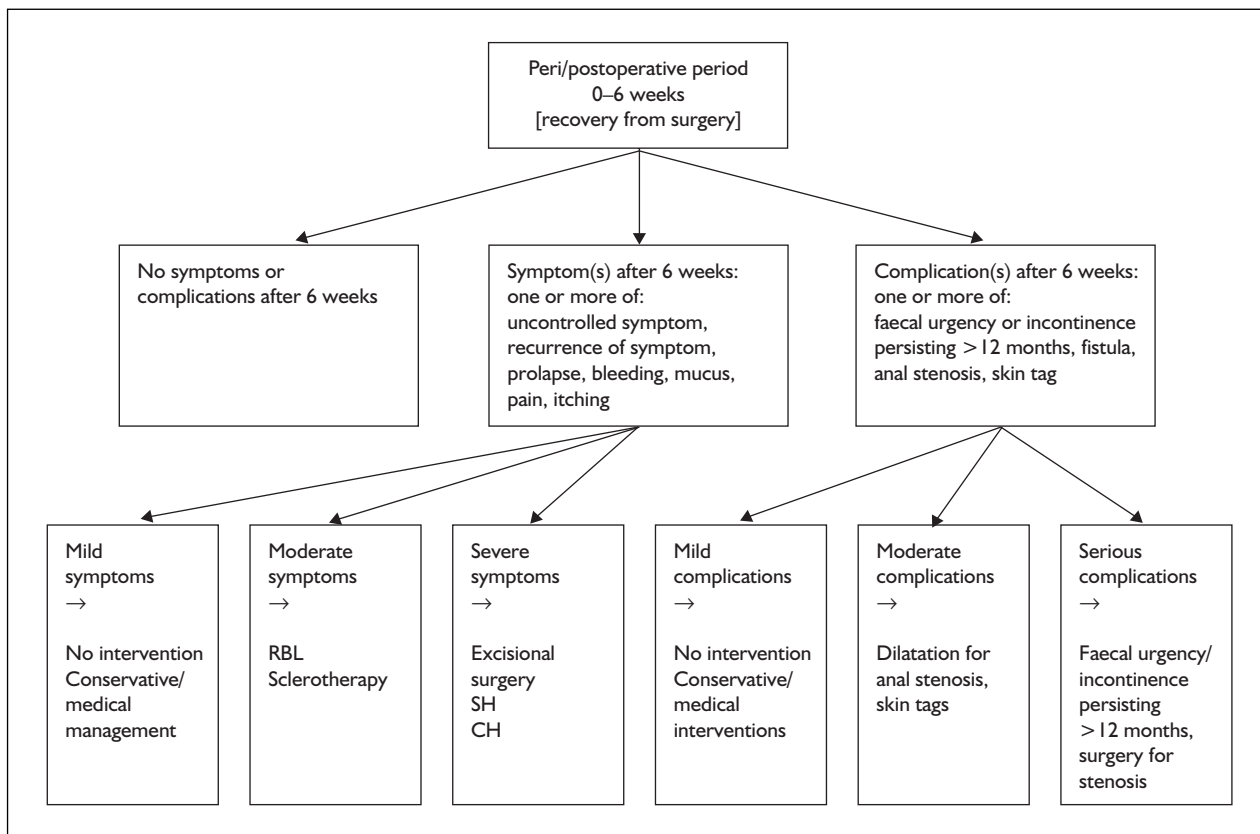


FIGURE 11 Structure of the York model

surgery, which represents the safety of the technology, whereas control of symptoms represents the effectiveness of the technology. Chapter 3 identified the complications of surgery as incontinence, urgency, troublesome skin tags, anal stenosis, anastomatic stricture and fistula, and fissure haemorrhoidal thrombosis, and the symptoms of treatment failure as prolapse, bleeding, itching and persistent pain. In practice, there may be some patients whose wounds have not healed by 6 weeks and in whom late bleeding or pain may be a complication of surgery; however, clinical advice was that the majority of wounds would have healed by this time. Seven mutually exclusive and exhaustive health states were identified:

- no symptoms or complications
- mild symptoms
- moderate symptoms
- severe symptoms
- mild complications not requiring reinterventions
- complications requiring reinterventions
- serious complications for which no reintervention is feasible.

If symptoms of haemorrhoids recurred, patients typically started with conservative management such as dietary advice or mild laxatives, and progressed through increasingly more intensive procedures in cases where symptoms were not satisfactorily controlled.<sup>112</sup> Symptoms of haemorrhoid were classified as: mild, requiring no further reintervention; moderate, requiring RBL or sclerotherapy; or severe, requiring SH or CH. This classification assumes that there is no censoring in the studies; that is, no further interventions occur after the end of the study that are not recorded by the trial authors.

The complications of surgery were also classified in order of severity as: requiring no further reintervention, requiring reintervention (i.e. dilatation for stenosis, procedures for fistula or excision of skin tag); or serious with no available intervention (i.e. urgency or incontinence persisting at 1 year).

It was assumed that if RBL or sclerotherapy did not resolve recurrence of symptoms then patients would have progressed to re-surgery by the end of the model (3 years). Clinical opinion was that very

few patients would fail re-surgery, so this outcome was not included in the model. After their reintervention patients returned to the utility of patients without symptoms or complications, and were not at risk of further adverse events. Patients with mild symptoms and no further reinterventions experienced a modest but sustained loss of utility for the remainder of the period of the economic model. It was assumed that urgency or incontinence persisting at 1 year had a serious long-term effect on quality of life, but that further reinterventions were not feasible.

### Selection of base-case assumptions

Table 37 shows a summary of the assumptions used for the base case for the York group's model, and the reasons why these were chosen. Table 38 shows the mean values and standard errors of the parameters used in the base case. Detailed descriptions of the methods used to estimate each parameter are explained in subsequent sections of this report. Although in the judgement of the York group the base case represents the most likely scenario, for some of these parameter values there is considerable uncertainty about the methods and data used. Alternative scenarios are therefore explored in a series of sensitivity analyses.

### Parameter estimates for inclusion in the York economic model

This section presents the methods and data used to estimate the inputs to the base-case model shown in Table 38. The first part describes how utilities and costs were estimated during the recovery period. The second part describes the statistical model used to estimate the probabilities of complications and symptoms occurring after the recovery period, and shows how the utilities and costs of these health states were calculated.

#### The recovery period 0–6 weeks after surgery Utility in the recovery period

Utilities are a means of valuing HRQoL. To be able to inform resource allocation decisions across a wide range of conditions, it is necessary to form an overall single morbidity index which reflects the preferences of the general public for that health state. This index can then be multiplied by the expected duration that the patient will spend in the health state to generate a QALY.

No data were found from RCTs which estimated utility during the first weeks postoperatively. Therefore, the York model estimated utility during this period by indirect methods. Two types of data were found which relate to HRQoL in the recovery period. First, RCTs recorded mean VAS pain

scores after SH and CH for up to 3 weeks. The metaregression model described in the section 'Pain in the later postoperative period' (p. 22) predicted VAS pain scores for each treatment group during the recovery period using data from ten RCTs, and found evidence that SH was associated with 35% less pain than CH during this period. In itself this does not offer sufficient information for decision-making, because it is not certain how a given reduction in pain should be valued in terms of utility.

Secondly, studies were found which recorded mean SF-36 dimension summary scores during this period. One RCT<sup>45</sup> reported SF-36, but this was flawed and excluded from the analysis for reasons given in the section 'Comments on Methodology' (p. 54). HODaR<sup>115</sup> recorded SF-36 and EuroQol 5 Dimensions (EQ-5D) data for individuals 6 weeks after their inpatient episode at a hospital in Cardiff, UK. Data were extracted for all patients who had undergone an excision of haemorrhoid procedure (OPCS4 code H511, H512, H518, H519). Results were found for 53 patients and are summarised in Table 39.<sup>115</sup> It was assumed that all patients in the HODaR data had undergone CH.

The York model combined data from VAS pain scores and SF-36 to estimate utility during the 6-week recovery period by indirect methods using a number of steps (Table 40). First, the SF-36 data were adjusted to estimate the values that might have been reported if patients had undergone SH. Secondly, the eight dimensions of the SF-36 for CH, and the adjusted scores for SH, were mapped to utility.

To estimate the SF-36 scores after SH, it was assumed that the reduction in pain observed with the VAS would have an effect of similar magnitude, on average, on the SF-36 BP dimension. The average SF-36 BP dimension during the recovery period after CH surgery was reported by HODaR as 67/100 (Table 39). The statistical analysis of VAS in the section 'Pain in the later postoperative period' (p. 21) found that SH was associated with 35% less pain (mean log-odds ratio of  $-0.4317$ , SE  $0.045$ ) than CH. It is not possible simply to change the SF-36 BP score by a given percentage because the SF-36 BP score must be bounded by 0 (worst) and 100 (best). If the mean BP score is thought of as a probability that pain is at a minimum (100), then a score of, say, 67/100 is equivalent to a probability that pain is not at the minimum of  $0.33$ , or an odds of  $0.33/0.67 = 0.49$ . If SH has 35% less pain, this translates to an odds that pain is not at a

TABLE 37 Summary of base-case assumptions and rationales

Parameter	Assumption	Reason
Method of estimation and extrapolation of VAS pain score in the recovery period	Average reduction in pain from CH to SH estimated by metaregression of ten RCTs	Uses all the available RCT data
Source of SF-36 data in the recovery period	HODaR data represent average SF-36 during the recovery period after CH. Assume that a given percentage reduction in the pain score of SH compared with CH corresponds with the same percentage improvement in SF-36 BP dimension, with other dimensions unchanged	HODaR data are a validated source of SF-36 data postsurgery. No data were found linking pain score with SF-36 dimensions
Method of valuation of utility in the early postoperative period	SF-36 mapped to utility using a matching algorithm (Kind <i>et al.</i> ) <sup>113</sup>	Avoid having to make parametric assumptions about the relationship between SF-36 dimensions and utility
Duration of the recovery period	6 weeks	Expert opinion that most patients' wounds would heal within this period
Time-horizon of model	3 years	Serious complications may have long-term consequences. Mild symptoms may persist. Recurrence may occur after the first year
Period over which patients are at risk of recurrence of symptoms	1 year	No data found on incidence of symptoms after the first year, although there is clinical opinion that recurrence is possible after the first year. Explored as sensitivity analysis
Health states used in the model	No symptoms, symptoms: mild, moderate and severe; complications: non-serious and serious	Clinical opinion that these states represent the important outcomes for resource use and health during follow-up
Probability of symptoms, complications and reinterventions	Meta-analysis of 16 RCTs	Uses all the available RCT data in a single model
Sources of SF-36 data health states during follow-up	No symptoms: population norm SF-36. Severe symptoms and complications: weighted average of presurgery SF-36 of three studies (Hasse CH and SH arms, Temple). <sup>75,114</sup> Utility of moderate symptoms 60% of difference between severe and no symptoms. Utility of mild symptoms 33% of difference between moderate and no symptoms	No data found for utility of mild or moderate symptoms, although logically should be ordered. Explored as sensitivity analysis
Valuation of utility of health states during follow-up	SF-36 mapped to utility using a matching algorithm (Kind <i>et al.</i> ) <sup>113</sup>	Avoid having to make parametric assumptions about the relationship between SF-36 dimensions and utility
Source of resource use in hospital of the primary procedure	Length of stay: meta-analysis of nine RCTs. Operating time: meta-analysis of 11 RCTs	Uses all the available RCT data
Time to development of symptoms and to reintervention	Surgery to recurrence: 44 days. Recurrence to outpatient: 138 days. Outpatient to resurgery: 139 days	Clinical opinion that (a) patients with recurrence usually try conservative therapy before surgery and (b) waiting time in the NHS is an important consideration
Failure of reintervention	Patients who have recurrence of moderate or severe symptoms will ultimately have a successful reintervention	The model assumes that patients with re-surgery will have previously tried a sequence of more conservative therapies. Clinical opinion is that failure of patients who ultimately have re-surgery is very rare

HODaR, Health Outcomes Data Repository.

**TABLE 38** Mean and standard errors of parameters used in the base case of the model

Parameter	CH	SH	Sources
	Mean (SE)	Mean (SE)	
<i>Recovery period 6 weeks</i>			
Utility during the recovery period	0.758 (0.180)	0.767 (0.180)	Meta-analysis of pain scores; Currie, <sup>115</sup> Kind <sup>113a</sup>
Time in operating theatre (minutes)	29.2 (-)	15.5 (0.35)	Meta-analysis <sup>b</sup>
Length of stay in hospital (days)	2.66 (-)	1.43 (0.036)	Meta-analysis <sup>b</sup>
Cost per day in hospital	£256 (£75)	£256 (£75)	NHS 05/06 <sup>116</sup>
Cost of staple gun per patient	-	£437	Manufacturer
Total hospital cost (mean)	923 <sup>c</sup>	931 <sup>c</sup>	
<i>Long term, post-6 weeks</i>			
Probability of complication	0.024 (0.015)	0.017 (0.015)	Meta-analysis <sup>b</sup>
Probability of recurrent symptom	0.055 (0.026)	0.125 (0.026)	Meta-analysis <sup>b</sup>
Utility of severe symptom or complication	0.749 (0.069)	0.749 (0.069)	Meta-analysis; <sup>b</sup> Kind <sup>115</sup>
Cost of RBL or sclerotherapy	£140	£140	NHS 05/06 <sup>116</sup>
Cost of re-surgery	£923	£931	As cost of primary surgery

<sup>a</sup> Meta-analysis described in Chapter 3.  
<sup>b</sup> Meta-analysis described in Chapter 4.  
<sup>c</sup> Distribution is determined by the joint distribution of other (fundamental) parameters.

**TABLE 39** SF-36 and EQ-5D scores at 6 weeks<sup>115</sup>

SF-36 summary scores (8 dimensions)	HODaR CH Mean (SD)
Physical functioning	73.79 (46.94)
Role-physical	50.43 (29.83)
<b>Bodily pain</b>	<b>67.63 (26.99)</b>
General health	57.76 (25.79)
Vitality	54.22 (31.36)
Social functioning	74.52 (46.08)
Role-emotional	66.08 (20.46)
Mental health	73.75 (20.46)
EQ-5D index reported by HODaR	0.79 (0.26)

minimum of  $0.49 \times (1 - 0.35) = 0.32$ , or a SF-36 BP score of  $1 - 0.32 / (1 + 0.32) = 76/100$ . It was assumed that the other dimensions of the SF-36 were not changed by the decrease in the average BP score, in the absence of evidence to the contrary (Table 41).

The eight dimensions of the SF-36 for CH, and the adjusted scores for SH, were then mapped to utility. Individual patient-level data were not available, so using the Brazier SF-6D<sup>107</sup> scoring algorithm was not an option. Kind and colleagues<sup>113</sup> have created a new approach to

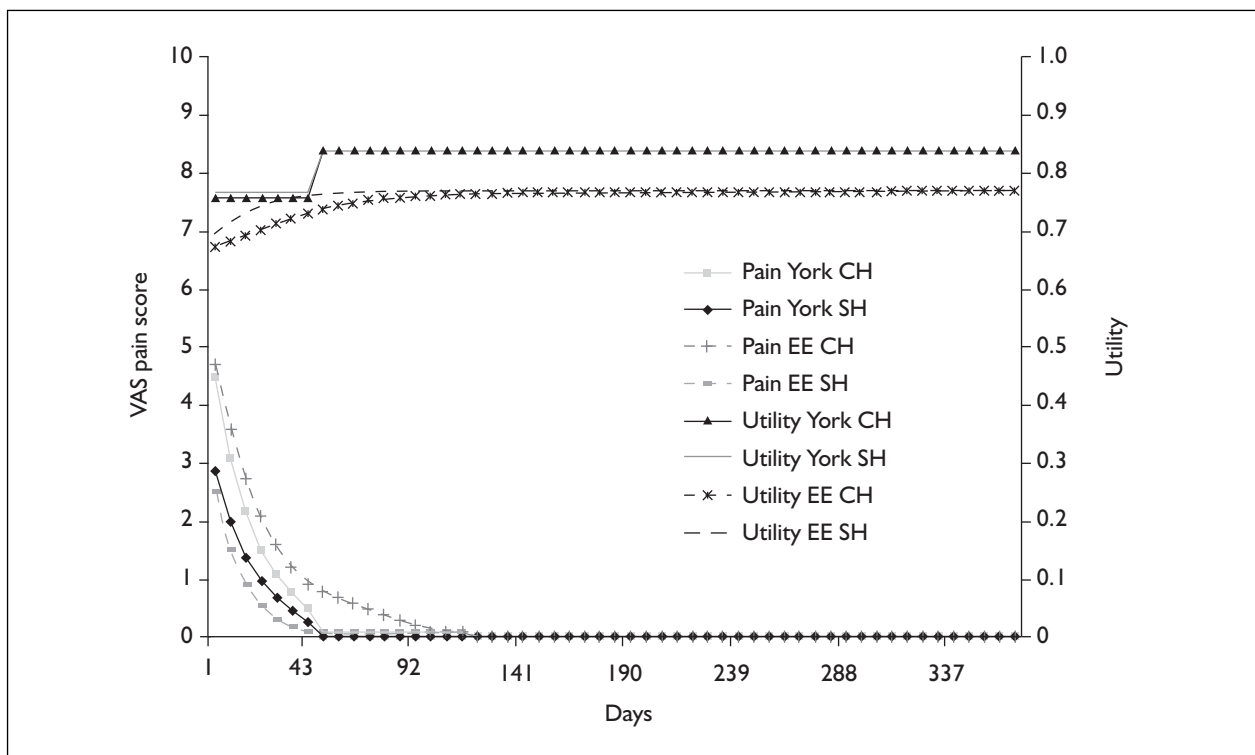
**TABLE 40** Summary of the methods used by the EE-S and the York model base case to estimate utility during the early postoperative period

Method	Estimate VAS	Estimate SF-36 at 6 weeks	Map VAS pain to SF-36	Change in other dimensions of the SF-36	Map SF-36 to utility
EE-S Model	One RCT recording VAS every day for 3 weeks after SH and CH, extrapolated over 6 weeks (Van de Stadt <sup>80</sup> )	One RCT recording four of the eight dimensions of the SF-36 at 6 weeks after SH and CH (Wilson <sup>45</sup> )	Assume SF-36 BP would have changed over 6 weeks according to a mapping between VAS and SF-36 BP (linear on a log scale)	SF-36 role-physical score is 90 after SH and 95 after CH (Wilson <sup>45</sup> )	Linear regression using data set from a general practice (Brazier <sup>106</sup> )
York model	Metaregression to estimate proportionate treatment effect of SH (ten RCTs)	HODaR SF-36 data 6 weeks after surgery (Currie <sup>115</sup> represents average HRQoL during recovery period after CH)	Assume 35% less pain on average corresponds with 35% reduction in SF-36 BP after SH (on a log-odds scale)	Other dimensions of HODaR data are unchanged	Matching SF-36 dimensions to utility using Health Survey data set (Kind <sup>113</sup> )



**TABLE 41** SF-36 and EQ-5D scores at 6 weeks<sup>113,115</sup>

SF-36 summary scores (8 dimensions)	HODaR CH mean	Adjusted HODaR SH mean
Physical functioning	73.79	73.79
Role-physical	50.43	50.43
<b>Bodily pain</b>	<b>67.63</b>	<b>76.23</b>
General health	57.76	57.76
Vitality	54.22	54.22
Social functioning	74.52	74.52
Role-emotional	66.08	66.08
Mental health	73.75	73.75
EQ-5D index reported by HODaR	0.79	NA
EQ-5D index estimated by Kind <i>et al.</i> <sup>113</sup>	0.758 (SD 0.18)	0.770 (SD 0.18)



**FIGURE 12** Predicted VAS pain scores and utility of the early postoperative period calculated in the York assessment model and the EE-S model (EE)

converting SF-36 data to utility data (for full conference abstract, see Appendix 9). The Health Survey for England data set collected SF-36 and EQ-5D for 16,000 adults. For a given set of eight SF-36 dimensions, the 20 most closely matching individuals in the age range 46–65 years were selected on the basis of the root mean square, representing the average distance between the profiles across all dimensions. Mean and standard deviation of utility for that SF-36 score were then calculated by the mean EQ-5D time trade-off (TTO) index of these 20 individuals. This method avoids having to make any parametric assumptions about the relationship between utility and the eight SF-36 dimensions, which would be necessary

in a regression analysis. *Table 41* shows the estimated mean of the utility scores after CH and SH used in the model. Using the Kind approach,<sup>113</sup> the EQ-5D index score for the HODaR-based SF-36 score for CH was 0.758 (SD 0.180) or 0.770 (SD 0.18) for the adjusted HODaR score for SH. *Table 41* shows a summary of the methods used to estimate utility during the early postoperative period, and a comparison with the methods used by EE-S.

*Table 42* and *Figure 12* show predictions of VAS pain scores, SF-36 BP and utility for the York model. The corresponding values estimated by EE-S are shown for comparison. VAS pain and

**TABLE 42** Predictions of VAS pain, SF-36 bodily pain and utility during the first year after successful surgery, for the York and EE-S model scenarios

Days postsurgery	VAS pain score				SF-36 BP dimension				Utility <sup>a</sup>			
	York		EE-S		York		EE-S		York		EE-S	
	CH	SH	CH	SH	CH	SH	CH	SH	CH	SH	CH	SH
1	4.5	2.9	4.7	2.5	68	76	8.4	21.9	0.76	0.77	0.67	0.70
8	3.1	2	3.6	1.5	68	76	13.7	34.3	0.76	0.77	0.68	0.72
15	2.2	1.4	2.7	0.9	68	76	19.9	44.8	0.76	0.77	0.69	0.74
22	1.5	1	2.1	0.5	68	76	26.5	52.6	0.76	0.77	0.70	0.75
29	1.1	0.7	1.6	0.3	68	76	33.0	57.9	0.76	0.77	0.71	0.76
36	0.8	0.5	1.2	0.2	68	76	39.0	61.3	0.76	0.77	0.72	0.76
43	0.5	0.3	0.9	0.1	68	76	44.3	63.5	0.76	0.77	0.73	0.76
113	0	0	0.1	0.0	76	76	65.1	66.9	0.84	0.84	0.76	0.77
183	0	0	0.0	0.0	76	76	66.8	66.9	0.84	0.84	0.77	0.77
365	0	0	0.0	0.0	76	76	66.9	66.9	0.84	0.84	0.77	0.77

<sup>a</sup> VAS pain and SF-36 data were used to estimate utility, which was an input to the economic model.

**TABLE 43** Resource use and costs of surgery and hospital stay for CH and SH used in the base case

Cost component, primary procedure	Resource use		Unit	Unit cost (£2005/06)	Source of unit cost	Total cost = resource × unit cost (£2005/06)	
	CH	SH				CH	SH
Staple gun	NA	1	Per gun	437	EE-S	0	437
Theatre	29.21	15.50 <sup>a</sup>	Per minute	8.27	EE-S	242	128
Hospital stay	2.66	1.43 <sup>b</sup>	Per day	256 <sup>c</sup>	NHS reference costs <sup>65</sup>	681	366
Total hospital cost (mean)						923	931

<sup>a</sup> Standard error for difference in theatre time = 0.35045.  
<sup>b</sup> Standard error for difference in length of hospital stay days = 0.036.  
<sup>c</sup> Hospital stay costs, interquartile range (IQR) = 194 to 291.

SF-36 data were used to estimate utility, which was an input to the economic models.

An assumption of the calculation of utility in the base case is that the mean SF-36 dimensions reported by HODaR 6 weeks after surgery represent average HRQoL in the CH group during the recovery period. However, this may underestimate the loss of utility due to pain in the first few days after surgery when pain is most acute and consequently underestimate the relative difference in utility if SH reduces pain in this period. Therefore, a sensitivity analysis was carried out using a simple alternative method of valuing pain in the first 2 weeks. Lee and colleagues<sup>117</sup> report the results of a regression of utility against VAS pain scores in a US population with chronic back pain. The study estimated that every increase in pain by one point was associated with a reduced utility of, on average, 0.078 (SE not reported).

This coefficient was multiplied by the predicted VAS pain score each day for the first 2 weeks and the product subtracted from the average utility estimated in the base case for each treatment. There are many disadvantages with this approach, primarily that there is no reason to assume that the change in utility is linear with changes in VAS pain. Also, it could be argued that chronic back pain is a different type of pain from the acute pain felt by postoperative patients who have undergone haemorrhoidal surgery. Nevertheless, this sensitivity analysis shows how results might be affected by a possible alternative method of valuing pain in the early postoperative period.

**Resource use and costs in the early postoperative period**

The resource use and costs of surgery and hospital stay used in the base case are shown in *Table 43* at 2005/06 prices.

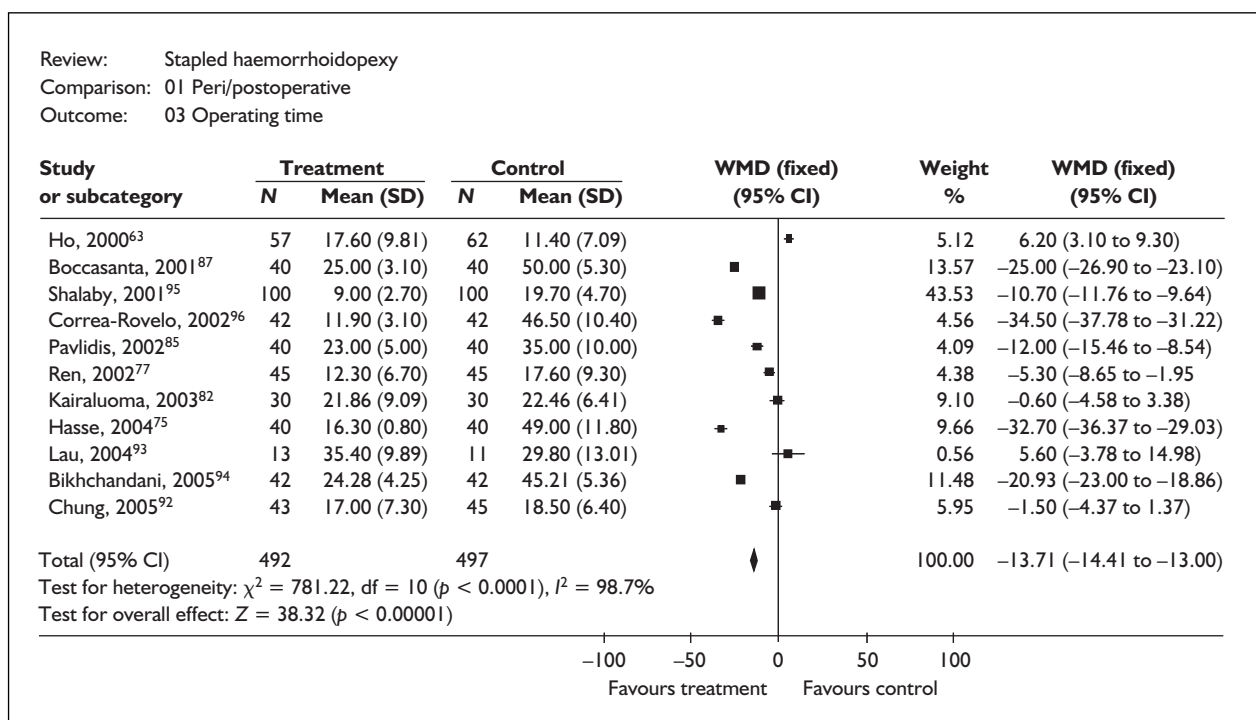
The mean surgery time and mean length of hospital stay were estimated by fixed-effects meta-analyses. In the sections 'Operating time' (p. 37) and 'Duration of hospital stay' (p. 39) it was noted that there was significant heterogeneity between these studies for both outcomes. Nevertheless, the economic evaluation required an estimate of these parameters. Fixed-effects analyses were preferred despite the heterogeneity, because this method was found to give lower weight to outlier RCTs than random-effects analyses. The meta-analyses assume that length of stay and theatre time are normally distributed. Sensitivity analyses were undertaken in the model using alternative assumptions. Data were included from all RCTs included in the clinical review which reported mean and standard deviation (11 RCTs operating time; nine RCTs length of stay). Results are shown for operating time in *Figure 13* and mean length of hospital stay in *Figure 14*. Both analyses demonstrated significant differences between the treatments (operating time WMD -13.7, 95% CI -14.4 to -13.0; mean length of stay -1.23, 95% CI -1.31 to -1.16).

Unit costs of time in surgical theatre were taken from the EE-S economic evaluation, which undertook a detailed microcosting study of the staff typically required for these kinds of surgical procedures. The mean cost of the staple gun and

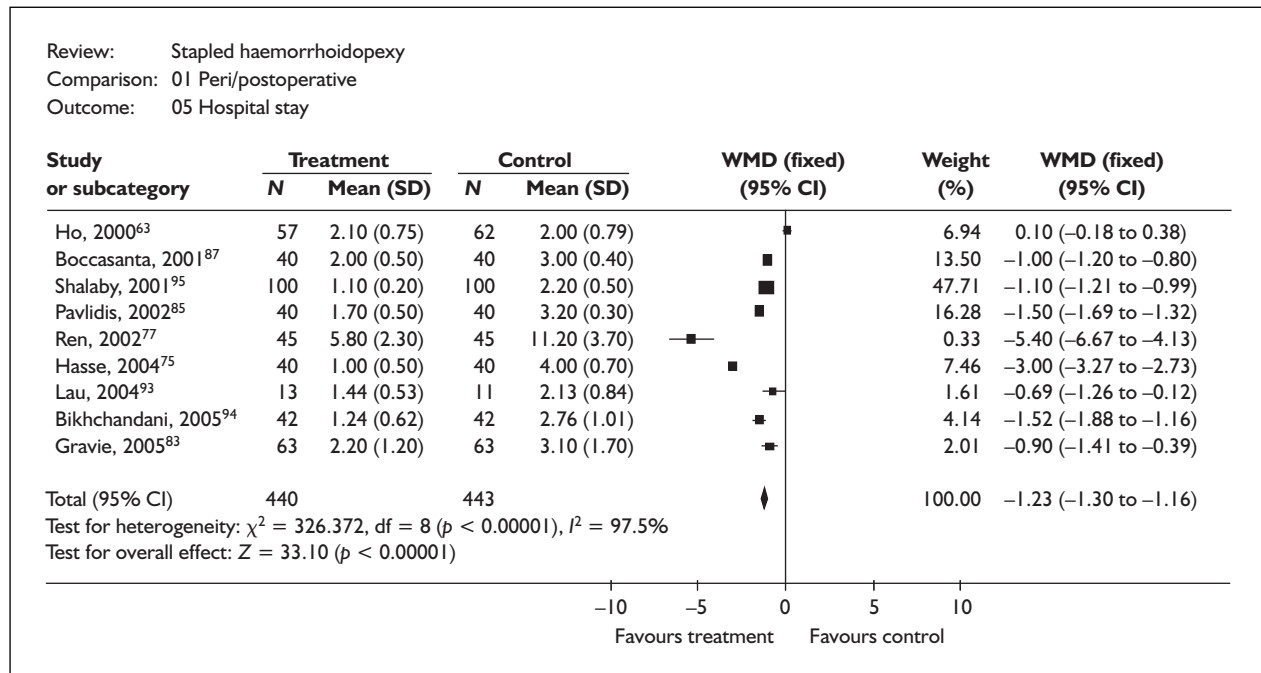
accessories was based on list prices provided by the manufacturer. The hotel cost per day in hospital was based on the mean cost per day of patients whose length of stay following "anus intermediate procedures without complications" exceeds an outlier "trim point".<sup>65</sup> Any costs that did not relate to the year 2005/06 were inflated based on the Personal Social Services Research Unit (PSSRU) unit costs Hospital and Community Health Services (HCHS) pay and prices index.<sup>118</sup> The analyses undertaken in Chapter 3 did not find any major or statistically significant differences in peri/postoperative complications before 6 weeks, and therefore these were not included in the model. No evidence was found of any differences between the two groups in the use of other healthcare resources, such as visits to GPs or by community nurses.

#### Medium and longer term (>6 weeks after surgery)

Chapter 3 identified the complications of surgery as incontinence, urgency, haemorrhoidal thrombosis, fissure, stenosis and fistula, and the symptoms of treatment failure as prolapse, bleeding, itching and persistent pain. It was assumed in the base case that wound healing would not be a long-term complication, and this assumption was explored in a sensitivity analysis. The analyses of Chapter 3 estimated the odds



**FIGURE 13** Mean difference in number of minutes operating time. Negative values indicate a shorter mean time in operating theatre following SH.

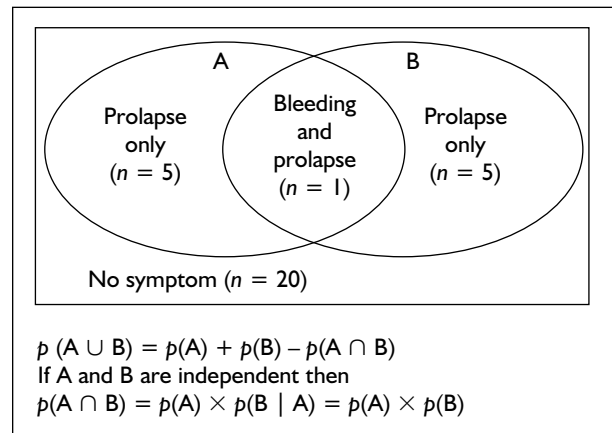


**FIGURE 14** Mean difference in duration of hospital stay (days). Negative values indicate a shorter mean length of stay following SH.

ratios of observing each of these complications and symptoms. However, these estimates cannot be used directly in the economic model because patients can report more than one outcome at the same time as they are not mutually exclusive. Only a few studies identified the number of patients who were free of symptoms and complications. Therefore, the probabilities of complications and symptoms to be used in the economic model were estimated in a separate analysis. First, the number of people in each study without any symptoms or complications was estimated. Secondly, symptoms and complications were classified into sets of mutually exclusive health states, as shown in *Figure 11*. Finally, the probability of each health state was estimated using a statistical model.

**Estimating the number of people in each study without symptoms or complications**

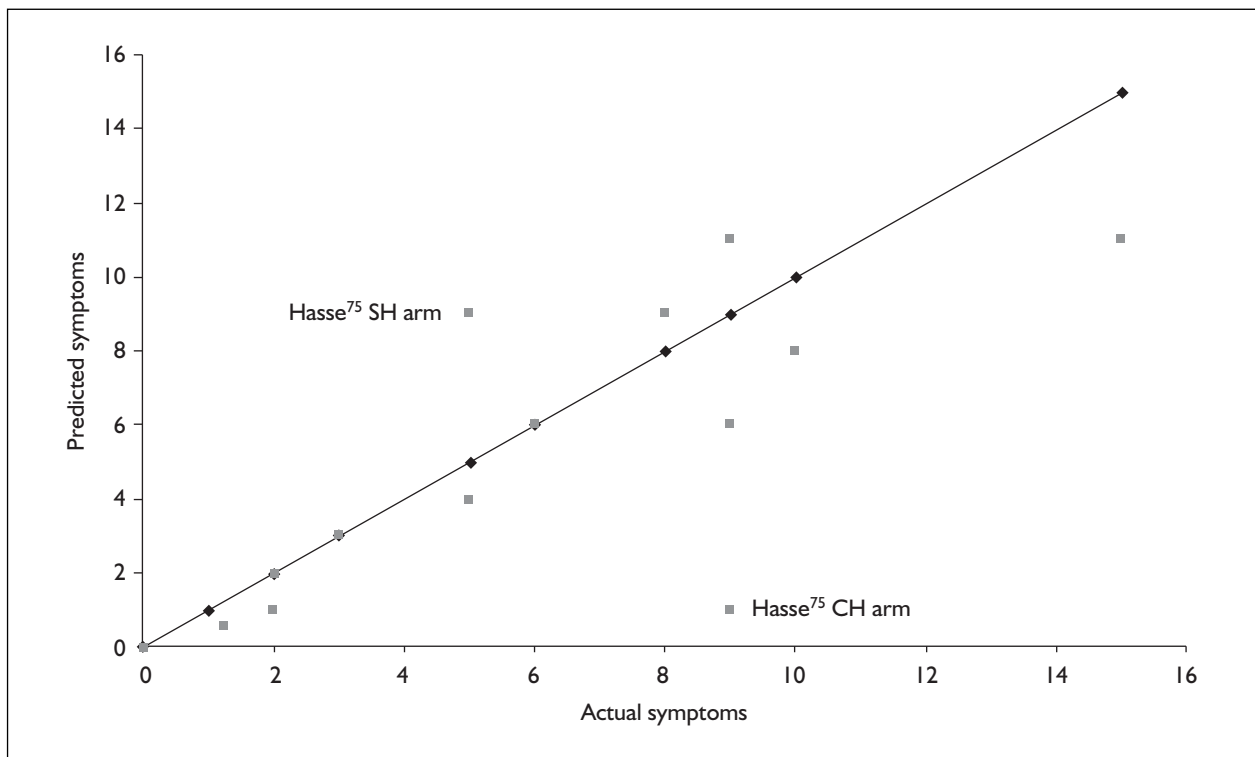
It was assumed that the categories of symptoms were independent in order to estimate the number of patients reporting symptoms in each trial. For example, if a trial reported that out of 30 people in one arm, six reported prolapse (outcome A) and five reported bleeding (outcome B), and bleeding and prolapse are independent, then the predicted number of people with one or more symptoms (prolapse and/or bleeding) would be  $6 + 5 - (6 \times 5/30) = 10$  (*Figure 15*). The predicted number with no symptoms in this example would then be  $30 - 10 = 20$ . It was assumed that the likelihood of experiencing both uncontrolled



**FIGURE 15** Venn diagram to illustrate the assumption of independence

symptoms and complications was negligible, since complications are relatively rare anyway.

The assumption that symptoms are independent was validated by comparing the predicted against the actual number of symptoms in the ten trials where sufficient data were available (*Figure 16*). Data are shown for the ten RCTs which reported the number of patients with one or more symptoms and also reported the numbers with each symptom separately. This shows that for most studies, the number of patients with one or more symptoms matches the number predicted by the model. One study<sup>75</sup> was an outlier. This study also



**FIGURE 16** Actual number of patients with one or more symptoms at follow-up compared with the predicted number

seemed to show a discrepancy in the way in which symptoms were reported, stating that there were six patients with prolapse but only five with symptoms in one arm. Therefore the trial was excluded from this part of the analysis.

#### Probabilities of complications and recurrent symptoms

Figure 11 shows the classification of complications and symptoms into mutually exclusive health states. The symptoms are classified as mild (requiring no further reintervention or conservative management), moderate (requiring RBL or sclerotherapy) and severe (requiring

re-surgery). Complications are classified as non-serious (dilatation for anal stenosis) or serious (surgery for anal stenosis or incontinence or urgency persisting for at least 1 year). The number of patients with mild symptoms in each arm of each trial was calculated as follows: the number randomised ( $n$ ) minus the number without symptoms or complications (calculated using the method above), minus the number with complications, minus the number with severe symptoms, minus the number with moderate symptoms. A statistical analysis was conducted to determine the probabilities of each of the health states at 1 year. Sixteen of the RCTs included in

**TABLE 44** Reasons for exclusion of some RCTs or data from the statistical model of complications, symptoms and reinterventions during the follow-up period

Reason for exclusion from statistical model	Number of studies excluded	References
Did not report interventions	2	Ren, 2002 <sup>77</sup> Chung, 2005 <sup>92</sup>
Did not report symptoms	1	Docherty, 2001 <sup>78</sup>
Data not reported in a usable format; discrepancy between individual symptoms and total symptoms	1	Hasse, 2004 <sup>75</sup>
Long-term follow-up of RCT reported as full manuscript or reported at multiple time-points	Included time-point nearest to 1 year	Ooi, 2002 <sup>71</sup> Palimento, 2003 <sup>86</sup> Senagore, 2004 <sup>91</sup> Pavlidis, 2002 <sup>85</sup>

Chapter 3 provided sufficient data to be included in the statistical model. The reasons for exclusion of RCTs are listed in *Table 44*, and the data to be included in the statistical model in *Table 45*.

The statistical model estimates the probabilities of each health state at 1 year in two steps.<sup>119</sup> In the first step, the health states were grouped into

three broad categories: no adverse outcome, complications or symptoms. Complications and symptoms arise from distinct processes. Complications are a technical failure of surgery, which represents the safety of the technology, whereas control of symptoms represents the effectiveness of the technology. A multicategorical logit model was used to calculate the probabilities

**TABLE 45** Number of patients with no complications or symptoms, with complications or with recurrent symptoms, in the medium and long term, in each treatment group of each study

Study	n	None	Complications		Symptoms			Treat group	Mean Follow-up (years)
			Non-serious	Serious	Mild	Moderate	Severe		
Basdanis, 2005 <sup>84</sup>	50	47	0	0	3	0	0	SH	0.5
	40	40	0	0	0	0	0	CH	
Correa-Rovello, 2002 <sup>96</sup>	41	29	1	0	11	0	0	SH	0.5
	41	34	1	0	6	0	0	CH	
Cheetham, 2003 <sup>79</sup>	14	8	0	0	6	0	0	SH	0.7
	16	12	0	0	4	0	0	CH	
Boccasanta, 2001 <sup>87</sup>	40	38	2	0	0	0	0	SH	0.9
	40	35	3	0	2	0	0	CH	
Ortiz, 2005 <sup>88</sup>	15	3	0	2	5	0	5	SH	1.0
	16	11	0	3	2	0	0	CH	
Kairaluoma, 2003 <sup>82</sup>	30	18	1	3	1	4	3	SH	1.0
	30	28	0	1	0	1	0	CH	
Hetzer, 2002 <sup>90</sup>	20	19	0	0	0	1	0	SH	1.0
	20	19	0	0	0	1	0	CH	
Shalaby, 2001 <sup>95</sup>	95	92	2	0	0	0	1	SH	1.0
	80	73	5	0	0	0	2	CH	
Ascanelli, 2005 <sup>76</sup>	50	45	0	3	0	2	0	SH	1.0
	50	48	1	1	0	0	0	CH	
Senagore, 2004 <sup>91</sup>	59	45	0	3	9	2	0	SH	1.0
	58	44	1	6	4	0	3	CH	
Pavlidis, 2002 <sup>85</sup>	40	39	0	1	0	0	0	SH	1.0
	40	39	0	1	0	0	0	CH	
Ortiz, 2002 <sup>89</sup>	27	16	0	2	6	0	3	SH	1.3
	28	23	0	4	1	0	0	CH	
Palimento, 2003 <sup>86</sup>	37	24	0	0	13	0	0	SH	1.5
	37	25	0	0	12	0	0	CH	
Ho, 2000 <sup>63</sup>	27	23	0	0	3	0	1	SH	1.5
	33	31	0	0	0	1	1	CH	
Gravie, 2005 <sup>83</sup>	52	48	0	0	4	0	0	SH	2.0
	57	56	0	0	1	0	0	CH	
Van de Stadt, 2005 <sup>80</sup>	20	8	0	0	8	0	4	SH	3.8
	20	10	2	0	8	0	0	CH	
Total	1223	1030 (84%)	19 (2%)	30 (2%)	109 (9%)	12 (<1%)	23 (2%)		

n, number randomised.

There were very few mild complications and therefore mild and moderate complications have been combined as 'non-serious complications' in this table.

The definitions of mild, moderate and severe symptom, and serious complications, are given in *Figure 11*.

of a complication and of a symptom and the treatment effects (log-odds ratios). Random effects were used to take into account the effect of unobservable characteristics that might be both study and category specific. For example, for complications this might include variations in the skill of the surgical teams between studies. For symptoms, there might be variations in patient characteristics or lifestyles making recurrence in particular studies more or less likely than average.

At the second step, the symptoms of haemorrhoids were categorised as mild, moderate or severe, conditional on a symptom having occurred. Within this higher level, these categories were considered homogeneous; that is, there is a natural ordering of severity of the symptom. The second step was estimated by a cumulative logistic model. The model can also include a treatment effect parameter at this second step; that is, a difference between SH and CH in the mix of severities, given that a patient has a recurrence of symptom.

Similarly, at the second step, the complications of surgery were classified as mild, moderate and serious. There were very few mild complications observed in the data, and therefore the categories of mild and moderate complications were combined and the model was only estimated for two categories: serious and non-serious complications.

Further details of the statistical model and the WinBUGS <http://www.mrc-bsu.cam.ac.uk/bugs/> code are given in Appendix 10.

### **Results of the statistical model to determine the probabilities of each health state after the first year**

The coefficients of the statistical model are shown in *Table 46*.

Step 1 is the probability of observing symptoms or complications or neither. Step 2 is the probability of observing symptoms or complications of a given severity, should symptoms or complications occur. The positive sign on the treatment effect for symptoms at the first step is evidence that the probability of a symptom occurring is more likely after SH, consistent with the findings of Chapter 3. The treatment effect for complications was negative but the standard error was relatively high, indicating a trend for fewer complications after SH. This parameter did not reach statistical significance at the 5% level, which is consistent with the results for complications of surgery found in Chapter 3. Nevertheless, this treatment effect for complications at the first step was kept in the model and probabilistic sensitivity analysis was carried out both to include this trend for fewer complications and to reflect the uncertainty around it. There was no evidence for a treatment effect at the second step for either symptoms or complications, and this was not included in the model since, *a priori*, it was not expected that the mix of severities would differ between the treatments, given that symptoms have occurred.

The predicted probabilities for the model by randomised treatment group for the first and second steps are shown in *Table 47*. Step 1 is the probability of observing symptoms or complications or neither. Step 2 is the probability of observing symptoms or complications of a given severity, should symptoms or complications occur.

### **Utilities of health states in the long term**

The utility of patients with severe uncontrolled symptoms was assumed to be the same as that reported on average before a haemorrhoid surgical procedure. A literature review was undertaken to identify studies which reported HRQoL for patients either before a haemorrhoid

**TABLE 46** Coefficients of the statistical model to predict the probabilities of symptoms and complications at 1 year

	Complications Mean (SE)	Symptoms Mean (SE)
<i>Step 1 coefficients</i>		
Intercept (log scale)	-3.641 (0.617)	-2.820 (0.458)
Treatment effect (log odds ratio)	-0.296 (0.305)	0.895 (0.206)
Between-study standard error	1.765 (0.682)	1.611 (0.398)
<i>Step 2 coefficients</i>		
Threshold 1: not serious/serious complication	0.467 (0.294)	
Threshold 2: mild/not mild symptom		1.146 (0.196)
Threshold 3: not severe/severe symptom		-0.688 (0.284)

**TABLE 47** Predicted probabilities of the York assessment group’s statistical model

	CH Mean (SE)	SH Mean (SE)
<i>Step 1 probabilities</i>		
No adverse outcome	0.921	0.858
Complication	0.024 (0.015)	0.017 (0.015)
Symptom	0.055 (0.026)	0.125 (0.026)
<i>Step 2 probabilities</i>		
Non-serious complication	0.615 (0.068)	0.615 (0.068)
Serious complication	0.385	0.385
Mild symptom	0.759 (0.036)	0.759 (0.036)
Moderate symptom	0.161 (0.030)	0.161 (0.030)
Severe symptom	0.080	0.080

procedure or with uncontrolled severe symptoms, including both randomised and observational study designs. Wilson<sup>45</sup> was excluded because it did not report all the dimensions of the SF-36.<sup>45</sup> HODaR data were not suitable because it was conducted postoperatively.<sup>115</sup> The Narbutis study reported data at the same time-point in two tables which gave different values.<sup>120</sup> Table 48 shows the results of Hasse<sup>75</sup> and Temple.<sup>114</sup>

The SF-36 measures HRQoL but does not estimate a preference-based utility suitable for use in economic evaluation. Patient-level data were not available; therefore, the Brazier<sup>107</sup> SF-6D algorithm could not be used. Utility values were estimated from SF-36 mean summary scores for each of the studies in Table 48 using an algorithm developed by Kind and colleagues<sup>113</sup> (Appendix 9). The expected utility of patients with severe symptoms is taken to be the weighted mean of the three data (Hasse CH and SH arms,<sup>75</sup> and Temple<sup>114</sup> CH), using the reciprocal of the

variance as weights. The utility of patients with no adverse outcomes or complications was assumed to be the population norm SF-36<sup>114</sup> valued as utility using the same algorithm. Table 49 shows the utility values used in the model, which are shown as decrements from the population norm utility.

No data were found to estimate the utility of patients with mild outcomes or moderate outcomes. However, the utility of patients with moderate outcomes should be between severe and mild, and for mild outcomes utility should be between moderate and no symptoms. Sensitivity analyses were used to evaluate different assumptions about the utility of moderate and mild symptoms, relative to severe symptoms and no symptoms.

**Resource use and cost in the medium and long term**

The York model used unit costs for a procedure undertaken during an outpatient visit (mean £149) to estimate the cost of dilatation for anal stenosis,

**TABLE 48** Mean utility of patients with haemorrhoid symptoms before surgery reported by studies identified by a review of the literature

SF-36 component	Temple <sup>114</sup> CH	Hasse <sup>75</sup> CH	Hasse <sup>75</sup> SH
Source country	USA	Germany	Germany
Physical functioning	67	65	65
Role–physical	40	65	65
Bodily pain	59	65	62
General health	62	58	50
Vitality	54	62	62
Social functioning	59	62	58
Role–emotional	67	69	65
Mental health	67	73	73
Utility EQ-5D (Kind algorithm <sup>113</sup> )	0.744	0.755	0.759
SD	0.169	0.108	0.104
Weighted mean utility of 3 data		0.749 (SE 0.069)	



**TABLE 49** Utility values for health states in the long-term follow-up period used in the York model

	<b>Base case Mean (SD)</b>
Utility with no symptoms – population norm SF-36 <sup>114</sup> scored using Kind algorithm <sup>113</sup>	0.842 (0.128)
Severe symptoms and serious complications: weighted mean <sup>75,114</sup>	0.749 (0.069)
<b>Utility decrements from no symptoms</b>	
Severe symptoms and serious complications	0.09 <sup>a</sup>
Moderate (assumed 60% of difference between severe and no symptom)	0.055 <sup>a</sup>
Mild (assumed 33% of the difference between moderate and no symptom)	0.018 <sup>a</sup>
<sup>a</sup> The distributions of the utility decrements compared to no symptoms are derived from the joint distributions of other (fundamental) parameters.	

RBL or sclerotherapy.<sup>116</sup> Based on clinical opinion, it was assumed that 10% of the observed incidences of stenosis would be severe and would require surgery.

**Cost-effectiveness analysis**

Standard decision rules were used to assess the most cost-effective technology.<sup>104</sup> Mean costs and QALYs were calculated for each treatment option. If, on average, SH has greater cost and equal or

lower QALYs, then it is dominated by CH. If SH costs more and has greater QALYs, then SH will be cost-effective if the ICER (incremental difference in mean costs divided by incremental difference in mean QALYs) is less than the threshold cost per additional health benefit. If SH is less costly and has less QALYs, then SH will be cost-effective if the ICER is greater than the threshold cost per QALY lost. A probabilistic sensitivity analysis was undertaken using the

**TABLE 50** Probability distributions assigned to parameters used in the base case

Parameters	Distribution type	Mean (SD)	Source
Treatment effect for VAS pain score in the first 6 weeks (log scale)	Normal	-0.4317 (0.045)	Meta-analysis
<i>Utility values</i>			
Utility of CH procedure in the first 6 weeks <sup>a</sup>	Gamma	0.758 (0.180)	Currie, <sup>115</sup> Kind <sup>113</sup>
Utility after severe recurrence of symptom <sup>a</sup>	Gamma	0.749 (0.069)	Temple, <sup>114</sup> Hasse, <sup>75</sup> Kind <sup>113</sup>
Utility without symptoms <sup>a</sup>	Gamma	0.842 (0.128)	Temple, <sup>114</sup> Kind <sup>113</sup>
<i>Coefficients of model of probability of complications or recurrence of symptoms (log scale)</i>			
Threshold 1	Normal	0.467 (0.294)	Meta-analysis
Threshold 2	Normal	1.146 (0.196)	
Threshold 3	Normal	-0.688 (0.284)	
Treat effect symptom	Normal	-0.296 (0.305)	
Treat effect complication	Normal	0.895 (0.206)	
Intercept symptom	Normal	-3.641 (0.617)	
Intercept complication	Normal	-2.820 (0.458)	
<i>Resource use</i>			
Difference in minutes in operating theatre	Normal	-13.700 (0.350)	Meta-analysis
Difference in days in hospital	Normal	- 1.232 (0.036)	Meta-analysis
Cost per day in hospital (£)	Gamma	256 (75)	NHS <sup>116</sup>
The pdf of the gamma distribution is $f(x \alpha,\beta) = \frac{1}{\beta^\alpha \Gamma(\alpha)} x^{\alpha-1} \exp(-x/\beta)$ , with $\alpha = E[x]^2/\text{var}(x)$ and $\beta = \text{var}(x)/E(x)$ .			
The minimum value of the gamma distribution is 0 and the maximum is infinity, therefore utility values were modelled as decrements from full health.			
<sup>a</sup> The distributions of the utility decrements compared to no symptoms are derived from the joint distributions of other (fundamental) parameters.			

base-case model. Each parameter was assigned a distribution (Table 50), and cost-effectiveness results associated with simultaneously selecting random values from those distributions are recorded in a Monte Carlo simulation of the model.

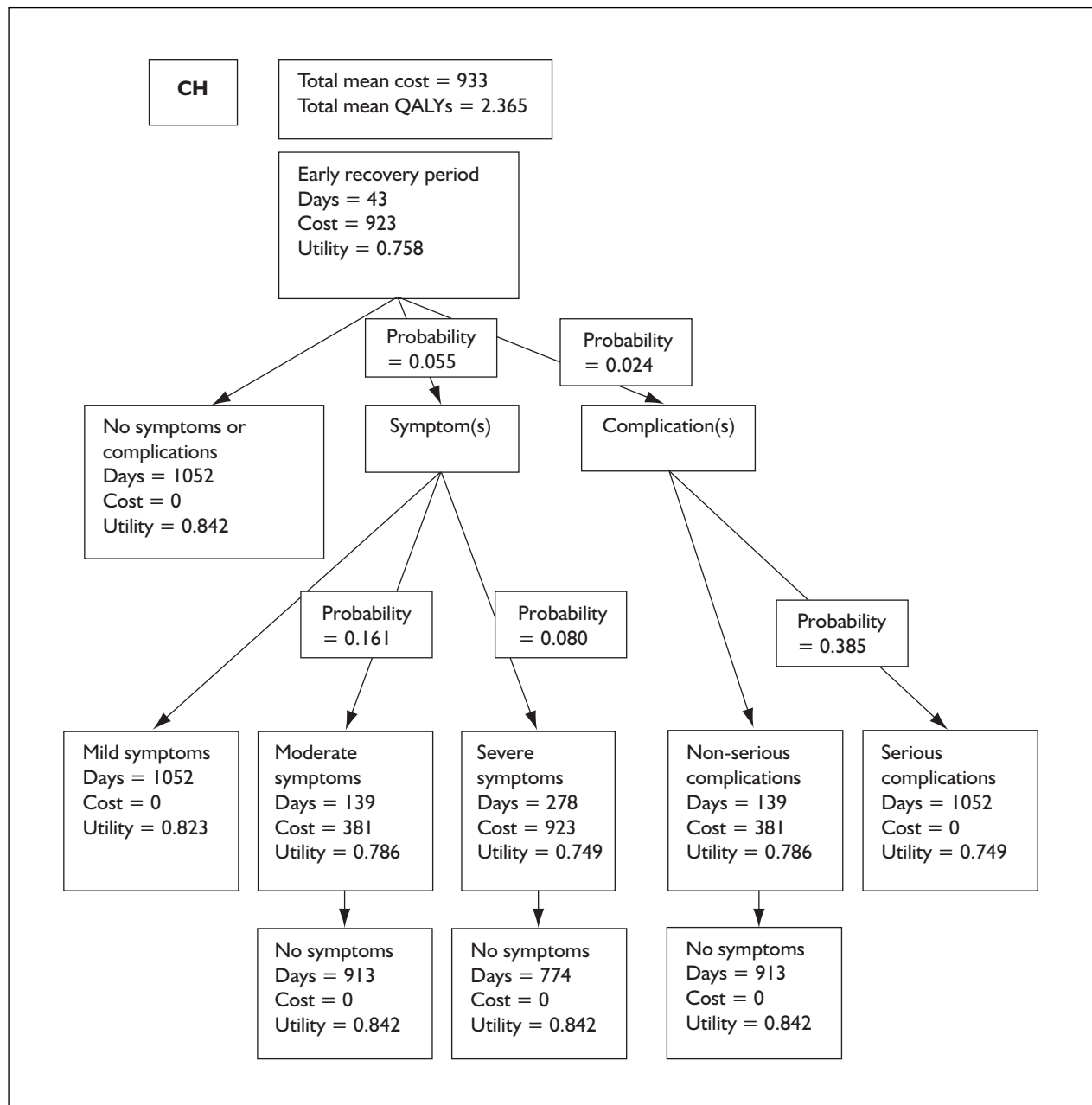
**Results of the York economic assessment**

**Base-case analysis**

Figures 17 and 18 show the calculations made using the decision tree to estimate costs and QALYs for SH and CH, respectively.

Table 51 shows the mean costs and QALYs of the base case. In this scenario, on average, the difference in costs between the procedures was £19 and the difference in QALY was -0.001 over 3 years. CH dominates SH on average, but the differences in both cost and QALYs are very small.

Figure 19 illustrates the joint distribution of incremental mean costs and incremental mean QALYs calculated using 1000 simulations in a probabilistic sensitivity analysis.



**FIGURE 17** Calculations made to estimate costs and QALYs using the decision tree for CH

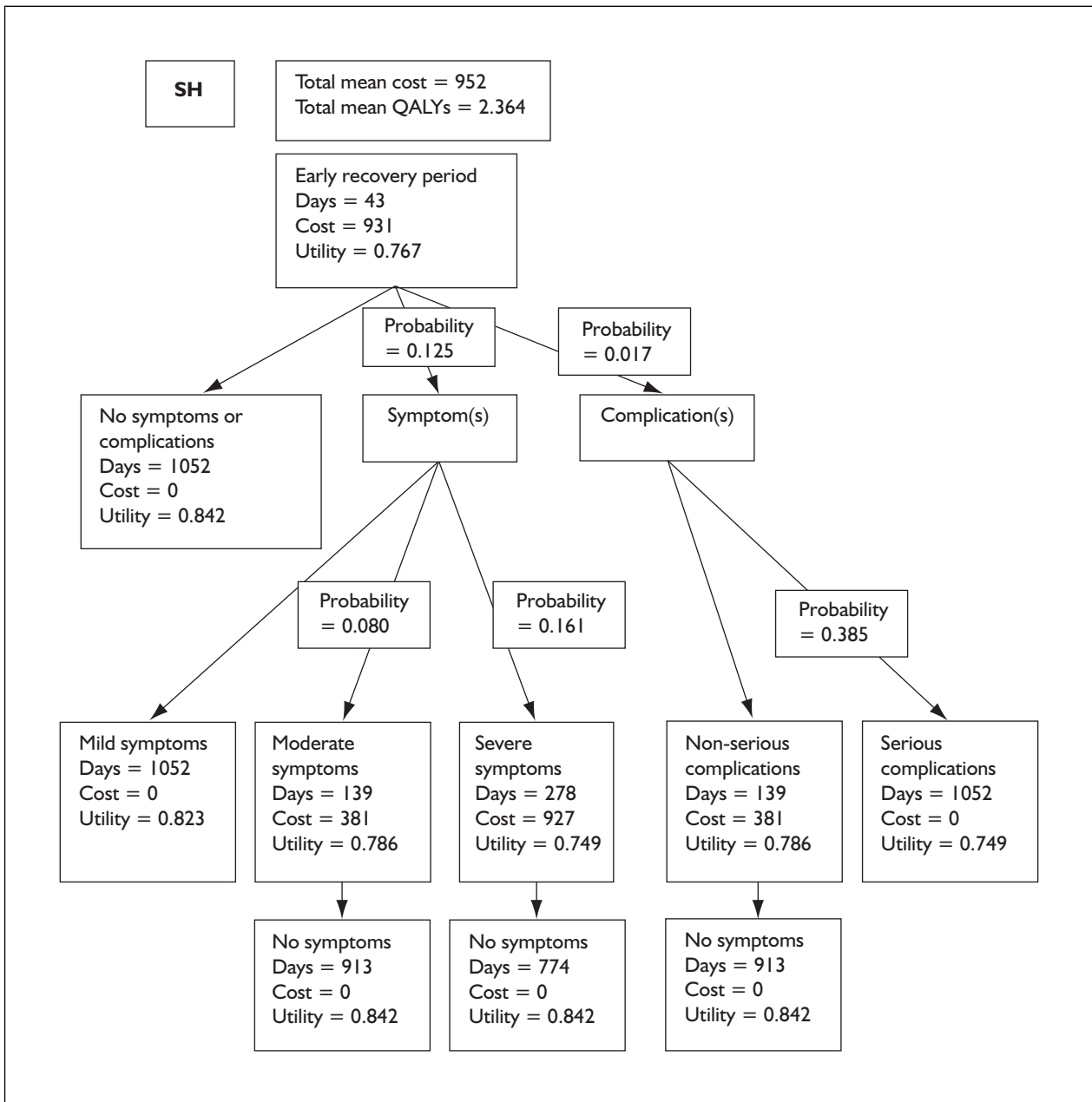
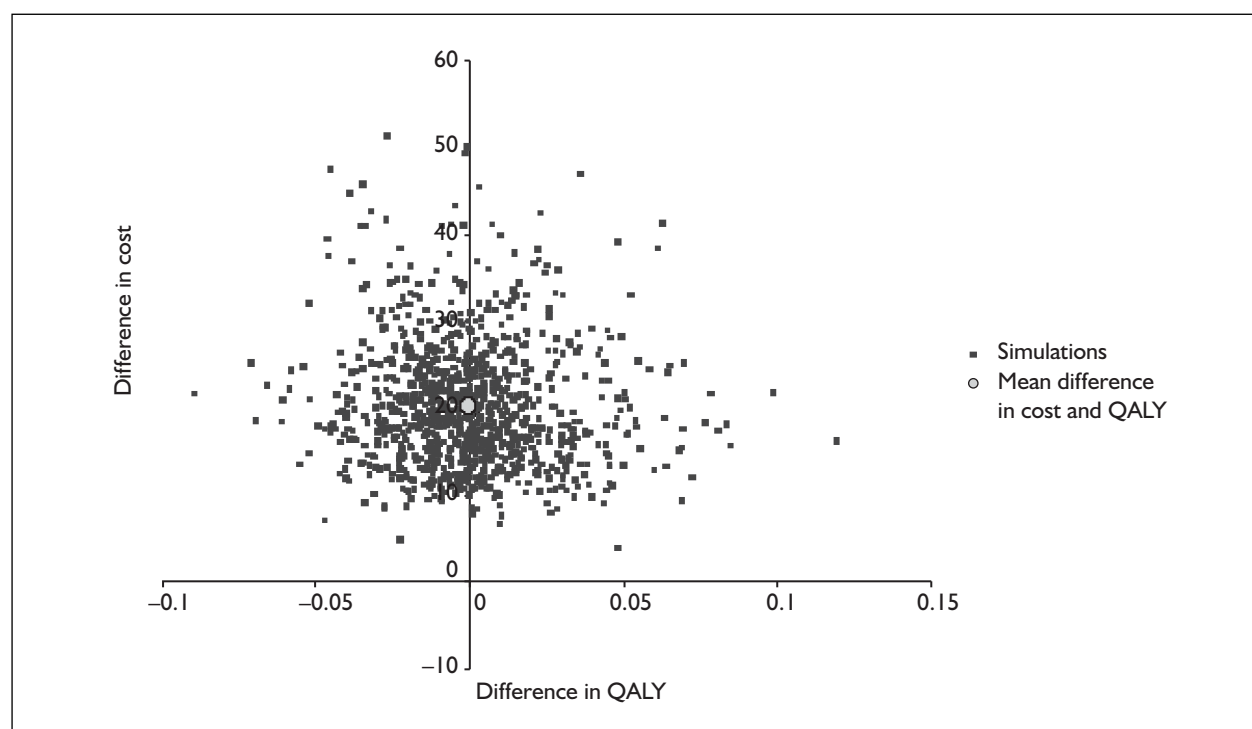


FIGURE 18 Calculations made to estimate costs and QALYs using the decision tree for SH

TABLE 51 Mean costs and QALYs calculated by the base case of the York economic assessment

	CH	SH	Difference (95% CI)
Cost (£)	933	952	19 (15 to 24)
QALYs	2.366	2.364	-0.0014 (-0.0150 to 0.0120)
ICER			CH dominates SH

95% CIs are calculated using probabilistic sensitivity analysis.



**FIGURE 19** Cost-effectiveness plane based on a probabilistic sensitivity analysis using 1000 simulations

### Sensitivity analyses

There are considerable uncertainties over several of the model parameters, and results are shown as a set of scenarios. *Table 52* describes and compares the assumptions used for each scenario and *Table 53* shows the results for the base case (scenario 2.0) and a set of univariate analyses (2.1–2.6).

Scenario 2.1 shows the effect of shorter waiting times (leaving time to recurrence unchanged); there is little difference compared with the base case. Scenario 2.2 uses the method developed by the EE-S to value utility, and this shows a gain in QALYs for SH, and SH is on average cost-effective at a threshold of £30,000 per QALY. The QALY gain is achieved mainly because the method values reductions in pain more highly during the recovery period. Assuming that recurrence of symptoms can appear in the second or third year and the probability is greater after SH, this increases the difference in QALYs between SH and CH (scenario 2.3). Increasing the cost per day in hospital by 15% makes SH less costly than CH; cost-effective at a threshold of £30,000 per QALY lost (scenario 2.4). Assuming that the length of time in theatre should be estimated by the RCT with the largest difference also makes SH less costly than CH overall; cost-effective at a threshold of £30,000 per QALY lost (scenario 2.5). Reducing

the time-horizon of the model (scenario 2.6) assumes that there are no differences in recurrence rates after 1 year, and that untreated complications and symptoms have no further effect on quality of life. Changing this assumption alone does not materially affect the results compared to the base case. Using an alternative method to value utility during the first 2 weeks, when pain may be greatest, is more favourable to SH than the base case, but results are not cost-effective at a threshold of £30,000 per QALY. Scenario 2.9 assumed that unhealed wounds at 12 weeks were a serious complication which continued until the end of the time-horizon. This resulted in an ICER of £62,000, which is not cost-effective for SH at a threshold of £30,000, but illustrates that the results are sensitive to this assumption.

### Probabilistic sensitivity analysis

A probabilistic sensitivity analysis was undertaken using 1000 simulations of the base-case model using the parameter distributions in *Table 50*. Confidence intervals for cost and QALYs are shown in *Table 51* and the joint distribution of incremental costs and QALYs is shown in *Figure 19*. *Figure 20* shows the probability that SH is cost-effective for a range of values of the threshold ICER. This shows that SH is cost-effective in 45% of the simulations if the willingness to pay for an

TABLE 52 Description and comparison of the sensitivity analyses using the York economic model

Method of estimation and extrapolation of VAS pain score	Method of valuation of utility in early postoperative period	Time-horizon of model	Period over which patients are at risk of recurrence of symptoms	Health states	Sources of health data	Valuation of utility of health states	Source of resource use in hospital of the primary procedure	Time to development of symptoms and to reintervention	Failure of reintervention
2.0 York team base-case	Average reduction in pain from CH to SH estimated by metaregression of 10 RCTs	3 years	1 year	No symptoms; mild, moderate + severe; complications: non-serious and serious	No symptoms; population norm SF-36. Severe symptoms and complications: weighted average of presurgery SF-36 of three studies (Hasse, Temple <sup>7,11,14</sup> ). Utility of no symptoms > mild > moderate > severe	SF-36 mapped non-linearly to utility (Kind method and data set <sup>13</sup> )	LOS: meta-analysis of nine RCTs. Operating time: meta-analysis of 11 RCTs	Surgery to recurrence: 43 days. Recurrence to outpatient: 138 days. Outpatient to re-surgery: 139 days	All patients with recurrent symptoms are eventually treated successfully
2.1 2.0 + shorter waits	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	Surgery to recurrence: 43 days. Recurrence to outpatient: 30 days. Outpatient to re-surgery: 30 days	As 2.0

continued

**TABLE 52** Description and comparison of the sensitivity analyses using the York economic model (cont'd)

Method of estimation and extrapolation of VAS pain score	Method of valuation of utility in early postoperative period	Time-horizon of model	Period over which patients are at risk of recurrence of symptoms	Health states	Sources of health data	Valuation of utility of health states	Source of resource use in hospital of the primary procedure	Time to development of symptoms and to reintervention	Failure of reintervention
2.2 2.0 + EE-S utility mapping	VAS mapped to SF-36BP (log-linear assumption). Other SF-36 dimensions from one study (Wilson <sup>45</sup> ).	As 2.0	As 2.0	As 2.0	No symptoms: SF-36 dimensions mapped after SH from one study (Wilson <sup>45</sup> ) scores, assuming no pain. Severe symptoms: SF-36 scores before SH from one study (Wilson <sup>45</sup> ).	SF-36 dimensions mapped linearly to utility (Brazier coefficients <sup>106</sup> )	As 2.0	As 2.0	As 2.0
2.3 2.0 + recurrence in years 2 and 3	SF-36 mapped linearly to utility. Coefficients estimated from a General Practice dataset (Brazier <sup>106</sup> )	As 2.0	Probability of recurrence in years 2 and 3 is half the probability in year 1	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0
2.4 2.0 + increase in cost per day	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	15% greater cost per day in hospital	As 2.0	As 2.0

continued

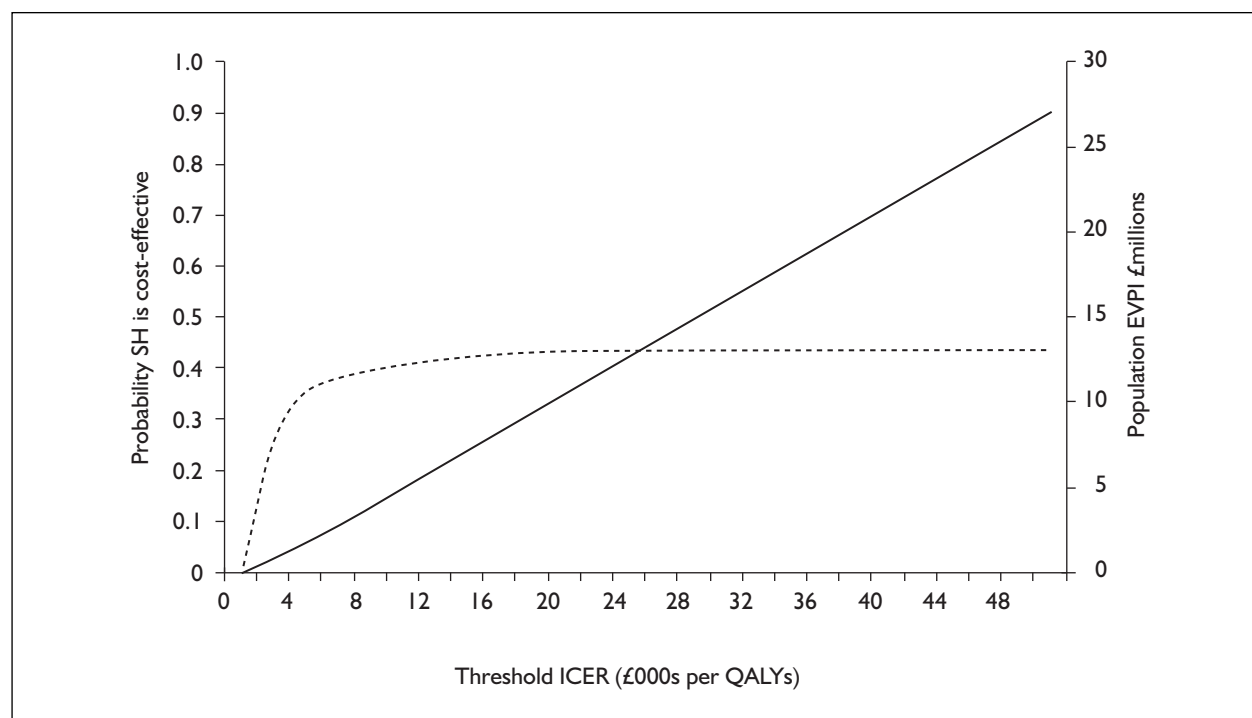
**TABLE 52** Description and comparison of the sensitivity analyses using the York economic model (cont'd)

Method of estimation and extrapolation of VAS pain score	Method of valuation of utility in early postoperative period	Time-horizon of model	Period over which patients are at risk of recurrence of symptoms	Health states	Sources of health data	Valuation of utility of health states	Source of resource use in hospital of the primary procedure	Time to development of symptoms and to reintervention	Failure of reintervention
2.5 2.0 + greater difference in operating time	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	Theatre time of most optimistic RCT	As 2.0	As 2.0
2.6 2.0 + 1-year time-horizon	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0
2.8 2.0 + alternative utility mapping	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0
2.9 2.0 + long-term unhealed wounds	As 2.0	As 2.0	As 2.0	Long-term unhealed wounds	As 2.0	As 2.0	As 2.0	As 2.0	As 2.0

**TABLE 53** Mean difference in cost and QALY based on the sensitivity analyses

	Cost CH	Cost SH	Cost difference	QALY CH	QALY SH	QALY difference	ICER	Choice at £30,000
2.0 York team base case	933	952	19	2.366	2.364	-0.001	Dominated	CH
2.1 2.0 + shorter waits	933	952	19	2.361	2.36	-0.0009	Dominated	CH
2.2 2.0 + EE-S utility mapping	932	952	19	2.1695	2.171	0.00108	17,662	SH
2.3 2.0 + recurrence in years 2 and 3	944	971	27	2.3632	2.36	-0.003	Dominated	CH
2.4 2.0 + increase in cost per day	1228	1113	-115	2.3656	2.364	-0.0014	83,019	SH
2.5 2.0 + greater difference in operating time	1076	923	-152	2.3656	2.364	-0.0014	11,0311	SH
2.6 2.0 + 1-year time-horizon	932	952	19	0.8084	0.808	-0.0004	Dominated	CH
2.8 2.0 + alternative utility mapping	933	952	19	2.360	2.360	0.0004	43,433	CH
2.9 2.0 + unhealed wounds	931	948	18	2.362	2.363	0.0003	61,785	CH

Choice at £30,000: the cost-effective strategy if the threshold ICER were £30,000 per QALY gained or lost. Therefore, SH would be more cost-effective if: (a) mean costs were less than CH and QALYs not worse, (b) mean costs and QALYs were greater than CH and the ICER was <£30,000, or (c) mean costs and QALYs were less than CH and the ICER was >£30,000.



**FIGURE 20** Probability that SH is cost-effective for a range of values of the threshold ICER (dashed line) and the EVPI (solid line)

additional QALYs is £30,000. Figure 20 also shows the expected value of perfect information (EVPI) for a range of values of the threshold ICER, assuming an 8-year lifetime for the technology and an incidence of 8000 patients per year who might benefit from either SH or CH. If the threshold is £30,000 per QALY then the population EVPI is about £16 million, indicating the maximum the

health system would be willing to pay for perfect information, assuming the base-case model. However, the base-case model may underestimate the amount of uncertainty around the utility values, since not all parameters in the model have been assigned an appropriate probability distribution. For example, the model assumes that a 35% reduction in pain in the recovery period



maps to an exactly 35% reduction in the SF-36 BP score, with no effect on the other dimensions of the SF-36.

### Comparison of the EE-S model and the York model

This section compares the methods and data used by EE-S and the York model, and then shows how these differences affect the estimates of costs and QALYs in each model.

*Table 54* summarises the differences in modelling methods used by EE-S from those used in the York economic assessment. Similarities in modelling methods between the models are omitted.

#### Utility scores in peri/postoperative period

The EE-S model extrapolated RCT data to predict a considerable difference in utility arising from the difference in pain during the recovery period for about 120 days after surgery. The model covered a 1-year time-frame and it was assumed that baseline pain diminished exponentially over this period. A year-long time-horizon was assumed on the basis that little evidence was found on any difference in treatment effects for SH and CH beyond 1 year. In addition, it was argued that beyond 1 year, the effect of any recurrent prolapse would dissipate and that any prolapse beyond that time was likely to be a new rather than a recurrent prolapse.

For the York model, the initial recovery period was estimated to continue up to around 6 weeks. VAS pain scores were only available up to 21 days (3 weeks).<sup>80</sup> A Bayesian metaregression was undertaken which included all the VAS pain data for which mean scores and a measure of variance were available for SH and CH. It predicted that at 3 weeks VAS pain scores were less than 0.5 across each arm. The model assumed that the pain score after SH was a constant proportion of the score after CH at all time-points. These data, together with the early postoperative SF-36 data, were used to calculate utilities up to 6 weeks postoperatively. The final time-horizon of the York model was 3 years. Clinical advice suggested that there is a probability that symptoms can develop more than 1 year after surgery, and that this may differ between treatment groups. However, there were no published data about the long-term recurrence after 1 year in those patients who did not have a recurrent prolapse at 1 year.

To estimate HRQoL in the SH and the CH arm at 6 weeks postoperatively, EE-S used the four

physical health dimensions of the SF-36 from a single study (Wilson).<sup>45</sup> This study included some patients who used a device that required an adaptor to make it suitable for SH, which was not used; the Autosuture arm was excluded from the clinical evaluation (Autosuture STRAM kit; see Chapter 3), and so the York model used an alternative data source. The HODaR cohort dataset was used to estimate the SF-36 score for CH (<https://www.crc-limited.co.uk/portal/HODaR.html>).

Underlying EE-S's estimates of the SF-36 at 6 weeks postoperatively is an assumption that the SF-36 BP dimension is a non-linear function of the daily VAS scores in the SH and CH arms. At this time the average physical functioning scores differed, being 5 points higher in the SH arm than in the CH arm (95 versus 90, respectively). While the former score was assumed to remain constant, the score in the CH arm was assumed to increase linearly from 90 at 8 weeks to 95 at 12 months. The other two dimensions (i.e. RP and GH) were assumed to remain constant throughout the duration of the model.

In contrast, for the CH arm in the York model, an average SF-36 score was estimated which was constant across the entire postoperative period. Therefore, it was assumed that 35% less pain, on average, maps to a 35% reduction in SF-36 BP (on a log-odds scale). In the absence of reliable data it was assumed that the scores for the other dimensions did not change.

Since directly measured utilities were not available, SF-36 scores were mapped to utility in the EE-S model using age-matched scores from a cross-sectional data set of patients registered with a primary care practice in Sheffield.<sup>107</sup> These were used to estimate the association between the four physical health dimension scores and the SF-6D index, utility score. The York model applied the Kind approach to translate SF-36 scores to EQ-5D utilities.<sup>113</sup>

#### Outcomes in the medium and longer term

In the EE-S model, the only adverse outcome of surgery that was considered was mild or severe symptoms associated with recurrent prolapse. The York model also considered recurrent prolapse, and distinguished mild, moderate and severe symptoms. Symptoms included one or more of the following for each patient: prolapse, bleeding, bothersome skin tags, pain, itching, and mucus and discharge. Complications included one or more of the following for

**TABLE 54** Comparison of the modelling approaches used in the EE-S model and the York model

Parameter	EE-S model	York base-case model
<b>Early postoperative period</b>		
1 Duration of differences in postoperative utility across SH and CH arms	Up to 120 days	42 days
2 VAS pain score	Estimated from single study <sup>80</sup> Baseline pain diminished exponentially over 1 year	Expected VAS pain score estimated from a metaregression of mean VAS, treatment group and time
3 Estimate SF-36 at 6 weeks postsurgery	One RCT to estimate SF-36 after SH and CH <sup>45</sup>	HODaR data represent average SF-36 during the recovery period after CH. Assume pain after SH is reduced by the same magnitude as the VAS pain score. Other SF-36 dimensions unchanged
4 Assumptions about how SF-36 might have changed between 0 and 42 days	SF-36 bodily pain dimension as a non-linear function of the VAS score each day after SH and CH Some other dimensions change a little	HODaR data represents average HRQoL over the whole postoperative period after CH Other dimensions do not change
5 SF-36 summary scores map to utility	Cross sectional survey of people registered with a GP practice in Sheffield (Brazier data set <sup>107</sup> ) Survey recorded SF-36 scores. Used data set to estimate association between mean SF-36 dimensions (BP, PF, RP and GH) and SF-6D index	Matching of SF-36 summary scores to patients in Health Survey for England data set (Kind <sup>113</sup> )
6 Length of time in operating theatre	Meta-analysis of six RCTs	Meta-analysis of 11 RCTs
<b>Medium and long term</b>		
7 Length of stay	Expected proportion of day cases plus expected length of stay for patients who are not day cases	Meta-analysis of overall length of stay from nine RCTs
8 Symptoms considered	Mild recurrence of prolapse Severe recurrence of prolapse	Mild symptoms Moderate symptoms Severe symptoms
9 Long-term complications considered	None	Non-serious complications Serious complications
10 Data used to estimate probability of recurrence of symptoms in first year	Subgroups of RCTs of patients with grade III at baseline	All RCTs
11 Statistical model of probability of long-term success	Meta-analysis	Meta-analysis
12 Treatments considered, given recurrence of symptoms	Self-treatment using conservative strategies Surgery	Self-treatment using conservative strategies Surgery Outpatient treatments
13 Statistical model of probability of intervention(s), given failure of initial surgery	Meta-analysis of proportion of re-surgery for patients with recurrent prolapse Include treatment effect (recurrence of symptoms more likely to be severe after SH)	Meta-analysis of treatments given failure No evidence found that the mix of severities differs by randomised treatment
14 Type of re-surgery	Repeat of same primary surgery	Expert opinion 50–50% following primary SH 100% CH following primary CH

*continued*

**TABLE 54** Comparison of the modelling approaches used in the EE-S model and the York model (cont'd)

Parameter	EE-S model	York base-case model
15 Time from surgery to recurrence of symptom	120 days	43 days
16 Time from recurrence to re-surgery if severe	10 days	276 days
17 Time from recurrence to reintervention in outpatients if moderate	Not considered in model	138 days
18 Overall time-horizon of model	1 year	3 years
19 Probability reintervention is successful	Surgery: as after primary surgery Self treatment: 0%	There is no possibility of second recurrence in this model
20 HRQoL with no symptoms or complications	Based mainly on SF-36 summary scores data from one study (Wilson <sup>45</sup> ) at 7 weeks, with some adjustments and extrapolation to 1 year	Use age- and gender-matched general population quality of life as benchmark (Kind, 1999 <sup>121</sup> )
21 HRQoL with recurrence of severe symptoms (leading to re-surgery)	As symptoms presurgery (severe) Based on utility valuation of baseline SF-36 scores from one study (Wilson <sup>45</sup> )	As symptoms presurgery (severe) based on utility valuation of baseline SF-36 scores from two studies <sup>75,114</sup>
22 HRQoL with recurrence of medium symptoms (leading to outpatient treatments)	No such health state in this model	Assume utility is 60% of the difference between severe and no symptoms
23 HRQoL with recurrence of mild symptoms (leading to no intervention, conservative medical management)	As symptoms presurgery (severe)	Assume utility is 33% of the difference between moderate and no symptoms

each patient: anal stenosis, urgency and faecal incontinence.

In the EE-S model, to estimate the probability of recurrence of prolapse (and re-surgery due to prolapse) over the year, results from 13 papers were meta-analysed. The York economic model conducted a meta-analysis using 16 RCTs to estimate the probabilities in the first year of symptoms, complications and their severity, should they occur.

If symptoms (prolapse) did recur, in terms of reinterventions, the EE-S model assumed that patients either self-treated or underwent surgery. The York model also considered non-excisional treatments.

EE-S state that they used a meta-analysis to estimate the proportion of patients with severe symptoms, but the source of these data was not clear from the report. The York model assessed the probability of reintervention given treatment failure from a meta-analysis of 16 RCTs. *Table 55* compares the estimates of the probabilities of complications and recurrence of symptoms

calculated by the York model and the EE-S model, and the probabilities of reintervention given treatment failure. The mean estimated probability of a symptom estimated in the York model was 0.125 after SH and 0.055 after CH, a difference of 0.07. In the EE-S model the probability of a symptom was 0.101 after SH and 0.026 after CH, a difference of 0.075. The York model included a probability of complications, but the difference between the treatments was relatively small on average (0.007) and had high uncertainty. Therefore, despite the differences in data and methods, the models estimated similar results for these parameters. There was a more important difference, however, in the predicted mix of symptoms. The York model estimated that 76% of symptoms would be mild, on average. The EE-S model predicted that 73% of symptoms would be mild after CH, but only 34% after SH.

Re-surgery in the EE-S model was a repeat of the initial surgery. The clinical evaluation found that it was possible for patients to undergo a second SH procedure if the first was unsuccessful. Following clinical opinion, it was assumed in

**TABLE 55** Predicted probabilities of the York assessment group's statistical model compared with the EE-S model

	York assessment		EE-S model	
	CH Mean (SE)	SH Mean (SE)	CH Mean (SE)	SH Mean (SE)
<b>Probabilities of complication or symptom</b>				
No adverse outcome	0.921	0.858	0.974	0.899
Complication	0.024 (0.015)	0.017 (0.015)	NA	NA
Symptom	0.055 (0.026)	0.125 (0.026)	0.026 (N/R)	0.101 (NA)
<b>Mix of severities given complication or symptom</b>				
Non-serious complication	0.615 (0.068)	0.615 (0.068)	NA	NA
Serious complication	0.385	0.385	NA	NA
Mild symptom	0.759 (0.036)	0.759 (0.036)	0.73 (NR)	0.34 (NA)
Moderate symptom	0.161 (0.030)	0.161 (0.030)	NA	NA
Severe symptom	0.080	0.080	0.27 (NR)	0.66 (NA)

NA, not included in the model; NR, not recorded.

the base case that 50% of patients needing re-surgery following SH would undergo a repeat SH. Following initial CH, CH was repeated if re-surgery took place. The time from surgery to recurrence of symptoms was 4 months in the EE-S model and 1.5 months in the York model. The full time-horizons of the models were 1 year and 3 years for the EE-S and York models, respectively.

The proportion of patients in whom the reintervention was successful was 89.9% in the SH arm and 97.4% in the CH arm in the EE-S model. Based on clinical advice, the York model assumed that the probability of a second recurrent prolapse was very rare and it was not necessary to include this event; all reinterventions were assumed to be successful.

#### Resource use and cost estimates

As reported in *Table 47*, the York model used the EE-S cost estimates for the staple gun associated with SH and the unit cost of the theatre time in the absence of better available data. EE-S used the weighted average of two Healthcare Resource Group (HRG) codes (HRG code F92, 'Anus – Intermediate Procedures >69', and F93, 'Anus – Intermediate Procedures <70') from the Admitted Patient Care Tariff database. To calculate the average cost per day's stay excluding the cost of surgery, EE-S used the value 'per day long stay payment (for days exceeding trim point)'. Both models assumed that the non-surgical hospital costs of a day case were equivalent to the hotel cost of a day on a ward.

The EE-S and the York models differed in the estimate used to measure theatre time. Both used meta-analyses of RCTs, but differed in the exclusion and inclusion criteria applied. The EE-S meta-analysis comprised five studies.<sup>82,92,94,95,110</sup> The York model included the results of all those studies, with the exception of Racalbuto,<sup>110</sup> since this study was excluded from the review as SH was undertaken using CDH33, a type of circular stapler produced by EE-S that is not designed to perform an SH. The York model included an additional seven studies.<sup>63,75,77,82,87,93,95</sup>

The EE-S and the York models differed in the methods and data used to estimate mean length of stay. EE-S calculated the expected proportion of day cases as well as the expected length of stay in patients who received inpatient care, that is, who were not discharged on the same day. The analysis relied on data from non-randomised studies for estimating the probability that a procedure could be a day case. Results may be confounded if patients differed in characteristics apart from the intervention received. In contrast, the York model used the results of a fixed-effects meta-analysis of nine RCTs to calculate the average length of day cases, assuming that a 1-day stay is equivalent to a day case.<sup>63,75,77,83,85,87,93–95</sup> Two studies<sup>109,110</sup> which were incorporated in the EE-S analysis were excluded from the York meta-analysis since the CDH33 staple gun is not designed for SH.

To estimate the time spent in the operating theatre, EE-S used a random-effects meta-analysis based on five studies.<sup>85,92,94,95,110</sup> The York model used the results of a fixed-effects meta-analysis of

11 studies that were identified in the clinical effectiveness review (Chapter 3).<sup>63,75,77,82,85,87,92–96</sup> The results of a random-effects model gave greater weight to the outlier study.<sup>77</sup>

### **Impact of these differences on results**

This section describes how differences in methods and parameters affect the estimates of costs and QALYs in each model.

*Table 56* shows a set of scenarios (labelled 1.0–1.9) which aim to show the key parameters that differ between the EE-S model and the York group's model. Scenario 1.0 shows the results of the EE-S model as stated in their submission. Other scenarios (1.1–1.9) show the effect of changing one or more of the parameters of the EE-S model which differed from the York group's model (*Table 57*).

Scenarios 1.1–1.9 were calculated by using the York model, setting the parameters to take the values of the EE-S model, and then changing these in a set of univariate sensitivity analyses.

EE-S estimated that SH is cost-effective at a threshold of £30,000 per QALY, but not at a threshold of £20,000. The single most influential variable in this model is the valuation of utility in the postoperative period. The EE-S model predicts VAS scores using the results of a single study.<sup>80</sup> These predictions are valued by first mapping VAS to SF-36 BP assuming a log-linear relationship, assuming the other dimensions of the SF-36 are as reported by Wilson,<sup>45</sup> and then mapping SF-36 to utility using a linear algorithm based on a data set of HRQoL in a general population.<sup>107</sup> Utility scores are extrapolated up to 1 year, and the model predicted a measurable ( $\geq 0.01$ ) difference in utility as a result of less postsurgical pain until about 120 days. Scenario 1.1 changes the EE-S model assuming that no measurable difference in utility persists after 43 days, following clinical advice that the recovery period lasts for up to 6 weeks following surgery. Changing this assumption of the EE-S model and keeping all others unchanged reduced the mean difference in QALYs predicted at 1 year from 0.008 to 0.003 and the ICER was increased from £23,000 to £50,000, which makes SH not cost-effective at a threshold ICER of £30,000 per QALY. However, if length of stay in hospital and time in operating theatre were as estimated by the York model, rather than the EE-S model, then SH would be cost-effective at a threshold ICER of £30,000 per QALY gained (scenario 1.9).

*Table 57* shows the mean difference in costs and QALYs calculated in each sensitivity analysis. *Figure 21* shows these results graphically on the cost-effectiveness plane.

*Table 58* partitions the incremental costs and benefits according to how they arise in each model. Two scenarios are compared: the base-case of the York assessment (scenario 2.0 of *Table 52*) and the EE-S model with the recovery period after the primary procedure limited to 6 weeks and the assumption that all reinterventions for recurrent prolapse are successful (scenario 1.1 of *Table 56*). Events in each of the models do not have the same duration. In the York model, patients can experience symptoms immediately after the end of the 6-week recovery period, whereas in the EE-S model, symptoms recur after 4 months (43 + 79 days). The York model has an overall time-horizon of 3 years (1095 days), whereas the EE-S model lasts for 1 year. If severe symptoms recur, the York model assumes that patients will wait for about 9 months (277 days) before being eventually resolved by surgical reintervention, whereas the EE-S model assumes a wait of only 10 days.

The York model gives less weight (measured in QALYs) to the difference in pain in the recovery period than the EE-S model. However, the York model predicts a greater difference in the number of recurrent symptoms, gives those symptoms a greater decrement in utility compared with full health than the EE-S model, and assumes that severe symptoms have a longer duration. In the York model the loss of health due to the greater number of recurrent symptoms after SH is offset slightly by a trend towards more complications after CH. In both models, most of the costs are from the primary procedure. The EE-S model predicts a greater difference in the costs of treating reinterventions because, although there are fewer symptoms in total than in the York model, a greater proportion is assumed to be treated by re-surgery in the SH arm.

### **Overview of the economic assessment**

The results of the York economic assessment do not allow a clear inference that, on average, one procedure is more cost-effective than the other. In the base case there is only a small mean difference in costs (£19) and QALYs (–0.001) over 3 years, and therefore the ICER is very sensitive to model assumptions. The probabilistic sensitivity analysis suggests that at a threshold ICER between £20,000 and £30,000, SH has a probability of being cost-effective of 0.45.

**TABLE 56** Scenarios (1.1-1.9) to show the effect of changing one or more of the parameters of the EE-S model which differed from the York group's model (Table 52)

Method of estimation and extrapolation of VAS pain score	Method of valuation of utility in early postoperative period	Time-horizon of model	Period over which patients are at risk of recurrence of symptoms	Health states	Sources of health data	Valuation of utility of health states	Source of resource use in hospital of the primary reintervention procedure	Time to development of symptoms and to reintervention	Failure of reintervention
1.0 EE-S model One RCT (Van de Stadt <sup>80</sup> ), extrapolated to 1 year. Differences in utility are predicted up to about 120 days	VAS mapped to SF-36BP (log-linear assumption). Other SF-36 dimensions from one study (Wilson <sup>45</sup> ). SF-36 mapped linearly to utility (Brazier coefficients <sup>107</sup> )	1 year	1 year	No symptoms/mild symptoms/severe symptoms	No symptoms: SF-36 dimensions after SH from one study (Wilson <sup>45</sup> ) scores, assuming no pain. Severe symptoms: SF-36 scores before SH from one study (Wilson <sup>45</sup> ). Mild symptoms = severe	SF-36 dimensions mapped linearly to utility (Brazier coefficients <sup>106</sup> )	Probability (day case): average of two non-randomised studies. Length of stay (LOS) if not a day case: meta-analysis of RCTs. Operating theatre time: meta-analysis of five RCTs	Surgery to recurrence: 120 days. Recurrence to re-surgery: 10 days	Probability same as failure of primary intervention
1.1 Early post-operative period 6 weeks One RCT (Van de Stadt <sup>80</sup> ), extrapolated to 6 weeks	As 1.0	As 1.0	As 1.0	As 1.0	As 1.0	As 1.0	As 1.0	As 1.0	All reinterventions are successful
1.2 1.1 + wait for re-surgery As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	Surgery to recurrence: 120 days. Recurrence to re-surgery: 139 days	As 1.1

continued

**TABLE 56** Scenarios (1.1-1.9) to show the effect of changing one or more of the parameters of the EE-S model which differed from the York group's model (Table 52) (cont'd)

Method of estimation and extrapolation of VAS pain score	Method of valuation of utility in early postoperative period	Time-horizon of model	Period over which patients are at risk of recurrence of symptoms	Health states	Sources of health data	Valuation of utility of health states	Source of resource use in hospital of the primary procedure	Time to development of symptoms and to reintervention	Failure of reintervention
1.3 1.1 + meta-analysis of VAS	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1
1.4 1.1 + non-linear mapping of SF-36 to utility in early post-operative period	Average reduction in pain from CH to SH estimated by metaregression SF-36 mapped non-linearly to utility (Kind method and data set <sup>13</sup> )	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1	As 1.1
1.5 1.1 + non-linear mapping of SF-36 to utility of health states	As 1.1	As 1.1	As 1.1	As 1.1	No symptoms: population norm. Severe symptoms and complications: weighted average of presurgery SF-36 of three studies (Hasse, <sup>7,5,14</sup> Temple <sup>7,5,14</sup> )	SF-36 mapped non-linearly to utility (Kind method and dataset <sup>13</sup> )	As 1.1	As 1.1	As 1.1

continued

**TABLE 56** Scenarios (1.1-1.9) to show the effect of changing one or more of the parameters of the EE-S model which differed from the York group's model (Table 52) (cont'd)

Method of estimation and extrapolation of VAS pain score	Method of valuation of utility in early postoperative period	Time-horizon of model	Period over which patients are at risk of recurrence of symptoms	Health states	Sources of health data	Valuation of utility of health states	Source of resource use in hospital of the primary procedure	Time to development of symptoms and to reintervention	Failure of reintervention
1.6 1.1+ other health states	As 1.1	As 1.1	As 1.1	No symptoms; mild, moderate + severe; complications: non-serious and serious	Utility of symptoms: none > mild > moderate > severe	As 1.1	As 1.1	Surgery to recurrence: 43 days. Recurrence to outpatient: 138 days. Outpatient to re-surgery: 139 days	As 1.1
1.7 1.6 + non-linear utility mapping	As 1.1	As 1.1	As 1.1	No symptoms; mild, moderate + severe; complications: non-serious and serious	No symptoms; population norm SF-36. Severe symptoms and complications: non-serious and serious	SF-36 mapped non-linearly to utility (Kind method and data set <sup>13</sup> )	As 1.1	Surgery to recurrence: 43 days. Recurrence to outpatient: 138 days. Outpatient to re-surgery: 139 days	As 1.1

continued



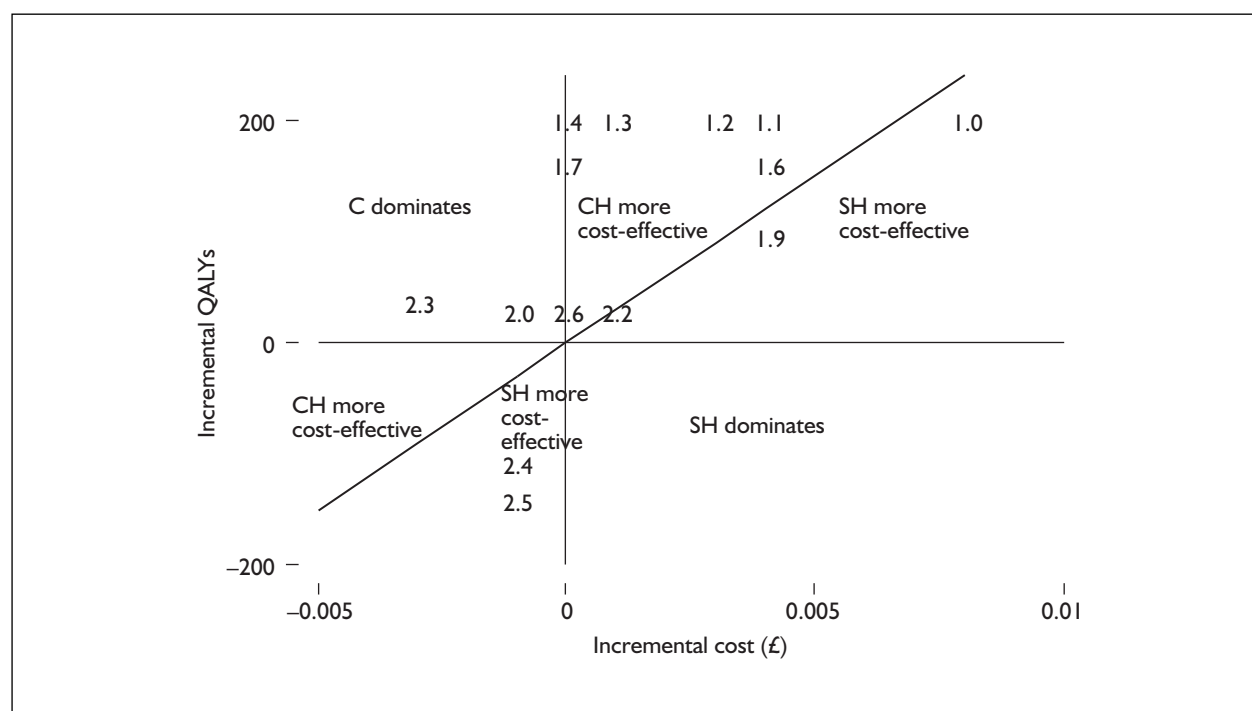
**TABLE 56** Scenarios (I.1.1–I.9) to show the effect of changing one or more of the parameters of the EE-S model which differed from the York group’s model (Table 52) (cont’d)

Method of estimation and extrapolation of VAS pain score	Method of valuation of utility in early postoperative period	Time-horizon of model	Period over which patients are at risk of recurrence of symptoms	Health states	Sources of health data	Valuation of utility of health states	Source of resource use in the primary procedure	Time to development of symptoms and to reintervention	Failure of reintervention
I.8 I.1 + 3 year time-horizon	As I.1	As I.1	As I.1	As I.1	As I.1	As I.1	As I.1	As I.1	As I.1
I.9 I.1 + alternative resource use	As I.1	As I.1	As I.1	As I.1	As I.1	As I.1	LOS: meta-analysis of <i>n</i> RCTs. Operating time: meta-analysis of <i>n</i> RCTs	As I.1	As I.1

**TABLE 57** Mean difference in cost and QALY based on the sensitivity analyses

	Cost CH	Cost SH	Cost difference	QALY CH	QALY SH	QALY difference	ICER	Choice at £30,000
2.0 York team base case	933	952	19	2.366	2.364	-0.001	Dominated	CH
2.1 2.0 + shorter waits	933	952	19	2.361	2.36	-0.0009	Dominated	CH
2.2 2.0 + EE-S utility mapping	932	952	19	2.1695	2.171	0.00108	17,662	SH
2.3 2.0 + recurrence in years 2 and 3	944	971	27	2.3632	2.36	-0.003	Dominated	CH
2.4 2.0 + increase in cost per day	1228	1113	-115	2.3656	2.364	-0.0014	83,019	SH
2.5 2.0 + greater difference in operating time	1076	923	-152	2.3656	2.364	-0.0014	110,311	SH
2.6 2.0 + 1-year time-horizon	932	952	19	0.8084	0.808	-0.0004	Dominated	CH
2.8 2.0 + alternative utility mapping	933	952	19	2.360	2.360	0.0004	43,433	CH
1.0 EE-S model	712	905	193	0.759	0.768	0.008	22,931	SH
1.1 Early postoperative period 6 weeks	709	901	192	0.742	0.746	0.004	50,018	CH
1.2 1.1 + wait for re-surgery	709	900	191	0.743	0.747	0.003	60,336	CH
1.3 1.1 + meta-analysis of VAS	709	901	192	0.743	0.745	0.001	156,706	CH
1.4 1.1 + non-linear mapping of SF-36 to utility in early postoperative period	709	901	192	0.749	0.749	0.000	383,985	CH
1.5 1.1 + non-linear mapping of SF-36 to utility of health states	709	901	192	0.804	0.807	0.003	57,105	CH
1.6 1.1 + other health states	710	862	151	0.742	0.746	0.004	37,263	CH
1.7 1.6 + non-linear utility mapping	710	862	151	0.808	0.807	0.000	Dominated	CH
1.8 1.1 + 3-year time-horizon	709	901	192	2.161	2.164	0.003	65,837	CH
1.9 1.1 + alternative resource use	830	916	86	0.742	0.746	0.004	22,415	SH

Choice at £30,000: the cost-effective strategy if the threshold ICER were £30,000 per QALY gained or lost. Therefore, SH would be more cost-effective if (a) mean costs were less than CH and QALYs not worse, (b) mean costs and QALYs were greater than CH and the ICER was < £30,000, or (c) mean costs and QALYs were less than CH and the ICER was >£30,000.



**FIGURE 21** Mean incremental cost and QALYs for each of the scenarios. The diagonal line represents a threshold ICER of £30,000 per QALY gained or lost. Scenarios below and to the right of the threshold are cost-effective in favour of SH.

**TABLE 58** Comparison of costs and QALYs for SH and CH for each stage of the model

	York economic assessment						EE-S model							
	Costs			QALYs			Costs			QALYs				
	Days	CH	SH	Difference	CH	SH	Difference	Days	CH	SH	Difference	CH	SH	Difference
Primary procedure and 6-week recovery period	43	919	927	8	0.087	0.088	0.0010	43	704	845	141	0.0806	0.0851	0.0045
All patients free of symptoms in period after recovery	1	0	0	0	0.002	0.002	0	79	0	0	0	0.1648	0.1648	0
Some patients have untreated complications or symptoms and may be waiting for outpatient visit or hospitalisation	138	0	0	0	0.312	0.311	-0.0006	0	0	0	0	0	0	0
Moderate symptoms and minor complications are successfully treated. Some patients continue with untreated mild symptoms or untreatable serious complications, or severe symptoms while waiting for hospitalisation	139	5	6	1	0.310	0.309	-0.0006	10	0	0	0	0.0208	0.0207	-0.0001
Severe symptoms are successfully treated in hospital and patients are in recovery for 6 weeks. Some patients continue with mild symptoms or untreatable complications	43	9	18	10	0.095	0.095	-0.0002	43	5	56	51	0.0891	0.0888	-0.0003
Some patients continue with mild symptoms or untreatable serious complications; all others have no symptoms	730	0	0	0	1.557	1.556	-0.0010	190	0	0	0	0.3870	0.3867	-0.0003
<b>Totals</b>	<b>1095</b>	<b>933</b>	<b>951</b>	<b>19</b>	<b>2.366</b>	<b>2.364</b>	<b>-0.0014</b>	<b>365</b>	<b>709</b>	<b>901</b>	<b>192</b>	<b>0.7422</b>	<b>0.7460</b>	<b>0.0038</b>

A series of scenario analyses was carried out. The most sensitive assumptions were found to be:

- the length of the recovery period: the York model assumed that this would last for a maximum of 6 weeks, after which patients without complications or recurrence of symptoms would return to normal health
- the method used to estimate utility in the recovery period: the York model used a method that predicted a smaller difference in utility between SH and CH than the EE-S model
- estimates of use of hospital resources (length of stay, theatre time, cost per day) in the recovery period: the York model estimated greater differences in costs between SH and CH than the EE-S model based on data from RCTs.

Although the decision problem overall is very sensitive to model assumptions and parameter values, some conclusions can be drawn from the analysis. There is reasonable evidence that SH is a less painful procedure than CH up to 3 weeks after surgery, and that pain recedes in both groups over this period. The probability of complications is low in both groups and differences do not reach statistical significance at the 5% level. Patients offered SH are more likely to experience symptoms during the follow-up period. These findings are consistent with the evidence from Chapter 3. The evidence from RCTs shows that SH had a shorter length of stay in hospital and a shorter time in theatre than CH, and these resource savings at least partly offset the greater cost of the device. However, these analyses of length of stay and time in surgery were limited by the assumption that these variables were normally distributed and the heterogeneity between studies reporting these outcomes; furthermore, these RCTs may not represent current practice in the NHS in England and Wales.

The parameter that most affects the results, and which is most uncertain, is how differences in pain during the early postoperative period should be valued in terms of utility. No evidence has been found to support this, and consequently the base case uses a series of modelling assumptions. Arguably the weakest of these assumptions relates to the relationship between pain score measured on a VAS and the SF-36 summary scores. The base case assumes that SF-36 data recorded at 6 weeks after surgery represent the average HRQoL after CH during the recovery period, and that SH would have reduced pain, but other dimensions of HRQoL would have been unchanged. This

approach may underestimate the gain in utility after SH from less pain, especially in the first days after surgery when pain is most acute. It is also possible that greater pain during this period might lead to more use of palliative care, although this is unlikely, on average, to affect greatly the estimate of differences in costs. Although the time to return to work and normal activities was outside the health and social care perspective of this analysis, return to work is likely to be quicker on average after SH. Sensitivity analyses were carried out using various other methods to value pain. This analysis has also identified other key parameters which are uncertain, and which have an effect on the decision, as well as utility during the early postoperative period. No good-quality data were found to estimate the utility of patients with different degrees of haemorrhoidal symptoms, or complications such as long-term incontinence and unhealed wounds. The waiting times for outpatients and surgical procedures can affect the results, depending on the values taken by other parameters of the model, for example, the probabilities that symptoms recur and their severity. The York model assumed that patients would try conservative treatments first and, if re-surgery was required, would be placed on a waiting list. In principle, waiting times are under the control of the healthcare system. Other parameters are uncertain, but do not have a marked effect on the overall results, such as the probability of recurrence of symptoms in the second or subsequent year. Other parameters might change the decision in certain scenarios; for example, if the cost per day in hospital were about 20% higher than the base case, then SH would be cost-saving and cost-effective if the threshold ICER were £30,000 per QALY lost.

The only other economic evaluation in this patient group was the submission by EE-S which concluded that, on average, SH was marginally cost-effective, with an ICER of £22,000. EE-S conducted extensive sensitivity analyses and also found that estimates of the ICER were sensitive to model assumptions. The structure of the EE-S and the York models was broadly similar, although the York model included a wider definition of symptoms and complications of surgery, included both surgical and non-surgical reinterventions, and considered a longer time-horizon.

The analysis so far, and its limitations, suggest that further research should include RCTs which collect a generic HRQoL measure such as the EQ-5D or SF-36 at follow-up times close to the procedure and, in the long term, calculate an estimate of

preference-based utility. Baseline data from a trial of this kind would also provide a better estimate of HRQoL and utility of patients with symptoms. Data were lacking which would enable an evaluation of the effectiveness and cost-effectiveness of the procedures for different grades of symptom at baseline. A meta-analysis using individual patient data from the existing RCTs may be an efficient way of evaluating benefits and resource use in these subgroups.

The base-case York model suggests that SH offers benefits to patients and the health service during the postoperative period in some dimensions, such as less postoperative pain and less use of hospital resources, and possibly less risk of complications. However, these benefits are to a greater or lesser extent offset by a greater risk of return of symptoms and the cost of the device. It remains uncertain as to which procedure is cost-effective overall.



## Chapter 5

# Assessment of factors relevant to the NHS and other parties

### Learning curve

One area of concern when evaluating any new surgical procedure, compared with an established procedure, is the learning curve involved. CH has been standard practice in the UK for a long time, with a large proportion of colorectal surgeons experienced in the technique. In contrast, SH is a relatively new technique, and therefore it might be expected that there would be a learning curve for surgeons conducting this procedure. It is therefore possible that when the technology is first introduced to a centre or across the NHS, outcomes following SH may be worse than they should be, owing to the inexperience of the surgeons. This seems to be substantiated by one included trial, which was conducted during the early postintroductory period, and which reported technical difficulties while conducting SH; this study did seem to report less favourable outcomes for SH than trials that did not report experiencing technical difficulties.<sup>82</sup>

Furthermore, Jongen and colleagues<sup>122</sup> (in an uncontrolled observational study, not included in this review) reported the complications and reoperation rates after SH for 654 patients. During this study they attempted to assess the impact of the learning curve associated with the SH technique, by comparing outcomes of patients undergoing SH during 1998 and 1999 to those conducted during the period 2000–2003. This study reported a significantly lower incidence of dehiscence, faecal retention and number of reoperations in the latter period, although there

was a significant increase in the incidence of bleeding in the early postoperative period.

The training required in the use of the staple gun is not expected to have major resource implications for the NHS.

### Follow-up appointments

An issue beyond the scope of this review, but which may be a consideration for decision-makers, is the requirement for follow-up appointments. Routine follow-up 6–12 weeks postsurgery as standard procedure in many institutions has recently been questioned; advising a patient to visit their GP if they experience any recurrence of symptoms or signs of a complication may be adequate. Should these follow-up appointments be abandoned then there is potential for cost savings. Whether such savings would be equal for SH and CH would need investigation.

### Ability to work

Given the apparent reduction in both postoperative pain and convalescence time after SH, the impact on the finances and careers of individuals must be considered. This may be particularly significant for those who are self-employed, therefore unsalaried and without the provision of statutory sick pay. The short-term gain in the ability to return to normal daily activities may be seen as a priority by this group of people.





# Chapter 6

## Discussion

### Statement of principal findings

#### Clinical evaluation

In the early postoperative period 95% of trials reported less pain following SH, and analysis of the data revealed that by day 21 the pain reported following SH and CH was minimal, with no difference between the two techniques. Residual prolapse was more common after SH. There was no difference between SH and CH in the incidence of bleeding or postoperative complications. SH resulted in shorter operating times, hospital stay, time to first bowel movement and time to normal activity.

In the short term (>6 weeks to <1 year), prolapse was more common after SH. There was no difference in the number of patients complaining of pain between SH and CH. Significantly fewer wounds remained unhealed at 6 weeks after SH.

In the longer term (1 year and beyond), there was a significantly higher rate of prolapse after SH. There was no difference in the number of patients experiencing pain, or the incidence of bleeding, between SH and CH.

There was no difference in the total number of reinterventions, or reinterventions for pain, bleeding or complications, between SH and CH. A significantly greater number of reinterventions was undertaken after SH for prolapse at 12 months or longer.

Overall, there was no statistically significant difference in the rate of complications between SH and CH.

#### Economic evaluation

In the economic assessment it was found that CH and SH had very similar costs and QALYs. With respect to costs, the additional cost of the staple gun was largely offset by savings in operating time and hospital stay. With respect to QALYs, the superior quality of life due to lower pain levels in the early recovery period with SH was offset by the higher rate of recurrence in the longer term, compared with CH.

However, the costs and QALYs are very sensitive to model assumptions. The probabilistic sensitivity analysis showed that, at a threshold ICER of between £20,000 and £30,000 per QALY, SH had a 45% probability of being cost-effective.

### Strengths and limitations of the assessment

#### Strengths

A comprehensive and rigorous systematic review was conducted, which addressed a clear research question using predefined inclusion criteria. Extensive literature searches were undertaken to locate all relevant studies, both published and unpublished, in any language. Efforts were made to contact authors to identify further studies and obtain additional information to ensure that as many studies could be included in the meta-analyses as possible. The study selection, data extraction and quality assessment were conducted in duplicate, reducing the potential for error and bias. Subgroups of interest were identified *a priori* and analyses were planned in advance. The review benefited from regular advice from a clinician experienced in the techniques being evaluated, and the close collaboration between the clinical and economic teams.

To the authors' knowledge, this is the first review to evaluate exclusively staple guns designed for SH, include all comparator excisional techniques involving scalpel, diathermy or scissors, and attempt to evaluate the technology across the full spectrum of non-emergency patients in which the procedure would be used in practice (grade II, III and IV haemorrhoids). Previous reviews included studies evaluating circular staplers not specifically designed for SH,<sup>4,32,66,100,101,103,123</sup> included studies enrolling patients with thrombosed haemorrhoids/emergency procedures,<sup>4,66,100,103</sup> restricted the comparator techniques to Milligan–Morgan and/or Ferguson,<sup>32,66,103</sup> or only included English-language papers.<sup>100,123</sup> This review also included a more substantial body of evidence than previous reviews through the inclusion of more recently published studies.

The economic assessment builds on, and uses data from, the clinical review. The economic model is fairly simple, but considers a wide range of outcomes following haemorrhoidal surgery, including pain during the early recovery period and the probability of various symptoms and complications over the follow-up period.

### Limitations

By necessity, this review is limited by the available data. All included studies seemed to have some methodological flaws; however, poor reporting made the assessment of study quality difficult. Only three studies reported recruiting the patient spectrum considered representative; patients with second, third and fourth degree haemorrhoids. However, these studies had other methodological flaws relating to allocation concealment, method of randomisation and/or blinding.<sup>85,93,95</sup> Several studies were small, providing limited data, and possibly recruited insufficient numbers to be adequately powered, particularly to detect rarer outcomes. There was also very limited data for long-term outcomes, and where longer term outcomes were reported, these were often subject to high losses to follow-up, in one case nearly 50% at 18 months.<sup>63,71</sup>

When studies reported a mean value along with its associated SD, the SD was often very large, indicating that the data were skewed. Several studies reported median values rather than mean values, and a large proportion did not report a measure of variance, or provided only the range. Although the use of median values is appropriate for skewed data, it does limit the ability to include these studies in the meta-analyses, and therefore the pooled results were sometimes based on only a subset of studies.

The number of studies for some outcome measures was limited, particularly for long-term follow-up. In addition, the included studies were very heterogeneous for some outcomes, most notably when evaluating pain. Some of this heterogeneity could be explained by differences in patient characteristics, degree of haemorrhoids before surgery, the protocol for postoperative care, methods and time-points for measuring the study outcomes, and length of follow-up. This heterogeneity precluded pooling data for these outcomes. The source of the heterogeneity was investigated.

Some meta-analyses in the report contained studies that reported no incidents of the outcome in either arm of the trial and, therefore, did not

contribute to the pooled result. Although this is an appropriate method to adopt in these circumstances, as trials with no events in both groups of the trial provide no information about the relative probability of the event,<sup>97,98</sup> it could be argued that these trials are providing information and their exclusion may result in the pooled result not being a true reflection of the evidence available. Therefore, the reviewers investigated the impact that such trials had when included in the analysis of prolapse in the longer term: 1 was added to both arms of those trials where no incidents were reported, and to all cells. Neither analysis changed the conclusion of the original analyses. This investigation was undertaken for the one outcome only, as prolapse in the longer term was the main long-term effectiveness indicator and crucial to the overall conclusions of the report.

The main limitation of the economic study is the lack of directly observed utility data in the early recovery period. There is reasonable evidence that SH is a less painful procedure up to 3 weeks after surgery and that pain recedes in both groups over this period. However, in the absence of directly observed data, it is very difficult to express any difference between the procedures in terms of utilities. Both the manufacturer's submission and the TAR group model used indirect methods to estimate utilities and all the approaches used require key assumptions to be made.

From the patient's perspective the choice of procedure depends greatly on the relative value he or she places on lower pain in the early recovery period, compared with a higher rate of prolapse in the longer term. Although the economic assessment, through its estimation of QALYs for both procedures, seeks to value these items for the patient population in general, it is likely that different individuals will have different trade-offs. This could be explored through further research but, given the similarity in the cost of the two procedures, another approach would be to make both available. Individual patients could then make a choice based on their views about the intensity and length of pain in the early recovery period, and the probability of the occurrence of various symptoms and complications following either procedure.

### Uncertainties

One of the most important areas where information is lacking in respect to current practice is data for the PPH03 staple gun (EE-S).

All studies where the gun used could be determined used PPH01, which is no longer supplied in the UK. Therefore, it was not possible to determine whether the improvements made to the currently available EE-S staple gun – the provision of transparent accessories and the ability to adjust the closed staple height down to 0.75 mm – have led to improved outcomes. In addition, no studies were found evaluating the Autosuture staple gun with the STRAM kit adaptor (Tyco Healthcare), and therefore this review was also unable to determine the relative effectiveness and safety of this equipment compared with the PPH01 staple gun (EE-S).

Another factor that is still uncertain is the relative reintervention rate between SH and CH. Given the higher rate of prolapse after SH, the already apparent increase in the need for reintervention for prolapse and the lack of long-term follow-up for most studies, it is possible that the reintervention rate has been underestimated.

Insufficient numbers of studies provided results separately for patients with different degrees of haemorrhoids before surgery, reported the number of patients operated on as a day-case procedure, reported the number of patients requiring conversion to a general anaesthetic when regional or local anaesthetic was planned or initially used, or included patients with co-morbid conditions to provide definitive conclusions as to the impact of these factors on outcomes. Although the included studies did not provide data to explore these issues thoroughly, the limited data available suggest that:

- Patients with co-morbid conditions may require a longer duration of hospital stay.
- Patients undergoing SH seem to require a shorter hospital stay, and based on the reports of numbers of day cases and the ranges reported in other studies, may be more likely to be day-case procedures.
- There is no absolute contraindication to SH for fourth degree haemorrhoids, although it may only be appropriate in certain selected patients.
- There is currently no evidence that patients with third degree haemorrhoids are any more suited to SH than those with second or fourth degree haemorrhoids, for whom such surgery is indicated.

A prospective register of patients who underwent SH in 2005 has been compiled by Mr Lamparelli, a colorectal surgeon at the Dorset County Hospital, Dorchester; it includes a total of 810 patients. At the time of writing, data have been

collected postoperatively and at 6 weeks follow-up. Continued follow-up of the patients registered would be recommended, as this may help to address some of the uncertainties outlined above, and provide information regarding the long-term effectiveness and reintervention rates following SH.

As stated above, the main uncertainty in the economic assessment is in the measurement and valuation (in utility terms) of the pain experienced in the early recovery period. The methods used to estimate utilities, and the assumptions about the period over which pain will be experienced, have a major impact on the ICER.

## Other relevant factors

During the course of this review, several areas were encountered where primary research could assist in the assessment of the technologies under review. Primarily, improved reporting of studies, preferably using the Consolidated Standards of Reporting Trials (CONSORT) statement, would be beneficial. Areas that require clearer reporting include:

- The degree of preoperative prolapse in the patients recruited.
- Any inclusion or exclusion criteria used during the selection of patients, to ensure that the population recruited is well defined, and generalisability of the results apparent.
- Detailed descriptions of the techniques used, to allow repeatability.

The reporting of outcomes varied widely across the studies. The reporting of some outcomes differently, and standardisation of the measurement of outcomes, would have assisted the review of effectiveness of these technologies. For example:

- When reporting the number with prolapse postoperatively, the number with each degree of prolapse would be informative, to determine whether the severity of recurrent prolapse differs between SH and CH.
- Outcomes after initial surgery and repeated surgery should be reported separately.
- The number of procedures undertaken as day cases needs to be reported using a consistent definition of day case (i.e. discharge from hospital within 24 hours).
- Standardised reporting of outcomes is needed. For example:
  - Pain: the number of days that analgesia was required was considered to be the most useful

pain outcome, yet this was very poorly reported.

- When using VAS scores, the same scale (10 mm) should be used across studies, and the mean VAS score on specified days postoperatively reported. Additional VAS scores such as maximal pain, the change from baseline, or difference in patient expectation could also be reported if appropriate for the aim of the study.
- Bleeding: the numbers of patients bleeding perioperatively and requiring interventions such as haemostatic sutures should be reported separately from those with postoperative bleeding.
- Bleeding: it is preferable to know the number of patients with bleeding episodes and which of these patients required intervention, rather than the volume of blood lost or the number of bleeding episodes, which does not indicate the number of patients involved.
- The mean and SD should be reported for continuous data. If data are skewed, a median

and range is appropriate; however, a mean and SD are required to undertake a meta-analysis. Therefore, when data are skewed both the median and mean could be reported.

- The time-point at which each outcome has been assessed should be clear. Some studies stated outcomes in the text or listed outcomes in tables without specifying the time-point at which they were measured, making the classification of these results difficult.

One of the problems with this type of review is the subjective nature of the classification of the target condition. The use of the four-degree classification described by Nisar and Scholefield<sup>4</sup> is commonly used and is applied variably across studies. An alternative classification was suggested by Lunniss and Mann,<sup>19</sup> which incorporated the degree of prolapse along with the principal presentation and additional symptoms. The consistent application of a less subjective classification of haemorrhoids would improve the evaluation of their management.

# Chapter 7

## Conclusions

### Implications for service provision

SH was associated with less pain in the immediate postoperative period; however it was also associated with a higher rate of residual prolapse, prolapse in the longer term and reintervention for prolapse.

There was no clear difference in the rate or type of complications associated with the two techniques.

The absolute and relative rates of recurrence and reintervention, for SH and CH, are still uncertain.

CH and SH had very similar costs and QALYs. The small difference in the overall cost of SH compared with CH (£19) arises, in the main, because the acquisition cost of the staple gun is offset by savings in hospital stay. However, the estimates are based on published data and may not necessarily reflect local circumstances. Therefore, when a switch to SH is being discussed, it is important that NHS managers assess the potential for shortening stays, by reducing the length of inpatient admissions or by increasing the proportion of day cases. It would also be important to assess whether these changes have occurred at a suitable time in the future. The economic assessment contained in this report was based on a staple gun price of £437. Should this price change in the future, this may change the conclusions of the economic analysis.

Some training may be required in the use of the staple gun; this is not expected to have major resource implications for the NHS.

Given the currently available clinical evidence and the results of the economic analysis, the decision as to whether SH or CH is conducted should primarily be based on the priorities and preferences of the patient (reduced pain and rapid return to work/activities in the short term, or reduced risk of recurrence in the longer term), and the preference of the surgeon.

### Recommendations for research

The results of this review make it clear that using SH rather than CH will afford patients some

benefits in the short term, but at an increased risk of recurrence and the need for reintervention in the longer term. However, owing to the lack of long-term data, the evidence currently available does not provide a clear insight into the magnitude of the increased rate of prolapse and reintervention. To gather this information, an adequately powered, good-quality RCT comparing SH with CH, recruiting patients with second, third and fourth degree haemorrhoids, and having a minimum follow-up period of 5 years to ensure an adequate evaluation of the reintervention rate, is required.

The sample size required for such a trial can be estimated from the results of this review. The difference between SH and CH for the rate of prolapse at 12 months and beyond was 9%. Taking this as the primary outcome, with 80% power and 5% significance level, 117 patients would need to be recruited in each arm of an RCT to detect a difference for this outcome. For the rate of reintervention for prolapse at 12 months and beyond, the difference between SH and CH was greater (12%), indicating that fewer patients would need to be recruited (80 patients). However, given that this estimate of the treatment effect is unlikely to be reliable owing to the present lack of data for this outcome, use of a more conservative estimate of effect is probably advisable. Using the same estimate as for the occurrence of prolapse (9%), 112 patients would need to be recruited in each arm of an RCT. Using an even more conservative estimate (5%), 238 patients would need to be recruited in each arm of a trial. When the potential rate of dropouts is taken into consideration (mean of 9% reported in studies of 12 months duration or longer included in the current review), the calculated sample size required to detect a significant difference in the rate of reintervention for prolapse in the longer term is 262 patients per treatment arm of a trial.

Any future RCT should use appropriate methods for randomisation and allocation concealment, recruit an appropriate patient spectrum (third degree and those second and fourth degree haemorrhoids for which the choice of SH or CH is pertinent), blind the patients and outcome assessors to the treatment received, use the same

surgeon(s) who are experienced in both techniques to conduct both operations, and ensure that follow-up is as complete as possible.

Further research would be recommended in the following areas:

- A review of all treatments for haemorrhoids (conservative, non-surgical and surgical) investigating and comparing reintervention rates.
- Research into utilities up to 6 months postoperatively.

- Exploration of the trade-offs of patients for short-term pain versus long-term outcomes through a discrete choice experiment.
- Exploration into the ability of SH to reduce hospital stays, by shortening inpatient admissions or increasing the proportion of day cases, in a real practice setting.

In addition, the included studies did not provide data to explore some issues thoroughly. Further research may be useful in patients with more severe disease (fourth degree) and patients with co-morbid conditions.



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### Contribution of authors

Jane Burch (Research Fellow, Systematic Reviews) was the lead reviewer responsible for the study

selection, data extraction, validity assessment, data analysis and writing the report. David Epstein (Research Fellow, Health Economics) was involved in the cost-effectiveness section, study selection, development of the economic model and report writing. Ali Baba-Akbari (Research Fellow, Systematic Reviews) was involved in study selection, data extraction, validity assessment, data analysis and writing of the report. Helen Weatherly (Research Fellow, Health Economics) was involved in the cost-effectiveness section, study selection, data extraction, development of the economic model and report writing. Dave Fox (Information Officer) devised the search strategy, carried out the literature searches and wrote the search methodology sections of the report. Su Golder (Information Officer) devised the search strategy, carried out the literature searches and assisted in the writing of the search methodology sections of the report. David Jayne (Senior Lecturer and Consultant Surgeon) provided technical and clinical advice, and commented on drafts of the report. Mike Drummond (Professor, Health Economics) provided input at all stages of the review, commented on drafts of the report and took overall responsibility for the economic section. Nerys Woolacott (Senior Research Fellow, Systematic Reviews) provided input at all stages of the review, commented on drafts of the report and took overall responsibility for the review.







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# Appendix I

## Literature search strategies

### Clinical effectiveness

No search strategies were limited by date or language.

Where applicable, searches were limited to RCTs and systematic reviews.

### Databases of systematic reviews Cochrane Database of Systematic Reviews (CDSR)

Searched via: The Cochrane Library:  
<http://www.library.nhs.uk/>  
Issue 3, 2006

Date searched: 11 July 2006

This search strategy retrieved two reviews (two completed).

- #1 MeSH descriptor Hemorrhoids explode all trees
- #2 (hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\* or piles):ti,ab,kw
- #3 (#1 OR #2)
- #4 (stapl\*):ti,ab,kw
- #5 ((stapl\*) near/5 (mucosectomy or anopexy or rectal or hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\*)):ti,ab,kw
- #6 ((circumferential or circular) near/5 (mucosectomy or anopexy or rectal or hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\*)):ti,ab,kw
- #7 mucoprolapsectomy:ti,ab,kw
- #8 longo:ti,ab,kw
- #9 ((procedure for prolaps\*) near/2 (hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\*)):ti,ab,kw
- #10 PPH:ti,ab,kw
- #11 (#4 OR #5 OR #6 OR #7 OR #8 OR #9 OR #10)
- #12 (#3 AND #11)

### Database of Abstracts of Reviews of Effects (DARE)

Searched via: CRD Internal Database  
July 2006

Date searched: 17 July 2006

This search strategy retrieved 4 records.

- S Hemorrhoids (subject headings exploded) or hemorrhoid or haemorrhoid or hemorroid or haemorroid or piles (title & abstract)
- S (staple or mucosectomy or anopexy or circumferential or circular or mucoprolapsectomy or Longo or (procedure for prolapse)) (title & abstract)
- S s1 and s2

### Health/medical-related databases BIOSIS

Searched via: EDINA (discontinued 31 July 2006)

Date searched: 13 July 2006

This search strategy retrieved 48 records.

- (ti: ((hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\* or piles))) and ti: ((stapl\* or mucosectomy or anopexy or rectal or circumferential or circular or mucoprolapsectomy or Longo or PPH or (procedure for prolaps\*)))

### CENTRAL (Cochrane Central Register of Controlled Trials)

Searched via: The Cochrane Library:  
<http://www.library.nhs.uk/>  
Issue 3, 2006

Date searched: 11 July 2006

This search strategy retrieved 74 records.

- #1 MeSH descriptor Hemorrhoids explode all trees
- #2 (hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\* or piles):ti,ab,kw
- #3 (#1 OR #2)
- #4 (stapl\*):ti,ab,kw
- #5 ((stapl\*) near/5 (mucosectomy or anopexy or rectal or hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\*)):ti,ab,kw
- #6 ((circumferential or circular) near/5 (mucosectomy or anopexy or rectal or hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\*)):ti,ab,kw

- #7 mucoprolapsectomy:ti,ab,kw  
 #8 longo:ti,ab,kw  
 #9 ((procedure for prolaps\*) near/2  
 (hemorrhoid\* or haemorrhoid\* or  
 hemorroid\* or haemorroid\* or hemoroid\*  
 or haemoroid\*)):ti,ab,kw  
 #10 PPH:ti,ab,kw  
 #11 (#4 OR #5 OR #6 OR #7 OR #8 OR #9  
 OR #10)  
 #12 (#3 AND #11)

### **Cumulative Index to Nursing and Allied Health Literature (CINAHL)**

Searched via: OvidWeb:  
<http://gateway.ovid.com/athens>  
 1982 to July week 1 2006  
 Date searched: 11 July 2006  
 This search strategy retrieved no records.

1. exp Hemorrhoids/
2. (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or piles).ti,ab.
3. or/1-2
4. stapl\$.ti,ab.
5. (stapl\$ adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or haemoroid\$)).ti,ab.
6. ((circumferential or circular) adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$)).ti,ab.
7. mucoprolapsectomy.ti,ab.
8. Longo.ti,ab.
9. (procedure for prolaps\$ adj2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or hemoroid\$ or haemoroid\$)).ti,ab.
10. PPH.ti,ab.
11. or/4-10
12. 3 and 11
13. exp clinical trials/
14. double-blind studies/
15. single-blind studies/
16. triple-blind studies/
17. clinical trial.pt.
18. random assignment/
19. (randomized or randomised or placebo or randomly).ab.
20. trial.ti.
21. or/13-20
22. 12 and 21
23. animals/ not (animals/ and humans/)
24. 22 not 23

### **EMBASE**

Searched via: OvidWeb:  
<http://gateway.ovid.com/athens>  
 1980 to 2006 week 27  
 Date searched: 11 July 2006  
 This search strategy retrieved 129 records.

1. exp Hemorrhoid/
2. (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or piles).ti,ab.
3. or/1-2
4. stapl\$.ti,ab.
5. (stapl\$ adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$)).ti,ab.
6. ((circumferential or circular) adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$)).ti,ab.
7. mucoprolapsectomy.ti,ab.
8. Longo.ti,ab.
9. (procedure for prolaps\$ adj2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or haemoroid\$)).ti,ab.
10. PPH.ti,ab.
11. or/4-10
12. 3 and 11
13. controlled study/
14. exp clinical trial/
15. outcomes research/
16. randomized controlled trial/
17. (randomized or randomised or placebo or randomly).ab.
18. trial.ti.
19. or/13-18
20. 12 and 19
21. animals/ not (animals/ and humans/)
22. 20 not 2

### **Health Technology Assessment Database (HTA)**

Searched via: CRD Internal Database  
 July 2006  
 Date searched: 17 July 2006  
 This search strategy retrieved three records.

- S Hemorrhoids (subject headings exploded) or hemorrhoid or haemorrhoid or hemorroid or haemorrhoid or piles (title & abstract)  
 S (staple or mucosectomy or anopexy or circumferential or circular or mucoprolapsectomy or Longo or (procedure for prolapse)) (title & abstract)  
 S s1 and s2

**MEDLINE**

Searched via: OvidWeb:  
<http://gateway.ovid.com/athens>  
 1966 to July week 1 2006  
 Date searched: 11 July 2006  
 This search strategy retrieved 102 records.

1. exp Hemorrhoids/
2. (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or piles).ti,ab.
3. or/1-2
4. stapl\$.ti,ab.
5. (stapl\$ adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or haemoroid\$)).ti,ab.
6. ((circumferential or circular) adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemoroid\$)).ti,ab.
7. mucoprolapsectomy.ti,ab.
8. Longo.ti,ab.
9. (procedure for prolaps\$ adj2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or haemoroid\$)).ti,ab.
10. PPH.ti,ab.
11. or/4-10
12. 3 and 11
13. clinical trial.pt.
14. randomized.ti,ab.
15. placebo.ti,ab.
16. dt.fs.
17. randomly.ti,ab.
18. groups.ti,ab.
19. or/13-18
20. 12 and 19
21. controlled.ab.
22. design.ab.
23. evidence.ab.
24. extraction.ab.
25. randomized controlled trials/
26. meta-analysis.pt.
27. review.pt.
28. sources.ab.
29. studies.ab.
30. or/21-29
31. (letter or editorial or comment).pt.
32. 30 not 31
33. 12 and 32
34. animals/ not (animals/ and humans/)
35. 20 not 34
36. 33 not 34
37. 35 or 36

**MEDLINE In-Process, other non-indexed citations**

Searched via: OvidWeb:  
<http://gateway.ovid.com/athens>  
 1966 to July week 1 2006  
 Date searched: 11 July 2006  
 This search strategy retrieved seven records.

1. exp Hemorrhoids/
2. (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or piles).ti,ab.
3. or/1-2
4. stapl\$.ti,ab.
5. (stapl\$ adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or haemoroid\$)).ti,ab.
6. ((circumferential or circular) adj5 (mucosectomy or anopexy or rectal or hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemoroid\$)).ti,ab.
7. mucoprolapsectomy.ti,ab.
8. Longo.ti,ab.
9. (procedure for prolaps\$ adj2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or haemoroid\$)).ti,ab.
10. PPH.ti,ab.
11. or/4-10
12. 3 and 11
13. clinical trial.pt.
14. randomized.ti,ab.
15. placebo.ti,ab.
16. dt.fs.
17. randomly.ti,ab.
18. groups.ti,ab.
19. or/13-18
20. 12 and 19
21. controlled.ab.
22. design.ab.
23. evidence.ab.
24. extraction.ab.
25. randomized controlled trials/
26. meta-analysis.pt.
27. review.pt.
28. sources.ab.
29. studies.ab.
30. or/21-29
31. (letter or editorial or comment).pt.
32. 30 not 31
33. 12 and 32
34. animals/ not (animals/ and humans/)
35. 20 not 34
36. 33 not 34
37. 35 or 36

**Science Citation Index (SCI)**

Searched via: Web of Knowledge:

<http://wos.mimas.ac.uk/>

1956 to present

Date searched: 12 July 2006

This search strategy retrieved 212 records.

- #1 TI=(hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or haemorroid\* or haemorroid\* or piles)
- #2 TI=(stapl\* or mucosectomy or anopexy or rectal or circumferential or circular or mucoprolapsectomy or Longo or PPH)
- #3 TI=(procedure for prolaps\*)
- #4 #1 and (#2 or #3)

**Databases of conference proceedings****ISI Proceedings: Science and Technology**

Searched via: Web of Knowledge:

<http://wos.mimas.ac.uk/>

1990 to present

Date searched: 13 July 2006

This search strategy retrieved 50 records.

- #1 TI=(hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or haemorroid\* or haemorroid\* or piles)
- #2 TI=(stapl\* or mucosectomy or anopexy or rectal or circumferential or circular or mucoprolapsectomy or Longo or PPH)
- #3 TI=(procedure for prolaps\*)
- #4 #1 and (#2 or #3)

**Zetoc Conferences**Searched via MIMAS: <http://zetoc.mimas.ac.uk/>

1993 to present

Date searched: 18 July 2006

After within-database de-duplication this series of individual search strings retrieved ten records.

Haemorrhoid\* AND stapl\*  
 Haemorrhoid\* AND PPH  
 Haemorrhoid\* AND anopexy  
 Haemorrhoid\* AND longo  
 Hemorrhoid\* AND stapl\*  
 Hemorrhoid\* AND PPH  
 Hemorrhoid\* AND anopexy  
 Hemorrhoid\* AND longo

**Databases for ongoing and recently completed research****ClinicalTrials.gov**Searched via: <http://www.clinicaltrials.gov/>

Searched: 13 July 2006

This search strategy retrieved no records.

hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or haemorroid\* or haemorroid\* or hemorrhoid\* or piles

**MetaRegister of Controlled Trials**Searched via: <http://www.controlled-trials.com/>

Searched: 9 August 2006

All registers (except for clinicaltrials.gov and NRR, which were searched directly) were selected.

This search retrieved 28 records.

(hemorrhoid% or haemorrhoid% or hemorrhoid% or haemorroid% or haemorroid% or hemorrhoid% or piles) and (stapl% or mucosectomy or anopexy or rectal or circumferential or circular or mucoprolapsectomy or Longo or PPH or (procedure for prolaps%))

**National Research Register (NRR)**Searched via: <http://www.update-software.com/national/>

Issue 3, 2006

Date searched: 17 July 2006

This search strategy retrieved 26 records.

- #1. (hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or haemorroid\* or haemorroid\* or hemorrhoid\* or piles)
- #2. HEMORRHOIDS explode all trees (MeSH)
- #3. (stapl\* or mucosectomy or anopexy or rectal or circumferential or circular or mucoprolapsectomy or longo or pph)
- #4. (procedure next prolaps\*)
- #5. ((#1 or #2) and (#3 or #4))

**Clinical guidelines resources****Clinical Evidence (June 2006 update)**

Date searched: 17 July 2006

All chapters checked; no relevant chapters found.

**Health Evidence Bulletin Wales (HEBW)**Searched via: <http://hebw.cf.ac.uk>

Date searched: 17 July 2006

All content checked; no relevant bulletins found.

**National Guideline Clearinghouse (NGC)**Searched via: <http://www.guideline.gov/>

Date searched: 17 July 2006

This search strategy retrieved no guidelines.

(hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or haemorroid\* or haemorroid\* or hemorrhoid\* or piles) and (stapl\* or mucosectomy or anopexy or rectal or circumferential or circular or mucoprolapsectomy or longo or pph)

**National Institute for Health and Clinical Excellence (NICE)**Searched via: <http://www.nice.org.uk/>

Date searched: 17 July 2006

All publications checked; one relevant guideline found.

**National Library for Health (NLH) Guidelines Finder**

Searched via:

<http://www.library.nhs.uk/guidelinesfinder/>

Date searched: 17 July 2006

This search strategy retrieved one guideline.

hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or  
haemorrhoid\* or hemoroid\* or haemorhoid\* or  
piles

**Scottish Intercollegiate Guidelines Network (SIGN)**Searched via: <http://www.sign.ac.uk/>

Date searched: 17 July 2006

All publications checked; no relevant guidelines found.

**Turning Research Into Practice (TRIP+)**

Searched via:

<http://www.tripdatabase.com/index.html>

Date searched: 17 July 2006

This search strategy retrieved four guidelines.

hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or  
haemorrhoid\* or hemoroid\* or haemorhoid\* or  
piles (title and text)

**Websites****American Society of Colon and Rectal Surgeons (ASCRSA)**

Searched via:

<http://ascrs.affiniscape.com/index.cfm>

Date searched: 18 July 2006

All publications checked; no relevant studies or guidelines found.

**Association of Coloproctology of Great Britain and Ireland (ACGBI)**Searched via: <http://www.acpgbi.org.uk>

Date searched: 18 July 2006

All publications checked; one relevant study or guideline found.

**Association of Surgeons of Great Britain and Ireland (ASGBI)**Searched via: <http://www.asgbi.org.uk/>

Date searched: 18 July 2006

All publications checked; no relevant studies or guidelines found.

**Digestive Disorders Foundation (DDF)**

Searched via:

<http://www.digestivedisorders.org.uk>

Date searched: 18 July 2006

All publications checked; no relevant studies or guidelines found.

**Hemorrhoids File**

Searched via:

<http://www.lifestages.com/health/hemorrhoids.html>

Date searched: 18 July 2006

All publications checked; 71 relevant studies or guidelines found.

**Key journals**

In order to select key journals for handsearching, the Journal Citation Reports via ISI Web of Knowledge were checked. The 139 journals listed in the category 'Surgery' were sorted by impact factor to help to identify key journals in this area. General surgical journals and journals specific to this topic were identified. Additional journals were also identified through the results of initial searches that were carried out to develop the search strategy in the protocol. The list of journals identified was then checked with the clinical expert on the review, and a list of seven key journals for this topic was agreed as follows:

- *American Journal of Surgery* (MEDLINE core journal)
- *British Journal of Surgery* (MEDLINE core journal)
- *Colorectal Disease*
- *Diseases of the Colon and Rectum*
- *International Journal of Colorectal Disease*
- *Journal of Gastrointestinal Surgery*
- *Techniques in Coloproctology*.

All of the above journals are indexed on MEDLINE, so studies would be identified through the electronic searches. Two (as marked) are 'core journals', so are fully indexed immediately on publication.

However, as the other journals listed were not core journals on MEDLINE, and as the CENTRAL database (which is populated through handsearching) had not been updated for some time, it was decided to search issues of these five journals published during the last 12 months by hand, to ensure that studies were not missed. This was feasible given the relatively small volume of literature in this specific subject area.

**Bibliographic records retrieved***Databases of systematic reviews*

Database	Host	Dates covered	Date searched	Records retrieved
CDSR	Internet	Issue 3, 2006	11 July 2006	2
DARE	CRD Internal Database	To July 2006	17 July 2006	4

*Health/medical-related databases*

Database	Host	Dates covered	Date searched	Records retrieved
BIOSIS	Internet	1993 to present	13 July 2006	48
CENTRAL	Internet	Issue 3, 2006	11 July 2006	74
CINAHL	OvidWeb	1982 to July week 1 2006	11 July 2006	0
EMBASE	OvidWeb	1980 to 2006 week 27	11 July 2006	129
HTA	CRD Internal Database	To July 2006	17 July 2006	3
MEDLINE	OvidWeb	1966 to July week 1 2006	11 July 2006	102
MEDLINE In Process	OvidWeb	To 10 July 2006	11 July 2006	7
SCI	Web of Science	1956 to present	12 July 2006	212

*Databases of conference proceedings*

Database	Host	Dates covered	Date searched	Records retrieved
ISI Proceedings: Science and Technology	Web of Science	1990 to present	13 July 2006	50
Zetoc Conferences	MIMAS	1993 to present	18 July 2006	10

*Databases for ongoing and recently completed research*

Database	Host	Dates covered	Date searched	Records retrieved
ClinicalTrials.gov	Internet	Present	13 July 2006	0
MetaRegister of Controlled Trials	Internet	Present	13 July 2006	28
NRR	Internet	Present	17 July 2006	26

*Clinical guidelines resources*

Resource	Format	Dates covered	Date searched	Records retrieved
Clinical Evidence	Book	Present	13 July 2006	0
HEBW	Internet	Present	17 July 2006	0
NGC	Internet	Present	17 July 2006	0
NICE	Internet	Present	17 July 2006	1
NLH	Internet	Present	17 July 2006	1
SIGN	Internet	Present	17 July 2006	0
TRIP+	Internet	Present	17 July 2006	4

*Websites*

Resource	Format	Dates covered	Date searched	Records retrieved
ASCRSA	Internet	Present	18 July 2006	0
ACGBI	Internet	Present	18 July 2006	1
ASGBI	Internet	Present	18 July 2006	0
DDF	Internet	Present	18 July 2006	0
Hemorrhoids File	Internet	Present	18 July 2006	71

## Cost-effectiveness

All search strategies were not limited by date or language.

### Economic databases

#### EconLit

Searched via: WebSPIRS: <http://arc.uk.ovid.com/>  
1969 to 2006/06

Date searched: 17 July 2006

This search retrieved no records.

(hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\* or hemoroid\* or piles) in TITLE

#### Health Economics Evaluation Database (HEED)

Searched via: CD-ROM

July 2006

Date searched: 17 July 2006

This search strategy retrieved six records.

hemorrhoid\* or haemorrhoid\* or haemorroid\* or hemorroid\* or hemoroid\* or piles

AND

staple\* or mucosectomy or circumferential or circular or anopexy or rectal or mucoprolapsectomy or longo or PPH or 'procedure for prolapse' or 'procedure for prolapsing'

#### IDEAS

Searched via: <http://ideas.repec.org/>

Current

Date searched: 17 July 2006

This search strategy retrieved no records.

(hemorrhoid\* or haemorrhoid\* or hemorroid\* or haemorroid\* or hemoroid\* or piles) in long format records.

#### NHS Economic Evaluation Database (NHS EED)

Searched via: CRD Internal Database

July 2006

Date searched: 17 July 2006

This search strategy retrieved five records.

Hemorrhoids (subject headings exploded) or hemorrhoid or haemorrhoid or hemorroid or haemorroid or piles (title & abstract)

And

(staple or mucosectomy or anopexy or circumferential or circular or mucoprolapsectomy or Longo or (procedure for prolapse)) (title & abstract)

### Bibliographic records retrieved

Total records retrieved: 784

Records entered into the Endnote Library after deduplication: 363

Database	Host	Dates covered	Date searched	Records retrieved
EconLit	WebSPIRS	1969 to 2006/06	17 July 2006	0
HEED	CD-ROM	To June 2006	17 July 2006	6
IDEAS	RePEC	Present	17 July 2006	0
NHS EED	Internet	To July 2006	17 July 2006	5

## Economic model

All search strategies were not limited by date or language.

### Quality of life

#### CINAHL

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1982 to June week 4 2006

Date searched: 28 June 2006

This search strategy retrieved six records.

- 1 exp hemorrhoids/ (180)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or hemoroid\$ or piles).ti,ab. (176)
- 3 or/1-2 (241)
- 4 exp life tables/ (0)
- 5 "quality of life"/ (13042)
- 6 exp health status indicators/ (3268)
- 7 (utilit\$ approac\$ or health gain or hui or hui2 or hui 2 or hui3 or hui 3).ti,ab. (129)
- 8 (health measurement\$ scale\$ or health measurement\$ questionnaire\$).ti,ab. (1)
- 9 (standard gamble\$ or categor\$ scal\$ or linear scal\$ or linear analog\$ or visual scal\$ or magnitude estimat\$).ti,ab. (256)
- 10 (time trade off\$ or rosser\$ classif\$ or rosser\$ matrix or rosser\$ distress\$ or hrqol).ti,ab. (421)
- 11 (index of wellbeing or quality of wellbeing or qwb).ti,ab. (29)
- 12 (rating scale\$ or multiattribute\$ health ind\$ or multi attribute\$ health ind\$\$).ti,ab. (2250)
- 13 (health utilit\$ index or health utilit\$ indices).ti,ab. (1)
- 14 (multiattribute\$ theor\$ or multi attribute\$ theor\$ or multiattribute\$ analys\$ or multi attribute\$ analys\$).ti,ab. (2)

- 15 (health utilit\$ scale\$ or classification of illness state\$ or 15d or 15 d or 15 dimension).ti,ab. (53)
- 16 (health state\$ utilit\$ or 12d or 12 d or 12 dimension).ti,ab. (27)
- 17 well year\$.ti,ab. (3)
- 18 (multiattribute\$ utilit\$ or multi attribute\$ utilit\$).ti,ab. (26)
- 19 health utilit\$ scale\$.ti,ab. (0)
- 20 (qol or 5d or 5-d or 5 dimension or quality of life or euro qual or euro qol or eq-5d or eq5d or eq 5d or euroqual or euroqol).ti,ab. (13476)
- 21 (qualy or qaly or qualys or qalys or quality adjusted life year\$).ti,ab. (246)
- 22 life year\$ gain\$.ti,ab. (63)
- 23 willingness to pay.ti,ab. (88)
- 24 (hye or hyes or health year\$ equivalent\$).ti,ab. (1)
- 25 (person trade off\$ or person tradeoff\$ or time tradeoff\$ or time trade off\$).ti,ab. (56)
- 26 theory utilit\$.ti,ab. (2)
- 27 life table\$.ti,ab. (186)
- 28 health state\$.ti,ab. (310)
- 29 (sf36 or sf 36).ti,ab. (1188)
- 30 (short form 36 or shortform 36 or sf thirtysix or sf thirty six or shortform thirtysix or shortform thirty six or short form thirtysix or short form thirty six).ti,ab. (524)
- 31 (sf 6d or sf6d or short form 6d or shortform 6d or sf six\$ or shortform six\$ or short form six\$ or 6d or 6-d or 6 dimension).ti,ab. (94)
- 32 hrqol.ti,ab. (378)
- 33 hrql.ti,ab. (173)
- 34 (health related quality adj2 life\$).ti,ab. (1649)
- 35 or/4-34 (25169)
- 36 3 and 35 (6)
- 9 (standard gamble\$ or categor\$ scal\$ or linear scal\$ or linear analog\$ or visual scal\$ or magnitude estimat\$).ti,ab. (2307)
- 10 (time trade off\$ or rosser\$ classif\$ or rosser\$ matrix or rosser\$ distress\$ or hrqol).ti,ab. (1910)
- 11 (index of wellbeing or quality of wellbeing or qwb).ti,ab. (98)
- 12 (rating scale\$ or multiattribute\$ health ind\$ or multi attribute\$ health ind\$).ti,ab. (15984)
- 13 (health utilit\$ index or health utilit\$ indices).ti,ab. (5)
- 14 (multiattribute\$ theor\$ or multi attribute\$ theor\$ or multiattribute\$ analys\$ or multi attribute\$ analys\$).ti,ab. (7)
- 15 (health utilit\$ scale\$ or classification of illness state\$ or 15d or 15 d or 15 dimension).ti,ab. (1587)
- 16 (health state\$ utilit\$ or 12d or 12 d or 12 dimension).ti,ab. (1074)
- 17 well year\$.ti,ab. (102)
- 18 (multiattribute\$ utilit\$ or multi attribute\$ utilit\$).ti,ab. (94)
- 19 health utilit\$ scale\$.ti,ab. (1)
- 20 (qol or 5d or 5-d or 5 dimension or quality of life or euro qual or euro qol or eq-5d or eq5d or eq 5d or euroqual or euroqol).ti,ab. (56287)
- 21 (qualy or qaly or qualys or qalys or quality adjusted life year\$).ti,ab. (1897)
- 22 life year\$ gain\$.ti,ab. (663)
- 23 willingness to pay.ti,ab. (685)
- 24 (hye or hyes or health year\$ equivalent\$).ti,ab. (25)
- 25 (person trade off\$ or person tradeoff\$ or time tradeoff\$ or time trade off\$).ti,ab. (461)
- 26 theory utilit\$.ti,ab. (18)
- 27 life table\$.ti,ab. (4137)
- 28 health state\$.ti,ab. (1417)
- 29 (sf36 or sf 36).ti,ab. (4595)
- 30 (short form 36 or shortform 36 or sf thirtysix or sf thirty six or shortform thirtysix or shortform thirty six or short form thirtysix or short form thirty six).ti,ab. (1902)
- 31 (sf 6d or sf6d or short form 6d or shortform 6d or sf six\$ or shortform six\$ or short form six\$ or 6d or 6-d or 6 dimension).ti,ab. (2397)
- 32 hrqol.ti,ab. (1591)
- 33 hrql.ti,ab. (823)
- 34 (health related quality adj2 life\$).ti,ab. (6031)
- 35 or/4-34 (148336)
- 36 3 and 35 (67)

**EMBASE**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1980 to 2006 week 25

Date searched: 28 June 2006

This search strategy retrieved 67 records.

- 1 exp hemorrhoids/ (2146)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or piles).ti,ab. (2440)
- 3 or/1-2 (3091)
- 4 exp life tables/ (925)
- 5 "quality of life"/ (64887)
- 6 exp health status indicators/ (39768)
- 7 (utilit\$ approac\$ or health gain or hui or hui2 or hui 2 or hui3 or hui 3).ti,ab. (1008)
- 8 (health measurement\$ scale\$ or health measurement\$ questionnaire\$).ti,ab. (23)

**MEDLINE**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>



1966 to June week 2 2006

Date searched: 28 June 2006

This search strategy retrieved 111 records.

- 1 exp hemorrhoids/ (3001)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorroid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (3109)
- 3 or/1-2 (3935)
- 4 exp life tables/ (8370)
- 5 "quality of life"/ (55049)
- 6 exp health status indicators/ (85929)
- 7 (utilit\$ approac\$ or health gain or hui or hui2 or hui 2 or hui3 or hui 3).ti,ab. (641)
- 8 (health measurement\$ scale\$ or health measurement\$ questionnaire\$).ti,ab. (17)
- 9 (standard gamble\$ or categor\$ scal\$ or linear scal\$ or linear analog\$ or visual scal\$ or magnitude estimat\$).ti,ab. (2596)
- 10 (time trade off\$ or rosser\$ classif\$ or rosser\$ matrix or rosser\$ distress\$ or hrqol).ti,ab. (2022)
- 11 (index of wellbeing or quality of wellbeing or qwb).ti,ab. (107)
- 12 (rating scale\$ or multiattribute\$ health ind\$ or multi attribute\$ health ind\$).ti,ab. (16522)
- 13 (health utilit\$ index or health utilit\$ indices).ti,ab. (4)
- 14 (multiattribute\$ theor\$ or multi attribute\$ theor\$ or multiattribute\$ analys\$ or multi attribute\$ analys\$).ti,ab. (5)
- 15 (health utilit\$ scale\$ or classification of illness state\$ or 15d or 15 d or 15 dimension).ti,ab. (1854)
- 16 (health state\$ utilit\$ or 12d or 12 d or 12 dimension).ti,ab. (1348)
- 17 well year\$.ti,ab. (18)
- 18 (multiattribute\$ utilit\$ or multi attribute\$ utilit\$).ti,ab. (109)
- 19 health utilit\$ scale\$.ti,ab. (2)
- 20 (qol or 5d or 5-d or 5 dimension or quality of life or euro qual or euro qol or eq-5d or eq5d or eq 5d or euroqual or euroqol).ti,ab. (62916)
- 21 (qualy or qaly or qualys or qalys or quality adjusted life year\$).ti,ab. (2026)
- 22 life year\$ gain\$.ti,ab. (688)
- 23 willingness to pay.ti,ab. (701)
- 24 (hye or hyes or health year\$ equivalent\$).ti,ab. (45)
- 25 (person trade off\$ or person tradeoff\$ or time tradeoff\$ or time trade off\$).ti,ab. (478)
- 26 theory utilit\$.ti,ab. (4)
- 27 life table\$.ti,ab. (5355)
- 28 health state\$.ti,ab. (1685)
- 29 (sf36 or sf 36).ti,ab. (4669)
- 30 (short form 36 or shortform 36 or sf thirtysix or sf thirty six or shortform thirtysix or

- shortform thirty six or short form thirtysix or short form thirty six).ti,ab. (1987)
- 31 (sf 6d or sf6d or short form 6d or shortform 6d or sf six\$ or shortform six\$ or short form six\$ or 6d or 6-d or 6 dimension).ti,ab. (2993)
- 32 hrqol.ti,ab. (1691)
- 33 hrql.ti,ab. (868)
- 34 (health related quality adj2 life\$).ti,ab. (6441)
- 35 or/4-34 (199619)
- 36 3 and 35 (111)

### PsycINFO

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1982 to June week 3 2006

Date searched: 28 June 2006

This search strategy retrieved no records.

- 1 exp hemorrhoids/ (0)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorroid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (107)
- 3 or/1-2 (107)
- 4 exp life tables/ (0)
- 5 "quality of life"/ (10405)
- 6 exp health status indicators/ (0)
- 7 (utilit\$ approac\$ or health gain or hui or hui2 or hui 2 or hui3 or hui 3).ti,ab. (326)
- 8 (health measurement\$ scale\$ or health measurement\$ questionnaire\$).ti,ab. (17)
- 9 (standard gamble\$ or categor\$ scal\$ or linear scal\$ or linear analog\$ or visual scal\$ or magnitude estimat\$).ti,ab. (1615)
- 10 (time trade off\$ or rosser\$ classif\$ or rosser\$ matrix or rosser\$ distress\$ or hrqol).ti,ab. (598)
- 11 (index of wellbeing or quality of wellbeing or qwb).ti,ab. (43)
- 12 (rating scale\$ or multiattribute\$ health ind\$ or multi attribute\$ health ind\$).ti,ab. (21741)
- 13 (health utilit\$ index or health utilit\$ indices).ti,ab. (0)
- 14 (multiattribute\$ theor\$ or multi attribute\$ theor\$ or multiattribute\$ analys\$ or multi attribute\$ analys\$).ti,ab. (13)
- 15 (health utilit\$ scale\$ or classification of illness state\$ or 15d or 15 d or 15 dimension).ti,ab. (36)
- 16 (health state\$ utilit\$ or 12d or 12 d or 12 dimension).ti,ab. (40)
- 17 well year\$.ti,ab. (41)
- 18 (multiattribute\$ utilit\$ or multi attribute\$ utilit\$).ti,ab. (129)
- 19 health utilit\$ scale\$.ti,ab. (0)
- 20 (qol or 5d or 5-d or 5 dimension or quality of life or euro qual or euro qol or eq-5d or eq5d or eq 5d or euroqual or euroqol).ti,ab. (14408)

- 21 (qualy or qaly or qualys or qalys or quality adjusted life year\$.ti,ab. (146)
- 22 life year\$ gain\$.ti,ab. (12)
- 23 willingness to pay.ti,ab. (242)
- 24 (hye or hyes or health year\$ equivalent\$.ti,ab. (3)
- 25 (person trade off\$ or person tradeoff\$ or time tradeoff\$ or time trade off\$.ti,ab. (85)
- 26 theory utilit\$.ti,ab. (57)
- 27 life table\$.ti,ab. (164)
- 28 health state\$.ti,ab. (398)
- 29 (sf36 or sf 36).ti,ab. (1104)
- 30 (short form 36 or shortform 36 or sf thirtysix or sf thirty six or shortform thirtysix or shortform thirty six or short form thirtysix or short form thirty six).ti,ab. (410)
- 31 (sf 6d or sf6d or short form 6d or shortform 6d or sf six\$ or shortform six\$ or short form six\$ or 6d or 6-d or 6 dimension).ti,ab. (68)
- 32 hrqol.ti,ab. (541)
- 33 hrql.ti,ab. (259)
- 34 (health related quality adj2 life\$.ti,ab. (1763)
- 35 or/4-34 (40439)
- 36 3 and 35 (0)

#### **Bibliographic records retrieved**

Total records retrieved: 184

Records entered into the Endnote Library after deduplication: 145

Resource	Search date	Records	After deduplication
CINAHL	28 June 2006	6	6
EMBASE	28 June 2006	67	63
MEDLINE	28 June 2006	111	76
PsycINFO	28 June 2006	0	0

#### **Incidence and prevalence**

##### **CINAHL**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1982 to July week 4 2006

Date searched: 1 August 2006

This search strategy retrieved five records.

- 1 \*Hemorrhoids/ (121)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (181)
- 3 or/1-2 (194)
- 4 (frequency of or occurrence\$ or incidence\$ or prevalence\$ or rate of or rates of).ti. (8004)
- 5 incidence/ (4910)
- 6 prevalence/ (7290)
- 7 or/4-6 (16876)
- 8 3 and 7 (5)

#### **EMBASE**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1980 to 2006 week 30

Date searched: 1 August 2006

This search strategy retrieved 107 records.

- 1 \*Hemorrhoids/ (1418)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (2455)
- 3 or/1-2 (2686)
- 4 (frequency of or occurrence\$ or incidence\$ or prevalence\$ or rate of or rates of).ti. (148916)
- 5 incidence/ (74016)
- 6 prevalence/ (99213)
- 7 or/4-6 (280746)
- 8 3 and 7 (107)

#### **MEDLINE and MEDLINE In Process**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1966 to present

Date searched: 28 June 2006

This search strategy retrieved 126 records.

- 1 \*Hemorrhoids/ (2268)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemorroid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (3247)
- 3 or/1-2 (3508)
- 4 (frequency of or occurrence\$ or incidence\$ or prevalence\$ or rate of or rates of).ti. (124651)
- 5 incidence/ (102096)
- 6 prevalence/ (92655)
- 7 or/4-6 (267741)
- 8 3 and 7 (126)

#### **Bibliographic records retrieved**

Total records retrieved: 238

Records entered into the Endnote Library after deduplication: 127

Resource	Search date	Records	After sift/ deduplication
CINAHL	1 August 2006	5	0
EMBASE	1 August 2006	107	41
MEDLINE	1 August 2006	126	86

#### **Open versus closed RCT search**

##### **CENTRAL**

Searched via: The Cochrane Library:

<http://www.library.nhs.uk/>

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

Date searched: 14 September 2006

This search strategy retrieved 27 records.

- #1 (closed near/5 (hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or haemorrhoid\* or hemoroid\* or haemoroid\*)):ti,ab,kw (37)
- #2 (milligan morgan):ti,ab,kw (57)
- #3 (open near/5 (hemorrhoid\* or haemorrhoid\* or hemorrhoid\* or haemorrhoid\* or hemoroid\* or haemoroid\*)):ti,ab,kw (31)
- #4 (ferguson):ti,ab,kw (24)
- #5 (#1 OR #2) (85)
- #6 (#3 OR #4) (51)
- #7 (#5 AND #6) (27)

### CINAHL

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1982 to July week 4 2006

Date searched: 1 August 2006

This search strategy retrieved no records.

- 1. (closed adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab.
- 2. milligan morgan.ti,ab.
- 3. or/1-2
- 4. (open adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab.
- 5. ferguson.ti,ab.
- 6. or/4-5
- 7. 3 and 6
- 8. exp clinical trials/
- 9. double-blind studies/
- 10. single-blind studies/
- 11. triple-blind studies/
- 12. clinical trial.pt.
- 13. random assignment/
- 14. (randomized or randomised or placebo or randomly).ab.
- 15. trial.ti.
- 16. or/8-15
- 17. 7 and 16
- 18. animals/ not (animals/ and humans/)
- 19. 17 not 18

### EMBASE

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1980 to 2006 week 36

Date searched: 14 September 2006

This search strategy retrieved 28 records.

- 1 (closed adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab. (68)

- 2 milligan morgan.ti,ab. (81)
- 3 or/1-2 (140)
- 4 (open adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab. (57)
- 5 ferguson.ti,ab. (398)
- 6 or/4-5 (449)
- 7 3 and 6 (39)
- 8 controlled study/ (2244792)
- 9 exp clinical trial/ (403366)
- 10 outcomes research/ (56451)
- 11 randomized controlled trial/ (109221)
- 12 (randomized or randomised or placebo or randomly).ab. (281427)
- 13 trial.ti. (54347)
- 14 or/8-13 (2575036)
- 15 6 and 14 (118)
- 16 animals/ not (animals/ and humans/) (12831)
- 17 15 not 16 (118)
- 18 (closed adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab. (68)
- 19 milligan morgan.ti,ab. (81)
- 20 or/18-19 (140)
- 21 (open adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab. (57)
- 22 ferguson.ti,ab. (398)
- 23 or/21-22 (449)
- 24 20 and 23 (39)
- 25 controlled study/ (2244792)
- 26 exp clinical trial/ (403366)
- 27 outcomes research/ (56451)
- 28 randomized controlled trial/ (109221)
- 29 (randomized or randomised or placebo or randomly).ab. (281427)
- 30 trial.ti. (54347)
- 31 or/25-30 (2575036)
- 32 24 and 31 (28)
- 33 animals/ not (animals/ and humans/) (12831)
- 34 32 not 33 (28)

### MEDLINE and MEDLINE In Process

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1966 to present

Date searched: 14 September 2006

This search strategy retrieved 36 records.

- 1 (closed adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab. (76)
- 2 milligan morgan.ti,ab. (112)
- 3 or/1-2 (182)
- 4 (open adj5 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$)).ti,ab. (66)

- 5 ferguson.ti,ab. (606)
- 6 or/4-5 (668)
- 7 3 and 6 (50)
- 8 clinical trial.pt. (457600)
- 9 randomized.ti,ab. (165432)
- 10 placebo.ti,ab. (102365)
- 11 dt.fs. (1202180)
- 12 randomly.ti,ab. (113511)
- 13 groups.ti,ab. (812649)
- 14 or/8-13 (2207668)
- 15 controlled.ab. (232118)
- 16 design.ab. (319691)
- 17 evidence.ab. (569560)
- 18 extraction.ab. (79583)
- 19 randomized controlled trials/ (48065)
- 20 meta-analysis.pt. (14237)
- 21 review.pt. (1262242)
- 22 sources.ab. (96654)
- 23 studies.ab. (1081853)
- 24 or/15-23 (3042062)
- 25 (letter or editorial or comment).pt. (840724)
- 26 24 not 25 (3008714)
- 27 7 and 14 (31)
- 28 7 and 26 (11)
- 29 27 or 28 (36)
- 30 animals/ not (animals/ and humans/) (3093553)
- 31 29 not 30 (36)

### **Bibliographic records retrieved**

Total records retrieved: 82

Records entered into the Endnote Library after deduplication: 53

Resource	Search date	Records	After sift/ deduplication
CENTRAL	14 September 2006	27	1
CINAHL	14 September 2006	0	0
EMBASE	14 September 2006	28	27
MEDLINE	14 September 2006	36	25

### **Cohort studies of complications (all haemorrhoid surgeries)**

#### **CINAHL**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1982 to October week 1 2006

Date searched: 12 October 2006

This search strategy retrieved six records.

- 1 exp hemorrhoids/ (191)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorroid\$ or haemoroid\$).ti,ab. (163)

- 3 or/1-2 (234)
- 4 exp colorectal surgery/ (0)
- 5 exp surgery/ (77760)
- 6 surg\$.ti,ab. (41259)
- 7 or/4-6 (97445)
- 8 3 and 7 (52)
- 9 pain/ or pain measurement/ or postoperative pain/ (21972)
- 10 Sepsis/ (1726)
- 11 Fecal Incontinence/ or Urinary Incontinence/ (3731)
- 12 Pruritus/ (436)
- 13 exp Postoperative Complications/ (11907)
- 14 adverse healthcare event/ (590)
- 15 adverse drug event/ (653)
- 16 complication\$.ti,ab. (18743)
- 17 pain.ti,ab. (38910)
- 18 prolaps\$.ti,ab. (474)
- 19 bleed\$.ti,ab. (3499)
- 20 sepsis.ti,ab. (1951)
- 21 (anal adj (fistula or stenosis\$ or fissure\$)).ti,ab. (44)
- 22 (incontinence\$ or urgency\$).ti,ab. (6278)
- 23 (anastomotic adj stricture).ti,ab. (3)
- 24 (haemorrhoidal adj thrombosis).ti,ab. (0)
- 25 (itching or pruritis).ti,ab. (313)
- 26 (complication\$ or reoccur\$ or reintervention\$ or reoperat\$ or retreat\$ or redo).ti,ab. (19357)
- 27 ((further or repeat) adj (surgery or treatment or procedure\$)).ti,ab. (243)
- 28 (safe or safety or side effect\$ or undesirable effect\$ or treatment emergent or tolerability or toxicity or adrs or (adverse adj2 (effect or effects or reaction or reactions or event or events or outcome or outcomes))).ti,ab. (45088)
- 29 or/9-28 (120154)
- 30 Prospective studies/ (50171)
- 31 exp case control studies/ (11399)
- 32 Correlational studies/ (6996)
- 33 Nonconcurrent prospective studies/ (21)
- 34 Cross sectional studies/ (17538)
- 35 (cohort adj (study or studies)).tw. (5078)
- 36 (Follow up adj (study or studies)).tw. (1576)
- 37 (observational adj (study or studies)).tw. (2238)
- 38 or/30-37 (83206)
- 39 29 and 38 (14531)
- 40 8 and 39 (6)
- 41 animals/ not (animals/ and humans/) (755)
- 42 40 not 41 (6)

#### **EMBASE**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1980 to 2006 week 40

Date searched: 14 September 2006

This search strategy retrieved 378 records.

- 1 exp hemorrhoid/ (2189)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$).ti,ab. (2080)
- 3 or/1-2 (2768)
- 4 exp colorectal surgery/ (4231)
- 5 exp surgery/ (1238854)
- 6 surg\$.ti,ab. (605688)
- 7 or/4-6 (1440365)
- 8 3 and 7 (1573)
- 9 pain/ or pain assessment/ or postoperative pain/ (86492)
- 10 Sepsis/ (33338)
- 11 feces incontinence/ or Urinary Incontinence/ (15422)
- 12 Pruritus/ (20170)
- 13 exp Postoperative Complication/ (178245)
- 14 complication/ (14941)
- 15 exp adverse drug reaction/ (159357)
- 16 exp side effect/ (128464)
- 17 complication\$.ti,ab. (285497)
- 18 pain.ti,ab. (194221)
- 19 prolaps\$.ti,ab. (9288)
- 20 bleed\$.ti,ab. (68810)
- 21 sepsis.ti,ab. (32440)
- 22 (anal adj (fistula or stenosis\$ or fissure\$)).ti,ab. (1157)
- 23 (incontinen\$ or urgen\$).ti,ab. (38291)
- 24 (anastomotic adj stricture).ti,ab. (498)
- 25 (haemorrhoidal adj thrombosis).ti,ab. (2)
- 26 (itching or pruritis).ti,ab. (4116)
- 27 (complication\$ or reoccur\$ or reintervention\$ or reoperat\$ or retreat\$ or redo).ti,ab. (298016)
- 28 ((further or repeat) adj (surgery or treatment or procedure\$)).ti,ab. (6408)
- 29 (safe or safety or side effect\$ or undesirable effect\$ or treatment emergent or tolerability or toxicity or adrs or (adverse adj2 (effect or effects or reaction or reactions or event or events or outcome or outcomes))).ti,ab. (480317)
- 30 co.fs. (591895)
- 31 to.fs. (238238)
- 32 ae.fs. (394173)
- 33 or/9-32 (1877582)
- 34 major clinical study/ (1072258)
- 35 Clinical study/ (13614)
- 36 Case control study/ (14464)
- 37 Family study/ (6820)
- 38 Longitudinal study/ (13873)
- 39 Retrospective study/ (70829)
- 40 Cohort analysis/ (37038)
- 41 prospective study/ (59551)
- 42 (Cohort adj (study or studies)).mp. (25566)
- 43 (Case control adj (study or studies)).tw. (26865)
- 44 (follow up adj (study or studies)).tw. (19698)

- 45 (observational adj (study or studies)).tw. (12266)
- 46 (epidemiologic\$ adj (study or studies)).tw. (29363)
- 47 (cross sectional adj (study or studies)).tw. (18216)
- 48 or/34-47 (1216848)
- 49 randomized controlled trials/ (110088)
- 50 48 not 49 (1156281)
- 51 33 and 50 (353839)
- 52 8 and 51 (378)
- 53 animals/ not (animals/ and humans/) (12832)
- 54 52 not 53 (378)

### **MEDLINE and MEDLINE In Process**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1966 to present

Date searched: 12 October 2006

This search strategy retrieved 264 records.

- 1 exp hemorrhoids/ (3065)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$).ti,ab. (2905)
- 3 or/1-2 (3787)
- 4 exp colorectal surgery/ (892)
- 5 exp surgery/ (22731)
- 6 surg\$.ti,ab. (821995)
- 7 or/4-6 (831536)
- 8 3 and 7 (1053)
- 9 pain/ or pain measurement/ or pain, postoperative/ (111903)
- 10 Sepsis/ (9104)
- 11 Fecal Incontinence/ or Urinary Incontinence/ (17745)
- 12 Pruritus/ (5354)
- 13 exp Postoperative Complications/ (300473)
- 14 complication/ (0)
- 15 complication\$.ti,ab. (361430)
- 16 exp drug toxicity/ (14319)
- 17 pain.ti,ab. (230277)
- 18 prolaps\$.ti,ab. (13207)
- 19 bleed\$.ti,ab. (85602)
- 20 sepsis.ti,ab. (39656)
- 21 (anal adj (fistula or stenosis\$ or fissure\$)).ti,ab. (1327)
- 22 (incontinen\$ or urgen\$).ti,ab. (48755)
- 23 (anastomotic adj stricture).ti,ab. (562)
- 24 (haemorrhoidal adj thrombosis).ti,ab. (2)
- 25 (itching or pruritis).ti,ab. (3945)
- 26 (complication\$ or reoccur\$ or reintervention\$ or reoperat\$ or retreat\$ or redo).ti,ab. (377945)
- 27 ((further or repeat) adj (surgery or treatment or procedure\$)).ti,ab. (7632)
- 28 (safe or safety or side effect\$ or undesirable effect\$ or treatment emergent or tolerability or

- toxicity or adrs or (adverse adj2 (effect or effects or reaction or reactions or outcome or outcomes)).ti,ab. (546926)
- 29 ae.fs. (968095)
  - 30 co.fs. (1148816)
  - 31 po.fs. (49864)
  - 32 de.fs. (1822105)
  - 33 or/9-32 (4473133)
  - 34 Epidemiologic studies/ (3577)
  - 35 exp case control studies/ (343600)
  - 36 exp cohort studies/ (615580)
  - 37 Case control.tw. (36994)
  - 38 (cohort adj (study or studies)).tw. (29425)
  - 39 Cohort analy\$.tw. (1560)
  - 40 (Follow up adj (study or studies)).tw. (25761)
  - 41 (observational adj (study or studies)).tw. (13624)
  - 42 Longitudinal.tw. (72633)
  - 43 Retrospective.tw. (133775)
  - 44 Cross sectional.tw. (67433)
  - 45 Cross-sectional studies/ (72643)
  - 46 or/34-45 (1066266)
  - 47 33 and 46 (482167)
  - 48 8 and 47 (264)
  - 49 animals/ not (animals/ and humans/) (3120839)
  - 50 48 not 49 (264)

#### **Bibliographic records retrieved**

Total records retrieved: 648

Records entered into the Endnote Library after deduplication: 531

Resource	Search date	Records	After sift/ deduplication
CINAHL	12 October 2006	6	4
EMBASE	12 October 2006	378	277
MEDLINE	12 October 2006	264	250

#### **Length of stay (all haemorrhoid surgeries)**

##### **CINAHL**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1982 to November week 3 2006

Date searched: 23 November 2006

This search strategy retrieved 14 records.

- 1 Hemorrhoids/ (195)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (184)

- 3 or/1-2 (257)
- 4 "Length of Stay"/ (6168)
- 5 ((hospital or length or duration) adj3 stay).ti,ab. (5301)
- 6 time to discharge.ti,ab. (27)
- 7 patient discharge/ (3202)
- 8 (time adj3 in hospital).ti,ab. (98)
- 9 day case\$.ti,ab. (203)
- 10 "ambulatory surgery"/ (2327)
- 11 or/4-10 (14028)
- 12 3 and 11 (14)

#### **EMBASE**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1980 to 2006 week 46

Date searched: 23 November 2006

This search strategy retrieved 209 records.

- 1 Hemorrhoid/ (2206)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (2513)
- 3 or/1-2 (3185)
- 4 "Length of Stay"/ (18305)
- 5 ((hospital or length or duration) adj3 stay).ti,ab. (30261)
- 6 time to discharge.ti,ab. (319)
- 7 (time adj3 in hospital).ti,ab. (576)
- 8 day case\$.ti,ab. (1663)
- 9 "ambulatory surgery"/ (3829)
- 10 or/4-9 (42691)
- 11 3 and 10 (209)

#### **MEDLINE and MEDLINE In Process**

Searched via: OvidWeb:

<http://gateway.ovid.com/athens>

1966 to present

Date searched: 23 November 2006

This search strategy retrieved 275 records.

- 1 \*Hemorrhoids/ (2347)
- 2 (hemorrhoid\$ or haemorrhoid\$ or hemorrhoid\$ or haemorrhoid\$ or hemoroid\$ or haemoroid\$ or piles).ti,ab. (3381)
- 3 or/1-2 (3644)
- 4 "Length of Stay"/ (36099)
- 5 ((hospital or length or duration) adj3 stay).ti,ab. (37522)
- 6 time to discharge.ti,ab. (346)
- 7 (time adj3 in hospital).ti,ab. (702)
- 8 day case\$.ti,ab. (1843)
- 9 "ambulatory surgery"/ (7763)
- 10 or/4-9 (67405)
- 11 3 and 10 (275)

**Bibliographic records retrieved**

Total records retrieved: 498

Records entered into the Endnote Library after deduplication: 353

Resource	Search date	Records	After sift/ deduplication
CINAHL	23 November 2006	14	7
EMBASE	23 November 2006	209	84
MEDLINE	23 November 2006	275	262





## Appendix 2

### Table of excluded studies with rationale

**TABLE 59** Excluded studies

Abbasakoor, 2000 <sup>59</sup> (a)	Hancke, 2004 <sup>60</sup> (a)	Mischinger, 2001 <sup>147</sup> (b)
Au-Yong, 2004 <sup>102</sup> (a)	Helmy, 2000 <sup>136</sup> (a)	Nastro, 2004 <sup>148</sup> (c)
Baker, 2002 <sup>124</sup> (a)	Hemmingway, 1998 <sup>137</sup> (a)	O'Bichere, 2000 <sup>149</sup> (a)
Basdanis, 2000 <sup>125</sup> (c)	Kang, 2004 <sup>138</sup> (c)	Pinheiro Regadas, 2005 <sup>150</sup> (a)
Chen, 2006 <sup>126</sup> (c)	Khalil, 2000 <sup>61</sup> (a)	Racalbuto, 2004 <sup>110</sup> (a)
Dell'Abate, 2005 <sup>127</sup> (c)	Kirsch, 2000 <sup>139</sup> (c)	Ranko, 2004 <sup>151</sup> (c)
Ebert, 2002 <sup>128</sup> (c)	Kirsch, 2001 <sup>140</sup> (c)	Rowell, 2000 <sup>109</sup> (a)
Eissen, 2000 <sup>129</sup> (c)	Kirsch, 2001 <sup>64</sup> (c)	Schenkenbach, 2001 <sup>152</sup> (c)
Favetta, 2000 <sup>130</sup> (c)	Levanon, 2000 <sup>141</sup> (c)	Smyth, 2003 <sup>153</sup> (a)
Ganio, 2001 <sup>131</sup> (a)	Martinsons, 2004 <sup>142</sup> (b)	Souza, 2001 <sup>154</sup> (b)
Gautam, 2004 <sup>132</sup> (c)	Mattana, 2006 <sup>143</sup> (c)	Staude, 1999 <sup>155</sup> (b)
Gentile, 2002 <sup>133</sup> (c)	Maw, 2003 <sup>144</sup> (d)	Staude, 2000 <sup>156</sup> (b)
Goulimaris, 2002 <sup>134</sup> (c)	Mehigan, 2000 <sup>145</sup> (a)	Staude, 2001 <sup>157</sup> (b)
Hainsworth, 2002 <sup>135</sup> (b)	Mehigan, 2000 <sup>146</sup> (a)	
<b>Rationale</b>		
(a) Staple gun evaluated (at least in some patients) not designed for haemorrhoidopexy.		
(b) Insufficient information for inclusion.		
(c) Not an RCT.		
(d) None of the outcomes to be evaluated in the review was reported in the paper.		



# Appendix 3

## Data extraction form

TABLE 60 Data extraction form

Study details	Peri/postoperative outcomes (up to 6 weeks)	Subsequent time-points
First author	Mean (SD) minutes operating time	Withdrawals/loss to follow-up
Date of publication	Mean (SD) days hospital stay	Number of patients experiencing pain
Country in which study was conducted	VAS score: nearest to 3 days postoperation or mean of first 7 days	Number of patients with controlled symptoms
Number of participants	VAS score: nearest to 14 days; not a mean of first 14 days	Number of patients with bleeding
Number male	Number of patients requiring additional intramuscular or oral analgesia	Number of patients with prolapse
Mean age (range) of participants	Number of patients with postoperative bleeding episode	Number of patients with recurrence of haemorrhoidal disease
Number with II, III and IV degree haemorrhoids	Number of patients with a bleeding episode requiring intervention	Number of patients with wound or systemic infection
Number randomised to SH and CH	Mean (SD) days to first bowel movement	Number of patients with incontinence
Staple gun used	Mean (SD) days to normal activity	Number of patients with urgency
Type of conventional surgery used	Number of patients with wound or systemic infection	Number of patients with haemorrhoidal thrombosis
Type of anaesthesia for stapled	Number of patients with wounds healed at 6 and 12 weeks	Number of patients with submucosal haematoma
Type of anaesthesia for conventional	Number of patients with controlled symptoms	Number of patients with anal stenosis/anastomotic stricture
Duration of follow-up	Number of patients with residual prolapse	Number of patients with anal fissure
Prior treatment undertaken	Number of patients with urinary retention	Number of patients with anal fistula
Inclusion/exclusion of patients with co-morbid conditions	Number of patients with incontinence	Number of patients with rectovaginal fistula
	Number of patients with urgency	Number of patients with pelvic/perianal sepsis
	Number of patients with haemorrhoidal thrombosis	Number of patients with itching/pruritis
	Number of patients with submucosal haematoma	Number of patients with mucus/slime discharge
	Number of patients with anal stenosis/anastomotic stricture	Total number of reinterventions per arm of trial
	Number of patients with anal fissure	Number of patients requiring reintervention for prolapse
	Number of patients with anal fistula	Number of patients requiring reintervention for bleeding
	Number of patients with rectovaginal fistula	Number of patients requiring reintervention for pain
	Number of patients with pelvic/perianal sepsis	Number of patients requiring removal of skin tags
	Number of patients with itching/pruritis	Number of patients requiring stapled haemorrhoidopexy
	Number of patients with mucus/slime discharge	Number of patients requiring conventional haemorrhoidectomy
	Mortality	Number of patients requiring RBL
	Overall patient satisfaction	Number of patients requiring injection sclerotherapy
		Number of patients requiring other surgery
		Quality of life
	Overall patient satisfaction	



# Appendix 4

## Quality assessment

TABLE 61 Clinical effectiveness RCTs

Study	1. Number randomised reported?	2. Randomisation method appropriate?	3. Allocation concealment adequate?	4. Groups similar at baseline?	5. Study described as double blind?	6a. Patients blinded?	6b. Assessors blinded?	6c. Carers blinded?	7. Were the same surgeons performing both types of operation?	7a. If Yes to 7: Were the surgeons experienced in both operations?	7b. If No to 7: Were the surgeons considered experts at their respective operations?	8. Was a power calculation reported?	9. Were selection/eligibility criteria reported?	10. Was the population recruited representative?	11. Was loss to follow-up reported?	12. Were at least 80% of those randomised followed up at the final time-point?
Ascanelli, 2005 <sup>76</sup>	Y	N	N	UC	N	UC	UC	UC	UC	NA	NA	N	Y	N	N	UC
Basdanis, 2005 <sup>84</sup>	Y	UC	Y	Y	N	Y	UC	UC	UC	NA	NA	N	N	N	Y	Y
Bikhchandani, 2005 <sup>94</sup>	Y	UC	Y	Y	N	UC	UC	UC	UC	N	N	N	Y	N	Y	Y
Boccasanta, 2001 <sup>87</sup>	Y	Y	Y	Y	Y	UC	UC	UC	UC	UC	UC	N	Y	N	Y	Y
Cheetham, 2003 <sup>79</sup>	Y	Y	Y	Y	N	UC	UC	UC	UC	NA	NA	Y	Y	UC	Y	Y
Chung, 2005 <sup>92</sup>	Y	UC	Y	Y	N	UC	Y	UC	Y	UC	UC	Y	Y	N	Y	Y
Correa-Rovelo, 2002 <sup>96</sup>	Y	Y	UC	Y	N	UC	Y	UC	UC	UC	UC	N	Y	N	Y	Y
Docherty, 2001 <sup>78</sup>	Y	UC	UC	UC	N	UC	UC	UC	UC	UC	UC	UC	N	UC	Y	UC
Gravie, 2005 <sup>83</sup>	Y	UC	UC	Y	N	N	UC	UC	UC	NA	NA	N	Y	UC	Y	Y
Hasse, 2004 <sup>75</sup>	Y	Y	Y	Y	N	UC	UC	UC	Y	UC	NA	Y	Y	N	Y	Y
Hetzer, 2002 <sup>90</sup>	Y	UC	UC	Y	N	UC	UC	UC	Y	Y	NA	N	Y	N	Y	Y
Ho, 2000 <sup>63,71</sup>	Y	UC	Y	Y	N	UC	Y	UC	UC	Y	NA	N	Y	N	Y	N
Kairaluoma, 2003 <sup>82</sup>	Y	UC	Y	Y	N	UC	UC	UC	Y	Y	NA	N	Y	N	Y	Y
Kraemer, 2005 <sup>28</sup>	Y	Y	UC	Y	N	UC	UC	UC	Y	Y	NA	Y	N	UC	Y	Y
Krska, 2003 <sup>81</sup>	Y	UC	UC	UC	N	UC	UC	UC	UC	UC	UC	N	Y	N	Y	Y
Lau, 2004 <sup>93</sup>	N	UC	Y	Y	N	UC	UC	UC	UC	NA	NA	Y	Y	UC	Y	Y
Ortiz, 2002 <sup>89</sup>	Y	Y	UC	Y	N	UC	Y	UC	Y	Y	NA	Y	Y	N	Y	Y
Ortiz, 2005 <sup>88</sup>	Y	Y	UC	Y	N	UC	UC	UC	Y	Y	NA	N	Y	N	Y	Y
Palimento, 2003 <sup>70,86</sup>	Y	Y	UC	Y	N	UC	UC	UC	UC	NA	NA	Y	Y	N	Y	Y
Pavlidis, 2002 <sup>85</sup>	Y	UC	UC	Y	N	UC	Y	UC	Y	Y	NA	N	N	Y	UC	UC
Ren, 2002 <sup>77</sup>	Y	UC	UC	UC	UC	UC	UC	UC	UC	NA	NA	N	N	N	N	UC
Schmidt, 2002 <sup>74</sup>	Y	N	UC	N	N	UC	UC	UC	UC	NA	NA	N	N	N	Y	Y
Senagore, 2004 <sup>91</sup>	Y	Y	Y	Y	N	UC	UC	UC	UC	Y	NA	Y	Y	N	Y	N
Shalaby, 2001 <sup>95</sup>	Y	Y	UC	N	N	UC	UC	UC	Y	UC	UC	N	N	Y	Y	Y
Thaha, 2004 <sup>72,73</sup>	Y	UC	UC	UC	N	UC	UC	UC	UC	NA	NA	N	N	UC	UC	UC
Van de Stadt, 2005 <sup>80</sup>	Y	UC	UC	Y	N	UC	UC	UC	Y	Y	NA	N	Y	N	Y	Y
Wilson, 2002 <sup>45</sup>	N	UC	UC	Y	N	UC	UC	UC	UC	UC	UC	Y	N	N	Y	Y

The results of the quality assessment for each study. Studies were scored as yes (Y), no (N) or unclear (UC) in relation to whether they satisfied each criterion, or the criterion was deemed not applicable (NA).

## Guidelines for completing the quality assessment

### 1. Was the number of participants randomised stated?

Yes: Number of people randomised to each arm of the trial was reported.

No: Only the total number of participants was reported.

Unclear: Only the number who actually received each treatment was reported.

### 2. Was the method of randomisation appropriate?

Yes: Computer-generated random numbers or the use of random number tables.

No: Any other method of randomisation.

Unclear: The study stated that randomisation occurred, but did not report the method.

### 3. Was allocation concealment adequate?

Yes: Any robust method that would not allow the patient status to influence the allocation of surgical procedure.

No: Other methods of allocation concealment.

Unclear: Either allocation was concealed but the method was not reported, or the concealment of allocation was not reported.

### 4. Were the treatment groups comparable at baseline?

Yes: There were no significant differences between the participants of the treatment arms at baseline.

No: There were significant differences between the participants of the treatment arms at baseline.

Unclear: Baseline characteristics were not reported.

### 5. Was the study reported as being at least double blind?

Yes: The study was reported as being double or triple blind.

No: The study did not report whether it was double blind or not.

### 6. Patients blinded?

Yes: Patients were blinded to surgical procedure.

No: Patients were not blinded to surgical procedure.

Unclear: Blinding of patients was not reported.

### 7. Outcome assessors blinded?

Yes: Outcome assessors were blinded to surgical procedure.

No: Outcome assessors were not blinded to surgical procedure.

Unclear: Blinding of outcome assessors was not reported.

### 8. Caregivers blinded?

Yes: Caregivers were blinded to surgical procedure.

No: Caregivers were not blinded to surgical procedure.

Unclear: Blinding of caregivers was not reported.

### 9. Same surgeon(s) used for SH and CH?

Yes: The surgeons involved in the study undertook both SH and CH procedures.

No: One (or more) surgeon undertook only SH, another (others) undertook only CH.

Unclear: Which surgeons undertook surgery was not reported.

### 9a. If Q9 Yes: Were the surgeons experienced in both techniques?

Yes: The surgeons were reported as being experienced in both techniques.

Unclear: The experience of the surgeons was not reported.

Not applicable: Answer to Q9 was No.

### 9b. If Q9 No: Were the surgeons considered expert in the technique they undertook?

Yes: The surgeons were reported as being experts in their respective technique.

Unclear: The expertise of the surgeons was not reported.

Not applicable: Answer to Q9 was Yes.

### 10. Power calculation used?

Yes: Power calculation used.

No: Power calculation not used, or its use was not reported.

### 11. Selection/eligibility criteria reported?

Yes: Selection/eligibility criteria were reported.

No: Selection/eligibility criteria were not reported.

### 12. Representative population recruited?

Yes: Recruitment of a consecutive sample of patients presenting with prolapsed haemorrhoids who were candidates for surgery, or all patients presenting with prolapsed haemorrhoids who were candidates for surgery were included in the study.

No: A non-consecutive sample of patients recruited, or some people were unacceptably excluded who would be considered for haemorrhoidectomy in practice (i.e. people with II or IV degree).

Unclear: Recruitment details were not reported.

### 13. Loss to follow-up reported/explained?

Yes: Loss to follow-up reported/explained.

No: Loss to follow-up not reported/explained.

### 14. Were at least 80% of those randomised followed up?

Yes: At least 80% followed up at the final time-point reported.

No: <80% followed up at the final time-point reported.

Unclear: Loss to follow-up was not reported.

**TABLE 62** Economic evaluation

Study question	
Were costs and effects examined?	N
Alternatives compared	Y
Viewpoint(s) clearly stated	Y
<i>Selection of alternatives</i>	
All relevant alternatives compared	Y
For the alternatives compared, were all clearly described?	Y
Rationale for choosing the alternative programmes compared is stated	Y
<i>Form of evaluation</i>	
Choice of form of economic evaluation is justified in relation to questions addressed	Y
If a cost-minimisation analysis is chosen, have equivalent outcomes been adequately demonstrated?	N
<i>Effectiveness data</i>	
The source of effectiveness estimates used is stated	NA
Effectiveness data from RCT or review of RCTs	NA
Potential biases identified	NA
Details of method of synthesis or meta-analysis of estimates are given	NA
<i>Costs</i>	
All the important and relevant resource use included	Y
All the important and relevant resource use measured accurately	Y
Appropriate unit costs estimated	Y
Unit costs reported separately from resource-use data	Y
If productivity costs were included, were they treated separately from other costs?	NU
The year and country to which unit costs apply are stated with appropriate adjustments for inflation and/or currency conversion	N
<i>Benefit measurement and valuation</i>	
The primary outcome measure for the economic evaluation is clearly stated	NA
Methods to value health states and other benefits are stated	NA
Details of the individuals from whom valuations were obtained are given	NA
<i>Decision modelling</i>	
Details of any model used are given	NU
The choice of model used and the key input parameters on which it is based are adequately detailed and justified	NA
All model outputs described adequately	NA
<i>Discounting</i>	
Discount rate used for both costs and benefits	NA
Do discount rates accord with NHS guidance?	NA
<i>Stochastic analysis of patient-level data</i>	
Details of statistical tests and confidence intervals are given for stochastic data	NU
Uncertainty around cost-effectiveness estimates expressed	NA
Sensitivity analysis used to assess uncertainty in non-stochastic variables and analytical methods	NA
<i>Stochastic analysis of decision models</i>	
Are all appropriate input parameters included with uncertainty?	
Is second order uncertainty (uncertainty in means) included, rather than first order uncertainty (uncertainty between patients)?	NA
Are the probability distributions adequately detailed and appropriate?	NA
Sensitivity analysis used to assess uncertainty in non-stochastic variables (e.g. unit costs) and analytical decisions (e.g. methods to handle missing data)	NA
<i>Deterministic analysis</i>	
The approach to sensitivity analysis is given	NU
The choice of variables for sensitivity analysis is justified	NA
The ranges over which the variables are varied are stated	NA
<i>Presentation of results</i>	
Incremental analysis is reported using appropriate decision rules	Y
Major outcomes are presented in a disaggregated as well as an aggregated form	NU
Applicable to the UK setting	N
The results of the quality assessment of Farinetti and Saviano, <sup>67</sup> scored as yes (Y), no (N), not applicable (NA), not undertaken (NU), partial (P) or uncertain (U).	





## Appendix 5

### Bayesian metaregression of VAS pain scores

The relationship between VAS pain score, days from primary surgery and treatment was explored further using Bayesian metaregression. All RCTs that reported mean VAS score at one or more time-points during the postoperative period were included. The mean responses  $y_{it}$  of study  $i$  at time  $t$  were assumed to be normally distributed:  $y_{it} \sim N(\mu_{it}, \sigma^2 w_{it})$ . Different functional forms for the mean response  $\mu_{it}$  were tested, and compared using deviance information criteria.

$$\text{Model 1: } \mu_{it} = b0_i + b1 \times \text{Treat} + b2 \times \text{Time} + b3 \times \text{Treat} \times \text{Time}$$

$$\text{Model 2: } \text{Log}(\mu_{it}) = b0_i + b1 \times \text{Treat} + b2 \times \text{Time}$$

The slope coefficients  $b1$ ,  $b2$  and  $b3$  were assumed constant and the intercepts  $b0_i$  were assumed to vary independently from one trial to another drawn from a common normal distribution with mean  $E(b0_i) = b$  and  $\text{Var}(b0_i) = \sigma^2 b$ . The unobservable deviations between the population mean baseline VAS score  $b$  and the trial-specific realisations  $b_i$  may be interpreted as effects of unobserved characteristics, which may include among other things the selection of participants, the skill of the surgeons or the administration of the VAS instrument. The within-study sample standard deviation (SD)  $\sigma w_{it}$  was not reported in every trial  $i$  or at every time-point  $t$ . These missing data were imputed by treating them as parameters

in the model to be estimated, assuming the SDs  $\sigma w_{it}$  were independently and identically distributed random variables with uninformative uniform priors. Using a Bayesian perspective, the slope coefficients were given uninformative normal priors and the between-study SD was given an uninformative uniform prior. The intercept represents the mean VAS score in the CH group at day 5. The coefficients for the linear and log-linear model are not directly comparable. The exponential of the parameters in the log-linear model have a multiplicative effect on the predicted VAS score, whereas the parameters in the linear model have an additive effect.

The results are shown for each functional form of the model in *Table 63*. Both models show that pain declines over time and that the SH procedure is less painful on average. The functional form which fits the observed data best according to the deviance information criterion (DIC) is model 1, the linear model with an interaction term between time from procedure and treatment group. This model predicts that VAS pain is on average 3.0 in the SH group and 5.3 in the CH group at day 1, decreasing to less than 0.5 (on a scale of 0–10) in both groups at 21 days. It is therefore not meaningful to extrapolate to time-points beyond this date using this model. The between-study SE is high (more), indicating that the studies are heterogeneous for this outcome.

**TABLE 63** Results of the metaregression of VAS pain score during the postoperative period

	Model 1: linear		Model 2: log-linear		Exp(coefficient)
	Mean	SE	Mean	SE	
Population mean	4.367	0.582	1.294	0.211	3.647
Treatment	-1.891	0.1895	-0.4317	0.0452	0.649
Days	-0.2516	0.0354	-0.0506	0.0054	0.951
Days $\times$ treat	0.109	0.0373	NA	NA	NA
Between-study SE	1.663	0.5172	0.6135	0.1931	NA
DIC	179		201		NA



# **Appendix 6**

## Data extraction tables

TABLE 64 Clinical effectiveness RCTs

Study	Participants		Interventions	Results
	Number	Population		
<p>Ascanelli, 2005<sup>76</sup></p> <p>Country: Italy</p> <p>Trial dates: Start: 2001 Finish: 2003</p> <p>Language: Italian</p>	<p>Total: 100</p> <p>SH: 50 CH: 50</p> <p>% Loss to follow-up at final time-point: NR</p>	<p>Degree of haemorrhoids</p> <p>Grades included: II + III</p> <p>Grade II: NR Grade III: NR Grade IV: NR</p>	<p>Staple gun: Mechanical suture</p> <p>Comparator: M&amp;M + diathermy</p> <p>Anaesthesia: SH: Combination CH: Combination</p>	<p><i>Postoperative</i></p> <p>Bleeding: intervention required &lt;4 days SH: 0/50; CH: 0/50</p> <p>Analgesics: opioid oral SH: 2/50; CH: 4/50</p> <p>10-point VAS score up to 7 days: SH: mean 2; CH: mean 7 (estimated from figure)</p> <p>10-point VAS score at 10–15 days: SH: mean 0; CH: mean 3 (estimated from figure)</p> <p>Operating time (minutes): SH: mean 22, range 18–38; CH: mean 35, range 30–45</p> <p>Duration of stay (days): SH: mean 0.75, range 0.25–1.67; CH: mean 0.92, range 0.25–2</p> <p>Time to normal activity (days): SH: range 10–25; CH: range 20–45</p> <p><i>12 months</i></p> <p>Bleeding: SH: 2/50; CH: 0/50</p> <p>Urgency: SH: 3/50; CH: 0/50</p> <p>Anal stenosis/anastomotic stricture: SH: 0/50; CH: 1/50</p> <p>Incontinence: SH: 0/50; CH: 1/50</p> <p>Reintervention, total: SH: 2/50; CH: 0/50</p> <p>Reintervention, bleeding: SH: 2/50; CH: 0/50</p> <p>Reintervention, sclerotherapy: SH: 2/50; CH: 0/50</p> <p><i>Additional outcomes reported in the study</i></p> <p>None</p>

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
<p>Basdanis, 2005<sup>84</sup></p> <p>Country: Greece</p> <p>Trial dates: Start: 2000 Finish: 2002</p> <p>Language: English</p>	<p>Total: 95</p> <p>SH: 50 CH: 45</p> <p>% Loss to follow-up at final time-point: 5%</p>	<p>Age: Range: 22–72</p> <p>Number male: 54</p> <p>Degree of haemorrhoids Grade III: 73 Grade IV: 22</p>	<p>Staple gun: PPH 01</p> <p>Comparator: M&amp;M + diathermy and LigaSure</p> <p>Anaesthesia: SH: Combination CH: Combination</p>	<p><i>Postoperative</i></p> <p>All bleeding &lt;4 days: SH: 10/50; CH: 21/45</p> <p>All bleeding &gt;10 days: SH: 0/50; CH: 1/45</p> <p>Bleeding: intervention required &lt;4 days: SH: 1/50; CH: 1/45</p> <p>Itching/pruritis: SH: 2/50; CH: 1/45</p> <p>Pelvic/perianal sepsis/septic shock: SH: 0/50; CH: 0/45</p> <p>Urinary retention: SH: 7/50; CH: 5/45</p> <p>Wounds healed at 6 weeks: SH: 50/50; CH: 45/45</p> <p>10-point VAS score up to 7 days: SH: median 3, range 1–6; CH: median 6, range 3–7</p> <p>Operating time (minutes): SH: median 15, range 8–17; CH: median 13, range 9.2–16.1</p> <p>Duration of stay (days): SH: mean 1.6, range 1–2; CH: mean 2.1, range 2–3</p> <p>Time to normal activity (days): SH: mean 6.3, SD 1.5; CH: mean 9.8, SD 1.9</p> <p>&gt;6 weeks and &lt;1 year</p> <p>Prolapse: SH: 3/50; CH: 0/40</p> <p>Pelvic/perianal sepsis: SH: 0/50; CH: 0/40</p> <p>Rectovaginal fistula: SH: 0/50; CH: 0/40</p> <p><i>Additional outcomes reported in the study</i></p> <p>Maximal VAS pain score 24 hours after surgery</p> <p>Pain at stool evacuation 24 hours and 1 week after surgery</p> <p>Mean use of intravenous diclofenac 24 hours after surgery</p> <p>Median VAS pain score 8 hours postoperation</p> <p>Number of patients with tenderness to digital rectal examination</p> <p>Faecal impaction requiring enema immediately postoperatively and 1 month postsurgery</p> <p>Authors state that follow-up occurred at 12 and 24 months; however, no results from these follow-up times other than the recurrence in the stapled group were reported</p>

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Bikhchandani, 2005 <sup>94</sup>	Total: 84 SH: 42 CH: 42	Age: Mean: 47 Variance: NR Number male: 70	Staple gun: PPH01 Comparator: M&M	<i>Postoperative</i> Bleeding: intervention required <4 days: SH: 1/42; CH: 1/42 Infection: systemic: SH: 1/42; CH: 0/42 Pelvic/perianal sepsis/septic shock: SH: 0/42; CH: 0/42 Residual prolapse: SH: 2/42; CH: 0/42 Urinary retention: SH: 5/42; CH: 7/42 10-point VAS score up to 7 days: SH: mean 1.52, SD 1.43; CH: mean 4.5, SD 2.11 10-point VAS score at 10–15 days: SH: mean 0.21, SD 0.52; CH: mean 1.05, SD 1.21 Operating time (minutes): SH: mean 24.28, SD 4.25; CH: mean 45.21, SD 5.36 Duration of stay (days): SH: mean 1.24, SD 0.62; CH: mean 2.76, SD 1.01 Time to first bowel movement (days): SH: mean 2.16, SD 0.79; CH: mean 2.33, SD 0.79 Time to normal activity (days): SH: mean 8.12, SD 2.48; CH: mean 17.62, SD 5.59 >6 weeks and <1 year Anal stenosis/anastomotic stricture: SH: 0/39; CH: 0/40 Incontinence: SH: 3/39; CH: 4/40 Pain: SH: 0/39; CH: 5/40 Pelvic/perianal sepsis: SH: 0/39; CH: 0/40 Rectovaginal fistula: SH: 0/39; CH: 0/40 <i>Additional outcomes reported in the study</i> Mean VAS score at first bowel motion Mean doses of analgesics Mean blood loss (ml) Number of patients with skin tags and increased frequency of stools
Country: India		Grades included: III+IV Grade III: 71 Grade IV: 13	SH: Regional CH: Regional Two required conversion to general; not specified if these were undergoing SH or CH	
Trial dates: Start: 2001 Finish: 2003 Language: English	% Loss to follow-up at final time-point: 6%			

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Boccasanta, 2001 <sup>87,158</sup> Country: Italy Trial dates: Start: 1996 Finish: 1999 Language: English	Total: 80 SH: 40 CH: 40 % Loss to follow-up at final time-point: NR	Age: Mean: 51 Range: 21-92 Number male: 33	Degree of haemorrhoids Grade included: IV Grade IV: 80 Staple gun: PPH01 Comparator: M&M + HLB Anaesthesia: SH: Combination CH: Combination	Postoperative Bleeding: all bleeding <4 days: SH: 2/40; CH: 3/40 Bleeding: intervention required <4 days: SH: 0/40; CH: 2/40 Urinary retention: SH: 2/40; CH: 2/40 Haemorrhoidal thrombosis: SH: 2/40; CH: 6/40 10-point VAS score up to 7 days: SH: mean 4; CH: mean 6.5 (estimated from figure) 10-point VAS score at 10-15 days: SH: mean 2.7; CH: mean 3.8 (estimated from figure) Operating time (minutes): SH: mean 25, SD 3.1; CH: mean 50, SD 5.3 Duration of stay (days): SH: mean 2, SD 0.5; CH: mean 3, SD 0.4 Time to normal activity (days): SH: mean 8, SD 0.9; CH: mean 15, SD 1.4 >6 weeks and <1 year Prolapse: SH: 0/40; CH: 0/40 Bleeding: SH: 0/40; CH: 2/40 Anal stenosis/anastomotic stricture: SH: 2/40; CH: 3/40 Incontinence: SH: 1/40; CH: 1/40 Reintervention, total: SH: 2/40; CH: 3/40  Additional outcomes reported in the study Number of patients scoring >5 on VAS Monometry: mean resting pressure (mmHg), squeeze pressure (mmHg), maximum tolerable volume (mmHg), rectal inhibitory reflex (mmHg) Number of patients with skin tags

continued

TABLE 64 Clinical effectiveness RCTs (cont d)

Study	Participants		Interventions	Results
	Number	Population		
Cheetham, 2003 <sup>79,159</sup>	Total: 31	Age: Range: 26–72	Staple gun: PPH01	<i>Postoperative</i> Residual prolapse: SH: 2/15; CH: 0/16 Urinary retention: SH: 0/15; CH: 0/16 Symptoms controlled > 10 days: SH: 8/15; CH: 11/16 Anal fissure: SH: 1/15; CH: 0/16 Bleeding: all bleeding > 10 days: SH: 4/15; CH: 1/16 Bleeding: intervention required < 4 days: SH: 2/15; CH: 0/16 Day cases: SH: 12/15; CH: 14/16 Analgesics: injections (not specified/combination): SH: 2/15; CH: 0/16 Wounds healed at 6 weeks: SH: 15/15; CH: 14/16 Wounds healed at 12 weeks: SH: 15/15; CH: 15/16 10-point VAS score up to 7 days: SH: median 2.8; CH: median 7 (converted from 100-point scale; estimated from figure) 10-point VAS score at 10–15 days: SH: median 0.7; CH: median 2.3 Time to normal activity (days): SH: median 10, range 3–38; CH: median 14, range: 3–21 > 6 weeks and < 1 year Prolapse: SH: 2/14; CH: 1/16 Bleeding: SH: 4/14; CH: 3/16 Pain: SH: 7/14; CH: 2/16 Urgency: SH: 3/14; CH: 0/16 Symptoms controlled: SH: 5/14; CH: 11/16
Country: UK	SH: 15 CH: 16	Number male: 22	Comparator: M&M + diathermy	<i>Additional outcomes reported in the study</i> Median maximal pain and expectation VAS score Number of patients with symptomatic external haemorrhoids
Trial dates: Start: NR Finish: NR Language: English	% Loss to follow-up at final time-point: 3%	Grades included: NR States that all participants had symptomatic prolapsing haemorrhoids	Anaesthesia: SH: General CH: General	

continued



TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Chung, 2005 <sup>92</sup>	Total: 88	Age: Mean: 45.7 Variance: NR Number male: 59	Staple gun: PPH01 Comparator: M&M + Harmonic Scalpel Anaesthesia: SH: Combination CH: Combination	<i>Postoperative</i> Bleeding: all bleeding <4 days: SH: 1/43; CH: 2/45 Bleeding: intervention required <4 days: SH: 1/43; CH: 1/45 Faecal incontinence: SH: 0/43; CH: 0/45 Haemorrhoidal thrombosis: SH: 2/43; CH: 0/45 Infection: systemic: SH: 0/43; CH: 0/45 Infection: wound: SH: 0/43; CH: 0/45 Urgency: SH: 0/43; CH: 0/45 Urinary retention: SH: 3/43; CH: 2/45 10-point VAS score up to 7 days: SH: median 1.5, range 0.7–6.0; CH: median 3.5, range 1.9–6.0 Operating time (minutes): SH: mean 17, SD 7.3; CH: mean 18.5, SD 6.4 Time to first bowel movement (days): SH: median 2, range 1–3; CH: median 2, range 1–4 Duration of stay (days): SH: median 1, range 1–5; CH: median 3, range 2–5 Time to normal activity (days): SH: mean 6.7, SD 4.3; CH: mean 15.6, SD 6.0 >6 weeks and < 1 year Incontinence: SH: 0/43; CH: 0/45 Symptoms controlled: SH: 41/43; CH: 43/45 Urgency: SH: 0/43; CH: 0/45 <i>Additional outcomes reported in the study</i> Mean blood loss (ml)
		Degree of haemorrhoids Grade III: 88		

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Correa-Rovelo, 2002 <sup>6</sup>	Total: 84 SH: 42 CH: 42	Age: Mean: 45.15 Range: 27–77 Number male: 41	Staple gun: NR Comparator: Ferguson	<i>Postoperative</i> Bleeding: all bleeding <4 days: SH: 1/42; CH: 0/42 Bleeding: all bleeding >10 days: SH: 14/42; CH: 23/42 Bleeding: intervention required <4 days: SH: 1/42; CH: 0/42 Faecal incontinence: SH: 0/42; CH: 1/42 Anal stenosis/anastomotic stricture: SH: 1/42; CH: 1/42 Haemorrhoidal thrombosis: SH: 0/42; CH: 0/42 Itching/pruritis: SH: 1/42; CH: 2/42 Symptoms controlled >10 days: SH: 31/41; CH: 28/41 Analgesics: other injections: SH: 21/42; CH: 42/42 Urgency: SH: 0/42; CH: 1/42 Urinary retention: SH: 1/42; CH: 3/42 Wounds healed at 6 weeks: SH: 41/41; CH: 37/41 10-point VAS score up to 7 days: SH: mean 2.8, SD 1.4; CH: mean 5.5, SD: 1.4 10-point VAS score at 10–15 days: SH: mean 1, SD 1.4; CH: mean 3.7, SD 1.5 Operating time (minutes): SH: mean 11.9, SD 3.1; CH: mean 46.4, SD 10.4 Time to first bowel movement (days): SH: mean 1.1, SD 0.3; CH: mean 1.43, SD 0.59 Time to normal activity (days): SH: mean 6.1, SD 3.5; CH: mean 15.2, SD 4.8 >6 weeks and <1 year Prolapse: SH: 1/41; CH: 0/41 Pain: SH: 2/41; CH: 3/41 Bleeding: SH: 8/41; CH: 2/41 Anal stenosis/anastomotic stricture: SH: 1/41; CH: 1/41 Incontinence: SH: 0/41; CH: 2/41 Haemorrhoidal thrombosis: SH: 0/41; CH: 0/41 Itching/pruritis: SH: 2/41; CH: 4/41 Symptoms controlled: SH: 32/41; CH: 35/41 Reintervention, total: SH: 1/41; CH: 0/41 Reintervention, prolapse: SH: 1/41; CH: 0/41 Reintervention, bleeding: SH: 1/41; CH: 0/41 Reintervention, RBL: SH: 1/41; CH: 0/41
Country: Mexico		Grade III: 60 Grade IV: 24	Anaesthesia: SH: Combination CH: Regional	
Trial dates: Start: NR Finish: NR Language: English	% Loss to follow-up at final time-point: 2%			

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
<p>Docherty, 2001<sup>78</sup>                      Country: UK                      Trial dates:                      Start: NR                      Finish: NR                      Language: English</p>	<p>Total: 46                      SH: 26                      CH: 20                      % Loss to follow-up at final time-point: NR</p>	<p>Age: NR                      Number male: NR</p>	<p>Staple gun: NR                      Comparator: Ferguson                      Anaesthesia: SH: NR                      CH: NR</p>	<p><i>Additional outcomes reported in the study</i>                      Mean maximum pain score during first 24 hours                      Mean and SD and range days taking ketorolac                      Number of patients with submucosal haemotoma, faecal impaction, skin tags, dyspareunia                      Number of patients willing to undergo same surgery</p> <p><i>Postoperative</i>                      All bleeding &lt;4 days: SH: 0/26; CH: 2/20                      Bleeding: intervention required &lt;4 days: SH: 0/26; CH: 2/20                      Urinary retention: SH: 3/26; CH: 4/20</p> <p><i>12 months</i>                      Reintervention total: SH: 5/26; CH: 4/20                      Reintervention CH: SH: 4/26; CH: 0/20                      Reintervention RBL: SH: 1/26; CH: 1/20</p> <p><i>Additional outcomes reported in the study</i>                      None</p>

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Gravie, 2005 <sup>83</sup>	Total: 126 SH: 63 CH: 63	Age: Mean: 47.5 Variance: NR Number male: NR	Degree of haemorrhoids Grades included: NR Stated that 85% had reducible prolapse, 5% had non-reducible and five patients had no prolapse	Postoperative Analgesics: opioid injections: SH: 11/63; CH: 24/63 Analgesics: oral (not specified/combination): SH: 62/63; CH: 62/63 Duration of stay (days): SH: mean 2.2, SD 1.2; CH: mean 3.1, SD 1.7 Time to first bowel movement (days): SH: mean 1.6, SD 1; CH: mean 2.1, SD 1.1 Time to normal activity (days): SH: mean 14, SD 10; CH: mean 24, SD 13 >6 weeks and <1 year Reintervention, bleeding: SH: 2/63; CH: 0/63 Reintervention, CH: SH: 1/63; CH: 0/63 Reintervention, total: SH: 3/63; CH: 3/63 2 years Prolapse: SH: 4/52; CH: 1/57 Reintervention, total: SH: 0/52; CH: 0/57 Additional outcomes reported in the study Mean consumption of analgesics, VAS score on defecation, comparability of VAS scores at different times of day Proportion of patients with improved symptoms (pain, bleeding, itching, urgency, constipation, incontinence and tenesmus) Proportion of patients for which the intervention was effective for skin tags and external, hypertrophic haemorrhoids Number of patients with fecaloma; tenesmus and problems discriminating gas and stool

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Hasse, 2004 <sup>75</sup> Country: Germany Trial dates: Start: 1998 Finish: 2001 Language: German	Total: 80 SH: 40 CH: 40 % Loss to follow-up at final time-point: 5%	Age: Mean: 47.1 Variance: NR Number male: 39 Degree of haemorrhoids Grade included: III Grade III: 80	Staple gun: PPH01 Comparator: Fransler and Anderson Anaesthesia: SH: General CH: General	Postoperative Bleeding: intervention required <4 days: SH: 3/40; CH: 1/40 Anal stenosis/anastomotic stricture: SH: 3/40; CH: 0/40 Symptoms controlled > 10 days: SH: 31/40; CH: 28/40 Wounds healed at 6 weeks: SH: 38/40; CH: 19/40 Operating time (minutes): SH: mean 16.3, SD 0; CH: mean 49, SD 11.8 Duration of stay (days): SH: mean 1, SD 0.5; CH: mean 4, SD 0.7 Time to normal activity (days): SH: mean 11.2, SD 7.1; CH: mean 21.2, SD 9.2 >6 weeks and < 1 year Symptoms controlled: SH: 32/38; CH: 21/38 12 months Prolapse: SH: 6/38; CH: 0/38 Bleeding: SH: 3/38; CH: 1/38 Symptoms controlled: SH: 33/38; CH: 29/38 Additional outcomes reported in the study None

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Hetzer, 2002 <sup>90</sup> Country: Switzerland Trial dates: Start: 1999 Finish: 2000 Language: English	Total: 40 SH: 20 CH: 20 % Loss to follow-up at final time-point: 0%	Age: Mean: 47.6 Range: 28-74 Number male: 29	Staple gun: PPH01 Comparator: Ferguson Anaesthesia: SH: Combination CH: Combination	<i>Postoperative</i> All bleeding <4 days: SH: 2/20; CH: 0/20 Bleeding: intervention required <4 days: SH: 2/20; CH: 0/20 Faecal incontinence: SH: 0/20; CH: 0/20 Haemorrhoidal thrombosis: SH: 1/20; CH: 0/20 Mortality: SH: 0/20; CH: 0/20 Urinary retention: SH: 0/20; CH: 1/20 10-point VAS score up to 7 days: SH: mean 0.8, range 0-3; CH: mean 5.4, range 1-9 Wounds healed at 6 weeks: SH: 20/20; CH: 16/20 Wounds healed at 12 weeks: SH: 20/20; CH: 16/20 Operating time (minutes): SH: median 30, range 15-45; CH: median 43, range 25-60 Duration of stay (days): SH: mean 2.4, range 1-4; CH: mean 2.1, range 1-4 Time to normal activity (days): SH: mean 6.7, range 2-14; CH: mean 20.7, range 7-45  <i>12 months</i> Pain: SH: 0/20; CH: 0/20 Prolapse: SH: 1/20; CH: 1/20 Anal stenosis/anastomotic stricture: SH: 0/20; CH: 0/20 Faecal incontinence: SH: 0/20; CH: 0/20 Reintervention, total: SH: 1/20; CH: 1/20 Reintervention, prolapse: SH: 1/20; CH: 1/20 Reintervention, RBL: SH: 1/20; CH: 1/20  <i>Additional outcomes reported in the study</i> Histological examinations of resected specimens Williams incontinence score

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Ho, 2000 <sup>63,71</sup>	Total: 119 SH: 57 CH: 62	Age: Mean: 48.6 Variance: NR Number male: 59	Staple gun: PPH01 Comparator: M&M + diathermy Anaesthesia: SH: General CH: General	Postoperative All bleeding <4 days: SH: 2/57; CH: 0/62 All bleeding >10 days: SH: 19/57; CH: 33/62 Bleeding: intervention required <4 days: SH: 0/57; CH: 0/62 Bleeding: intervention required >10 days: SH: 0/57; CH: 3/62 Anal stenosis/anastomotic stricture: SH: 5/57; CH: 5/62 Faecal incontinence: SH: 0/57; CH: 2/62 Urinary retention: SH: 1/57; CH: 0/62 Haemorrhoidal thrombosis: SH: 1/57; CH: 0/62 Infection: systemic: SH: 0/57; CH: 1/62 Itching/pruritis: SH: 5/57; CH: 11/62 Mucus/slime discharge: SH: 0/57; CH: 3/62 Pelvic/perianal sepsis/septic shock: SH: 0/57; CH: 0/62 Wounds healed at 6 weeks: SH: 57/57; CH: 53/62 Wounds healed at 12 weeks: SH: 57/57; CH: 62/62 Pain: 10-point VAS score up to 7 days: SH: mean 4.5, SE 0.4; CH: mean 5, SE 0.4 Pain: 10-point VAS score at 10–15 days: SH: mean 3.8, SE 0.5; CH: mean 4.8, SE 0.4 Operating time (minutes): SH: mean 17.6, SE 1.3; CH: mean 11.4, SE 0.9 Duration of stay (days): SH: mean 2.1, SE 0.1; CH: mean 2, SE 0.1 Time to normal activity (days): SH: mean 17.1, SE 1.9; CH: mean 22.9, SE 1.8 >6 weeks and <1 year Pain: SH: 1/57; CH: 3/62 Bleeding: SH: 1/57; CH: 2/62 Incontinence: SH: 0/57; CH: 1/62 Itching/pruritis: SH: 2/57; CH: 2/62 Pelvic/perianal sepsis: SH: 0/57; CH: 0/62 18 months Pain: SH: 1/27; CH: 1/33 Prolapse: SH: 3/27; CH: 1/33 Itching/pruritis: SH: 1/27; CH: 2/33 Reintervention, total: SH: 2/27; CH: 4/33
		Degree of haemorrhoids Grades included: II+III Grade II: NR Grade III: NR Grade IV: NR		

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
				<p>Reintervention, CH: SH: 1/27; CH: 1/33  Reintervention, RBL: SH: 0/27; CH: 1/33</p> <p><i>Additional outcomes reported in the study</i></p> <p>Maximum pain score in hospital and 2 and 6 weeks, and at bowel movement</p> <p>Number of patients with tenderness at DRE; perception of skin tags; observer noted skin tags; faecal impaction; who had a bowel movement prior to discharge</p> <p>Mean and SE bowel movements/week</p>

continued



TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Kairaluoma, 2003 <sup>82</sup>	Total: 60 SH: 30 CH: 30	Age: Range: 17–65 Number male: 32	Staple gun: PPH01 Comparator: M&M + diathermy Anaesthesia: SH: General CH: General	<i>Postoperative</i> Anal stenosis/anastomotic stricture: SH: 1/30; CH: 1/30 All bleeding <4 days: SH: 2/30; CH: 0/30 All bleeding >10 days: SH: 10/30; CH: 2/30 Bleeding: intervention required <4 days: SH: 2/30; CH: 0/30 Day cases: SH: 30/30; CH: 30/30 Infection: systemic: SH: 1/30; CH: 1/30 Faecal incontinence: SH: 4/30; CH: 2/30 Residual prolapse: SH: 12/30; CH: 1/30 Symptoms controlled >10 days: SH: 15/30; CH: 27/30 10-point VAS score up to 7 days: SH: median 3.36; CH: median 5.88 (estimated from figure) 10-point VAS score at 10–15 days: SH: median 0; CH: median 1.47 (estimated from figure) Operating time (minutes): SH: mean 21.86, SD 9.09; CH: mean 22.46, SD 6.409 Time to normal activity (days): SH: median 8, range 1–21; CH: median 14, range 1–33
	% Loss to follow-up at final time-point: 0%			<i>12 months</i> Prolapse: SH: 5/30; CH: 0/30 Bleeding: SH: 4/30; CH: 1/30 Incontinence: SH: 3/30; CH: 1/30 Pain: SH: 0/30; CH: 0/30 Symptoms controlled: SH: 22/30; CH: 28/30 Reintervention, total: SH: 8/30; CH: 1/30 Reintervention, prolapse: SH: 7/30; CH: 1/30 Reintervention, bleeding: SH: 7/30; CH: 1/30 Reintervention, CH: SH: 4/30; CH: 0/30 Reintervention, RBL: SH: 3/30; CH: 1/30 Reintervention, skin tag removal: SH: 1/30; CH: 0/30 <i>Additional outcomes reported in the study</i> Number of patients constipated; feeling a lump; feeling of incompleteness on defecation; or feeling a blockage

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Kraemer, 2005 <sup>28</sup>	Total: 50 SH: 25 CH: 25	Age: Range: 28–82 Number male: 27	Staple gun: PPH01 Comparator: M&M + LigaSure	Postoperative Anal fissure: SH: 0/25; CH: 1/25 Anal stenosis/anastomotic stricture: SH: 0/25; CH: 1/25 All bleeding <4 days: SH: 0/25; CH: 1/25 All bleeding > 10 days: SH: 3/25; CH: 4/25 Faecal incontinence: SH: 0/25; CH: 0/25 Itching/pruritis: SH: 2/25; CH: 1/25 Analgesics: opioid injections SH: 1/25; CH: 0/25 Analgesics: oral (not specified/combination): SH: 25/25; CH: 25/25 Residual prolapse: SH: 2/25; CH: 0/25 Symptoms controlled > 10 days: SH: 21/25; CH: 21/25 Urinary retention: SH: 4/25; CH: 2/25 Duration of stay (days): SH: mean 4, range 2–10; CH: mean 5, range 2–10 Operating time (minutes): SH: mean 21, range 6–54; CH: mean 26, range 10–80 Pain: 10-point VAS score up to 7 days: SH: mean 4.2; CH: mean 3.7 Pain: 10-point VAS score at 10–15 days: SH: mean 2.3; CH: mean 2.4 Time to first bowel movement (days): SH: mean 2, range 1–4; CH: mean 3, range 1–5 Additional outcomes reported in the study None
Country: Germany		Grades included: III+IV Grade III: 46 Grade IV: 4	Fransler–Arnold segmental plastic reconstruction in six patients Anaesthesia: SH: Combination CH: Combination	
Trial dates: Start: NR Finish: NR Language: English	% Loss to follow-up at final time-point: 0%			

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
<p>Kraska, 2003<sup>81</sup>                      Country: Czech Republic                      Trial dates: Start: NR                      Finish: NR                      Language: English</p>	<p>Total: 50                      SH: 25                      CH: 25                      % Loss to follow-up at final time-point: 0%</p>	<p>Age: Mean: 50.8                      Variance: NR                      Number male: 37</p> <p>Grade included: III                      Grade III: 50</p>	<p>Staple gun:                      NR                      Comparator: M&amp;M                      Anaesthesia: SH: Regional                      CH: Regional</p>	<p>Postoperative                      Anal fissure: SH: 0/25; CH: 0/25                      Anal stenosis/anastomotic stricture: SH: 0/25; CH: 0/25                      Faecal incontinence: SH: 0/25; CH: 0/25                      Haemorrhoidal thrombosis: SH: 0/25; CH: 0/25                      Infection: systemic: SH: 0/25; CH: 0/25                      Infection: wound: SH: 0/25; CH: 0/25                      Mortality: SH: 0/25; CH: 0/25                      Pelvic/perianal sepsis/septic shock: SH: 0/25; CH: 0/25                      Residual prolapse: SH: 0/25; CH: 0/25                      Urgency: SH: 0/25; CH: 0/25                      Urinary retention: SH: 0/25; CH: 0/25                      10-point VAS score up to 7 days: SH: mean 4; CH: mean 7.4 (converted from a five-point scale)                      Bleeding: all bleeding &lt;4 days: SH: 0/25; CH: 1/25                      Bleeding: intervention required &lt;4 days: SH: 0/25; CH: 1/25                      Duration of stay (days): SH: mean 3.5; CH: mean 6.2                      Operating time (minutes): SH: mean 28; CH: mean 46                      Time to normal activity (days): SH: mean 12; CH: mean 25.3                      Additional outcomes reported in the study                      None</p>
<p>Lau, 2004<sup>93</sup>                      Country: Hong Kong                      Trial dates: Start: 2001                      Finish: 2002                      Language: English</p>	<p>Total: 24                      SH: 13                      CH: 11                      % Loss to follow-up at final time-point: 0%</p>	<p>Age: Mean: 49.1                      Variance: NR                      Number male: 11</p> <p>Grades included: II-IV                      Grade II: 13                      Grade III: 6                      Grade IV: 4                      One patient not classified</p>	<p>Staple gun:                      PPH01                      Comparator: M&amp;M + diathermy                      Anaesthesia: SH: General                      CH: General</p>	<p>Postoperative                      Residual prolapse: SH: 6/13; CH: 1/11                      All bleeding &lt;4 days: SH: 0/13; CH: 0/11                      Bleeding: intervention required &lt;4 days: SH: 0/13; CH: 0/11                      Faecal incontinence: SH: 0/13; CH: 0/11                      Itching/pruritis: SH: 1/13; CH: 4/11                      Urinary retention: SH: 0/13; CH: 1/11                      10-point VAS score up to 7 days: SH: mean 3.5, SD 2.5; CH: mean 2.6, SD 1.5                      Operating time (minutes): SH: mean 35.4, SD 9.89; CH: mean 29.8, SD 13.01                      Duration of stay (days): SH: mean 1.44, SD 0.53; CH: mean 2.13, SD 0.84                      Additional outcomes reported in the study                      None</p>

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Ortiz, 2002 <sup>89</sup>	Total: 55	Age: Mean: 47.6	Staple gun: PPH01	<i>Postoperative</i> Residual prolapse: SH: 0/27; CH: 0/28
Country: Spain	SH: 27 CH: 28	Variance: NR	Comparator: M&M + diathermy	Bleeding: intervention required <4 days: SH: 0/27; CH: 1/28
Trial dates: Start: 1999 Finish: 2000	% Loss to follow-up at final time-point: 0%	Grade III: 29 Grade IV: 26	Anaesthesia: SH: Regional CH: Regional	Haemorrhoidal thrombosis: SH: 1/27; CH: 0/28 Infection: wound: SH: 1/27; CH: 1/28 Analgesics: injections (not specified/combination): SH: 3/27; CH: 5/28 Analgesics: oral (not specified/combination): SH: 27/27; CH: 28/28 Urinary retention: SH: 6/27; CH: 10/28 Operating time (minutes): SH: mean 19, range 14–35; CH: mean 33.5, range 15–90
Language: English				Time to first bowel movement (days): SH: mean 2.9, range 0–5; CH: mean 3.2, range 1–6 Time to normal activity (days): SH: mean 23.1, range 0–98; CH: mean 26.6, range 0–112
				<i>16 months</i> Prolapse: SH: 7/27; CH: 0/28 Pain: SH: 1/27; CH: 0/28 Bleeding: SH: 2/27; CH: 1/28 Incontinence: SH: 0/27; CH: 0/28 Urgency: SH: 2/27; CH: 4/28 Anal stenosis/anastomotic stricture: SH: 0/27; CH: 0/28 Itching/pruritis: SH: 3/27; CH: 2/28 Reintervention, total: SH: 3/27; CH: 0/28 Reintervention, prolapse: SH: 3/27; CH: 0/28 Reintervention, CH: SH: 3/27; CH: 0/28
				<i>Additional outcomes reported in the study</i> Number of patients with difficulty evacuating or skin tags

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Ortiz, 2005 <sup>88</sup>	Total: 31 SH: 15 CH: 16	Age: Mean: 48 Range: 28-69 Number male: 19	Staple gun: PPH01  Comparator: M&M + diathermy  Anaesthesia: SH: Regional CH: Regional	Postoperative Residual prolapse: SH: 0/15; CH: 0/16 Bleeding: intervention required <4 days: SH: 0/15; CH: 1/16 Analgesics: opioid injections: SH: 1/15; CH: 2/16 Haemorrhoidal thrombosis: SH: 1/15; CH: 0/16 Operating time (minutes): SH: mean 24, range 15-37; CH: mean 39, range 10-90 Time to first bowel movement (days): SH: mean 3.14, range 1-5; CH: mean 3.5, range 1-6 Time to first bowel movement (days): SH: mean 1.6, SD 1; CH: mean 2.1, SD: 1.1
Country: Spain		Grade included: IV		
Trial dates: Start: 2001 Finish: 2002 Language: English	% Loss to follow-up at final time-point: 0%	Grade IV: 31		
				12 months Pain: SH: 0/15; CH: 0/16 Prolapse: SH: 8/15; CH: 0/16 Bleeding: SH: 1/15; CH: 1/16 Incontinence: SH: 0/15; CH: 0/16 Itching/pruritis: SH: 6/15; CH: 1/16 Urgency: SH: 2/15; CH: 3/16 Reintervention, total: SH: 5/15; CH: 0/16 Reintervention, prolapse: SH: 5/15; CH: 0/16 Reintervention, CH: SH: 5/15; CH: 0/16  <i>Additional outcomes reported in the study</i> Mean and range pain scores over first 14 days (100-mm VAS) Number of patients needing haemostatic sutures and number of stitches required Number of patients with skin tags or tenesmus

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Palimento, 2003 <sup>70,86</sup>	Total: 74 SH: 37 CH: 37	Age: Range: 25–84 Number male: 47	Staple gun: PPH01  Comparator: M&M + diathermy	<i>Postoperative</i> All bleeding <4 days: SH: 2/37; CH: 1/37 Bleeding: intervention required: <4 days: SH: 1/37; CH: 1/37 Urinary retention: SH: 5/37; CH: 8/37 10-point VAS score up to 7 days: SH: median 3, range 1–6; CH: median 5, range 3–7 Operating time (minutes): SH: median 25, range 15–49; CH: median 30, range 20–44 Time to normal activity (days): SH: median 28, range 12–40; CH: median 34, range 16–50
	% Loss to follow-up at final time-point: 0%	Grades included: III+IV Grade III: 34 Grade IV: 40	Anaesthesia: SH: Regional CH: Regional	<i>18 months</i> Bleeding: SH: 8/37; CH: 5/37 Incontinence: SH: 0/37; CH: 0/37 Pain: SH: 6/37; CH: 7/37
				<i>5 years</i> Prolapse: SH: 0/31; CH: 0/29 Pain: SH: 4/37; CH: 3/37 Bleeding: SH: 3/37; CH: 2/37 Anal stenosis/anastomotic stricture: SH: 0/31; CH: 0/29 Incontinence: SH: 0/37; CH: 0/37
				<i>Additional outcomes reported in the study</i> Median and range use of diclofenac and symptom severity score at 4 weeks Number of days to pain-free defecation

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Pavlidis, 2002 <sup>85</sup> Country: Greece Trial dates: Start: 1999 Finish: 2000 Language: English	Total: 80 SH: 40 CH: 40 % Loss to follow-up at final time-point: NR	Age: Mean: 47.5 Range: 29-75 Number male: 47 Grades included: II-IV Grade II: 16 Grade III: 55 Grade IV: 9	Staple gun: PPH01 Comparator: M&M + diathermy Anaesthesia: SH: Regional CH: Regional	Postoperative Bleeding: intervention required <4 days: SH: 3/40; CH: 2/40 Faecal incontinence: SH: 0/40; CH: 1/40 10-point VAS score up to 7 days: SH: mean 0.7, SD 0.2; CH: mean 2.4, SD 0.5 Operating time (minutes): SH: mean 23, SD 5; CH: mean 35, SD 10 Duration of stay (days): SH: mean 1.7, SD 0.5; CH: mean 3.2, SD 0.3 >6 weeks and <1 year Bleeding: SH: 0/40; CH: 0/40 Anal stenosis/anastomotic stricture: SH: 0/40; CH: 0/40 Haemorrhoidal thrombosis: SH: 0/40; CH: 0/40 Prolapse: SH: 0/40; CH: 0/40 Pain: SH: 0/40; CH: 0/40 Incontinence: SH: 0/40; CH: 0/40 Urgency: SH: 0/40; CH: 0/40 Itching/pruritis: SH: 0/40; CH: 0/40 Pelvic/perianal sepsis: SH: 0/40; CH: 0/40 Rectovaginal fistula: SH: 0/40; CH: 0/40 Symptoms controlled: SH: 40/40; CH: 40/40 Reintervention, total: SH: 0/40; CH: 0/40 Reintervention, prolapse: SH: 0/40; CH: 0/40 Reintervention, pain: SH: 0/40; CH: 0/40 Reintervention, bleeding: SH: 0/40; CH: 0/40 Reintervention, CH: SH: 0/40; CH: 0/40 Reintervention, RBL: SH: 0/40; CH: 0/40 Reintervention, sclerotherapy: SH: 0/40; CH: 0/40 Reintervention, SH: SH: 0/40; CH: 0/40 Reintervention, skin tag removal: SH: 0/40; CH: 0/40 Reintervention, surgery: SH: 0/40; CH: 0/40 12 months Prolapse: SH: 0/40; CH: 0/40 Pain: SH: 0/40; CH: 0/40 Bleeding: SH: 0/40; CH: 0/40 Incontinence: SH: 1/40; CH: 1/40 Urgency: SH: 0/40; CH: 0/40 Anal stenosis/anastomotic stricture: SH: 0/40; CH: 0/40

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Ren, 2002 <sup>77</sup>	Total: 90 SH: 45 CH: 45 % Loss to follow-up at final time-point: NR	Age: Range: 29–82 Number male: 60	Staple gun: PPH01 Comparator: M&M Anaesthesia: SH: General CH: General	Haemorrhoidal thrombosis: SH: 0/40; CH: 0/40 Itching/pruritis: SH: 0/40; CH: 0/40 Pelvic/perianal sepsis: SH: 0/40; CH: 0/40 Rectovaginal fistula: SH: 0/40; CH: 0/40 Symptoms controlled: SH: 40/40; CH: 40/40 Reintervention, total: SH: 0/40; CH: 0/40 Reintervention, prolapse: SH: 0/40; CH: 0/40 Reintervention, pain: SH: 0/40; CH: 0/40 Reintervention, bleeding: SH: 0/40; CH: 0/40 Reintervention, CH: SH: 0/40; CH: 0/40 Reintervention, RBL: SH: 0/40; CH: 0/40 Reintervention, sclerotherapy: SH: 0/40; CH: 0/40 Reintervention, SH: SH: 0/40; CH: 0/40 Reintervention, skin tag removal: SH: 0/40; CH: 0/40 Reintervention, surgery: SH: 0/40; CH: 0/40 Additional outcomes reported in the study Mean consumption of epidural morphine
		Grades included: III+IV Grade III: 68 Grade IV: 22	Postoperative All bleeding <4 days: SH: 28/45; CH: 0/45 Anal stenosis/anastomotic stricture: SH: 0/45; CH: 0/45 Faecal incontinence: SH: 6/45; CH: 7/45 Analgesics: injections (not specified/combination): SH: 6/45; CH: 17/45 Wounds healed at 6 weeks: SH: 45/45; CH: 42/45 10-point VAS score up to 7 days: SH: mean 2.2, SD 0.4; CH: mean 6.4, SD 2.1 (state a scale –5 to +5 used, but seem to give results for 0–10-point scale) Operating time (minutes): SH: mean 12.3, SD 6.7; CH: mean 17.6, SD 9.3 Duration of stay (days): SH: mean 5.8, SD 2.3; CH: mean 11.2, SD 3.7 Time to normal activity (days): SH: mean 7.9, SD 3.2; CH: mean 14.2, SD 6.5 >6 weeks and <1 year Symptoms controlled: SH: 40/45; CH: 37/45 Additional outcomes reported in the study Number of patients requiring additional sutures perioperatively Number of patients with 'external swelling' (translation: 'papillae')	

continued



TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Schmidt, 2002 <sup>74</sup>	Total: 152 SH: 72 CH: 80	Age: Range: 24–91 Number male: 94	Staple gun: NR Parks and Fransler–Arnold	Postoperative All bleeding <4 days: SH: 3/72; CH: 6/80 Bleeding: intervention required <4 days: SH: 0/72; CH: 1/80 Faecal incontinence: SH: 0/72; CH: 3/80 Urinary retention: SH: 8/72; CH: 16/80 10-point VAS score up to 7 days: SH: mean 1.83; CH: mean 3.74 Operating time (minutes): SH: mean 21.65; CH: mean 52.98 Duration of stay (days): SH: mean 3.04, range 1–8; CH: mean 6.14, range 3–9 Time to normal activity (days): SH: mean 6.2; CH: mean 14.5 Additional outcomes reported in the study None
Senagore, 2004 <sup>91</sup>	Total: 156 SH: 77 CH: 79	Age: Mean: 49.5 Range: 23–78 Number male: 107	Staple gun: PPH01 Comparator: Ferguson Anaesthesia: SH: NR CH: NR	Postoperative All bleeding <4 days: SH: 7/77; CH: 4/79 Anal fissure: SH: 0/77; CH: 2/79 Anal stenosis/anastomotic stricture: SH: 2/77; CH: 0/79 Day cases: SH: 73/74; CH: 75/77 Faecal incontinence: SH: 3/77; CH: 4/79 Infection: wound SH: 0/77; CH: 1/79 Infection: systemic SH: 0/77; CH: 4/79 Itching/pruritis: SH: 3/77; CH: 3/79 Analgesics: oral (not specified/combination): SH: 53/77; CH: 65/79 Urinary retention: SH: 10/77; CH: 6/79 Urgency: SH: 0/77; CH: 1/79 Wounds healed at 6 weeks: SH: 77/77; CH: 73/79 10-point VAS score up to 7 days: SH: mean 5; CH: mean 6.25 (estimated from figures) 10-point VAS score at 10–15 days: SH: mean 2; CH: mean 3 (estimated from figures) Operating time (minutes): SH: mean 31; CH: mean 35 Duration of stay (days): SH: range: 0–2; CH: range 0–2 Time to first bowel movement (days): SH: mean 1.4, 95% CI 1 to 1.8; CH: mean 2, 95% CI 1.6 to 2.5

continued

TABLE 64 Clinical effectiveness RCTs (cont d)

Study	Participants		Interventions	Results
	Number	Population Degree of haemorrhoids		
				<p>&gt;6 weeks and &lt;1 year                      Prolapse: SH: 5/77; CH: 0/79                      Bleeding: SH: 10/77; CH: 17/79                      Incontinence: SH: 3/77; CH: 10/79                      Symptoms controlled: SH: 63/77; CH: 51/79</p> <p>12 months                      Prolapse: SH: 2/59; CH: 2/58                      Bleeding: SH: 9/59; CH: 6/58                      Incontinence: SH: 3/59; CH: 6/58                      Symptoms controlled: SH: 44/59; CH: 48/58                      Reintervention, total: SH: 2/59; CH: 4/58                      Reintervention, RBL: SH: 2/59; CH: 0/58                      Reintervention, skin tag removal: SH: 0/59; CH: 1/58                      Reintervention, surgery: SH: 0/59; CH: 3/58</p> <p><i>Additional outcomes reported in the study</i>                      Number of patients scoring no, mild, moderate, severe and maximum pain at first bowel movement                      Mean 10-point VAS pain scores on bowel movement and change in VAS score at 0–14 days                      Number of patients with emesis/vomiting, abdominal distension, dysurea, inflammation/burning, constipation or chills                      % Patients with new or worsening symptoms</p>

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Shalaby, 2001 <sup>95</sup> Country: Saudi Arabia Trial dates: Start: 1997 Finish: 1998 Language: English	Total: 200 SH: 100 CH: 100 % Loss to follow-up at final time-point: 12.5%	Age: Point estimate Mean: 46.6 SD: 13.1 Number male: 124	Staple gun: PPH01 Comparator: M&M Anaesthesia: SH: General CH: General	Postoperative Residual prolapse: SH: 1/100; CH: 2/100 Anal fissure: SH: 1/100; CH: 0/100 Bleeding: intervention required <4 days: SH: 1/100; CH: 2/100 Urinary retention: SH: 7/100; CH: 14/100 Haemorrhoidal thrombosis: SH: 3/100; CH: 3/100 Analgesics: injections (not specified/combination): SH: 49/100; CH: 100/100 10-point VAS score up to 7 days: SH: mean 2.5, SD 1.3; CH: mean 7.6, SD 0.7 Days to healing: SH: mean 7, SD 1.2; CH: mean 30.5, SD 5.8 Operating time (minutes): SH: mean 9, SD 2.7; CH: mean 19.7, SD 4.7 Duration of stay (days): SH: mean 1.1, SD 0.2; CH: mean 2.2, SD 0.5 Time to normal activity (days): SH: mean 8.2, SD 1.9; CH: mean 53.9, SD 5.8 12 months Prolapse: SH: 1/95; CH: 2/80 Incontinence: SH: 0/95; CH: 0/80 Anal stenosis/anastomotic stricture: SH: 2/95; CH: 5/80 Reintervention, total SH: 3/95; CH: 5/80 Reintervention, SH: SH: 1/95; CH: 0/80 Reintervention, surgery: SH: 1/95; CH: 2/80 Additional outcomes reported in the study Mean VAS scores at first motion and number of doses of analgesia per day Number of patients with skin tags, tenesmus; feeling a lump; feeling of incompleteness on defecation or feeling a blockage
				continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Thaha, 2003 <sup>73</sup>	Total: 90 SH: 48 CH: 42	Age: Median: 50 Range: 24–81 Number male: 52	Staple gun: NR Comparator: Ferguson	Postoperative Pain: 10-point VAS score up to 7 days: SH: mean 1.9, SD 1.58; CH: mean 3.1, SD 1.97 Additional outcomes reported in the study Accumulative VAS score, mean VAS score at first bowel movement Time to first bowel movement (stated no difference; data not provided)
Country: UK Trial dates: Start: NR Finish: NR Language: English	% Loss to follow-up at final time-point: 0%	Grades included: NR	Anaesthesia: SH: NR CH: NR	
Thaha, 2004 <sup>72</sup>	Total: 182 SH: 91 CH: 91	Age: Median: 50 Range: 24–81 Number male: 103	Staple gun: NR Comparator: Ferguson Anaesthesia: SH: NR CH: NR	Postoperative Time to normal activity (days): SH: mean 14; CH: mean 14 Additional outcomes reported in the study Days of analgesia intake Days to become pain free
	% Loss to follow-up at final time-point: 0%			

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
<p>Van de Stadt, 2005<sup>80</sup></p> <p>Country: Belgium</p> <p>Trial dates: Start: 2000 Finish: 2001</p> <p>Language: English</p>	<p>Total: 40 SH: 20 CH: 20</p> <p>% Loss to follow-up at final time-point: 0%</p>	<p>Age: Mean: 48 Range: 19–78</p> <p>Number male: 29</p> <p>Degree of haemorrhoids: Grade I: NR Grade II: NR Grade III: NR Grade IV: NR</p>	<p>Staple gun: PPH01</p> <p>Comparator: M&amp;M</p> <p>Anaesthesia: SH: Combination CH: Combination</p> <p>Only one patient in each arm of the trial did not have general anaesthesia</p>	<p>Postoperative</p> <p>Anal fissure: SH: 1/20; CH: 2/20</p> <p>Anal stenosis/anastomotic stricture: SH: 0/20; CH: 2/20</p> <p>Bleeding: intervention required &lt;4 days: SH: 0/20; CH: 1/20</p> <p>Day cases: SH: 5/20; CH: 0/20</p> <p>Haemorrhoidal thrombosis: SH: 2/20; CH: 0/20</p> <p>Urinary retention: SH: 2/20; CH: 0/20</p> <p>Wounds healed at 6 weeks: SH: 19/20; CH: 14/20</p> <p>Wounds healed at 12 weeks: SH: 20/20; CH: 20/20</p> <p>10-point VAS score up to 7 days: SH: mean 2.6; CH: mean 4.7</p> <p>10-point VAS score at 10–15 days: SH: mean 1.5; CH: mean 2.8</p> <p>Operating time (minutes): SH: mean 22.2; CH: mean 25.7</p> <p>Duration of stay (days): SH: mean 1.5; CH: mean 2.25</p> <p>&gt;6 weeks and &lt;1 year</p> <p>Incontinence: SH: 2/20; CH: 0/20</p> <p>Urgency: SH: 2/20; CH: 2/20</p> <p>46 months</p> <p>Prolapse: SH: 5/20; CH: 0/20</p> <p>Pain: SH: 6/20; CH: 3/20</p> <p>Bleeding: SH: 5/20; CH: 6/20</p> <p>Anal stenosis/anastomotic stricture: SH: 0/20; CH: 2/20</p> <p>Haemorrhoidal thrombosis: SH: 1/20; CH: 0/20</p> <p>Incontinence: SH: 0/20; CH: 0/20</p> <p>Itching/pruritis: SH: 4/20; CH: 1/20</p> <p>Urgency: SH: 0/20; CH: 0/20</p> <p>Reintervention, total: SH: 4/20; CH: 0/20</p> <p>Reintervention, prolapse: SH: 4/20; CH: 0/20</p> <p>Reintervention, pain: SH: 0/20; CH: 0/20</p> <p>Reintervention, bleeding: SH: 0/20; CH: 0/20</p> <p>Reintervention, surgery: SH: 4/20; CH: 0/20</p> <p>Additional outcomes reported in the study</p> <p>10-mm VAS score at defecation</p> <p>Anal manometry</p> <p>Number of patients with hypertrophic healing and persistent symptomatic skin tags</p>

continued

TABLE 64 Clinical effectiveness RCTs (cont'd)

Study	Participants		Interventions	Results
	Number	Population		
Wilson, 2002 <sup>45,160-162</sup>	Total: 62 SH: 32 CH: 30	Age: Range: 40-67 Number male: NR	Grade included: III Grade III: 62	
Country: UK				
Trial dates: Start: NR Finish: NR	% Loss to follow-up at final time-point: 0%			
Language: English				
<p>Staple gun: PPH01 Comparator: M&amp;M Anaesthesia: SH: NR CH: NR</p> <p>Postoperative Analgesics: injections (not specified/combination): SH: 0/32; CH: 0/30 Bleeding: all bleeding &lt;4 days: SH: 2/32; CH: 0/30 Bleeding: intervention required &lt;4 days: SH: 2/32; CH: 0/30 Urinary retention: SH: 10/32; CH: 0/30 Operating time (minutes): SH: median 12; CH: median 18 Duration of stay (days): SH: median 1, range 0.9-2; CH: median 1.9, range 1-2 Time to first bowel movement (days): SH: median 1, range 1-3; CH: median 1, range 1-2 Time to normal activity (days): SH: median 14; CH: median 18</p> <p><i>Additional outcomes reported in the study</i> Median and IQR number of injections and tablets used and blood loss 27 patients included in a third arm who had haemorrhoidopexy using Autosuture (Tyco) were excluded</p>				
DRE, digital rectal examination.				

TABLE 65 Economic evaluation

<b>Surname of first author, date of publication</b>	Farinetti, 2000 <sup>67</sup>
<b>Type of economic evaluation</b>	Cost analysis
<b>Currency used, year</b>	Lire, year not specified but assumed to be 1998. Conversion rate used 1 Italian lira = 0.0003427 British pound <a href="http://www.oanda.com/convert/classic">http://www.oanda.com/convert/classic</a>
<b>Study design</b>	Prospective, matched-controlled study
<b>Perspective</b>	Not specified, but likely to be the healthcare system
<b>Participants</b>	35 patients in each arm of the study with similar ages, gender and severity of haemorrhoids
<b>Setting, country of study</b>	Secondary care, single centre, Italy
<b>Intervention group</b>	Circular stapler, Ethicon Endo CDH33 (SH)
<b>Control group</b>	M&M technique (CH)
<b>Resources used</b>	Preadmission outpatient appointment, surgery, inpatient stay
<b>Source of effectiveness data</b>	Effectiveness data was not included
<b>Length of follow-up</b>	Until discharged from hospital
<b>Source of resource-use data</b>	A survey conducted within a single hospital. However, only fixed estimates were provided
<b>Source of unit cost data</b>	National government, microcosting and regional government costs
<b>Link between cost and effectiveness data</b>	NA
<b>Clinical outcomes measured and methods of valuation used</b>	NR
<b>Outcome results/adverse events</b>	NR
<b>Cost data handled appropriately</b>	Resource use was not reported separately from costs
<b>Cost results</b>	Preadmission outpatient appointment = Lire 100,900 (SH) vs Lire 100,900 (CH) = £35 (SH) vs £35 (CH) Surgery = Lire 896,992 (SH) vs Lire 300,067 (CH) = £307 (SH) vs £103 (CH) Inpatient stay = Lire 600,000 (SH) vs Lire 120,000 (CH) = £206 (SH) vs £412 (CH) Total costs = Approx. Lire 1,600,000 for either type of surgery = £550
<b>Subgroup analysis</b>	None
<b>Modelling summary</b>	Not undertaken
<b>Direction of result with appropriate quadrant location</b>	NA
<b>Statistical analysis for patient-level stochastic data</b>	Not undertaken
<b>Appropriateness of statistical analysis</b>	Not undertaken
<b>Uncertainty around cost-effectiveness expressed and appropriateness of method of dealing with uncertainty around this</b>	Not undertaken
<b>Sensitivity analysis and appropriateness</b>	Not undertaken
<b>Modelling inputs and techniques appropriate</b>	Not undertaken
<b>Authors' conclusions</b>	The cost of either operation is very similar; however, SH has the advantage of management savings in terms of shorter inpatient stays following surgery. The authors suggest that SH is also associated with faster physical recovery, less need for subsequent outpatient appointments and more opportunities for earlier return to work by patients
<b>Comments</b>	No assessment of uncertainty or variation in costs and resource use. No assessment of day case. Very limited generalisability of results to the UK setting





## Appendix 7

### Sensitivity analyses

Visual inspection of the forest plots showed no apparent effect of the comparator CH technique used, or the inclusion of results from studies that did not specify the staple gun used, on the results for any outcome. Therefore, sensitivity analyses were not undertaken to investigate these factors.

#### Loss to follow-up

Four studies had a high loss to follow-up at the final time-point and four studies reporting outcomes beyond 6 weeks did not report losses to follow-up (*Table 66*).

To determine the effect of these studies on the results of the meta-analyses of primary outcomes, those not reporting the loss to follow-up were removed from the analyses, and high losses to follow-up were subject to best case, worst case analyses. The study by Ren<sup>77</sup> did not contribute to any of the analyses of primary outcomes beyond 6 weeks. The results of the sensitivity analyses for data at 12 months are given in *Table 67*, and at longer term follow-up in *Table 68*.

*Table 67* demonstrates that excluding studies that did not report loss to follow-up, and assuming best case and worst case scenarios for patients lost to follow-up where this rate was high, did not alter the overall conclusion in relation to the number of patients complaining of bleeding at 12 months; there was no significant difference between SH

and CH, with no significant heterogeneity between studies.

There was also no significant difference in the number of patients complaining of prolapse at 12 months between SH and CH. However, the worst case scenario resulted in significant heterogeneity between studies.

*Table 68* shows that assuming best case and worst case scenarios for all patients lost to follow-up, where this rate was high, did not alter the overall conclusion in relation to the number of patients complaining of pain beyond 12 months; there was no significant difference between SH and CH. However, with a worst case scenario there was statistically significant heterogeneity between studies.

The significantly higher rate of prolapse beyond 12 months was still evident with both a best case and worst case scenario; there remained no significant heterogeneity between the studies for either analysis.

#### VAS pain score during the early postoperative period

The underpowered trial by Lau,<sup>93</sup> conducted in Hong Kong, which recruited a high proportion of patients (57%) with second degree haemorrhoids and had the longest operating time, seemed to be responsible for much of the heterogeneity for this

**TABLE 66** Trials that either did not report the loss to follow-up, or reported a high loss to follow-up at the final time-point

Study	Follow-up period	Language	Abstract or full paper?	Losses reported?	% Loss to follow-up
Shalaby, 2001 <sup>95</sup>	12 months	English	Full paper	Yes	12.5
Senagore, 2004 <sup>91</sup>	12 months	English	Full paper	Yes	25
Ho, 2000 <sup>63,71</sup>	18 months	English	Full paper	Yes	49.5
Gravie, 2005 <sup>83</sup>	2 years	English	Full paper	Yes	13.5
Ren, 2002 <sup>77</sup>	4 months	English	Full paper	No	?
Ascanelli, 2005 <sup>76</sup>	12 months	Italian	Full paper	No	?
Pavlidis, 2002 <sup>85</sup>	12 months	English	Full paper	No	?
Docherty, 2001 <sup>78</sup>	12 months	English	Abstract	No	?

**TABLE 67** Results of the sensitivity analyses for outcomes at 12 months

<b>Number of patients complaining of bleeding at 12 months</b>	
Overall results <sup>75,76,82,85,88,91</sup>	OR 2.09 (95% CI 0.91 to 4.83, $p = 0.08$ ) Heterogeneity: $p = 0.85$ , $I^2 = 0\%$
Studies not reporting loss to follow-up excluded <sup>76,85</sup>	OR 1.95 (95% CI 0.82 to 4.64, $p = 0.13$ ) Heterogeneity: $p = 0.80$ , $I^2 = 0\%$
Losses to follow-up: best case <sup>91</sup>	OR 1.98 (95% CI 0.84 to 4.66, $p = 0.12$ ) Heterogeneity: $p = 0.81$ , $I^2 = 0\%$
Losses to follow-up: worst case <sup>91</sup>	OR 1.24 (95% CI 0.68 to 2.26, $p = 0.48$ ) Heterogeneity: $p = 0.54$ , $I^2 = 0\%$
<b>Number of patients complaining of prolapse at 12 months</b>	
Overall results <sup>75,82,85,88,90,91,95</sup>	OR 3.20 (95% CI 0.71 to 14.45, $p = 0.40$ ) Heterogeneity: $p = 0.08$ , $I^2 = 48.8\%$
Losses to follow-up: best case <sup>91,95</sup>	OR 3.30 (95% CI 0.76 to 14.30, $p = 0.11$ ) Heterogeneity: $p = 0.10$ to $I^2 = 46\%$
Losses to follow-up: worst case <sup>91,95</sup>	OR 2.09 (95% CI 0.49 to 8.94, $p = 0.32$ ) Heterogeneity: $p < 0.001$ , $I^2 = 77\%$

**TABLE 68** Results of the sensitivity analyses for outcomes beyond 12 months

<b>Number of patients complaining of pain at 16–24 months</b>	
Overall results <sup>63,86,89</sup>	OR 1.03 (95% CI 0.37 to 2.88, $p = 0.95$ ) Heterogeneity: $p = 0.73$ to $I^2 = 0\%$
Losses to follow-up: best case <sup>63,89</sup>	OR 1.02 (95% CI 0.37 to 2.83, $p = 0.98$ ) Heterogeneity: $p = 0.10$ , $I^2 = 46\%$
Losses to follow-up: worst case <sup>63,89</sup>	OR 1.19 (95% CI: 0.65 to 2.17, $p = 0.58$ ) Heterogeneity: $p < 0.001$ , $I^2 = 79.4\%$
<b>Number of patients reporting prolapse at 16–24 months</b>	
Overall results <sup>63,83,89</sup>	OR 7.26 (95% CI 1.86 to 28.35, $p = 0.004$ ) Heterogeneity: $p = 0.64$ , $I^2 = 0\%$
Losses to follow-up: best case <sup>63,83</sup>	OR 6.40 (95% CI 1.67 to 24.56, $p = 0.007$ ) Heterogeneity: $p = 0.56$ to $I^2 = 0\%$
Losses to follow-up: worst case <sup>63,83</sup>	OR 2.17 (95% CI 1.25 to 3.75, $p = 0.006$ ) Heterogeneity: $p = 0.17$ , $I^2 = 43\%$

outcome (Figure 22). When this study was removed from the analysis the significant heterogeneity was not reduced (Figure 23).

To further the investigation into the heterogeneity observed for this outcome, the length of operating time was considered. Operating time seems to have an impact on the postoperative pain experience after SH. Therefore, this may explain some of the heterogeneity seen between studies in the meta-analysis of pain scores in the early postoperative period. When the two studies that had the shortest (Shalaby<sup>95</sup>) and longest (Lau<sup>93</sup>) operating time for SH were removed from the analysis, there was little impact on the result (Figure 24).

## Pain during the short term

The number of patients reporting pain between 6 weeks and 12 months varied across studies (Figure 25). The trial conducted by Cheetham<sup>79</sup> reported a significantly greater number of patients complaining of discomfort after SH, and recruitment was suspended. The authors stated that the incorporation of muscle into the resected tissue may have resulted in an increased incidence of pain and urgency after SH, but differences in surgical practice and the presence of concomitant anal pathology may also have contributed.<sup>58,79</sup> When this study was removed from the analysis the pooled odds ratio reduced, further favouring SH; this did not reach statistical significance

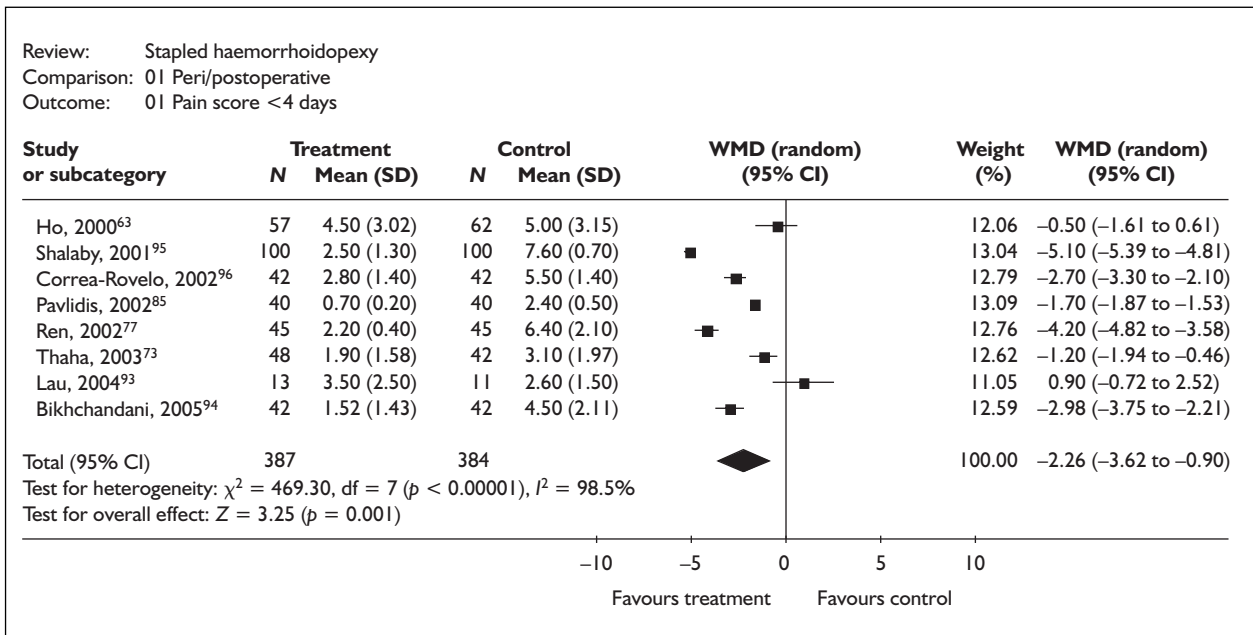


FIGURE 22 Mean postoperative VAS pain score, with all studies that provided sufficient data included in the analysis

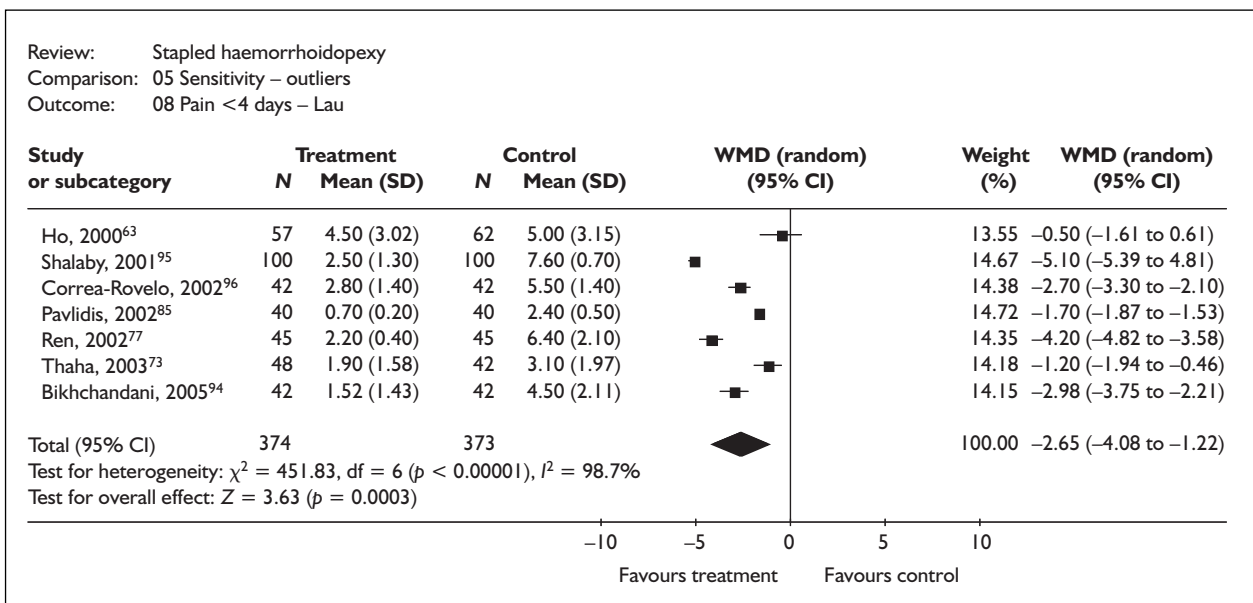


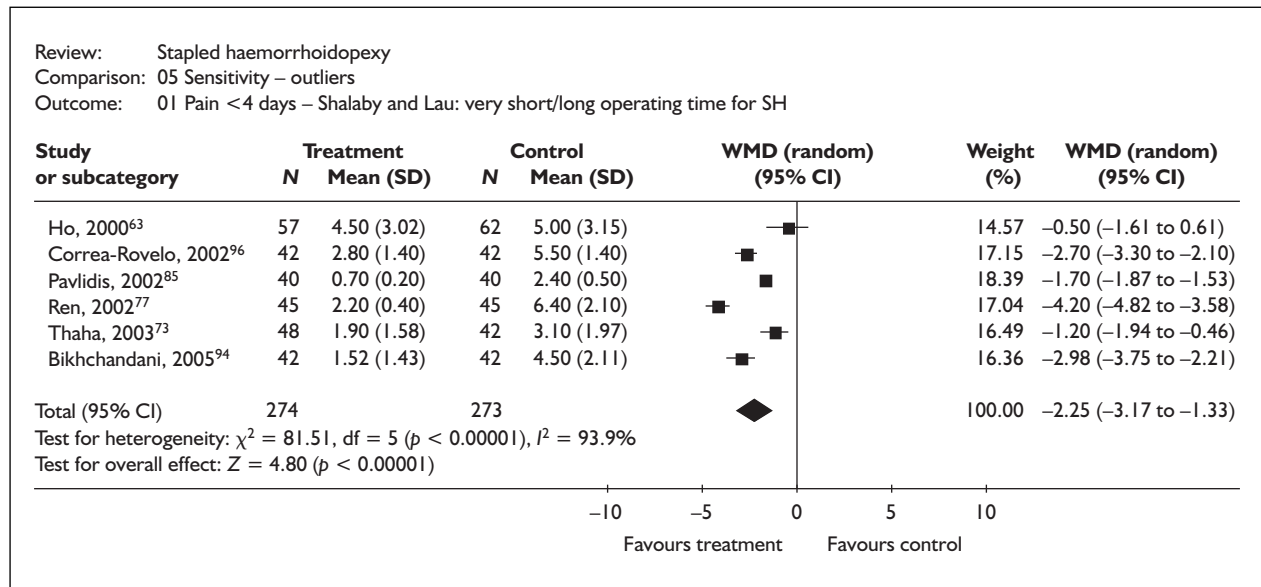
FIGURE 23 Mean postoperative VAS pain score, with the underpowered trial by Lau<sup>93</sup> excluded from the analysis

(Figure 26). However, there was no longer any significant heterogeneity between studies.

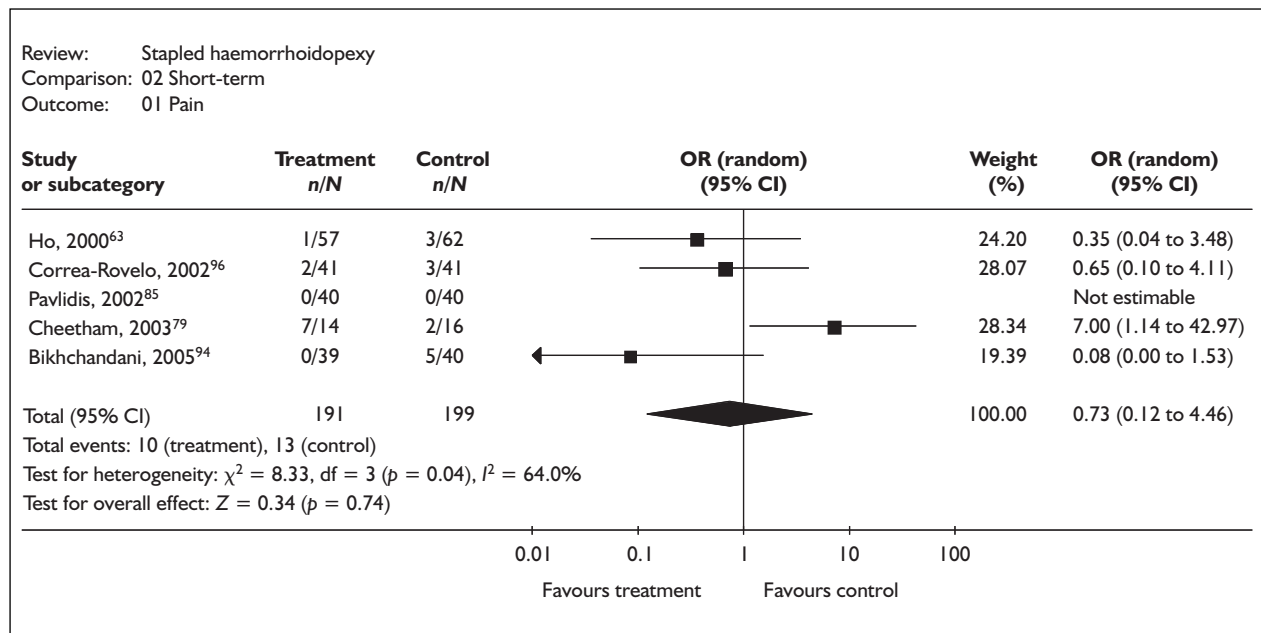
### Bleeding during the early postoperative period

Visual inspection of the forest plot (Figure 27) showed that the trial by Ren,<sup>77</sup> published in Chinese, had a much higher rate of bleeding with SH than any other study. In fact, the OR (148.2) was higher than any upper 95% CI value for any

of the other studies (range 0.71 to 123.08). When extracting bleeding, this review was interested in the patients that bled postoperatively. It is possible that the number of perioperative bleeding episodes requiring haemostatic sutures was included in the outcome. This would bring the numbers in line with the other studies included in the review. When this study was removed from the analysis (Figure 28), there was no longer any significant heterogeneity between studies ( $\chi^2 p = 0.24$ ,  $I^2 = 19.2\%$ ). In addition, there was a shift in the direction of effect, with the



**FIGURE 24** Mean postoperative VAS pain score, with the studies with the shortest (Shalaby<sup>95</sup>) and longest (Lau<sup>93</sup>) operating time for SH excluded from the analysis



**FIGURE 25** Number of patients experiencing pain at short-term follow-up, with all studies included in the analysis

OR now being 0.86 (95% CI 0.46 to 1.61,  $p = 0.63$ ), and clearly no significant difference between SH and CH.

### Residual prolapse

The pooled estimate showed a statistically significant difference between SH and CH in favour of CH (Figure 29). However, the trial by Kairaluoma<sup>82</sup> reported an uncharacteristically high rate of residual prolapse after SH compared with

the other studies. The authors attributed some of these failures to technical difficulties during the SH procedure. They highlighted their concerns over technical issues such as misplacement of the pursestring suture and the control over the amount of rectal mucosa being excised. This high rate of residual prolapse in this study may therefore be an indication of the inexperience of the surgeons conducting the SH procedures. When this study was removed from the analysis, the difference between SH and CH no longer reached statistical significance (Figure 30).

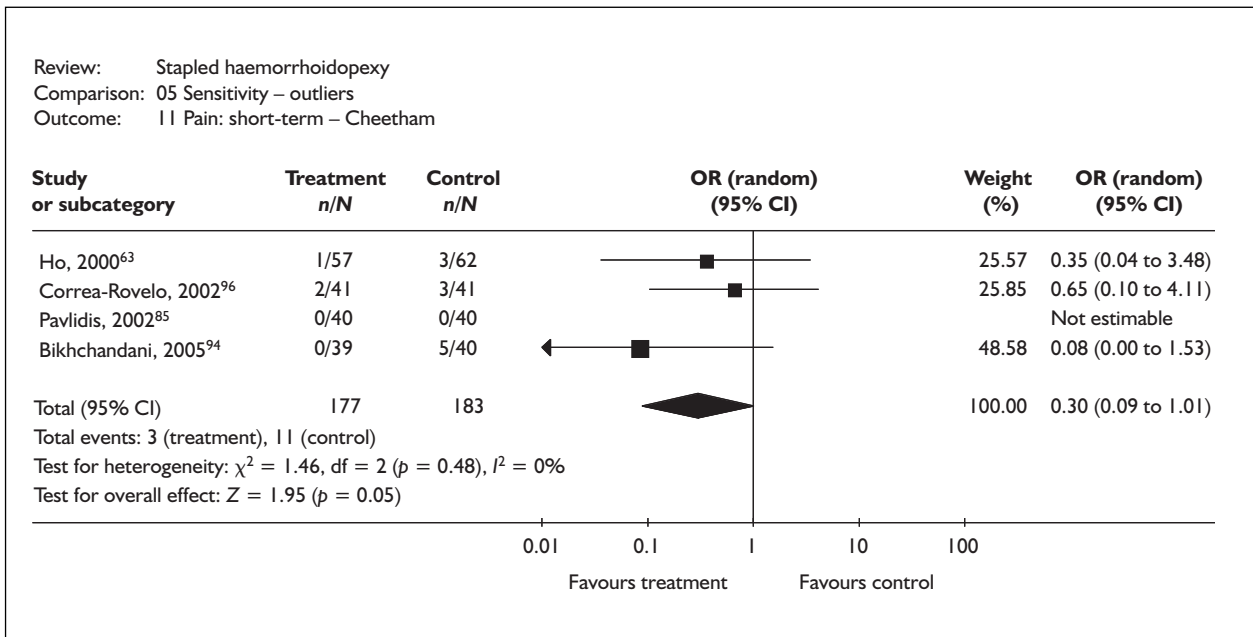


FIGURE 26 Number of patients experiencing pain at short-term follow-up, with the study by Cheetham<sup>79</sup> excluded from the analysis

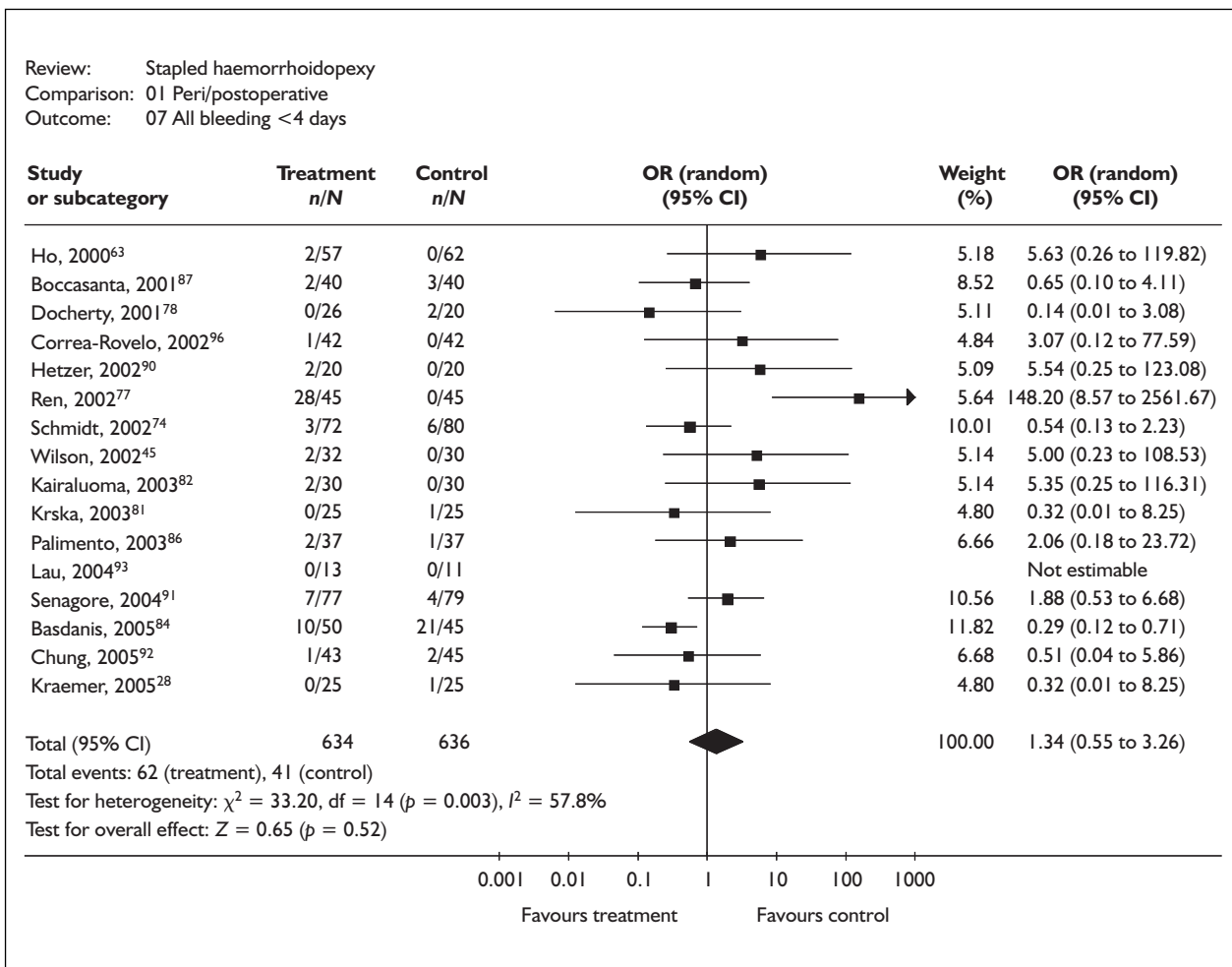
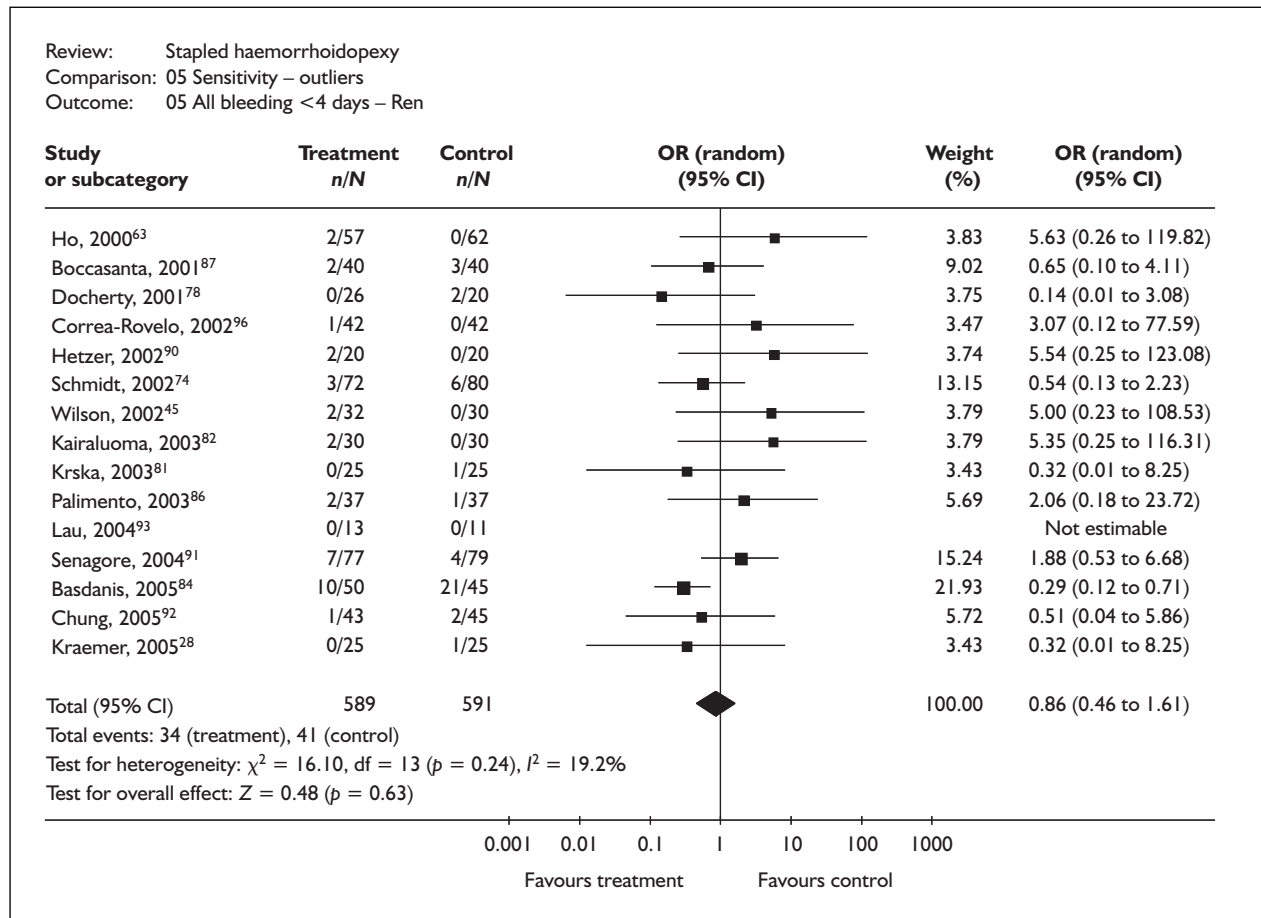
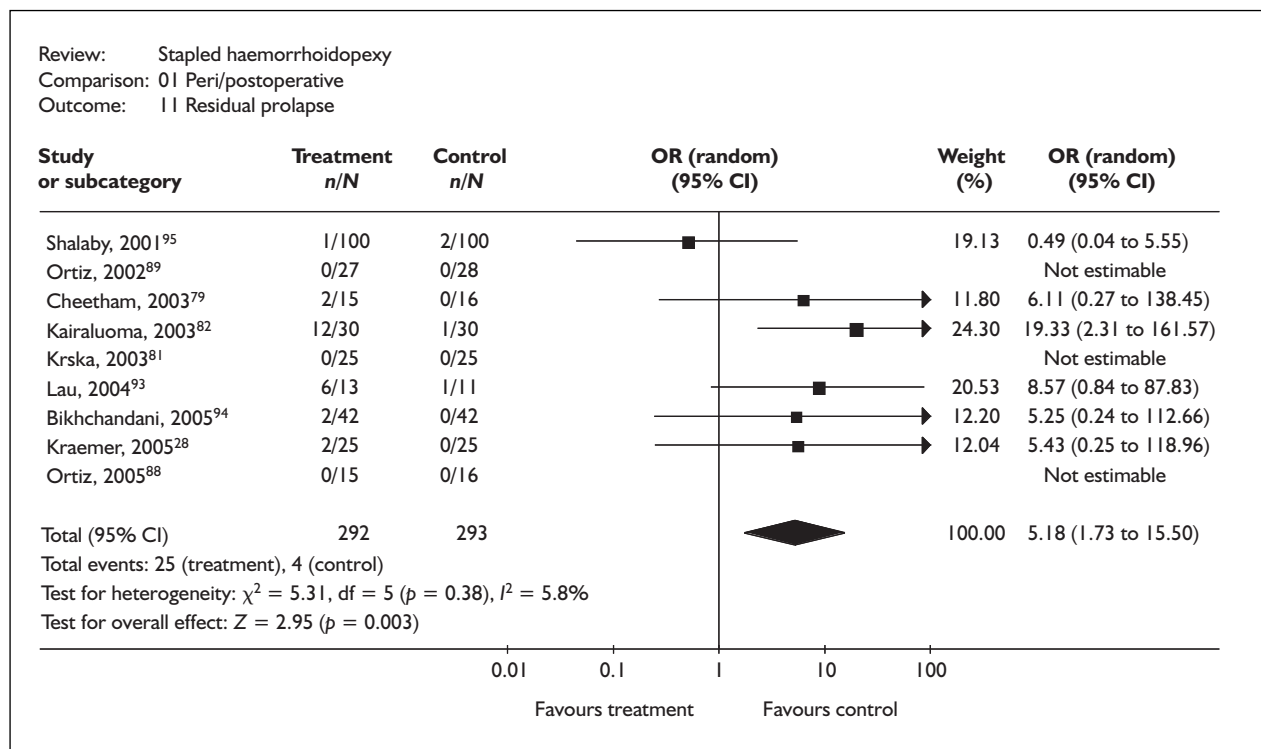


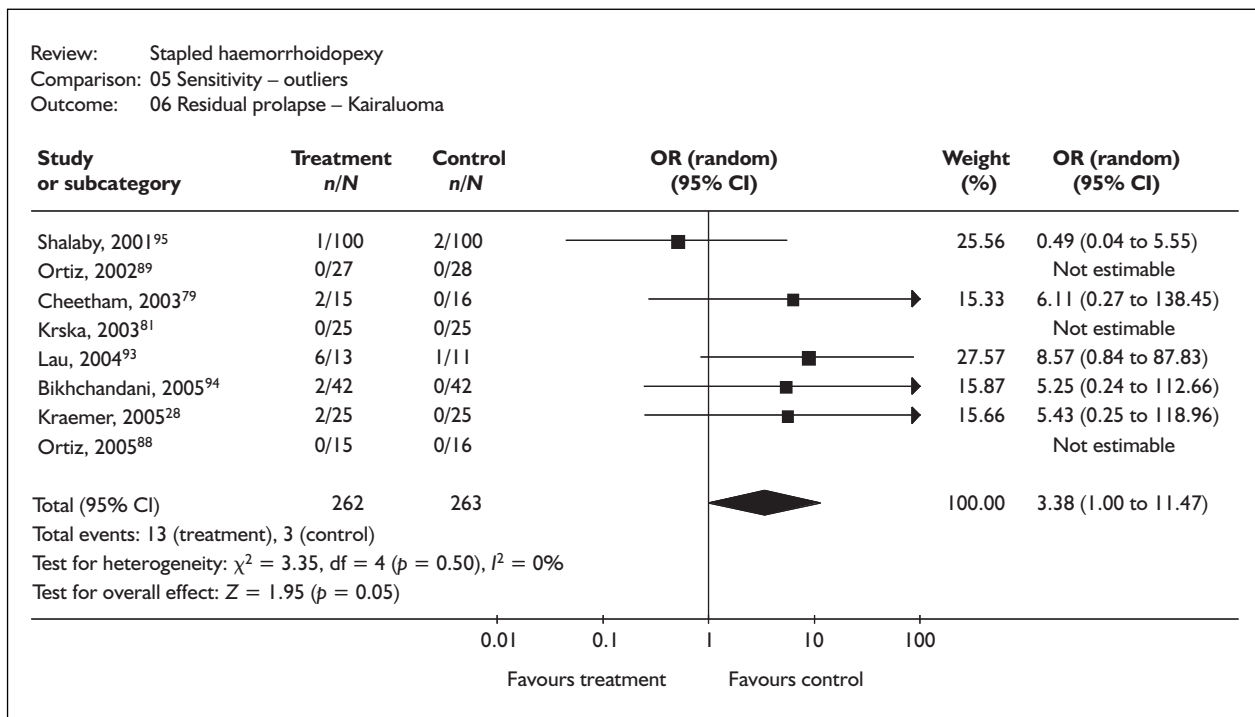
FIGURE 27 Number of patients experiencing bleeding in the early postoperative period, with all studies included in the analysis



**FIGURE 28** Number of patients experiencing bleeding in the early postoperative period, with the trial by Ren,<sup>77</sup> which may have included perioperative bleeding in the result, excluded from the analysis



**FIGURE 29** Number of patients with residual prolapse, with all studies included in the analysis



**FIGURE 30** Number of patients with residual prolapse, with the Kairaluoma trial,<sup>82</sup> which reported technical difficulties, excluded from the analysis

## Prolapse at 12 months

The trial by Ortiz<sup>88</sup> recruited only patients with grade IV haemorrhoids, and reported a particularly high rate of prolapse following SH; this seemed to be responsible for the heterogeneity between the studies for this outcome (Figure 31). When this study was removed from the analysis, there was no longer any significant heterogeneity between studies (Figure 32).

An analysis of studies that reported prolapse at 12 months or longer postsurgery was undertaken in the section 'Prolapse at 12 months and beyond' (p. 27). Although there was no statistically significant heterogeneity between the studies in this analysis (Figure 33), the effect of the trial by Ortiz<sup>88</sup> was investigated by excluding it from this analysis (Figure 34). It can be seen that there remains a highly significant effect in favour of CH, with only a slight reduction in  $I^2$ .

When Kairaluoma<sup>82</sup> was also excluded from the analysis, owing to the technical difficulties experienced, there was still a statistically significantly higher rate of prolapse after SH than CH (Figure 35).

## Symptoms uncontrolled

There was no evidence from the individual trials that the number of patients reported as having haemorrhoidal symptoms was consistently greater after either SH or CH; however, there was statistically significant heterogeneity observed between studies for each of the meta-analyses. The study by Kairaluoma<sup>82</sup> that experienced technical difficulties was included in the analysis of data from less than 3 months (Figure 36) and 12 months (Figure 38). When this study was excluded from the analyses, there was no longer any statistical heterogeneity at less than 3 months (Figure 37;  $\chi^2 p = 0.66$ ,  $I^2 = 0\%$ ), and a moderate degree of heterogeneity at 12 months (Figure 39;  $\chi^2 p = 0.11$ ,  $I^2 = 59.9\%$ ). Neither of these sensitivity analyses showed a statistically significant difference between SH and CH in the control of symptoms (<3 months: OR 0.85, 95% CI 0.48 to 1.53,  $p = 0.59$ ; 12 months: OR 1.05, 95% CI: 0.52 to 2.11,  $p = 0.89$ ).

## Urinary retention

Nineteen studies reported urinary retention postoperatively; the pooled estimate revealed no

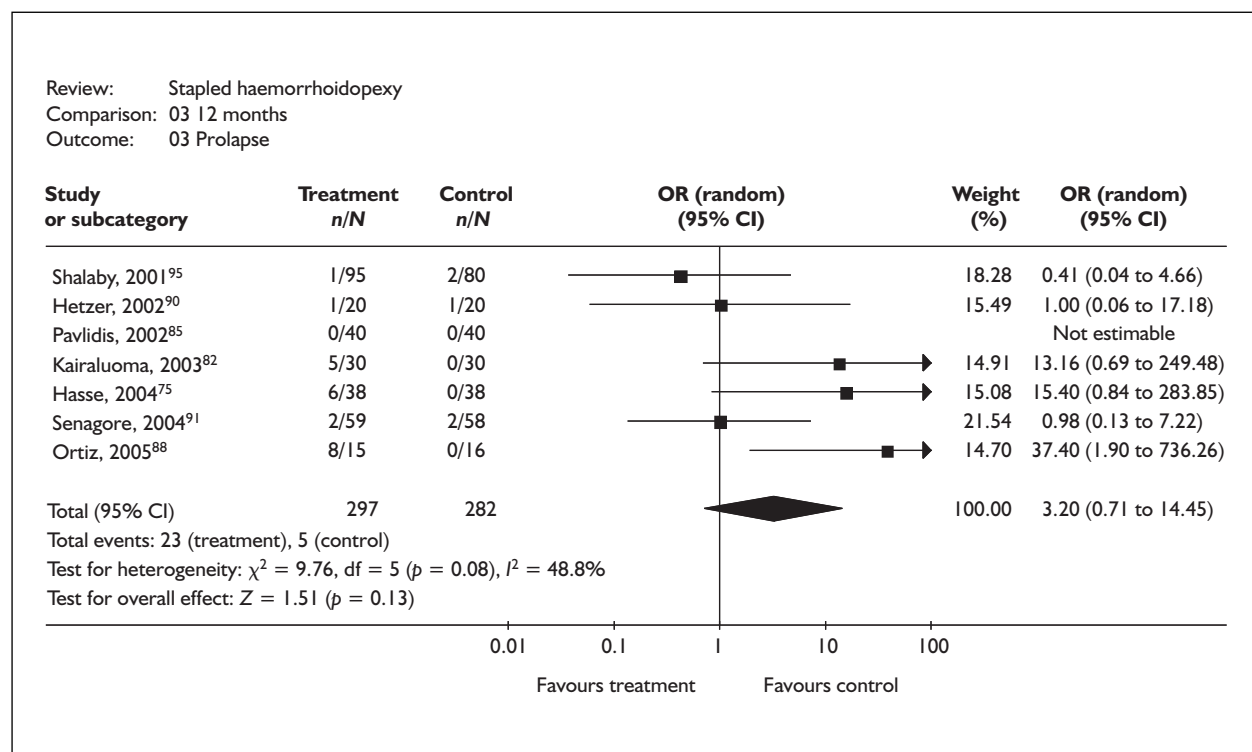


FIGURE 31 Number of patients with prolapse at 12 months, with all studies included in the analysis

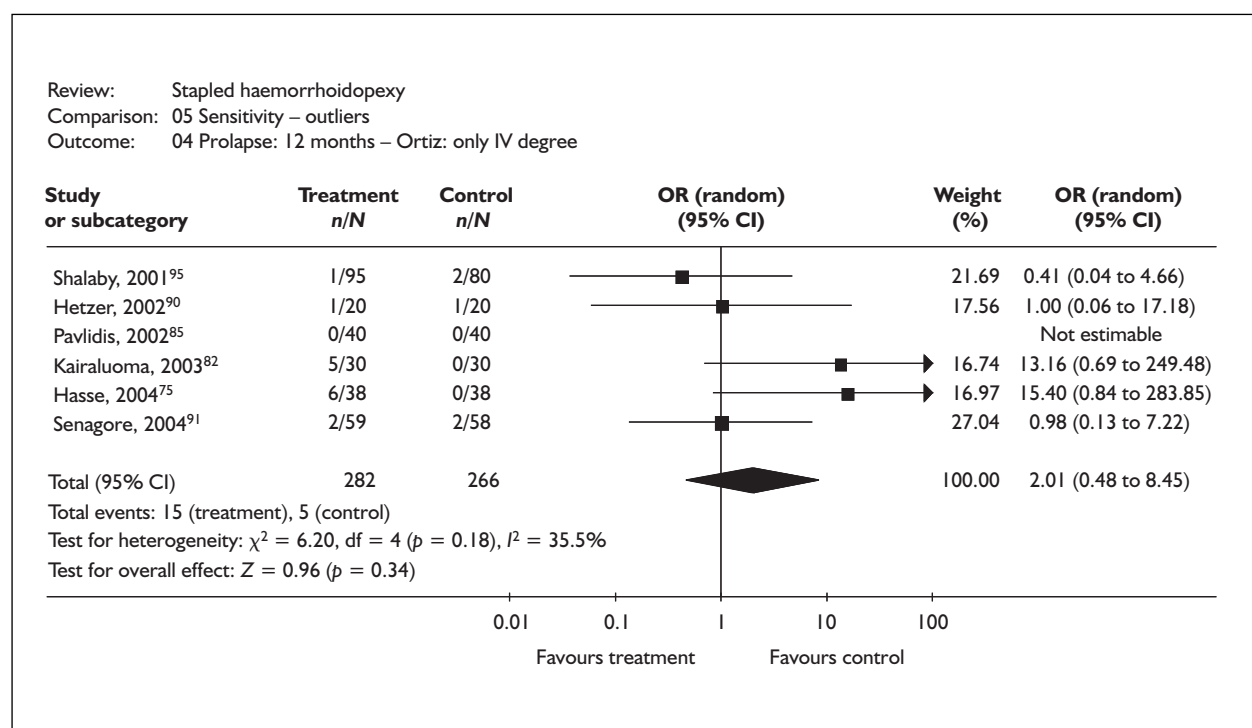


FIGURE 32 Number of patients with prolapse at 12 months, with the trial by Ortiz,<sup>88</sup> which included only patients with fourth degree haemorrhoids, excluded from the analysis



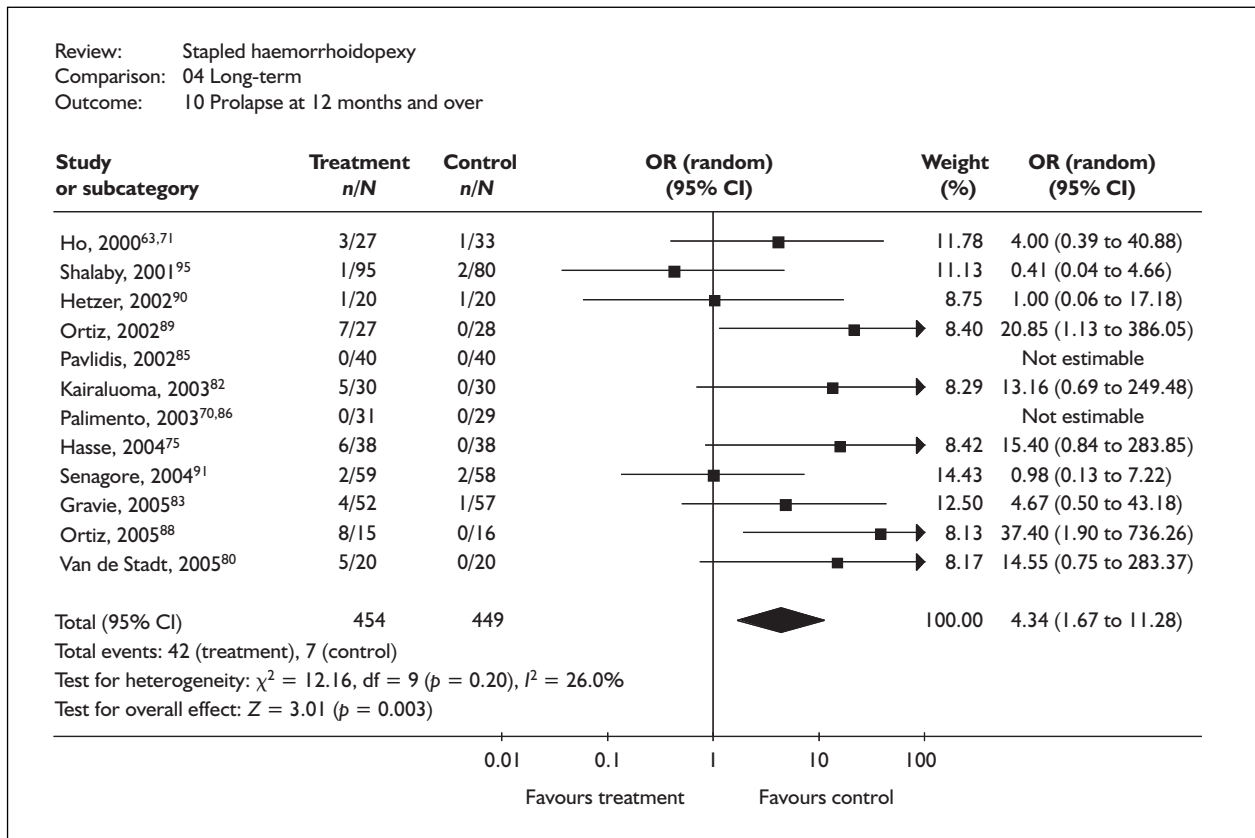


FIGURE 33 Number of patients with prolapse at 12 months and over, with all studies included in the analysis

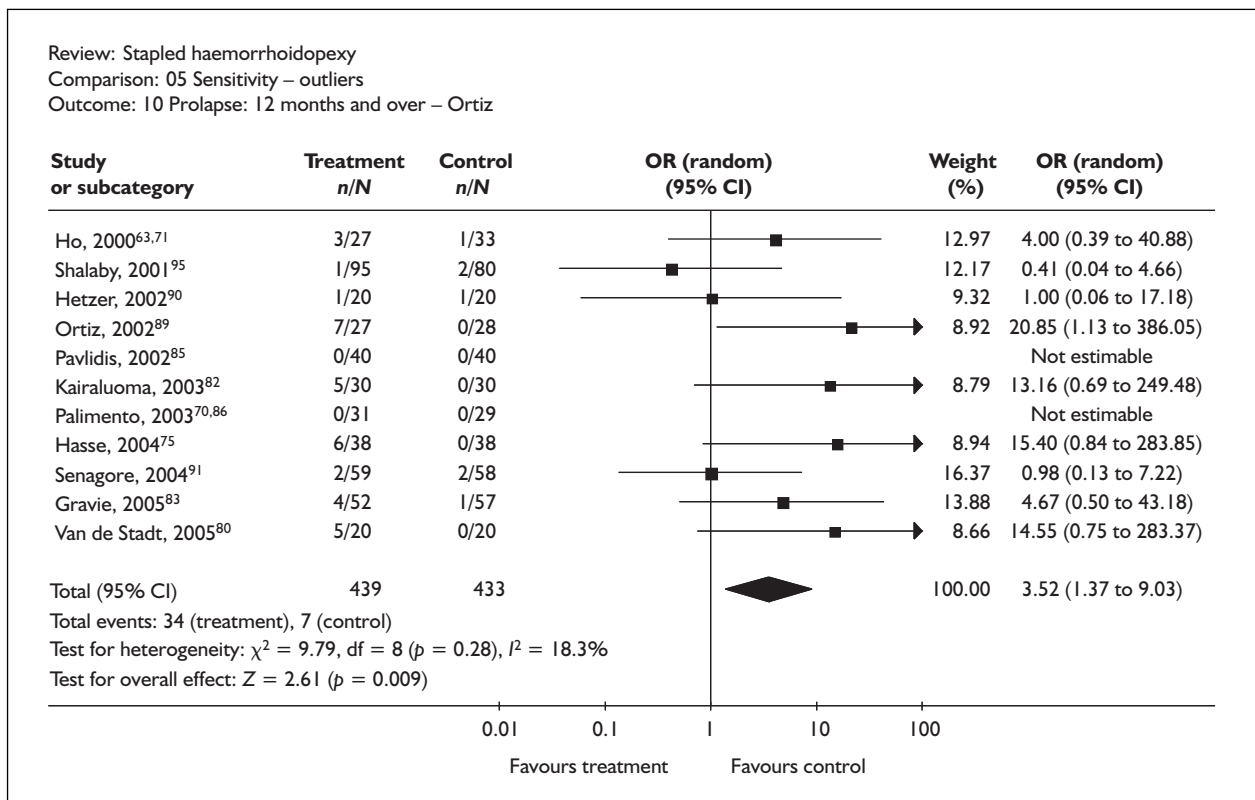
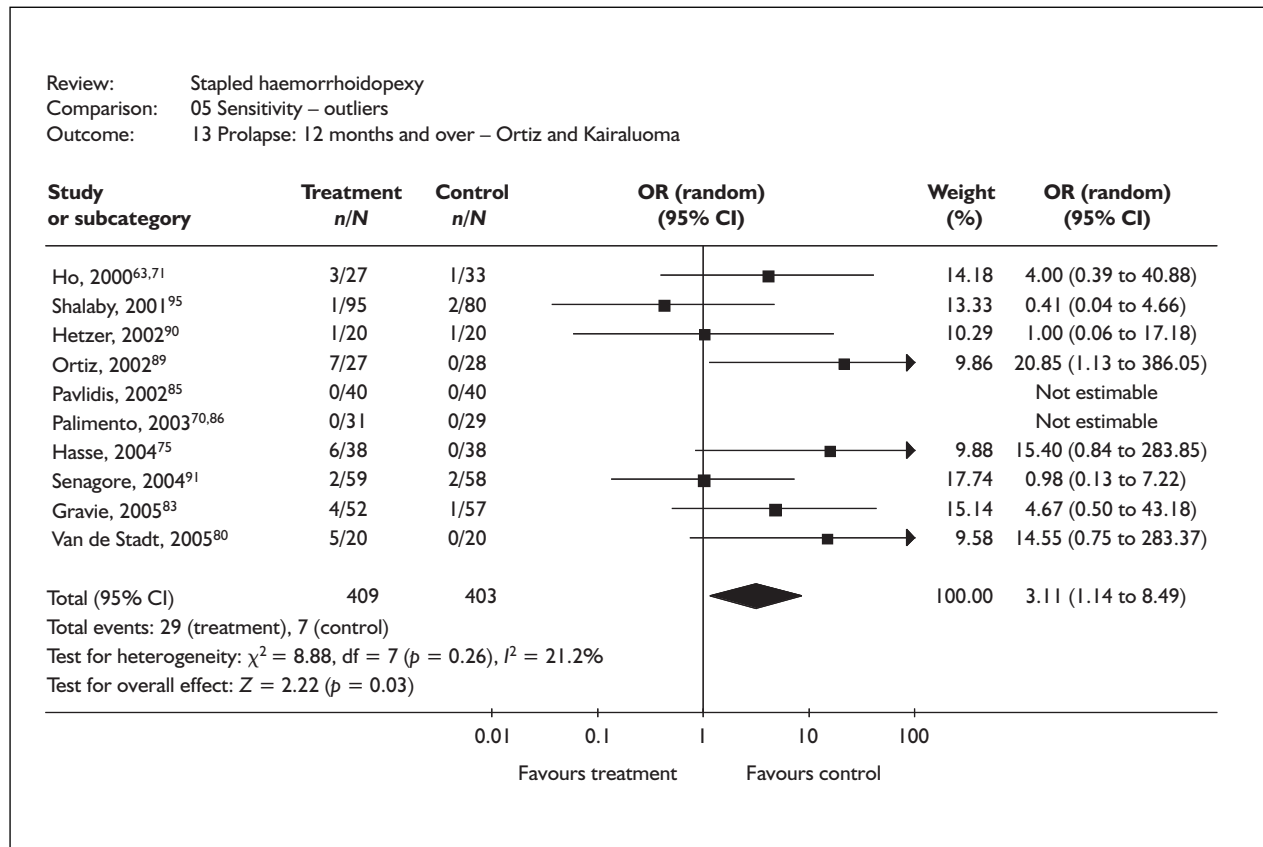
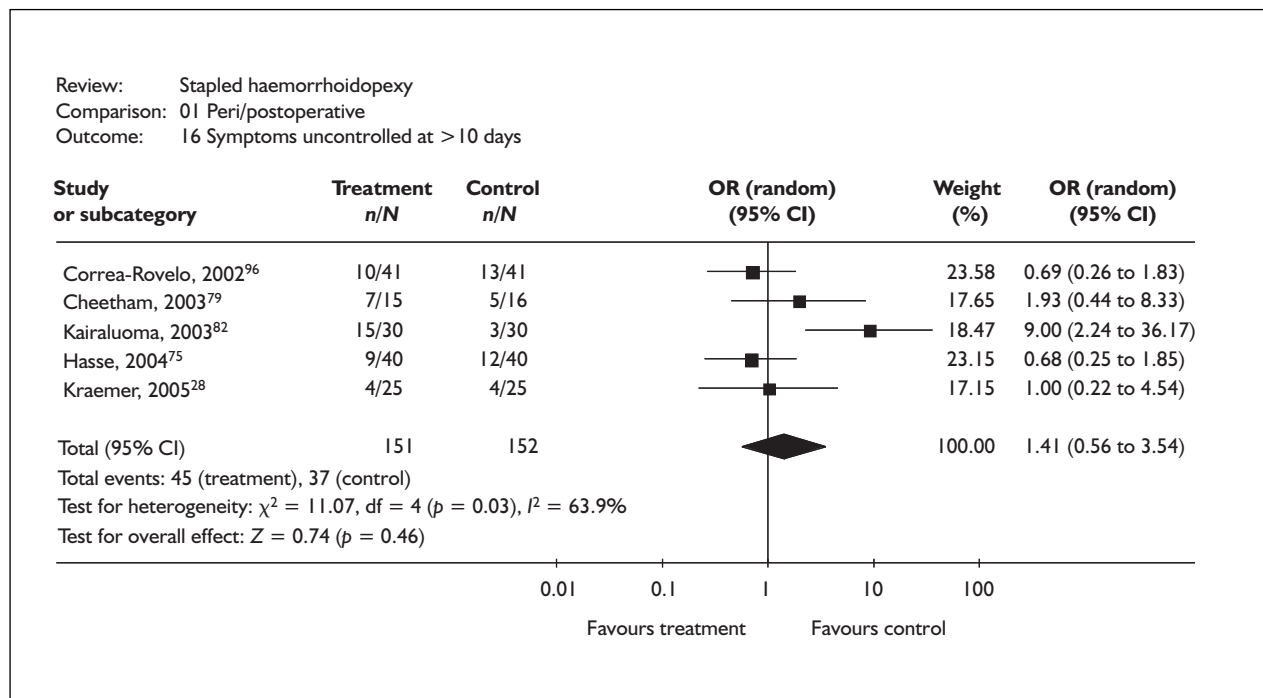


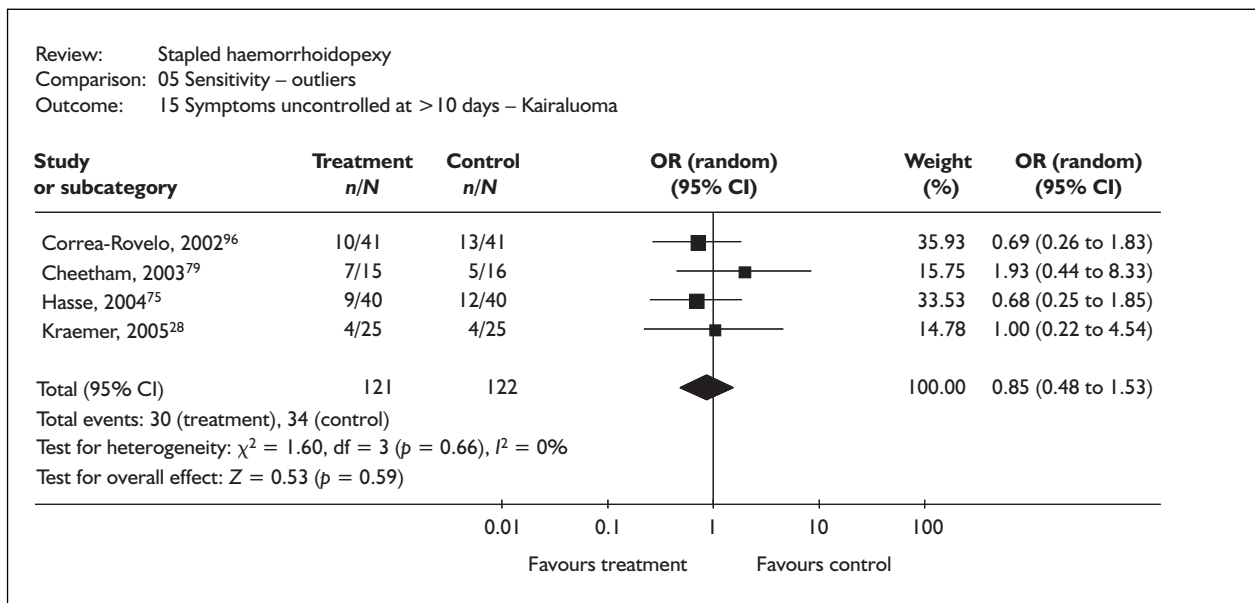
FIGURE 34 Number of patients with prolapse at 12 months and over, with the trial by Ortiz<sup>88</sup> excluded from the analysis



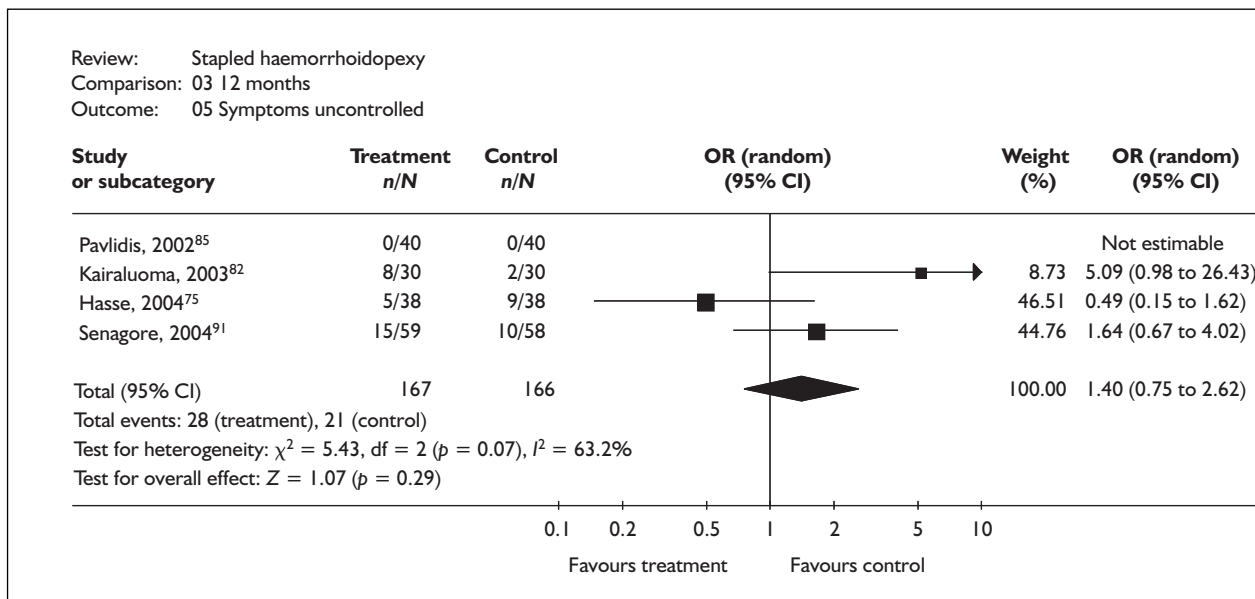
**FIGURE 35** Number of patients with prolapse at 12 months and over, with the trials by Ortiz<sup>88</sup> and Kairaluoma<sup>82</sup> excluded from the analysis



**FIGURE 36** Number of patients with uncontrolled symptoms up to 3 months postsurgery, with all trials included in the analysis



**FIGURE 37** Number of patients with uncontrolled symptoms up to 3 months postsurgery, with the trial by Kairaluoma<sup>82</sup> excluded from the analysis



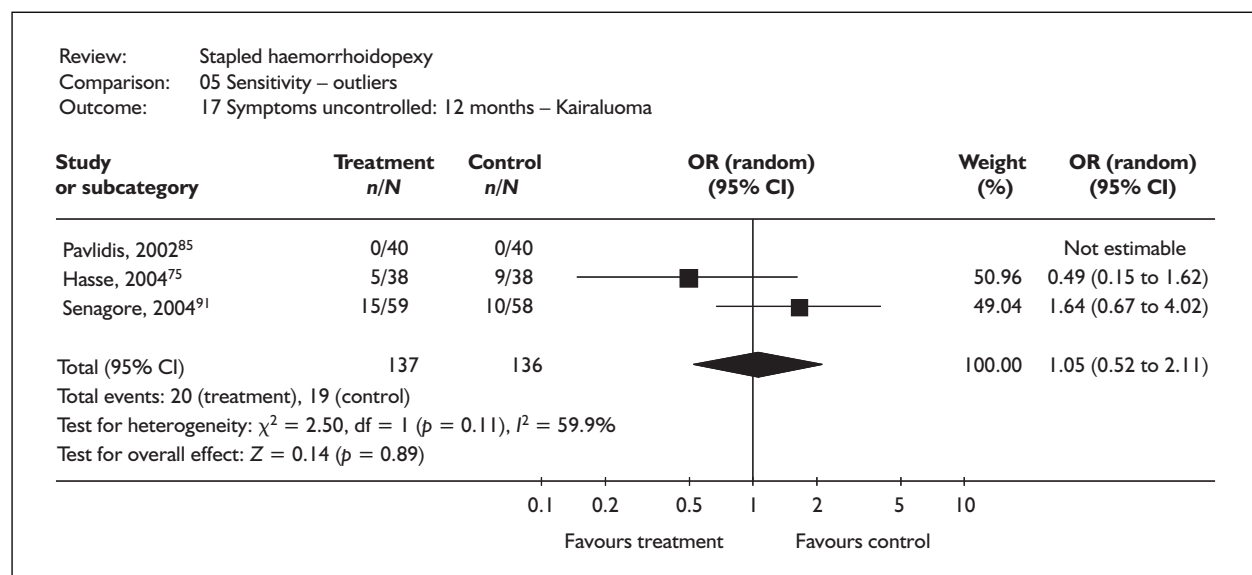
**FIGURE 38** Number of patients with uncontrolled symptoms at 12 months postsurgery, with all trials included in the analysis

statistically significant differences between the two groups (Figure 40). The trial by Wilson<sup>45</sup> reported a much higher incidence of urinary retention after SH (31%) compared to CH, and to other studies. When this study was removed from the analysis, the OR decreased further, favouring SH, but not statistically significantly so (Figure 41).

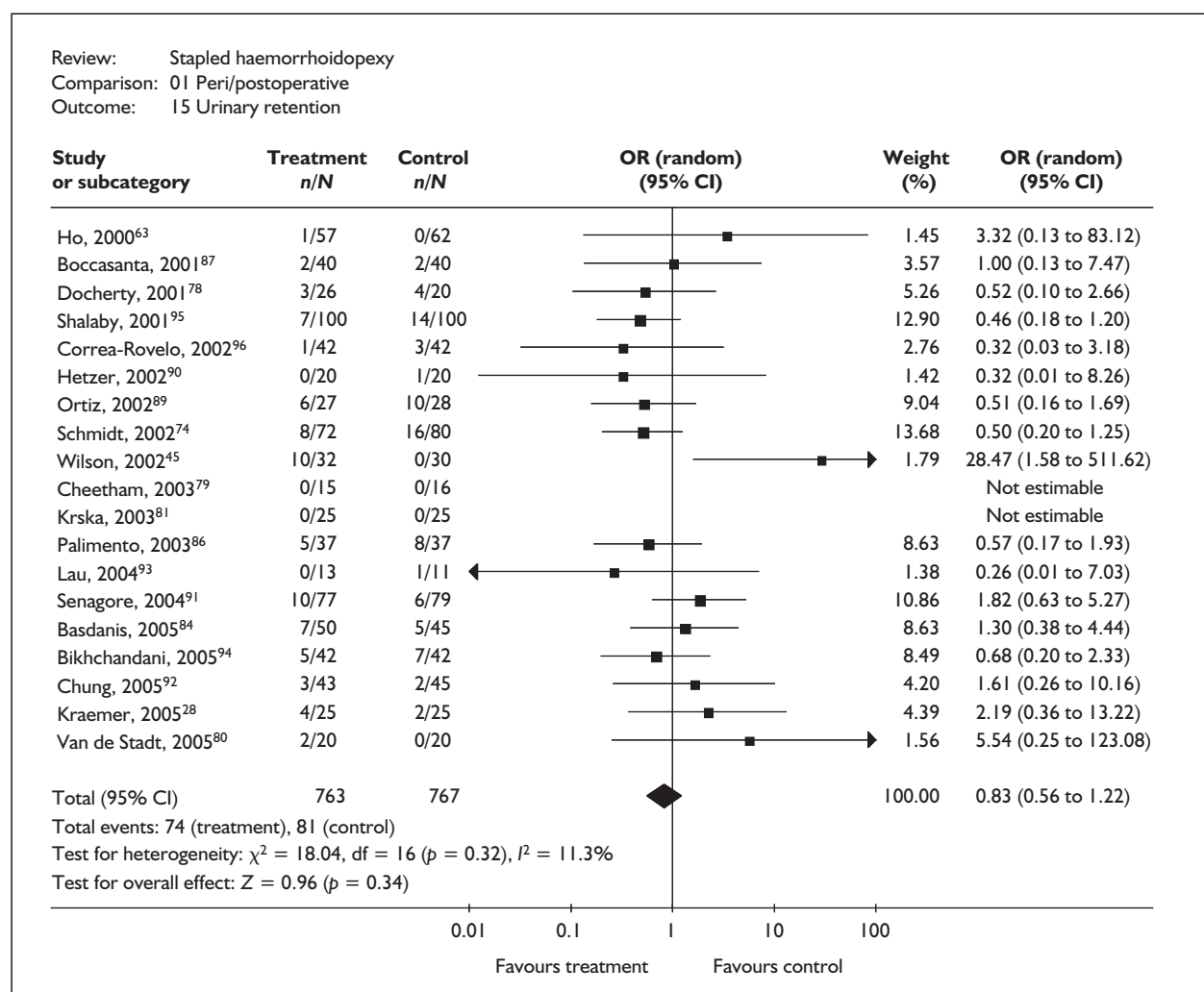
## Total number of reinterventions

Two studies reported much greater rates of reintervention after SH compared to CH at 1 year

which seem to account for the heterogeneity observed (Figure 42). One was the trial by Kairaluoma,<sup>82</sup> which reported an uncharacteristically high incidence of prolapse after SH and encountered technical difficulties during SH. The other was conducted by Ortiz<sup>88</sup> and included only patients with fourth degree haemorrhoids. When these trials were removed from the analysis, there was no significant difference between SH and CH, and there was no longer any significant heterogeneity between the studies (Figure 43).



**FIGURE 39** Number of patients with uncontrolled symptoms at 12 months postsurgery, with the trial by Kairaluoma<sup>82</sup> excluded from the analysis



**FIGURE 40** Number of patients with urinary retention postoperatively, with all studies included in the analysis

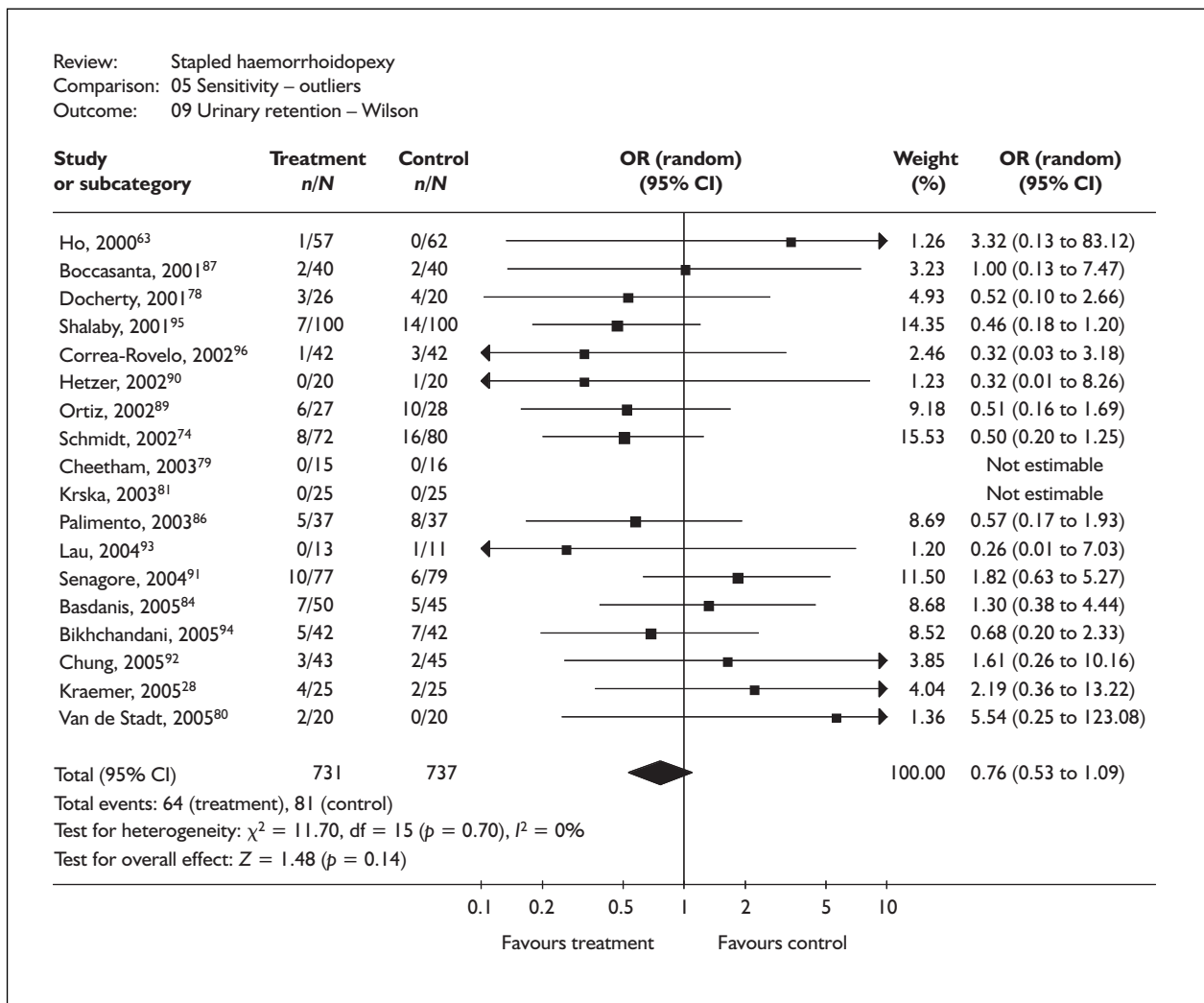


FIGURE 41 Number of patients with urinary retention postoperatively, with the trial by Wilson<sup>45</sup> excluded from the analysis

## Reintervention: for prolapse

Six studies reported the number of reinterventions for prolapse in the longer term; the pooled odds ratio demonstrated a significantly higher incidence after SH than CH (Figure 44). When the studies by Ortiz<sup>88</sup> and Kairaluoma<sup>82</sup> were removed from the analysis (Figure 45), there was still a statistically significantly higher rate of reintervention for prolapse after SH than CH (OR 4.99, 95% CI 1.05 to 23.60,  $p = 0.04$ ).

## Reintervention: conventional haemorrhoidectomy

The need to undertake a CH was significantly higher after SH than CH 1 year or later postoperatively (Figure 46). However, as with the

previous analysis, this analysis included the trials by Kairaluoma<sup>82</sup> and Ortiz.<sup>88</sup> When these studies were removed from the analysis, the difference no longer reached statistical significance (Figure 47).

## Operating time

To investigate the relationship between operating time and the type of anaesthetic used or degree of haemorrhoids, studies were ordered with respect to operating time for SH and CH separately (Table 69). As can be seen from Table 69, there is no clear relationship between the mean operating time and either the type of anaesthetic used or the degree of haemorrhoids of the patients recruited into the trials. This outcome may be confounded by the method of measuring operating time (onset of

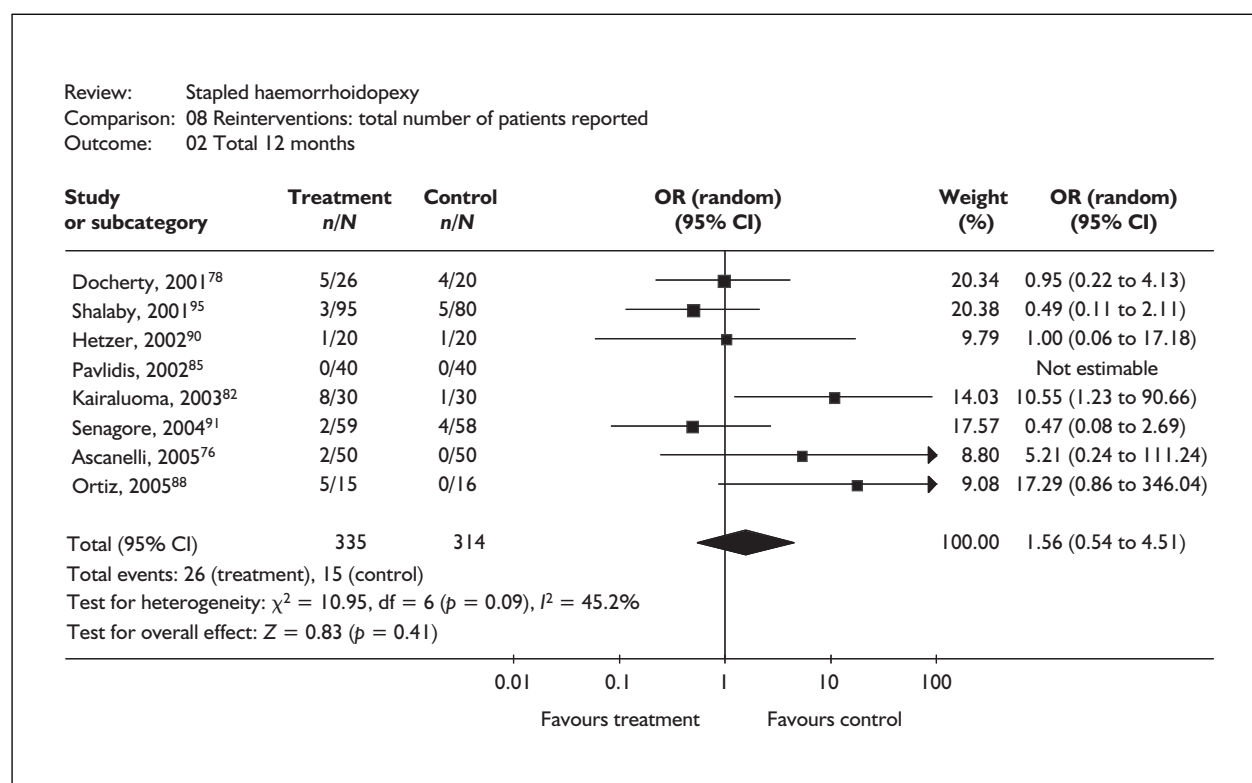


FIGURE 42 Total number of patients requiring reintervention at 12 months

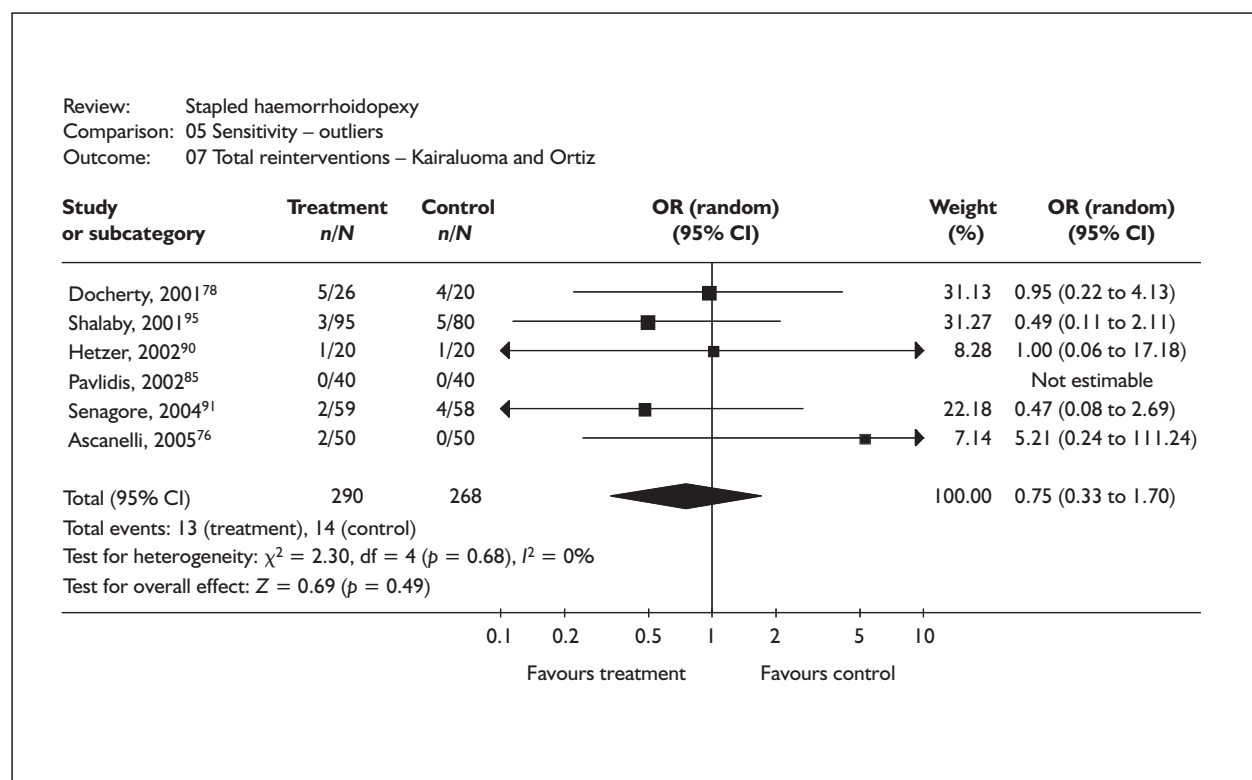


FIGURE 43 Total number of patients requiring reintervention at 12 months, with the trials by Kairaluoma<sup>82</sup> and Ortiz<sup>88</sup> excluded from the analysis

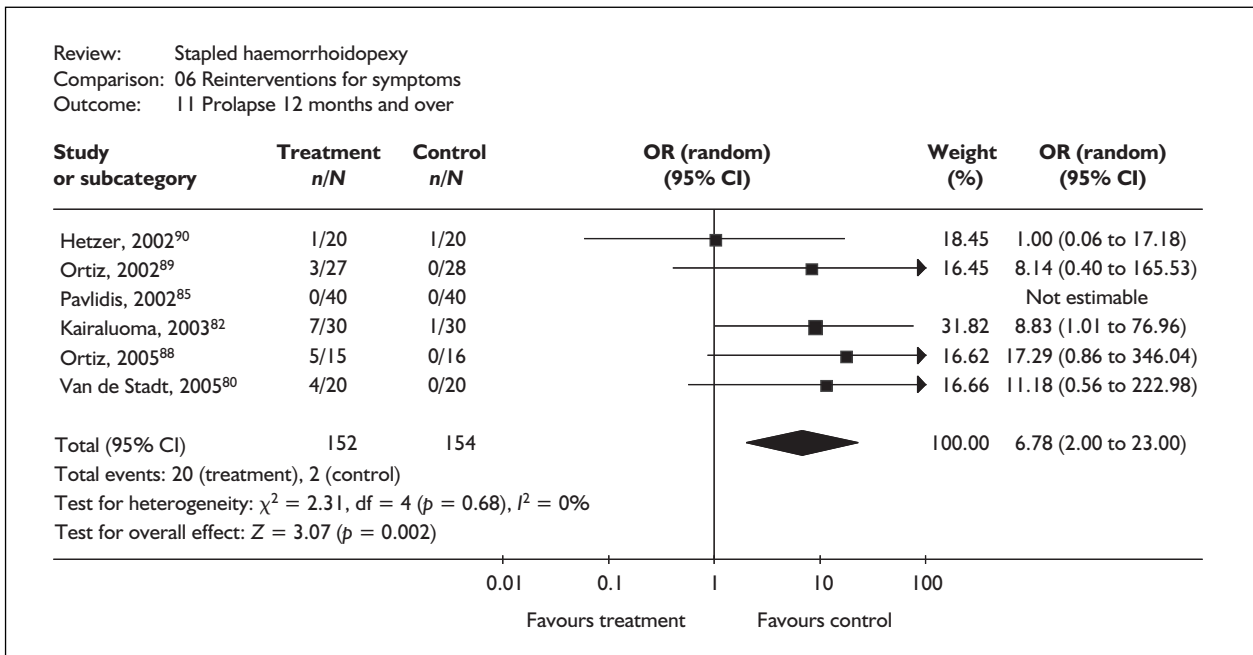


FIGURE 44 Number of patients requiring reintervention for prolapse at 12 months and over, with all trials included in the analysis

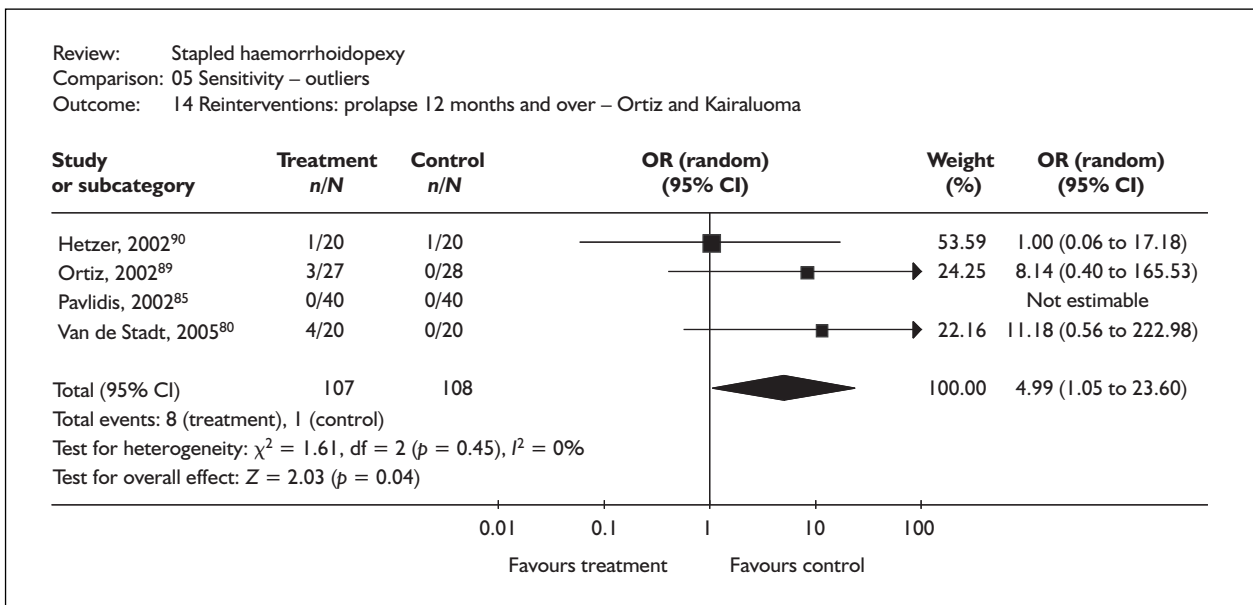


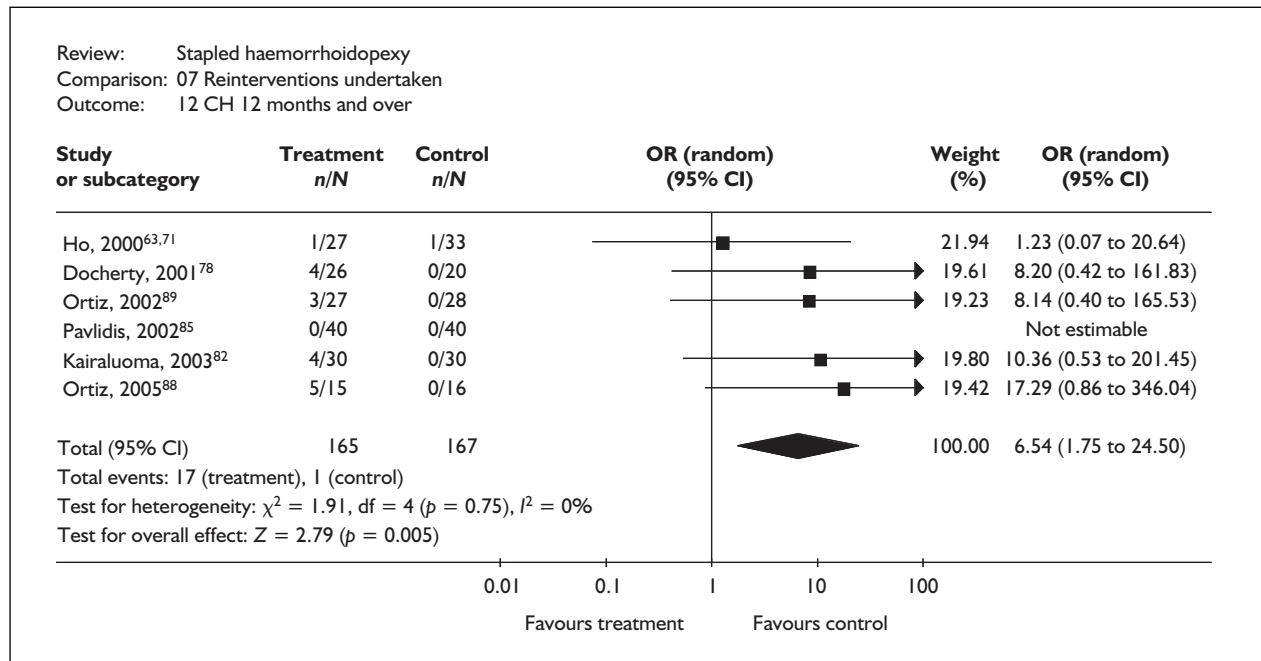
FIGURE 45 Number of patients requiring reintervention for prolapse at 12 months and over, with the trials by Kairaluoma<sup>82</sup> and Ortiz<sup>88</sup> excluded from the analysis

anaesthesia; time in the operating theatre; time from incision to closure).

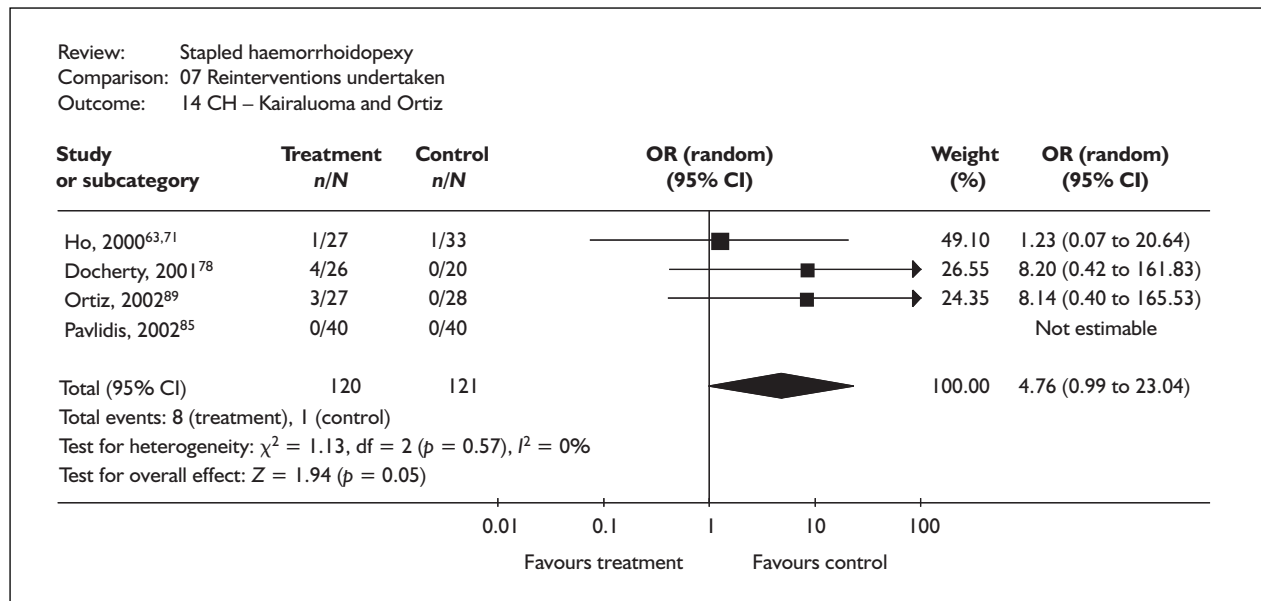
### Number of days of hospital stay

Two studies favoured SH far more than the other studies (Figure 48). The trial by Hasse<sup>75</sup> was restricted to patients with third degree

haemorrhoids, and the trial by Ren<sup>77</sup> recruited 76% of patients with third degree haemorrhoids, with the remainder with fourth degree haemorrhoids. Another study (Pavlidis<sup>85</sup>) had a similar high proportion of patients with third degree haemorrhoids (69%), but this study had a more representative population, with patients with both second and fourth degree haemorrhoids recruited. When the studies by Hasse<sup>75</sup> and Ren<sup>77</sup>



**FIGURE 46** Number of patients requiring CH at 12 months or later postsurgery



**FIGURE 47** Number of patients requiring CH at 12 months or later postsurgery, with the trials by Kairaluoma<sup>82</sup> and Ortiz<sup>88</sup> excluded from the analysis

were removed from the analysis, there was little effect on the result and there was still significant heterogeneity between studies (Figure 49).

To investigate the relationship between the degree of haemorrhoids and length of hospital stay further, studies were ordered with respect to the duration of hospital stay for SH and CH separately (Table 70). It can be seen from Table 70 that there is a general trend for trials recruiting

patients with second degree haemorrhoids to report shorter hospital stays, particularly after CH.

### Time to normal activity

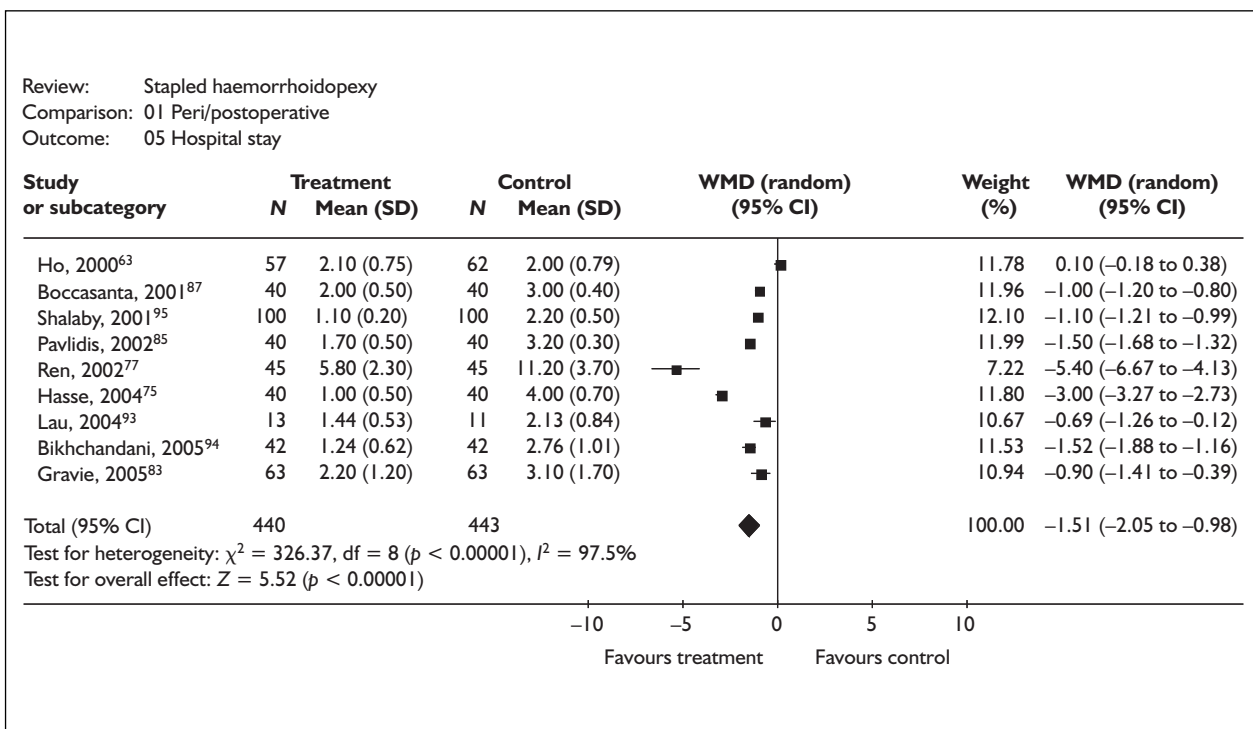
The study with the largest number of participants (Shalaby<sup>95</sup>) reported a far greater period before a return to normal activity after CH than any other study (Figure 50). This was the only study to report



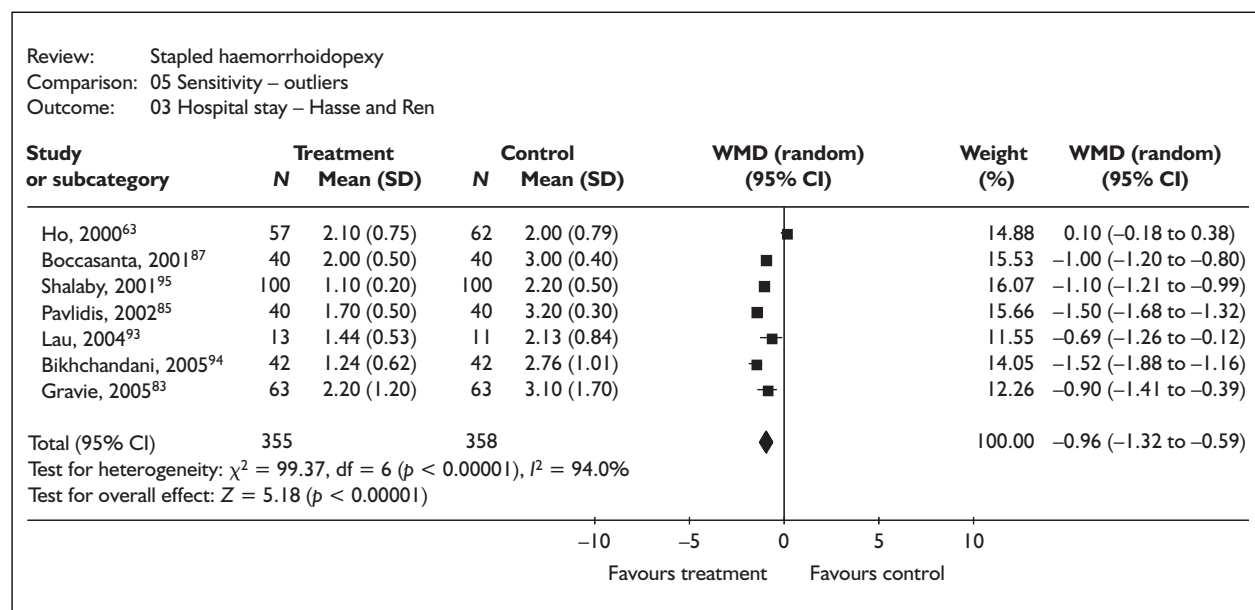
**TABLE 69** Trials ordered from the shortest to longest operating time, and the anaesthesia used and degree of haemorrhoids of patients recruited into the trials

SH				CH			
Study	Mean operating time	Anaesthetic	Disease severity	Study	Mean operating time	Anaesthetic	Disease severity
Shalaby, 2001 <sup>95</sup>	9	GA	II–IV	Ho, 2000 <sup>63</sup>	11.4	GA	II+III
Correa-Rovelo, 2002 <sup>96</sup>	11.9	C	III+IV	Ren, 2002 <sup>77</sup>	17.6	GA	III+IV
Ren, 2002 <sup>77</sup>	12.3	GA	III+IV	Chung, 2005 <sup>92</sup>	18.5	C	III
Hasse, 2004 <sup>75</sup>	16.3	GA	III	Shalaby, 2001 <sup>95</sup>	19.7	GA	II–IV
Chung, 2005 <sup>92</sup>	17	C	III	Kairaluoma, 2003 <sup>82</sup>	22.46	GA	III
Ho, 2000 <sup>63</sup>	17.6	GA	II+III	Van de Stadt, 2005 <sup>80</sup>	25.7	C	II+III
Ortiz, 2002 <sup>89</sup>	19	RA	III+IV	Kraemer, 2005 <sup>28</sup>	26	C	III+IV
Kraemer, 2005 <sup>28</sup>	21	C	III+IV	Lau, 2004 <sup>93</sup>	29.8	GA	II–IV
Schmidt, 2002 <sup>74</sup>	21.65	C	III+IV	Ortiz, 2002 <sup>89</sup>	33.5	RA	III+IV
Kairaluoma, 2003 <sup>82</sup>	21.86	GA	III	Ascanelli, 2005 <sup>76</sup>	35	C	II+III
Ascanelli, 2005 <sup>76</sup>	22	C	II+III	Pavlidis, 2002 <sup>85</sup>	35	RA	II–IV
Van de Stadt, 2005 <sup>80</sup>	22.2	C	II+III	Senagore, 2004 <sup>91</sup>	35	NR	III
Pavlidis, 2002 <sup>85</sup>	23	RA	II–IV	Ortiz, 2005 <sup>88</sup>	39	RA	IV
Ortiz, 2005 <sup>88</sup>	24	RA	IV	Bikhchandani, 2005 <sup>94</sup>	45.21	RA	III+IV
Bikhchandani, 2005 <sup>94</sup>	24.28	RA	III+IV	Krska, 2003 <sup>81</sup>	46	RA	III
Boccasanta, 2001 <sup>87</sup>	25	C	IV	Correa-Rovelo, 2002 <sup>96</sup>	46.4	RA	III+IV
Krska, 2003 <sup>81</sup>	28	RA	III	Hasse, 2004 <sup>75</sup>	49	GA	III
Senagore, 2004 <sup>91</sup>	31	NR	III	Boccasanta, 2001 <sup>87</sup>	50	C	IV
Lau, 2004 <sup>93</sup>	35.4	GA	II–IV	Schmidt, 2002 <sup>74</sup>	52.98	C	III+IV

C, combination; GA, general anaesthetic; RA, regional anaesthetic.



**FIGURE 48** Mean number of days hospital stay, with all studies included in the analysis



**FIGURE 49** Mean number of days hospital stay, with the two studies that reported uncharacteristically long duration of hospital stay after CH (Hasse<sup>75</sup> and Ren<sup>77</sup>) excluded

**TABLE 70** Trials ordered from the shortest to longest reported duration of hospital stay and the degree of haemorrhoids of patients recruited into the trials

SH			CH		
Study	Mean days hospital stay	Degree of haemorrhoids	Study	Mean days hospital stay	Degree of haemorrhoids
Ascanelli, 2005 <sup>76</sup>	0.75	II+III	Ascanelli, 2005 <sup>76</sup>	0.92	II+III
Hasse, 2004 <sup>75</sup>	1	III	Ho, 2000 <sup>63</sup>	2	II+III
Shalaby, 2001 <sup>95</sup>	1.1	II-IV	Basdanis, 2005 <sup>84</sup>	2.1	III+IV
Bikhchandani, 2005 <sup>94</sup>	1.24	III+IV	Hetzer, 2002 <sup>90</sup>	2.1	II+III
Lau, 2004 <sup>93</sup>	1.44	II-IV	Lau, 2004 <sup>93</sup>	2.13	II-IV
Van de Stadt, 2005 <sup>80</sup>	1.5	II+III	Shalaby, 2001 <sup>95</sup>	2.2	II-IV
Basdanis, 2005 <sup>84</sup>	1.6	III+IV	Van de Stadt, 2005 <sup>80</sup>	2.25	II+III
Pavlidis, 2002 <sup>85</sup>	1.7	II-IV	Bikhchandani, 2005 <sup>94</sup>	2.76	III+IV
Boccasanta, 2001 <sup>87</sup>	2	IV	Boccasanta, 2001 <sup>87</sup>	3	IV
Ho, 2000 <sup>63</sup>	2.1	II+III	Gravie, 2005 <sup>83</sup>	3.1	NR
Gravie, 2005 <sup>83</sup>	2.2	NR	Pavlidis, 2002 <sup>85</sup>	3.2	II-IV
Hetzer, 2002 <sup>90</sup>	2.4	II+III	Hasse, 2004 <sup>75</sup>	4	III
Schmidt, 2002 <sup>74</sup>	3.04	III+IV	Kraemer, 2005 <sup>28</sup>	5	III+IV
Krska, 2003 <sup>81</sup>	3.5	III	Schmidt, 2002 <sup>74</sup>	6.14	III+IV
Kraemer, 2005 <sup>28</sup>	4	III+IV	Krska, 2003 <sup>81</sup>	6.2	III
Ren, 2002 <sup>77</sup>	5.8	III+IV	Ren, 2002 <sup>77</sup>	11.2	III+IV

including patients with second to fourth degree haemorrhoids in this analysis; however, the authors were unclear as to the proportion of patients who had different degree of haemorrhoidal disease before surgery. They reported that 38.5% had fourth degree, 31% third degree and 11.5% with second degree haemorrhoids. A further 18.5% were described as having prolapse. One patient was not classified at

all. Despite this, the distribution of these classifications between the SH and CH groups was comparable. The authors provided no explanation for this extended period of convalescence, and it cannot be explained by any of the factors investigated in this review. When this study was removed from the analysis, there was little effect on the overall result or the observed heterogeneity (Figure 51).

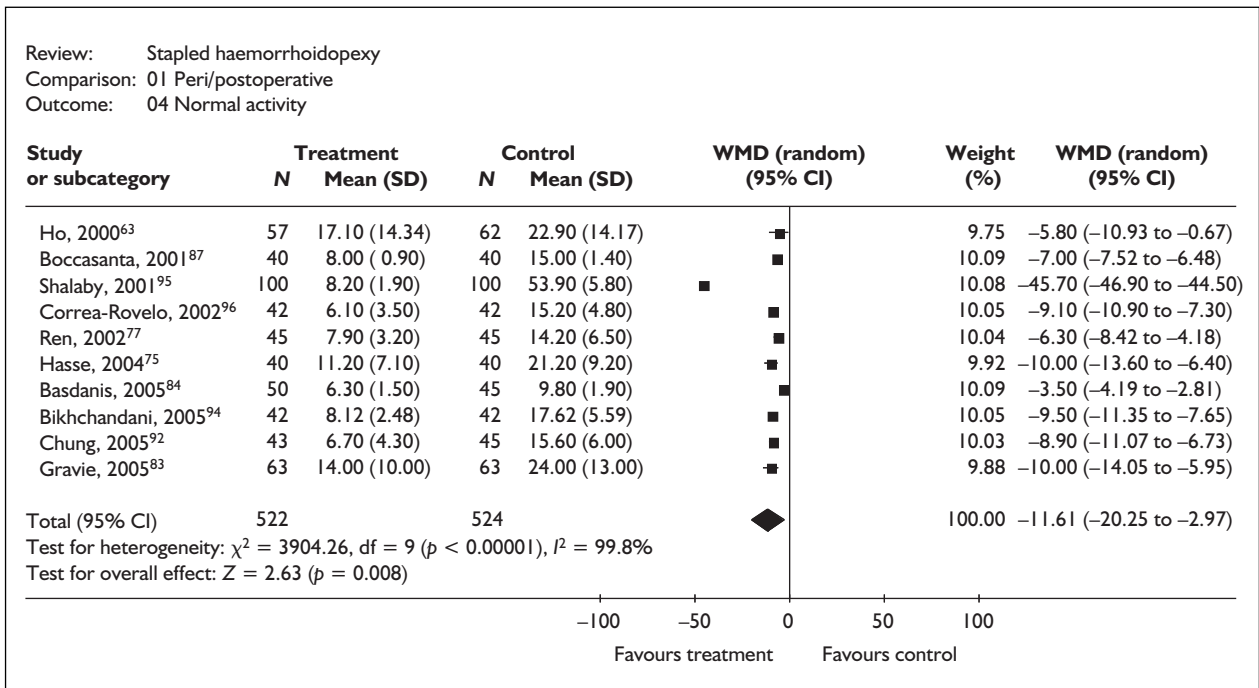


FIGURE 50 Mean number of days to normal activity

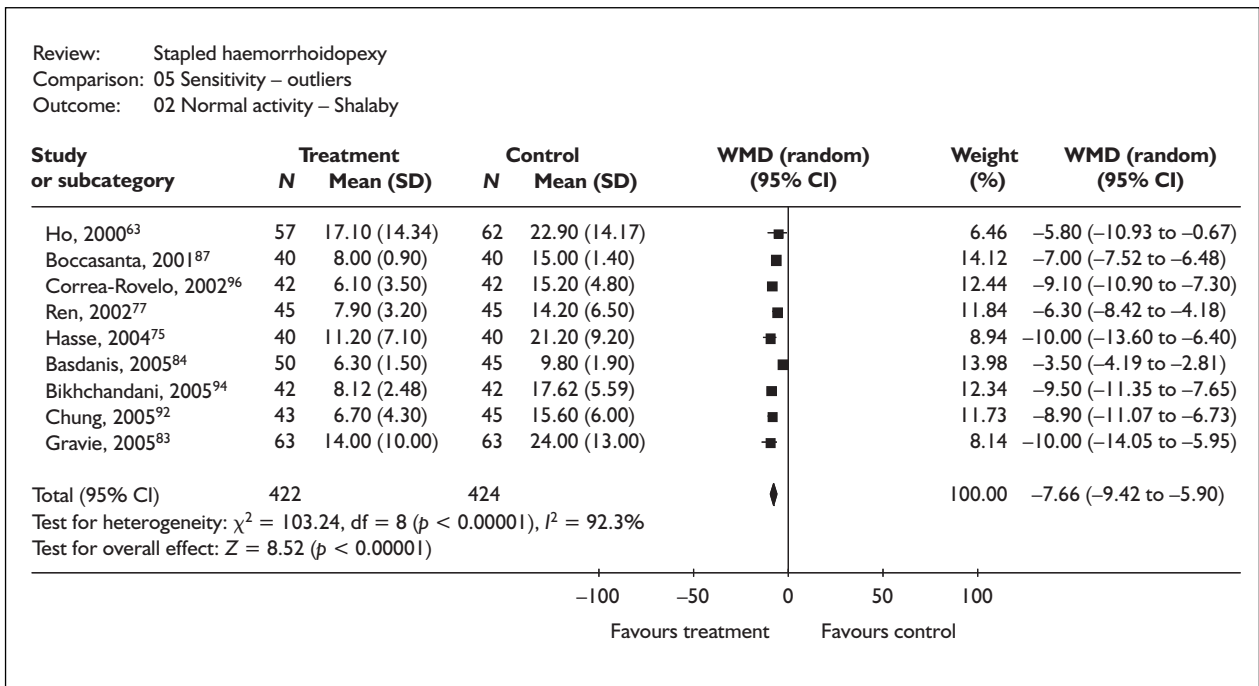


FIGURE 51 Mean number of days to normal activity, with the trial by Shalaby,<sup>95</sup> reporting an uncharacteristically long convalescence time after CH, excluded



## Appendix 8

### Results of a literature search to identify data to inform estimates of resource use and costs

Farinetti and Saviano<sup>67</sup> undertook a cost analysis from the perspective of the healthcare provider. The study is written in Italian. The authors compared the full hospital costs of 35 patients who underwent SH with 35 patients who underwent CH. To assess the costs associated with each procedure they conducted a matched-control study in a single hospital in Italy. They assigned patients to one of the two procedures, attempting to match them by socio-demographic characteristics.

Data were collected on the resource use for the preadmission outpatient examination which the patients underwent, as well as the resource use associated with surgery and postoperative care. Outpatient appointment costs were based on national hospital trust costs. A microcosting study was undertaken to calculate the cost of surgery. Costs of surgery included the cost of premedication, anaesthesia, surgery consumables and equipment, and the cost of the time spent on the operation by the surgical team. The overhead costs associated with surgery were omitted since the authors believed them to be similar across procedures. Unit costs of inpatient stays were obtained from a regional database. All costs were expressed in Italian lire and the price year was not reported but was assumed to be 1998, which is the year that the paper was first submitted for publication in the journal. Alongside Italian lire, costs are presented in British pounds (<http://www.oanda.com/convert/classic> conversion rate: 1 Italian lira = 0.0003427 British pound, 15 June 1998). *Table 71* reports relevant costs.

The costs of preoperative care (the admission outpatient appointment, premedication and anaesthesia) were identical across surgical procedures. The costs of SH consumables and equipment were higher than for CH owing to the cost of the staple gun. The cost of the surgery team was lower in the SH arm than in the CH arm since the operation time was longer for CH. Following SH, patients were discharged from hospital after 16 hours, whereas following CH patients were discharged after 42 hours. The total costs of either type of surgery were estimated as approximately Lire 1,600,000 or £550.

The authors concluded that although the staple gun added to the cost of the SH procedure (lire 683,000 or £234), this was offset owing to the higher costs associated with longer surgery time and longer hospital stay for CH. In addition, the authors suggested that patients undergoing SH typically had a speedier return to work; on average after 4–5 days following surgery, compared with 4–5 weeks for those undergoing CH. However, these costs were not calculated.

In spite of the detail in which the costs are presented, this study is of limited use to inform the cost-effectiveness of SH compared with CH. The study was set in Italy, and resource use and unit costs associated with SH and CH may differ in the UK. In addition, no outcomes were presented and therefore the effectiveness of both types of surgery is unclear. However, given that the study suggests that cost differences for SH compared with CH are minimal, it supports the

**TABLE 71** Cost of SH compared with CH<sup>67</sup>

Service/resource use	SH		CH	
	Italian lire	British pounds	Italian lire	British pounds
Preoperative care	100,900	35	100,900	35
Surgical operation	896,992	307	289,177	99
Inpatient stay	600,900	206	1,200,000	411
Total costs	1,596,892	547	1,590,077	545

need to consider outcomes to inform decisions based on cost-effectiveness.

Based on the NICE reference case, the aim was to include costs from the perspective of the NHS and Personal Social Services. The published literature was searched to obtain these data. Several trials that were identified in the clinical effectiveness review (Chapter 3) included cost data.<sup>45,63,75,77,87</sup> Of these, only one (Wilson<sup>45</sup>) was set in the UK. This study compared the costs and effectiveness of SH in 32 patients and CH in 30 patients. The data were collected from a single hospital. The authors estimated the costs of operating time usage (\$1.40 per minute) and the hourly cost of the hospital stay (\$34); that is, about £1 and £23, respectively, assuming that the price year was 2001 when the work was originally presented at a conference. The operation costs and hospital stay costs for SH were \$504 and \$806, respectively, giving a total of around \$1310. In UK sterling that is £347 and £555, totalling £902. The operation costs and hospital stay costs for CH were \$252 and \$1546 respectively, giving a total of around \$1798. In UK sterling that is £173 and £1064, totalling £1237. The methodology used to calculate costs was not specified clearly and this lack of transparency

undermines the use of the costs. Costs were reported in US dollars and it is not known to which financial year the costs related.

In addition to the RCTs, a review<sup>163</sup> and a cohort study were found, both of which included cost data.<sup>164</sup> The review (The National Horizon Scanning Centre Briefing<sup>163</sup>), conducted by the University of Birmingham (January 2001), covered the use of SH for the treatment of haemorrhoids. The unit cost of a stapling device was £256. The unit cost of CH, excluding operating theatre costs, was thought to be around £1 for the sutures. The cost of an inpatient stay was estimated at around £300 per day, and £9000 for an average 3-day stay. The authors suggest that if SH is performed as a day-case procedure, cost-savings may be generated in terms of inpatient costs. The authors also noted that surgeons are recommended to give antibiotics prophylactically before SH, thus adding an extra cost. No price date was provided. The briefing did not identify any evidence on the cost-effectiveness of using SH to treat third and fourth degree haemorrhoids. The cohort study was dated<sup>164</sup> and relates to the Spanish setting, so was of limited use.

## Appendix 9

### Abstract relevant to calculation of utilities

Abstract submitted to International Society of Pharmacoeconomics and Outcomes Research Conference 12th Annual International Meeting, 19–23 May 2007.<sup>113</sup>

#### **SF-36 and EQ-5D: a simple and original solution to the complexities of conversion**

Kind P, Chuang LH, Macran S  
University of York, UK

**Objectives:** SF-36 suffers from a fatal design flaw common to many profile measures in being unable to represent health status as a single aggregate measure – a required attribute of any instrument used to measure benefits in cost-effectiveness analysis of healthcare. Over the past decade significant effort has been made to remedy this shortcoming in SF-36 by converting it into a utility-weighted index such as EQ-5D using regression models of varying complexity. These methods require access to micro-level data. Where SF-36 data are reported in summary form such transformation models are no longer feasible. This paper reports on a novel solution to the problem of conversion.

**Methods:** This distance between two SF-36 profiles  $S_i$  and  $S_j$  can be computed as the root mean

square of the 8 pairs of subscale scores given by  $[\sum (s_{ik} - s_{jk})^2]^{0.5}$  for  $k = 1, 8$ . The root mean square (RMS8) represents the average distance between the profiles across all dimensions. This metric is a general measure that can be used to identify the most closely matching SF-36 profiles.

**Results:** The Health Survey for England is a national population survey in which both EQ-5D and SF-36 were completed by some 16,000 adults. For a given target vector of SF-36 scores the 20 most closely matching individuals were selected on the basis of the RMS8 distance function. The mean observed EQ-5D index for this subset was computed, together with its variance. As expected, the correlation between observed and derived EQ-5D index values was high. However, values estimated for SF-36 profiles from other surveys indicate the robustness of the methodology. Estimated values in surveys that lack comparative EQ-5D data appear entirely consistent with indicators of disease severity.

**Conclusion:** EQ-5D index values can be derived easily from SF-36 profiles.





## Appendix 10

### Methods of the statistical analysis to determine the probabilities of health states

A statistical analysis was conducted to determine the probabilities of each of the health states at 1 year. Sixteen RCTs provided sufficient data to be included in the statistical model. The included RCTs and the data are shown in *Table 72*. The reasons for exclusion of RCTs are listed in *Table 73*.

A two-step model was used. In the first step, outcomes were classified into three categories: (i) no adverse outcome, (ii) complications of surgery, and (iii) symptoms associated with haemorrhoids. These sets were considered heterogeneous, since complications and symptoms can arise from distinct processes. Complications are a technical failure of surgery, which represents the safety of the technology, whereas control of symptoms represents the effectiveness of the technology. Therefore, the model calculated separate probabilities of incidence of complications and symptoms, and separate parameters to estimate the relative effect of treatment. Random effects at the first step takes into account the effect of unobservable characteristics being study and category specific. For complications, this may include variations in the skill of the surgical teams between studies. For symptoms, there may be variations in patient characteristics or lifestyles making recurrence in particular studies more or less likely than average.

At the second step, the symptoms of haemorrhoids were categorised as mild, moderate or severe, conditional on a symptom having occurred. Within this higher level, these categories were considered homogeneous; that is, there is a natural ordering of severity of the symptom. A treatment effect can be estimated at the second step from the data; that is, a difference between SH and CH in the mix of severities, given that a patient has a recurrence of the symptom, although *a priori* this might not be expected.

Similarly, at the second step, the complications of surgery were classified as mild, moderate and serious. There were very few mild complications observed in the data, and therefore the categories of mild and moderate complications were

combined and the model was only estimated for two categories: serious and non-serious complications.

The statistical analysis used a multicategorical response model. The multivariate response variable  $y_{ij}$  is a vector of the number of participants in arm  $j$  of study  $i$  reporting one of six possible values: 1 = no adverse outcome; 2 = mild or moderate complications; 3 = serious complications; 4 = mild symptoms; 5 = moderate symptoms or 6 = severe symptoms.

In a trial arm of size  $n_{ij}$ ,  $y_{ij}$  is multinomially distributed

$$y_{ij} \sim M(n_{ij}, p_{ij})$$

where

$$y_{ij} = (y_{1ij}, \dots, y_{6ij}), p_{ij} = (p_{1ij}, \dots, p_{6ij})$$

$$p_{rij} = P(Y_{ij} = r | x_{ij})$$

In the first step, a multinomial logit model was used to estimate the probability that patients had no adverse outcomes, complications or a symptom. The offset term,  $\log(\text{follow}_{ij})$ , adjusted the probability of observing outcome  $r$  for the average length of follow-up in the study, with the coefficient constrained to be 1. A random effect takes into account the effect of unobservable characteristics being study and category specific.

$$p_{rij} = \exp(z_{ij}) / (1 + \exp(z_{1ij}) + \exp(z_{2ij}))$$

with

$$z_{rij} = \log(\text{follow}_{ij}) + \alpha_{ri} + \beta_r \times T_{ij}, r = 1, 2$$

$$\alpha_{ri} \sim N(\alpha_r, \sigma_r^2)$$

$\alpha_1$  can be interpreted as the mean log-odds of having complications with respect to the log-odds of having no adverse outcomes, and  $\alpha_2$  is the mean log-odds of having symptoms with respect to the log-odds of having no adverse outcomes, for patients who have CH.  $\beta_1$  is the relative risk (log-odds ratio) of complications for patients who have SH, and  $\beta_2$  the relative risk of symptoms for

TABLE 72 Data from the studies included in the statistical model

Study	n	None	Complications		Symptoms			Treatment group	Mean follow-up (years)
			Non-serious	Serious	Mild	Moderate	Severe		
Basdanis, 2005 <sup>84</sup>	50	47	0	0	3	0	0	SH	0.5
	40	40	0	0	0	0	0	CH	
Correa-Rovelo, 2002 <sup>96</sup>	41	29	1	0	11	0	0	SH	0.5
	41	34	1	0	6	0	0	CH	
Cheetham, 2003 <sup>79</sup>	14	8	0	0	6	0	0	SH	0.7
	16	12	0	0	4	0	0	CH	
Boccasanta, 2002 <sup>87</sup>	40	38	2	0	0	0	0	SH	0.9
	40	35	3	0	2	0	0	CH	
Ortiz, 2005 <sup>88</sup>	15	3	0	2	5	0	5	SH	1.0
	16	11	0	3	2	0	0	CH	
Kairaluoma, 2003 <sup>82</sup>	30	18	1	3	1	4	3	SH	1.0
	30	28	0	1	0	1	0	CH	
Hetzer, 2002 <sup>90</sup>	20	19	0	0	0	1	0	SH	1.0
	20	19	0	0	0	1	0	CH	
Shalaby, 2001 <sup>95</sup>	95	92	2	0	0	0	1	SH	1.0
	80	73	5	0	0	0	2	CH	
Ascanelli, 2005 <sup>76</sup>	50	45	0	3	0	2	0	SH	1.0
	50	48	1	1	0	0	0	CH	
Sengaore, 2004 <sup>91</sup>	59	45	0	3	9	2	0	SH	1.0
	58	44	1	6	4	0	3	CH	
Pavlidis, 2002 <sup>85</sup>	40	39	0	1	0	0	0	SH	1.0
	40	39	0	1	0	0	0	CH	
Ortiz, 2002 <sup>89</sup>	27	16	0	2	6	0	3	SH	1.3
	28	23	0	4	1	0	0	CH	
Palimento, 2003 <sup>86</sup>	37	24	0	0	13	0	0	SH	1.5
	37	25	0	0	12	0	0	CH	
Ho, 2000 <sup>63</sup>	27	23	0	0	3	0	1	SH	1.5
	33	31	0	0	0	1	1	CH	
Gravie, 2005 <sup>83</sup>	52	48	0	0	4	0	0	SH	2.0
	57	56	0	0	1	0	0	CH	
Van de Stadt, 2005 <sup>80</sup>	20	8	0	0	8	0	4	SH	3.8
	20	10	2	0	8	0	0	CH	
<b>Total</b>	1223	1030 (84%)	19 (2%)	30 (2%)	109 (9%)	12 (<1%)	23 (2%)		

*n*, number randomised.  
There were very few mild complications and therefore mild and moderate complications have been combined as 'non-serious complications' in this table.  
The definitions of mild, moderate and severe symptoms, and serious complications, are given in Figure 11 (p. 57).

patients who have SH. Using a Bayesian perspective,  $\alpha_r$  and  $\beta_r$  ( $r = 1, 2$ ) take uninformative independent normal priors.  $\sigma_r^2$  ( $r = 1, 2$ ), the between-study variance for category  $r$ , and  $\sigma_r$  take uninformative independent uniform priors.

At the second step, the probability that patients have mild, medium or severe symptoms,

conditioned on having some kind of symptom, is estimated by a cumulative threshold model. The underlying and unobserved latent variable (severity of symptom)  $U$  is on an underlying continuous scale from  $-\text{Inf}$  to  $+\text{Inf}$ . The latent variable  $U$  is determined by the explanatory variables in a linear form:

$$U_{ij} = -(\gamma_0 + \gamma_1 \times T_{ij}) + e_{ij}$$

**TABLE 73** Reasons for exclusion of some RCTs or data from the statistical model of complications, symptoms and reinterventions during the follow-up period

Reason for exclusion from statistical model	Number of studies excluded	References
Did not report interventions	2	Ren, 2002 <sup>77</sup> Chung, 2005 <sup>92</sup>
Did not report symptoms	1	Docherty, 2001 <sup>78</sup>
Data not reported in a usable format: discrepancy between individual symptoms and total symptoms	1	Hasse, 2004 <sup>75</sup>
Long-term follow-up of RCT reported as full manuscript or reported at multiple time-points	Included time-point nearest to 1 year	Ooi, 2002 <sup>71</sup> Palimento, 2003 <sup>86</sup> Senagore, 2004 <sup>91</sup> Pavlidis, 2002 <sup>85</sup>

It is unlikely that a treatment effect for the severity of the symptom would persist, conditional on a symptom having occurred, and this would only be included in the final model if the coefficient  $\gamma_1$  were statistically significant at the 5% level. To reduce the computational burden in the model, all parameters were considered constants at the second step, that is, there is no study- and category-specific random effect.

$Y$  and  $U$  are connected by;

$$Y = r | Y \geq 4 \Leftrightarrow \Theta_{r-1} < U \leq \Theta_r, 4, 5, 6$$

where

$$-\infty = \Theta_3 < \Theta_4 < \Theta_5 < \Theta_6 = \infty$$

The error term  $\ell_{ij}$  was assumed to take a logistic distribution function,  $F(\ell) = 1/(1+\exp(-\ell))$ . The second step of the statistical model was:

$$P(Y_{ij} \leq r | Y_{ij} \geq 4, x_{ij}) = F(\Theta_r + \gamma_1 \times T_{ij}),$$

$$r = 4, 5, 6$$

The threshold  $\Theta_4$  is the log-odds of observing mild symptoms (with no treatment effect), if symptoms occur. The threshold  $\Theta_5$  is the log-odds of observing mild or moderate symptoms (with no treatment effect), given that symptoms occur. For identifiability, the intercept term  $\gamma_0$  was dropped. To avoid problems with estimation that may occur if the thresholds are very similar, the thresholds  $\Theta_4$  and  $\Theta_5$  were reparameterised by:

$$a_1 = \Theta_4$$

$$a_2 = \log(\Theta_5 - \Theta_4)$$

The parameters  $a_1$ ,  $a_2$  and  $\gamma_1$  were given independent uninformative normal priors. A

similar conditional logistic model was used to classify complications as serious or non-serious, given that complications occur.

### Winbugs code used to estimate the statistical model of the probabilities of complications and recurrent symptoms

```

Statistical model
#shptest15_7
model {
#offset
offset<-1
for (i in 1:NData) {
#follow is mean length of follow-up in
trial i in years
lnF[i]<-log(Follow[i])
#two step model
#first step - probability patient has no
symptoms
#create linear predictor
#Reference: Page 309 Fahrmeir and Tutz
z[i,1]<-
offset*lnF[i]+(alpha1[study[i]]+beta[1]*
T[i])
z[i,2]<-
offset*lnF[i]+(alpha2[study[i]]+beta[2]*
T[i])

#None=R1, Complications = R2+R3,
symptoms = R4+R5+R6
#Assuming errors follow a logistic
distribution
#gets the proportional odds multinomial
model
#first step probabilities
#complications

```

```

steps[i,1]<-
exp(z[i,1])/(1+exp(z[i,1])+exp(z[i,2]))
#symptoms
steps[i,2]<-
exp(z[i,2])/(1+exp(z[i,1])+exp(z[i,2]))
#no problems
steps[i,3]<-1-steps[i,1]-steps[i,2]

#second step
#cumulative probability patient has
either no reintervention, outpatient or
surgery
#given symptoms
#assume logistic distribution for errors

logit(Q[i,1])<--(a[1])

logit(Q[i,2])<- -(a[2] )
logit(Q[i,3])<- -(a[2]+exp(a[3]))

p[i,1]<-steps[i,3]

#probability of moderate complications
p[i,2]<-steps[i,1]*Q[i,1]
#probability of severe complications
p[i,3]<- steps[i,1]*(1-Q[i,1])

# probability of mild symptoms
p[i,4]<-steps[i,2]*(1-Q[i,2])
#probability of moderate symptoms
p[i,5]<-steps[i,2]*(Q[i,2]-Q[i,3])
#probability of severe symptoms
p[i,6]<-steps[i,2]*Q[i,3]

#multinomial likelihood of observing
data
R[i,1:6]~dmulti(p[i,],N[i])
}
#priors
# study effects
for(k in 1:NStudy) {
  alpha1[k]~dnorm(mu[1],Tau[1])
  alpha2[k]~dnorm(mu[2],Tau[2])
}
#mean log-odds of observing no symptoms
mu[1]~dnorm(0,0.0001)
mu[2]~dnorm(0,0.0001)

#mean probabilities for no symptoms
given treatment 1=CH and 2=SH
#at 1 year
#logistic distribution for step 1
#remember mu is already "negative"
pi[1]<-
exp(mu[1])/(1+exp(mu[1])+exp(mu[2]))
pi[2]<-
exp(mu[2])/(1+exp(mu[1])+exp(mu[2]))

pi[3]<-
exp(mu[1]+beta[1])/(1+exp(mu[1]+beta[1])
+exp(mu[2]+beta[2]))
pi[4]<-
exp(mu[2]+beta[2])/(1+exp(mu[1]+beta[1])
+exp(mu[2]+beta[2]))

#probabilities of interventions given
complications
#logistic distribution for step 2
pi[5]<-1- 1/(1+exp(a[1]))
pi[6]<-1- pi[5]
#given symptoms
pi[7]<-1- 1/(1+exp(a[2]))
pi[8]<-1/(1+exp(a[2]+exp(a[3])))
pi[9]<-1- pi[7]-pi[8]

#between-study variance of observing no
symptoms
Tau[1]~1/(sd[1]*sd[1])
sd[1]~dunif(0,10)
Tau[2]~1/(sd[2]*sd[2])
sd[2]~dunif(0,10)
#population common treatment effects

beta[1]~dnorm(0, 0.0001)
beta[2]~dnorm(0, 0.0001)
#thresholds
#mild vs moderate symptom
a[1] ~dnorm(0, 0.0001)
#moderate vs severe
a[2] ~dnorm(0, 0.0001)
#mod vs severe complication
a[3] ~dnorm(0, 0.0001)
}
#inits
list(
  alpha=c(0,0,0,0,0, 0,0,0,0,0, 0,0,0,0,0,
0),
  alpha2=c(0,0,0,0,0, 0,0,0,0,0, 0,0,0,0,0,
0),
  beta=c(0,0),
  a=c(0,0,0), mu=c(0,0), sd=c(1,1))
#data
list(NStudy=16,NData=32)
study[] N[] R[,1] R[,2] R[,3] R[,4] R[,5]
R[,6] T[] Follow[]
1 50 47 0 0 3 0 0 1 0.50
1 40 40 0 0 0 0 0 0 0.50
2 41 29 1 0 11 0 0 1 0.50
2 41 34 1 0 6 0 0 0 0.50
3 14 8 0 0 6 0 0 1 0.67
3 16 12 0 0 4 0 0 0 0.67
4 40 38 2 0 0 0 0 1 0.92
4 40 35 3 0 2 0 0 0 0.92
5 15 3 0 2 5 0 5 1 1.00
5 16 11 0 3 2 0 0 0 1.00

```

6 30 18 1 3 1 4 3 1 1.00  
 6 30 28 0 1 0 1 0 0 1.00  
 7 20 19 0 0 0 1 0 1 1.00  
 7 20 19 0 0 0 1 0 0 1.00  
 8 95 92 2 0 0 0 1 1 1.00  
 8 80 73 5 0 0 0 2 0 1.00  
 9 50 45 0 3 0 2 0 1 1.00  
 9 50 48 1 1 0 0 0 0 1.00  
 10 59 45 0 3 9 2 0 1 1.00  
 10 58 44 1 6 4 0 3 0 1.00  
 11 40 39 0 1 0 0 0 1 1.00  
 11 40 39 0 1 0 0 0 0 1.00

12 27 16 0 2 6 0 3 1 1.33  
 12 28 23 0 4 1 0 0 0 1.33  
 13 37 24 0 0 13 0 0 1 1.50  
 13 37 25 0 0 12 0 0 0 1.50  
 14 27 23 0 0 3 0 1 1 1.50  
 14 33 31 0 0 0 1 1 0 1.50  
 15 52 48 0 0 4 0 0 1 2.00  
 15 57 56 0 0 1 0 0 0 2.00  
 16 20 8 0 0 8 0 4 1 3.83  
 16 20 10 2 0 8 0 0 0 3.83  
 END





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By Hampson SE, Skinner TC, Hart J, Storey L, Gage H, Foxcroft D, *et al.*

**No. 11**

Effectiveness of autologous chondrocyte transplantation for hyaline cartilage defects in knees: a rapid and systematic review.

By Jobanputra P, Parry D, Fry-Smith A, Burls A.

**No. 12**

Statistical assessment of the learning curves of health technologies.

By Ramsay CR, Grant AM, Wallace SA, Garthwaite PH, Monk AF, Russell IT.

**No. 13**

The effectiveness and cost-effectiveness of temozolomide for the treatment of recurrent malignant glioma: a rapid and systematic review.

By Dinnes J, Cave C, Huang S, Major K, Milne R.

**No. 14**

A rapid and systematic review of the clinical effectiveness and cost-effectiveness of debriding agents in treating surgical wounds healing by secondary intention.

By Lewis R, Whiting P, ter Riet G, O'Meara S, Glanville J.

**No. 15**

Home treatment for mental health problems: a systematic review.

By Burns T, Knapp M, Catty J, Healey A, Henderson J, Watt H, *et al.*

**No. 16**

How to develop cost-conscious guidelines.

By Eccles M, Mason J.

**No. 17**

The role of specialist nurses in multiple sclerosis: a rapid and systematic review.

By De Broe S, Christopher F, Waugh N.

**No. 18**

A rapid and systematic review of the clinical effectiveness and cost-effectiveness of orlistat in the management of obesity.

By O'Meara S, Riemsma R, Shirran L, Mather L, ter Riet G.

**No. 19**

The clinical effectiveness and cost-effectiveness of pioglitazone for type 2 diabetes mellitus: a rapid and systematic review.

By Chilcott J, Wight J, Lloyd Jones M, Tappenden P.

**No. 20**

Extended scope of nursing practice: a multicentre randomised controlled trial of appropriately trained nurses and preregistration house officers in pre-operative assessment in elective general surgery.

By Kinley H, Czoski-Murray C, George S, McCabe C, Primrose J, Reilly C, *et al.*

**No. 21**

Systematic reviews of the effectiveness of day care for people with severe mental disorders: (1) Acute day hospital versus admission; (2) Vocational rehabilitation; (3) Day hospital versus outpatient care.

By Marshall M, Crowther R, Almaraz-Serrano A, Creed F, Sledge W, Kluiters H, *et al.*

**No. 22**

The measurement and monitoring of surgical adverse events.

By Bruce J, Russell EM, Mollison J, Krukowski ZH.

**No. 23**

Action research: a systematic review and guidance for assessment.

By Waterman H, Tillen D, Dickson R, de Koning K.

**No. 24**

A rapid and systematic review of the clinical effectiveness and cost-effectiveness of gemcitabine for the treatment of pancreatic cancer.

By Ward S, Morris E, Bansback N, Calvert N, Crellin A, Forman D, *et al.*

**No. 25**

A rapid and systematic review of the evidence for the clinical effectiveness and cost-effectiveness of irinotecan, oxaliplatin and raltitrexed for the treatment of advanced colorectal cancer.

By Lloyd Jones M, Hummel S, Bansback N, Orr B, Seymour M.

**No. 26**

Comparison of the effectiveness of inhaler devices in asthma and chronic obstructive airways disease: a systematic review of the literature.

By Brocklebank D, Ram F, Wright J, Barry P, Cates C, Davies L, *et al.*

**No. 27**

The cost-effectiveness of magnetic resonance imaging for investigation of the knee joint.

By Bryan S, Weatherburn G, Bungay H, Hatrick C, Salas C, Parry D, *et al.*

**No. 28**

A rapid and systematic review of the clinical effectiveness and cost-effectiveness of topotecan for ovarian cancer.

By Forbes C, Shirran L, Bagnall A-M, Duffy S, ter Riet G.

**No. 29**

Superseded by a report published in a later volume.

**No. 30**

The role of radiography in primary care patients with low back pain of at least 6 weeks duration: a randomised (unblinded) controlled trial.

By Kendrick D, Fielding K, Bentley E, Miller P, Kerslake R, Pringle M.

**No. 31**

Design and use of questionnaires: a review of best practice applicable to surveys of health service staff and patients.

By McColl E, Jacoby A, Thomas L, Soutter J, Bamford C, Steen N, *et al.*

**No. 32**

A rapid and systematic review of the clinical effectiveness and cost-effectiveness of paclitaxel, docetaxel, gemcitabine and vinorelbine in non-small-cell lung cancer.

By Clegg A, Scott DA, Sidhu M, Hewitson P, Waugh N.

**No. 33**

Subgroup analyses in randomised controlled trials: quantifying the risks of false-positives and false-negatives.

By Brookes ST, Whitley E, Peters TJ, Mulheran PA, Egger M, Davey Smith G.

**No. 34**

Depot antipsychotic medication in the treatment of patients with schizophrenia: (1) Meta-review; (2) Patient and nurse attitudes.

By David AS, Adams C.

**No. 35**

A systematic review of controlled trials of the effectiveness and cost-effectiveness of brief psychological treatments for depression.

By Churchill R, Hunot V, Corney R, Knapp M, McGuire H, Tylee A, *et al.*

**No. 36**

Cost analysis of child health surveillance.

By Sanderson D, Wright D, Acton C, Duree D.

**Volume 6, 2002****No. 1**

A study of the methods used to select review criteria for clinical audit.

By Hearnshaw H, Harker R, Cheater F, Baker R, Grimshaw G.

**No. 2**

Fludarabine as second-line therapy for B cell chronic lymphocytic leukaemia: a technology assessment.

By Hyde C, Wake B, Bryan S, Barton P, Fry-Smith A, Davenport C, *et al.*

**No. 3**

Rituximab as third-line treatment for refractory or recurrent Stage III or IV follicular non-Hodgkin's lymphoma: a systematic review and economic evaluation.

By Wake B, Hyde C, Bryan S, Barton P, Song F, Fry-Smith A, *et al.*

**No. 4**

A systematic review of discharge arrangements for older people.

By Parker SG, Peet SM, McPherson A, Cannaby AM, Baker R, Wilson A, *et al.*

**No. 5**

The clinical effectiveness and cost-effectiveness of inhaler devices used in the routine management of chronic asthma in older children: a systematic review and economic evaluation.

By Peters J, Stevenson M, Beverley C, Lim J, Smith S.

**No. 6**

The clinical effectiveness and cost-effectiveness of sibutramine in the management of obesity: a technology assessment.

By O'Meara S, Riemsma R, Shirran L, Mather L, ter Riet G.

**No. 7**

The cost-effectiveness of magnetic resonance angiography for carotid artery stenosis and peripheral vascular disease: a systematic review.

By Berry E, Kelly S, Westwood ME, Davies LM, Gough MJ, Bamford JM, *et al.*

**No. 8**

Promoting physical activity in South Asian Muslim women through 'exercise on prescription'.

By Carroll B, Ali N, Azam N.

**No. 9**

Zanamivir for the treatment of influenza in adults: a systematic review and economic evaluation.

By Burls A, Clark W, Stewart T, Preston C, Bryan S, Jefferson T, *et al.*

**No. 10**

A review of the natural history and epidemiology of multiple sclerosis: implications for resource allocation and health economic models.

By Richards RG, Sampson FC, Beard SM, Tappenden P.

**No. 11**

Screening for gestational diabetes: a systematic review and economic evaluation.

By Scott DA, Loveman E, McIntyre L, Waugh N.

**No. 12**

The clinical effectiveness and cost-effectiveness of surgery for people with morbid obesity: a systematic review and economic evaluation.

By Clegg AJ, Colquitt J, Sidhu MK, Royle P, Loveman E, Walker A.

**No. 13**

The clinical effectiveness of trastuzumab for breast cancer: a systematic review.

By Lewis R, Bagnall A-M, Forbes C, Shirran E, Duffy S, Kleijnen J, *et al.*

**No. 14**

The clinical effectiveness and cost-effectiveness of vinorelbine for breast cancer: a systematic review and economic evaluation.

By Lewis R, Bagnall A-M, King S, Woolcott N, Forbes C, Shirran L, *et al.*

**No. 15**

A systematic review of the effectiveness and cost-effectiveness of metal-on-metal hip resurfacing arthroplasty for treatment of hip disease.

By Vale L, Wyness L, McCormack K, McKenzie L, Brazzelli M, Stearns SC.

**No. 16**

The clinical effectiveness and cost-effectiveness of bupropion and nicotine replacement therapy for smoking cessation: a systematic review and economic evaluation.

By Woolcott NF, Jones L, Forbes CA, Mather LC, Sowden AJ, Song FJ, *et al.*

**No. 17**

A systematic review of effectiveness and economic evaluation of new drug treatments for juvenile idiopathic arthritis: etanercept.

By Cummins C, Connock M, Fry-Smith A, Burls A.

**No. 18**

Clinical effectiveness and cost-effectiveness of growth hormone in children: a systematic review and economic evaluation.

By Bryant J, Cave C, Mihaylova B, Chase D, McIntyre L, Gerard K, *et al.*

**No. 19**

Clinical effectiveness and cost-effectiveness of growth hormone in adults in relation to impact on quality of life: a systematic review and economic evaluation.

By Bryant J, Loveman E, Chase D, Mihaylova B, Cave C, Gerard K, *et al.*

**No. 20**

Clinical medication review by a pharmacist of patients on repeat prescriptions in general practice: a randomised controlled trial.

By Zermansky AG, Petty DR, Raynor DK, Lowe CJ, Frementle N, Vail A.

**No. 21**

The effectiveness of infliximab and etanercept for the treatment of rheumatoid arthritis: a systematic review and economic evaluation.

By Jobanputra P, Barton P, Bryan S, Burls A.

**No. 22**

A systematic review and economic evaluation of computerised cognitive behaviour therapy for depression and anxiety.

By Kaltenthaler E, Shackley P, Stevens K, Beverley C, Parry G, Chilcott J.

**No. 23**

A systematic review and economic evaluation of pegylated liposomal doxorubicin hydrochloride for ovarian cancer.

By Forbes C, Wilby J, Richardson G, Sculpher M, Mather L, Reimsma R.

**No. 24**

A systematic review of the effectiveness of interventions based on a stages-of-change approach to promote individual behaviour change.

By Riemsma RP, Pattenden J, Bridle C, Sowden AJ, Mather L, Watt IS, *et al.*

**No. 25**

A systematic review update of the clinical effectiveness and cost-effectiveness of glycoprotein IIb/IIIa antagonists.

By Robinson M, Ginnelly L, Sculpher M, Jones L, Riemsma R, Palmer S, *et al.*

**No. 26**

A systematic review of the effectiveness, cost-effectiveness and barriers to implementation of thrombolytic and neuroprotective therapy for acute ischaemic stroke in the NHS.

By Sandercock P, Berge E, Dennis M, Forbes J, Hand P, Kwan J, *et al.*

**No. 27**

A randomised controlled crossover trial of nurse practitioner versus doctor-led outpatient care in a bronchiectasis clinic.

By Caine N, Sharples LD, Hollingworth W, French J, Keogan M, Exley A, *et al.*

**No. 28**

Clinical effectiveness and cost – consequences of selective serotonin reuptake inhibitors in the treatment of sex offenders.

By Adi Y, Ashcroft D, Browne K, Beech A, Fry-Smith A, Hyde C.

**No. 29**

Treatment of established osteoporosis: a systematic review and cost-utility analysis.

By Kanis JA, Brazier JE, Stevenson M, Calvert NW, Lloyd Jones M.

**No. 30**

Which anaesthetic agents are cost-effective in day surgery? Literature review, national survey of practice and randomised controlled trial.

By Elliott RA Payne K, Moore JK, Davies LM, Harper NJN, St Leger AS, *et al.*

**No. 31**

Screening for hepatitis C among injecting drug users and in genitourinary medicine clinics: systematic reviews of effectiveness, modelling study and national survey of current practice.

By Stein K, Dalziel K, Walker A, McIntyre L, Jenkins B, Horne J, *et al.*

**No. 32**

The measurement of satisfaction with healthcare: implications for practice from a systematic review of the literature.

By Crow R, Gage H, Hampson S, Hart J, Kimber A, Storey L, *et al.*

**No. 33**

The effectiveness and cost-effectiveness of imatinib in chronic myeloid leukaemia: a systematic review.

By Garside R, Round A, Dalziel K, Stein K, Royle R.

**No. 34**

A comparative study of hypertonic saline, daily and alternate-day rhDNase in children with cystic fibrosis.

By Suri R, Wallis C, Bush A, Thompson S, Normand C, Flather M, *et al.*

**No. 35**

A systematic review of the costs and effectiveness of different models of paediatric home care.

By Parker G, Bhakta P, Lovett CA, Paisley S, Olsen R, Turner D, *et al.*

**Volume 7, 2003**

**No. 1**

How important are comprehensive literature searches and the assessment of trial quality in systematic reviews? Empirical study.

By Egger M, Jüni P, Bartlett C, Holenstein F, Sterne J.

**No. 2**

Systematic review of the effectiveness and cost-effectiveness, and economic evaluation, of home versus hospital or satellite unit haemodialysis for people with end-stage renal failure.

By Mowatt G, Vale L, Perez J, Wyness L, Fraser C, MacLeod A, *et al.*

**No. 3**

Systematic review and economic evaluation of the effectiveness of infliximab for the treatment of Crohn's disease.

By Clark W, Raftery J, Barton P, Song F, Fry-Smith A, Burls A.

**No. 4**

A review of the clinical effectiveness and cost-effectiveness of routine anti-D prophylaxis for pregnant women who are rhesus negative.

By Chilcott J, Lloyd Jones M, Wight J, Forman K, Wray J, Beverley C, *et al.*

**No. 5**

Systematic review and evaluation of the use of tumour markers in paediatric oncology: Ewing's sarcoma and neuroblastoma.

By Riley RD, Burchill SA, Abrams KR, Heney D, Lambert PC, Jones DR, *et al.*

**No. 6**

The cost-effectiveness of screening for *Helicobacter pylori* to reduce mortality and morbidity from gastric cancer and peptic ulcer disease: a discrete-event simulation model.

By Roderick P, Davies R, Raftery J, Crabbe D, Pearce R, Bhandari P, *et al.*

**No. 7**

The clinical effectiveness and cost-effectiveness of routine dental checks: a systematic review and economic evaluation.

By Davenport C, Elley K, Salas C, Taylor-Weetman CL, Fry-Smith A, Bryan S, *et al.*

**No. 8**

A multicentre randomised controlled trial assessing the costs and benefits of using structured information and analysis of women's preferences in the management of menorrhagia.

By Kennedy ADM, Sculpher MJ, Coulter A, Dwyer N, Rees M, Horsley S, *et al.*

**No. 9**

Clinical effectiveness and cost-utility of photodynamic therapy for wet age-related macular degeneration: a systematic review and economic evaluation.

By Meads C, Salas C, Roberts T, Moore D, Fry-Smith A, Hyde C.

**No. 10**

Evaluation of molecular tests for prenatal diagnosis of chromosome abnormalities.

By Grimshaw GM, Szczepura A, Hultén M, MacDonald F, Nevin NC, Sutton F, *et al.*

**No. 11**

First and second trimester antenatal screening for Down's syndrome: the results of the Serum, Urine and Ultrasound Screening Study (SURUSS).

By Wald NJ, Rodeck C, Hackshaw AK, Walters J, Chitty L, Mackinson AM.

**No. 12**

The effectiveness and cost-effectiveness of ultrasound locating devices for central venous access: a systematic review and economic evaluation.

By Calvert N, Hind D, McWilliams RG, Thomas SM, Beverley C, Davidson A.

**No. 13**

A systematic review of atypical antipsychotics in schizophrenia.

By Bagnall A-M, Jones L, Lewis R, Ginnelly L, Glanville J, Torgerson D, *et al.*

**No. 14**

Prostate Testing for Cancer and Treatment ( ProtecT) feasibility study.

By Donovan J, Hamdy F, Neal D, Peters T, Oliver S, Brindle L, *et al.*

**No. 15**

Early thrombolysis for the treatment of acute myocardial infarction: a systematic review and economic evaluation.

By Boland A, Dundar Y, Bagust A, Haycox A, Hill R, Mujica Mota R, *et al.*

**No. 16**

Screening for fragile X syndrome: a literature review and modelling.

By Song FJ, Barton P, Sleightholme V, Yao GL, Fry-Smith A.

**No. 17**

Systematic review of endoscopic sinus surgery for nasal polyps.

By Dalziel K, Stein K, Round A, Garside R, Royle P.

**No. 18**

Towards efficient guidelines: how to monitor guideline use in primary care.

By Hutchinson A, McIntosh A, Cox S, Gilbert C.

**No. 19**

Effectiveness and cost-effectiveness of acute hospital-based spinal cord injuries services: systematic review.

By Bagnall A-M, Jones L, Richardson G, Duffy S, Riemsma R.

**No. 20**

Prioritisation of health technology assessment. The PATHS model: methods and case studies.

By Townsend J, Buxton M, Harper G.

**No. 21**

Systematic review of the clinical effectiveness and cost-effectiveness of tension-free vaginal tape for treatment of urinary stress incontinence.

By Cody J, Wyness L, Wallace S, Glazener C, Kilonzo M, Stearns S, *et al.*

**No. 22**

The clinical and cost-effectiveness of patient education models for diabetes: a systematic review and economic evaluation.

By Loveman E, Cave C, Green C, Royle P, Dunn N, Waugh N.

**No. 23**

The role of modelling in prioritising and planning clinical trials.

By Chilcott J, Brennan A, Booth A, Karnon J, Tappenden P.

**No. 24**

Cost-benefit evaluation of routine influenza immunisation in people 65-74 years of age.

By Allsup S, Gosney M, Haycox A, Regan M.

**No. 25**

The clinical and cost-effectiveness of pulsatile machine perfusion versus cold storage of kidneys for transplantation retrieved from heart-beating and non-heart-beating donors.

By Wight J, Chilcott J, Holmes M, Brewer N.

**No. 26**

Can randomised trials rely on existing electronic data? A feasibility study to explore the value of routine data in health technology assessment.

By Williams JG, Cheung WY, Cohen DR, Hutchings HA, Longo MF, Russell IT.

**No. 27**

Evaluating non-randomised intervention studies.

By Deeks JJ, Dinnes J, D'Amico R, Sowden AJ, Sakarovich C, Song F, *et al.*

**No. 28**

A randomised controlled trial to assess the impact of a package comprising a patient-orientated, evidence-based self-help guidebook and patient-centred consultations on disease management and satisfaction in inflammatory bowel disease.

By Kennedy A, Nelson E, Reeves D, Richardson G, Roberts C, Robinson A, *et al.*

**No. 29**

The effectiveness of diagnostic tests for the assessment of shoulder pain due to soft tissue disorders: a systematic review.

By Dinnes J, Loveman E, McIntyre L, Waugh N.

**No. 30**

The value of digital imaging in diabetic retinopathy.

By Sharp PF, Olson J, Strachan F, Hipwell J, Ludbrook A, O'Donnell M, *et al.*

**No. 31**

Lowering blood pressure to prevent myocardial infarction and stroke: a new preventive strategy.

By Law M, Wald N, Morris J.

**No. 32**

Clinical and cost-effectiveness of capecitabine and tegafur with uracil for the treatment of metastatic colorectal cancer: systematic review and economic evaluation.

By Ward S, Kaltenthaler E, Cowan J, Brewer N.

**No. 33**

Clinical and cost-effectiveness of new and emerging technologies for early localised prostate cancer: a systematic review.

By Hummel S, Paisley S, Morgan A, Currie E, Brewer N.

**No. 34**

Literature searching for clinical and cost-effectiveness studies used in health technology assessment reports carried out for the National Institute for Clinical Excellence appraisal system.

By Royle P, Waugh N.

**No. 35**

Systematic review and economic decision modelling for the prevention and treatment of influenza A and B.

By Turner D, Wailoo A, Nicholson K, Cooper N, Sutton A, Abrams K.

**No. 36**

A randomised controlled trial to evaluate the clinical and cost-effectiveness of Hickman line insertions in adult cancer patients by nurses.

By Boland A, Haycox A, Bagust A, Fitzsimmons L.

**No. 37**

Redesigning postnatal care: a randomised controlled trial of protocol-based midwifery-led care focused on individual women's physical and psychological health needs.

By MacArthur C, Winter HR, Bick DE, Lilford RJ, Lancashire RJ, Knowles H, *et al.*

**No. 38**

Estimating implied rates of discount in healthcare decision-making.

By West RR, McNabb R, Thompson AGH, Sheldon TA, Grimley Evans J.

**No. 39**

Systematic review of isolation policies in the hospital management of methicillin-resistant *Staphylococcus aureus*: a review of the literature with epidemiological and economic modelling.

By Cooper BS, Stone SP, Kibbler CC, Cookson BD, Roberts JA, Medley GF, *et al.*

**No. 40**

Treatments for spasticity and pain in multiple sclerosis: a systematic review.

By Beard S, Hunn A, Wight J.

**No. 41**

The inclusion of reports of randomised trials published in languages other than English in systematic reviews.

By Moher D, Pham B, Lawson ML, Klassen TP.

**No. 42**

The impact of screening on future health-promoting behaviours and health beliefs: a systematic review.

By Bankhead CR, Brett J, Bukach C, Webster P, Stewart-Brown S, Munafa M, *et al.*

**Volume 8, 2004**

**No. 1**

What is the best imaging strategy for acute stroke?

By Wardlaw JM, Keir SL, Seymour J, Lewis S, Sandercock PAG, Dennis MS, *et al.*

**No. 2**

Systematic review and modelling of the investigation of acute and chronic chest pain presenting in primary care.

By Mant J, McManus RJ, Oakes RAL, Delaney BC, Barton PM, Deeks JJ, *et al.*

**No. 3**

The effectiveness and cost-effectiveness of microwave and thermal balloon endometrial ablation for heavy menstrual bleeding: a systematic review and economic modelling.

By Garside R, Stein K, Wyatt K, Round A, Price A.

**No. 4**

A systematic review of the role of bisphosphonates in metastatic disease.

By Ross JR, Saunders Y, Edmonds PM, Patel S, Wonderling D, Normand C, *et al.*

**No. 5**

Systematic review of the clinical effectiveness and cost-effectiveness of capecitabine (Xeloda®) for locally advanced and/or metastatic breast cancer.

By Jones L, Hawkins N, Westwood M, Wright K, Richardson G, Riemsma R.

**No. 6**

Effectiveness and efficiency of guideline dissemination and implementation strategies.

By Grimshaw JM, Thomas RE, MacLennan G, Fraser C, Ramsay CR, Vale L, *et al.*

**No. 7**

Clinical effectiveness and costs of the Sugarbaker procedure for the treatment of pseudomyxoma peritonei.

By Bryant J, Clegg AJ, Sidhu MK, Brodin H, Royle P, Davidson P.

**No. 8**

Psychological treatment for insomnia in the regulation of long-term hypnotic drug use.

By Morgan K, Dixon S, Mathers N, Thompson J, Tomeny M.

**No. 9**

Improving the evaluation of therapeutic interventions in multiple sclerosis: development of a patient-based measure of outcome.

By Hobart JC, Riazi A, Lamping DL, Fitzpatrick R, Thompson AJ.

**No. 10**

A systematic review and economic evaluation of magnetic resonance cholangiopancreatography compared with diagnostic endoscopic retrograde cholangiopancreatography.

By Kaltenthaler E, Bravo Vergel Y, Chilcott J, Thomas S, Blakeborough T, Walters SJ, *et al.*

**No. 11**

The use of modelling to evaluate new drugs for patients with a chronic condition: the case of antibodies against tumour necrosis factor in rheumatoid arthritis.

By Barton P, Jobanputra P, Wilson J, Bryan S, Burls A.

**No. 12**

Clinical effectiveness and cost-effectiveness of neonatal screening for inborn errors of metabolism using tandem mass spectrometry: a systematic review.

By Pandor A, Eastham J, Beverley C, Chilcott J, Paisley S.

**No. 13**

Clinical effectiveness and cost-effectiveness of pioglitazone and rosiglitazone in the treatment of type 2 diabetes: a systematic review and economic evaluation.

By Czoski-Murray C, Warren E, Chilcott J, Beverley C, Psyllaki MA, Cowan J.

**No. 14**

Routine examination of the newborn: the EMREN study. Evaluation of an extension of the midwife role including a randomised controlled trial of appropriately trained midwives and paediatric senior house officers.

By Townsend J, Wolke D, Hayes J, Davé S, Rogers C, Bloomfield L, *et al.*

**No. 15**

Involving consumers in research and development agenda setting for the NHS: developing an evidence-based approach.

By Oliver S, Clarke-Jones L, Rees R, Milne R, Buchanan P, Gabbay J, *et al.*

**No. 16**

A multi-centre randomised controlled trial of minimally invasive direct coronary bypass grafting versus percutaneous transluminal coronary angioplasty with stenting for proximal stenosis of the left anterior descending coronary artery.

By Reeves BC, Angelini GD, Bryan AJ, Taylor FC, Cripps T, Spyt TJ, *et al.*

**No. 17**

Does early magnetic resonance imaging influence management or improve outcome in patients referred to secondary care with low back pain? A pragmatic randomised controlled trial.

By Gilbert FJ, Grant AM, Gillan MGC, Vale L, Scott NW, Campbell MK, *et al.*

**No. 18**

The clinical and cost-effectiveness of anakinra for the treatment of rheumatoid arthritis in adults: a systematic review and economic analysis.

By Clark W, Jobanputra P, Barton P, Burls A.

**No. 19**

A rapid and systematic review and economic evaluation of the clinical and cost-effectiveness of newer drugs for treatment of mania associated with bipolar affective disorder.

By Bridle C, Palmer S, Bagnall A-M, Darba J, Duffy S, Sculpher M, *et al.*

**No. 20**

Liquid-based cytology in cervical screening: an updated rapid and systematic review and economic analysis.

By Karnon J, Peters J, Platt J, Chilcott J, McGoogan E, Brewer N.

**No. 21**

Systematic review of the long-term effects and economic consequences of treatments for obesity and implications for health improvement.

By Avenell A, Broom J, Brown TJ, Poobalan A, Aucott L, Stearns SC, *et al.*

**No. 22**

Autoantibody testing in children with newly diagnosed type 1 diabetes mellitus.

By Dretzke J, Cummins C, Sandercock J, Fry-Smith A, Barrett T, Burls A.

**No. 23**

Clinical effectiveness and cost-effectiveness of prehospital intravenous fluids in trauma patients.

By Dretzke J, Sandercock J, Bayliss S, Burls A.

**No. 24**

Newer hypnotic drugs for the short-term management of insomnia: a systematic review and economic evaluation.

By Dündar Y, Boland A, Strobl J, Dodd S, Haycox A, Bagust A, *et al.*

**No. 25**

Development and validation of methods for assessing the quality of diagnostic accuracy studies.

By Whiting P, Rutjes AWS, Dinnes J, Reitsma JB, Bossuyt PMM, Kleijnen J.

**No. 26**

EVALUATE hysterectomy trial: a multicentre randomised trial comparing abdominal, vaginal and laparoscopic methods of hysterectomy.

By Garry R, Fountain J, Brown J, Manca A, Mason S, Sculpher M, *et al.*

**No. 27**

Methods for expected value of information analysis in complex health economic models: developments on the health economics of interferon- $\beta$  and glatiramer acetate for multiple sclerosis.

By Tappenden P, Chilcott JB, Eggington S, Oakley J, McCabe C.

**No. 28**

Effectiveness and cost-effectiveness of imatinib for first-line treatment of chronic myeloid leukaemia in chronic phase: a systematic review and economic analysis.

By Dalziel K, Round A, Stein K, Garside R, Price A.

**No. 29**

VenUS I: a randomised controlled trial of two types of bandage for treating venous leg ulcers.

By Iglesias C, Nelson EA, Cullum NA, Torgerson DJ on behalf of the VenUS Team.

**No. 30**

Systematic review of the effectiveness and cost-effectiveness, and economic evaluation, of myocardial perfusion scintigraphy for the diagnosis and management of angina and myocardial infarction.

By Mowatt G, Vale L, Brazzelli M, Hernandez R, Murray A, Scott N, *et al.*

**No. 31**

A pilot study on the use of decision theory and value of information analysis as part of the NHS Health Technology Assessment programme.

By Claxton K, Ginnelly L, Sculpher M, Philips Z, Palmer S.

**No. 32**

The Social Support and Family Health Study: a randomised controlled trial and economic evaluation of two alternative forms of postnatal support for mothers living in disadvantaged inner-city areas.

By Wiggins M, Oakley A, Roberts I, Turner H, Rajan L, Austerberry H, *et al.*

**No. 33**

Psychosocial aspects of genetic screening of pregnant women and newborns: a systematic review.

By Green JM, Hewison J, Bekker HL, Bryant, Cuckle HS.

**No. 34**

Evaluation of abnormal uterine bleeding: comparison of three outpatient procedures within cohorts defined by age and menopausal status.

By Critchley HOD, Warner P, Lee AJ, Brechin S, Guise J, Graham B.

**No. 35**

Coronary artery stents: a rapid systematic review and economic evaluation.

By Hill R, Bagust A, Bakhai A, Dickson R, Dündar Y, Haycox A, *et al.*

**No. 36**

Review of guidelines for good practice in decision-analytic modelling in health technology assessment.

By Philips Z, Ginnelly L, Sculpher M, Claxton K, Golder S, Riemsma R, *et al.*

**No. 37**

Rituximab (MabThera<sup>®</sup>) for aggressive non-Hodgkin's lymphoma: systematic review and economic evaluation.

By Knight C, Hind D, Brewer N, Abbott V.

**No. 38**

Clinical effectiveness and cost-effectiveness of clopidogrel and modified-release dipyridamole in the secondary prevention of occlusive vascular events: a systematic review and economic evaluation.

By Jones L, Griffin S, Palmer S, Main C, Orton V, Sculpher M, *et al.*

**No. 39**

Pegylated interferon  $\alpha$ -2a and -2b in combination with ribavirin in the treatment of chronic hepatitis C: a systematic review and economic evaluation.

By Shepherd J, Brodin H, Cave C, Waugh N, Price A, Gabbay J.

**No. 40**

Clopidogrel used in combination with aspirin compared with aspirin alone in the treatment of non-ST-segment-elevation acute coronary syndromes: a systematic review and economic evaluation.

By Main C, Palmer S, Griffin S, Jones L, Orton V, Sculpher M, *et al.*

**No. 41**

Provision, uptake and cost of cardiac rehabilitation programmes: improving services to under-represented groups.

By Beswick AD, Rees K, Griebisch I, Taylor FC, Burke M, West RR, *et al.*

**No. 42**

Involving South Asian patients in clinical trials.

By Hussain-Gambles M, Leese B, Atkin K, Brown J, Mason S, Tovey P.

**No. 43**

Clinical and cost-effectiveness of continuous subcutaneous insulin infusion for diabetes.

By Colquitt JL, Green C, Sidhu MK, Hartwell D, Waugh N.

**No. 44**

Identification and assessment of ongoing trials in health technology assessment reviews.

By Song FJ, Fry-Smith A, Davenport C, Bayliss S, Adi Y, Wilson JS, *et al.*

**No. 45**

Systematic review and economic evaluation of a long-acting insulin analogue, insulin glargine

By Warren E, Weatherley-Jones E, Chilcott J, Beverley C.

**No. 46**

Supplementation of a home-based exercise programme with a class-based programme for people with osteoarthritis of the knees: a randomised controlled trial and health economic analysis.

By McCarthy CJ, Mills PM, Pullen R, Richardson G, Hawkins N, Roberts CR, *et al.*

**No. 47**

Clinical and cost-effectiveness of once-daily versus more frequent use of same potency topical corticosteroids for atopic eczema: a systematic review and economic evaluation.

By Green C, Colquitt JL, Kirby J, Davidson P, Payne E.

**No. 48**

Acupuncture of chronic headache disorders in primary care: randomised controlled trial and economic analysis.

By Vickers AJ, Rees RW, Zollman CE, McCarney R, Smith CM, Ellis N, *et al.*

**No. 49**

Generalisability in economic evaluation studies in healthcare: a review and case studies.

By Sculpher MJ, Pang FS, Manca A, Drummond MF, Golder S, Urdahl H, *et al.*

**No. 50**

Virtual outreach: a randomised controlled trial and economic evaluation of joint teleconferenced medical consultations.

By Wallace P, Barber J, Clayton W, Currell R, Fleming K, Garner P, *et al.*

**Volume 9, 2005**

**No. 1**

Randomised controlled multiple treatment comparison to provide a cost-effectiveness rationale for the selection of antimicrobial therapy in acne.

By Ozolins M, Eady EA, Avery A, Cunliffe WJ, O'Neill C, Simpson NB, *et al.*

**No. 2**

Do the findings of case series studies vary significantly according to methodological characteristics?

By Dalziel K, Round A, Stein K, Garside R, Castelnovo E, Payne L.

**No. 3**

Improving the referral process for familial breast cancer genetic counselling: findings of three randomised controlled trials of two interventions.

By Wilson BJ, Torrance N, Mollison J, Wordsworth S, Gray JR, Haites NE, *et al.*

**No. 4**

Randomised evaluation of alternative electrosurgical modalities to treat bladder outflow obstruction in men with benign prostatic hyperplasia.

By Fowler C, McAllister W, Plail R, Karim O, Yang Q.

**No. 5**

A pragmatic randomised controlled trial of the cost-effectiveness of palliative therapies for patients with inoperable oesophageal cancer.

By Shenfine J, McNamee P, Steen N, Bond J, Griffin SM.

**No. 6**

Impact of computer-aided detection prompts on the sensitivity and specificity of screening mammography.

By Taylor P, Champness J, Given-Wilson R, Johnston K, Potts H.

**No. 7**

Issues in data monitoring and interim analysis of trials.

By Grant AM, Altman DG, Babiker AB, Campbell MK, Clemens FJ, Darbyshire JH, *et al.*

**No. 8**

Lay public's understanding of equipoise and randomisation in randomised controlled trials.

By Robinson EJ, Kerr CEP, Stevens AJ, Lilford RJ, Braunholtz DA, Edwards SJ, *et al.*

**No. 9**

Clinical and cost-effectiveness of electroconvulsive therapy for depressive illness, schizophrenia, catatonia and mania: systematic reviews and economic modelling studies.

By Greenhalgh J, Knight C, Hind D, Beverley C, Walters S.

**No. 10**

Measurement of health-related quality of life for people with dementia: development of a new instrument (DEMQOL) and an evaluation of current methodology.

By Smith SC, Lamping DL, Banerjee S, Harwood R, Foley B, Smith P, *et al.*

**No. 11**

Clinical effectiveness and cost-effectiveness of drotrecogin alfa (activated) (Xigris®) for the treatment of severe sepsis in adults: a systematic review and economic evaluation.

By Green C, Dinnes J, Takeda A, Shepherd J, Hartwell D, Cave C, *et al.*

**No. 12**

A methodological review of how heterogeneity has been examined in systematic reviews of diagnostic test accuracy.

By Dinnes J, Deeks J, Kirby J, Roderick P.

**No. 13**

Cervical screening programmes: can automation help? Evidence from systematic reviews, an economic analysis and a simulation modelling exercise applied to the UK.

By Willis BH, Barton P, Pearmain P, Bryan S, Hyde C.

**No. 14**

Laparoscopic surgery for inguinal hernia repair: systematic review of effectiveness and economic evaluation.

By McCormack K, Wake B, Perez J, Fraser C, Cook J, McIntosh E, *et al.*

**No. 15**

Clinical effectiveness, tolerability and cost-effectiveness of newer drugs for epilepsy in adults: a systematic review and economic evaluation.

By Wilby J, Kainth A, Hawkins N, Epstein D, McIntosh H, McDaid C, *et al.*

**No. 16**

A randomised controlled trial to compare the cost-effectiveness of tricyclic antidepressants, selective serotonin reuptake inhibitors and lofepramine.

By Peveler R, Kendrick T, Buxton M, Longworth L, Baldwin D, Moore M, *et al.*

**No. 17**

Clinical effectiveness and cost-effectiveness of immediate angioplasty for acute myocardial infarction: systematic review and economic evaluation.

By Hartwell D, Colquitt J, Loveman E, Clegg AJ, Brodin H, Waugh N, *et al.*

**No. 18**

A randomised controlled comparison of alternative strategies in stroke care.

By Kalra L, Evans A, Perez I, Knapp M, Swift C, Donaldson N.

**No. 19**

The investigation and analysis of critical incidents and adverse events in healthcare.

By Woloshnowych M, Rogers S, Taylor-Adams S, Vincent C.

**No. 20**

Potential use of routine databases in health technology assessment.

By Raftery J, Roderick P, Stevens A.

**No. 21**

Clinical and cost-effectiveness of newer immunosuppressive regimens in renal transplantation: a systematic review and modelling study.

By Woodroffe R, Yao GL, Meads C, Bayliss S, Ready A, Raftery J, *et al.*

**No. 22**

A systematic review and economic evaluation of alendronate, etidronate, risedronate, raloxifene and teriparatide for the prevention and treatment of postmenopausal osteoporosis.

By Stevenson M, Lloyd Jones M, De Nigris E, Brewer N, Davis S, Oakley J.

**No. 23**

A systematic review to examine the impact of psycho-educational interventions on health outcomes and costs in adults and children with difficult asthma.

By Smith JR, Mugford M, Holland R, Candy B, Noble MJ, Harrison BDW, *et al.*

**No. 24**

An evaluation of the costs, effectiveness and quality of renal replacement therapy provision in renal satellite units in England and Wales.

By Roderick P, Nicholson T, Armitage A, Mehta R, Mullee M, Gerard K, *et al.*

**No. 25**

Imatinib for the treatment of patients with unresectable and/or metastatic gastrointestinal stromal tumours: systematic review and economic evaluation.

By Wilson J, Connock M, Song F, Yao G, Fry-Smith A, Raftery J, *et al.*

**No. 26**

Indirect comparisons of competing interventions.

By Glenny AM, Altman DG, Song F, Sakarovitch C, Deeks JJ, D'Amico R, *et al.*

**No. 27**

Cost-effectiveness of alternative strategies for the initial medical management of non-ST elevation acute coronary syndrome: systematic review and decision-analytical modelling.

By Robinson M, Palmer S, Sculpher M, Philips Z, Ginnelly L, Bowens A, *et al.*



**No. 28**

Outcomes of electrically stimulated gracilis neosphincter surgery.

By Tillin T, Chambers M, Feldman R.

**No. 29**

The effectiveness and cost-effectiveness of pimecrolimus and tacrolimus for atopic eczema: a systematic review and economic evaluation.

By Garside R, Stein K, Castelnovo E, Pitt M, Ashcroft D, Dimmock P, *et al.*

**No. 30**

Systematic review on urine albumin testing for early detection of diabetic complications.

By Newman DJ, Mattock MB, Dawney ABS, Kerry S, McGuire A, Yaqoob M, *et al.*

**No. 31**

Randomised controlled trial of the cost-effectiveness of water-based therapy for lower limb osteoarthritis.

By Cochrane T, Davey RC, Matthes Edwards SM.

**No. 32**

Longer term clinical and economic benefits of offering acupuncture care to patients with chronic low back pain.

By Thomas KJ, MacPherson H, Ratcliffe J, Thorpe L, Brazier J, Campbell M, *et al.*

**No. 33**

Cost-effectiveness and safety of epidural steroids in the management of sciatica.

By Price C, Arden N, Coglán L, Rogers P.

**No. 34**

The British Rheumatoid Outcome Study Group (BROSG) randomised controlled trial to compare the effectiveness and cost-effectiveness of aggressive versus symptomatic therapy in established rheumatoid arthritis.

By Symmons D, Tricker K, Roberts C, Davies L, Dawes P, Scott DL.

**No. 35**

Conceptual framework and systematic review of the effects of participants' and professionals' preferences in randomised controlled trials.

By King M, Nazareth I, Lampe F, Bower P, Chandler M, Morou M, *et al.*

**No. 36**

The clinical and cost-effectiveness of implantable cardioverter defibrillators: a systematic review.

By Bryant J, Brodin H, Loveman E, Payne E, Clegg A.

**No. 37**

A trial of problem-solving by community mental health nurses for anxiety, depression and life difficulties among general practice patients. The CPN-GP study.

By Kendrick T, Simons L, Mynors-Wallis L, Gray A, Lathlean J, Pickering R, *et al.*

**No. 38**

The causes and effects of socio-demographic exclusions from clinical trials.

By Bartlett C, Doyal L, Ebrahim S, Davey P, Bachmann M, Egger M, *et al.*

**No. 39**

Is hydrotherapy cost-effective? A randomised controlled trial of combined hydrotherapy programmes compared with physiotherapy land techniques in children with juvenile idiopathic arthritis.

By Epps H, Ginnelly L, Utley M, Southwood T, Gallivan S, Sculpher M, *et al.*

**No. 40**

A randomised controlled trial and cost-effectiveness study of systematic screening (targeted and total population screening) versus routine practice for the detection of atrial fibrillation in people aged 65 and over. The SAFE study.

By Hobbs FDR, Fitzmaurice DA, Mant J, Murray E, Jowett S, Bryan S, *et al.*

**No. 41**

Displaced intracapsular hip fractures in fit, older people: a randomised comparison of reduction and fixation, bipolar hemiarthroplasty and total hip arthroplasty.

By Keating JF, Grant A, Masson M, Scott NW, Forbes JF.

**No. 42**

Long-term outcome of cognitive behaviour therapy clinical trials in central Scotland.

By Durham RC, Chambers JA, Power KG, Sharp DM, Macdonald RR, Major KA, *et al.*

**No. 43**

The effectiveness and cost-effectiveness of dual-chamber pacemakers compared with single-chamber pacemakers for bradycardia due to atrioventricular block or sick sinus syndrome: systematic review and economic evaluation.

By Castelnovo E, Stein K, Pitt M, Garside R, Payne E.

**No. 44**

Newborn screening for congenital heart defects: a systematic review and cost-effectiveness analysis.

By Knowles R, Griesch I, Dezateux C, Brown J, Bull C, Wren C.

**No. 45**

The clinical and cost-effectiveness of left ventricular assist devices for end-stage heart failure: a systematic review and economic evaluation.

By Clegg AJ, Scott DA, Loveman E, Colquitt J, Hutchinson J, Royle P, *et al.*

**No. 46**

The effectiveness of the Heidelberg Retina Tomograph and laser diagnostic glaucoma scanning system (GDx) in detecting and monitoring glaucoma.

By Kwartz AJ, Henson DB, Harper RA, Spencer AF, McLeod D.

**No. 47**

Clinical and cost-effectiveness of autologous chondrocyte implantation for cartilage defects in knee joints: systematic review and economic evaluation.

By Clar C, Cummins E, McIntyre L, Thomas S, Lamb J, Bain L, *et al.*

**No. 48**

Systematic review of effectiveness of different treatments for childhood retinoblastoma.

By McDaid C, Hartley S, Bagnall A-M, Ritchie G, Light K, Riemsma R.

**No. 49**

Towards evidence-based guidelines for the prevention of venous thromboembolism: systematic reviews of mechanical methods, oral anticoagulation, dextran and regional anaesthesia as thromboprophylaxis.

By Roderick P, Ferris G, Wilson K, Halls H, Jackson D, Collins R, *et al.*

**No. 50**

The effectiveness and cost-effectiveness of parent training/education programmes for the treatment of conduct disorder, including oppositional defiant disorder, in children.

By Dretzke J, Frew E, Davenport C, Barlow J, Stewart-Brown S, Sandercock J, *et al.*

**Volume 10, 2006****No. 1**

The clinical and cost-effectiveness of donepezil, rivastigmine, galantamine and memantine for Alzheimer's disease.

By Loveman E, Green C, Kirby J, Takeda A, Picot J, Payne E, *et al.*

**No. 2**

FOOD: a multicentre randomised trial evaluating feeding policies in patients admitted to hospital with a recent stroke.

By Dennis M, Lewis S, Cranswick G, Forbes J.

**No. 3**

The clinical effectiveness and cost-effectiveness of computed tomography screening for lung cancer: systematic reviews.

By Black C, Bagust A, Boland A, Walker S, McLeod C, De Verteuil R, *et al.*

**No. 4**

A systematic review of the effectiveness and cost-effectiveness of neuroimaging assessments used to visualise the seizure focus in people with refractory epilepsy being considered for surgery.

By Whiting P, Gupta R, Burch J, Mujica Mota RE, Wright K, Marson A, *et al.*

**No. 5**

Comparison of conference abstracts and presentations with full-text articles in the health technology assessments of rapidly evolving technologies.

By Dundar Y, Dodd S, Dickson R, Walley T, Haycox A, Williamson PR.

**No. 6**

Systematic review and evaluation of methods of assessing urinary incontinence.

By Martin JL, Williams KS, Abrams KR, Turner DA, Sutton AJ, Chapple C, *et al.*

**No. 7**

The clinical effectiveness and cost-effectiveness of newer drugs for children with epilepsy. A systematic review.

By Connock M, Frew E, Evans B-W, Bryan S, Cummins C, Fry-Smith A, *et al.*

**No. 8**

Surveillance of Barrett's oesophagus: exploring the uncertainty through systematic review, expert workshop and economic modelling.

By Garside R, Pitt M, Somerville M, Stein K, Price A, Gilbert N.

**No. 9**

Topotecan, pegylated liposomal doxorubicin hydrochloride and paclitaxel for second-line or subsequent treatment of advanced ovarian cancer: a systematic review and economic evaluation.

By Main C, Bojke L, Griffin S, Norman G, Barbieri M, Mather L, *et al.*

**No. 10**

Evaluation of molecular techniques in prediction and diagnosis of cytomegalovirus disease in immunocompromised patients.

By Szczepura A, Westmoreland D, Vinogradova Y, Fox J, Clark M.

**No. 11**

Screening for thrombophilia in high-risk situations: systematic review and cost-effectiveness analysis. The Thrombosis: Risk and Economic Assessment of Thrombophilia Screening (TREATS) study.

By Wu O, Robertson L, Twaddle S, Lowe GDO, Clark P, Greaves M, *et al.*

**No. 12**

A series of systematic reviews to inform a decision analysis for sampling and treating infected diabetic foot ulcers.

By Nelson EA, O'Meara S, Craig D, Iglesias C, Golder S, Dalton J, *et al.*

**No. 13**

Randomised clinical trial, observational study and assessment of cost-effectiveness of the treatment of varicose veins (REACTIV trial).

By Michaels JA, Campbell WB, Brazier JE, MacIntyre JB, Palfreyman SJ, Ratcliffe J, *et al.*

**No. 14**

The cost-effectiveness of screening for oral cancer in primary care.

By Speight PM, Palmer S, Moles DR, Downer MC, Smith DH, Henriksson M *et al.*

**No. 15**

Measurement of the clinical and cost-effectiveness of non-invasive diagnostic testing strategies for deep vein thrombosis.

By Goodacre S, Sampson F, Stevenson M, Wailoo A, Sutton A, Thomas S, *et al.*

**No. 16**

Systematic review of the effectiveness and cost-effectiveness of HealOzone<sup>®</sup> for the treatment of occlusal pit/fissure caries and root caries.

By Brazzelli M, McKenzie L, Fielding S, Fraser C, Clarkson J, Kilonzo M, *et al.*

**No. 17**

Randomised controlled trials of conventional antipsychotic versus new atypical drugs, and new atypical drugs versus clozapine, in people with schizophrenia responding poorly to, or intolerant of, current drug treatment.

By Lewis SW, Davies L, Jones PB, Barnes TRE, Murray RM, Kerwin R, *et al.*

**No. 18**

Diagnostic tests and algorithms used in the investigation of haematuria: systematic reviews and economic evaluation.

By Rodgers M, Nixon J, Hempel S, Aho T, Kelly J, Neal D, *et al.*

**No. 19**

Cognitive behavioural therapy in addition to antispasmodic therapy for irritable bowel syndrome in primary care: randomised controlled trial.

By Kennedy TM, Chalder T, McCrone P, Darnley S, Knapp M, Jones RH, *et al.*

**No. 20**

A systematic review of the clinical effectiveness and cost-effectiveness of enzyme replacement therapies for Fabry's disease and mucopolysaccharidosis type 1.

By Connock M, Juarez-Garcia A, Frew E, Mans A, Dretzke J, Fry-Smith A, *et al.*

**No. 21**

Health benefits of antiviral therapy for mild chronic hepatitis C: randomised controlled trial and economic evaluation.

By Wright M, Grieve R, Roberts J, Main J, Thomas HC on behalf of the UK Mild Hepatitis C Trial Investigators.

**No. 22**

Pressure relieving support surfaces: a randomised evaluation.

By Nixon J, Nelson EA, Cranny G, Iglesias CP, Hawkins K, Cullum NA, *et al.*

**No. 23**

A systematic review and economic model of the effectiveness and cost-effectiveness of methylphenidate, dexamfetamine and atomoxetine for the treatment of attention deficit hyperactivity disorder in children and adolescents.

By King S, Griffin S, Hodges Z, Weatherly H, Asseburg C, Richardson G, *et al.*

**No. 24**

The clinical effectiveness and cost-effectiveness of enzyme replacement therapy for Gaucher's disease: a systematic review.

By Connock M, Burls A, Frew E, Fry-Smith A, Juarez-Garcia A, McCabe C, *et al.*

**No. 25**

Effectiveness and cost-effectiveness of salicylic acid and cryotherapy for cutaneous warts. An economic decision model.

By Thomas KS, Keogh-Brown MR, Chalmers JR, Fordham RJ, Holland RC, Armstrong SJ, *et al.*

**No. 26**

A systematic literature review of the effectiveness of non-pharmacological interventions to prevent wandering in dementia and evaluation of the ethical implications and acceptability of their use.

By Robinson L, Hutchings D, Corner L, Beyer F, Dickinson H, Vanoli A, *et al.*

**No. 27**

A review of the evidence on the effects and costs of implantable cardioverter defibrillator therapy in different patient groups, and modelling of cost-effectiveness and cost-utility for these groups in a UK context.

By Buxton M, Caine N, Chase D, Connelly D, Grace A, Jackson C, *et al.*

**No. 28**

Adefovir dipivoxil and pegylated interferon alfa-2a for the treatment of chronic hepatitis B: a systematic review and economic evaluation.

By Shepherd J, Jones J, Takeda A, Davidson P, Price A.

**No. 29**

An evaluation of the clinical and cost-effectiveness of pulmonary artery catheters in patient management in intensive care: a systematic review and a randomised controlled trial.

By Harvey S, Stevens K, Harrison D, Young D, Brampton W, McCabe C, *et al.*

**No. 30**

Accurate, practical and cost-effective assessment of carotid stenosis in the UK.

By Wardlaw JM, Chappell FM, Stevenson M, De Nigris E, Thomas S, Gillard J, *et al.*

**No. 31**

Etanercept and infliximab for the treatment of psoriatic arthritis: a systematic review and economic evaluation.

By Woolacott N, Bravo Vergel Y, Hawkins N, Kainth A, Khadjesari Z, Misso K, *et al.*

**No. 32**

The cost-effectiveness of testing for hepatitis C in former injecting drug users.

By Castelnuovo E, Thompson-Coon J, Pitt M, Cramp M, Siebert U, Price A, *et al.*

**No. 33**

Computerised cognitive behaviour therapy for depression and anxiety update: a systematic review and economic evaluation.

By Kaltenthaler E, Brazier J, De Nigris E, Tumor I, Ferriter M, Beverley C, *et al.*

**No. 34**

Cost-effectiveness of using prognostic information to select women with breast cancer for adjuvant systemic therapy.

By Williams C, Brunskill S, Altman D, Briggs A, Campbell H, Clarke M, *et al.*

**No. 35**

Psychological therapies including dialectical behaviour therapy for borderline personality disorder: a systematic review and preliminary economic evaluation.

By Brazier J, Tumor I, Holmes M, Ferriter M, Parry G, Dent-Brown K, *et al.*

**No. 36**

Clinical effectiveness and cost-effectiveness of tests for the diagnosis and investigation of urinary tract infection in children: a systematic review and economic model.

By Whiting P, Westwood M, Bojke L, Palmer S, Richardson G, Cooper J, *et al.*

**No. 37**

Cognitive behavioural therapy in chronic fatigue syndrome: a randomised controlled trial of an outpatient group programme.

By O'Dowd H, Gladwell P, Rogers CA, Hollinghurst S, Gregory A.

**No. 38**

A comparison of the cost-effectiveness of five strategies for the prevention of non-steroidal anti-inflammatory drug-induced gastrointestinal toxicity: a systematic review with economic modelling.

By Brown TJ, Hooper L, Elliott RA, Payne K, Webb R, Roberts C, *et al.*

**No. 39**

The effectiveness and cost-effectiveness of computed tomography screening for coronary artery disease: systematic review.

By Waugh N, Black C, Walker S, McIntyre L, Cummins E, Hillis G.

**No. 40**

What are the clinical outcome and cost-effectiveness of endoscopy undertaken by nurses when compared with doctors? A Multi-Institution Nurse Endoscopy Trial (MINuET).

By Williams J, Russell I, Durai D, Cheung W-Y, Farrin A, Bloor K, *et al.*

**No. 41**

The clinical and cost-effectiveness of oxaliplatin and capecitabine for the adjuvant treatment of colon cancer: systematic review and economic evaluation.

By Pandor A, Eggington S, Paisley S, Tappenden P, Sutcliffe P.

**No. 42**

A systematic review of the effectiveness of adalimumab, etanercept and infliximab for the treatment of rheumatoid arthritis in adults and an economic evaluation of their cost-effectiveness.

By Chen Y-F, Jobanputra P, Barton P, Jowett S, Bryan S, Clark W, *et al.*

**No. 43**

Telemedicine in dermatology: a randomised controlled trial.

By Bowns IR, Collins K, Walters SJ, McDonagh AJG.

**No. 44**

Cost-effectiveness of cell salvage and alternative methods of minimising perioperative allogeneic blood transfusion: a systematic review and economic model.

By Davies L, Brown TJ, Haynes S, Payne K, Elliott RA, McCollum C.

**No. 45**

Clinical effectiveness and cost-effectiveness of laparoscopic surgery for colorectal cancer: systematic reviews and economic evaluation.

By Murray A, Lourenco T, de Verteuil R, Hernandez R, Fraser C, McKinley A, *et al.*

**No. 46**

Etanercept and efalizumab for the treatment of psoriasis: a systematic review.

By Woolacott N, Hawkins N, Mason A, Kainth A, Khadjesari Z, Bravo Vergel Y, *et al.*

**No. 47**

Systematic reviews of clinical decision tools for acute abdominal pain.

By Liu JLY, Wyatt JC, Deeks JJ, Clamp S, Keen J, Verde P, *et al.*

**No. 48**

Evaluation of the ventricular assist device programme in the UK.

By Sharples L, Buxton M, Caine N, Cafferty F, Demiris N, Dyer M, *et al.*

**No. 49**

A systematic review and economic model of the clinical and cost-effectiveness of immunosuppressive therapy for renal transplantation in children.

By Yao G, Albon E, Adi Y, Milford D, Bayliss S, Ready A, *et al.*

**No. 50**

Amniocentesis results: investigation of anxiety. The ARIA trial.

By Hewison J, Nixon J, Fountain J, Cocks K, Jones C, Mason G, *et al.*

**Volume 11, 2007****No. 1**

Pemetrexed disodium for the treatment of malignant pleural mesothelioma: a systematic review and economic evaluation.

By Dundar Y, Bagust A, Dickson R, Dodd S, Green J, Haycox A, *et al.*

**No. 2**

A systematic review and economic model of the clinical effectiveness and cost-effectiveness of docetaxel in combination with prednisone or prednisolone for the treatment of hormone-refractory metastatic prostate cancer.

By Collins R, Fenwick E, Trowman R, Perard R, Norman G, Light K, *et al.*

**No. 3**

A systematic review of rapid diagnostic tests for the detection of tuberculosis infection.

By Dinnes J, Deeks J, Kunst H, Gibson A, Cummins E, Waugh N, *et al.*

**No. 4**

The clinical effectiveness and cost-effectiveness of strontium ranelate for the prevention of osteoporotic fragility fractures in postmenopausal women.

By Stevenson M, Davis S, Lloyd-Jones M, Beverley C.

**No. 5**

A systematic review of quantitative and qualitative research on the role and effectiveness of written information available to patients about individual medicines.

By Raynor DK, Blenkinsopp A, Knapp P, Grime J, Nicolson DJ, Pollock K, *et al.*

**No. 6**

Oral naltrexone as a treatment for relapse prevention in formerly opioid-dependent drug users: a systematic review and economic evaluation.

By Adi Y, Juarez-Garcia A, Wang D, Jowett S, Frew E, Day E, *et al.*

**No. 7**

Glucocorticoid-induced osteoporosis: a systematic review and cost-utility analysis.

By Kanis JA, Stevenson M, McCloskey EV, Davis S, Lloyd-Jones M.

**No. 8**

Epidemiological, social, diagnostic and economic evaluation of population screening for genital chlamydial infection.

By Low N, McCarthy A, Macleod J, Salisbury C, Campbell R, Roberts TE, *et al.*

**No. 9**

Methadone and buprenorphine for the management of opioid dependence: a systematic review and economic evaluation.

By Connock M, Juarez-Garcia A, Jowett S, Frew E, Liu Z, Taylor RJ, *et al.*

**No. 10**

Exercise Evaluation Randomised Trial (EXERT): a randomised trial comparing GP referral for leisure centre-based exercise, community-based walking and advice only.

By Isaacs AJ, Critchley JA, See Tai S, Buckingham K, Westley D, Harridge SDR, *et al.*

**No. 11**

Interferon alfa (pegylated and non-pegylated) and ribavirin for the treatment of mild chronic hepatitis C: a systematic review and economic evaluation.

By Shepherd J, Jones J, Hartwell D, Davidson P, Price A, Waugh N.

**No. 12**

Systematic review and economic evaluation of bevacizumab and cetuximab for the treatment of metastatic colorectal cancer.

By Tappenden P, Jones R, Paisley S, Carroll C.

**No. 13**

A systematic review and economic evaluation of epoetin alfa, epoetin beta and darbepoetin alfa in anaemia associated with cancer, especially that attributable to cancer treatment.

By Wilson J, Yao GL, Raftery J, Bohlius J, Brunskill S, Sandercock J, *et al.*

**No. 14**

A systematic review and economic evaluation of statins for the prevention of coronary events.

By Ward S, Lloyd Jones M, Pandor A, Holmes M, Ara R, Ryan A, *et al.*

**No. 15**

A systematic review of the effectiveness and cost-effectiveness of different models of community-based respite care for frail older people and their carers.

By Mason A, Weatherly H, Spilsbury K, Arksey H, Golder S, Adamson J, *et al.*

**No. 16**

Additional therapy for young children with spastic cerebral palsy: a randomised controlled trial.

By Weindling AM, Cunningham CC, Glenn SM, Edwards RT, Reeves DJ.

**No. 17**

Screening for type 2 diabetes: literature review and economic modelling.

By Waugh N, Scotland G, McNamee P, Gillett M, Brennan A, Goyder E, *et al.*

**No. 18**

The effectiveness and cost-effectiveness of cinacalcet for secondary hyperparathyroidism in end-stage renal disease patients on dialysis: a systematic review and economic evaluation.

By Garside R, Pitt M, Anderson R, Mealing S, Roome C, Snaith A, *et al.*

**No. 19**

The clinical effectiveness and cost-effectiveness of gemcitabine for metastatic breast cancer: a systematic review and economic evaluation.

By Takeda AL, Jones J, Loveman E, Tan SC, Clegg AJ.

**No. 20**

A systematic review of duplex ultrasound, magnetic resonance angiography and computed tomography angiography for the diagnosis and assessment of symptomatic, lower limb peripheral arterial disease.

By Collins R, Cranny G, Burch J, Aguiar-Ibáñez R, Craig D, Wright K, *et al.*

**No. 21**

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**Volume 12, 2008****No. 1**

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Genetics Department,  
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