

A systematic review of presumed consent systems for deceased organ donation

A Rithalia, C McDaid, S Suekarran,
G Norman, L Myers and A Sowden*

Centre for Reviews and Dissemination, University of York, UK

*Corresponding author



Executive summary

Health Technology Assessment 2009; Vol. 13: No. 26
DOI: 10.3310/hta13260

Health Technology Assessment
NIHR HTA programme
www.hta.ac.uk





Executive summary

Introduction

In the UK there is currently an insufficient supply of donor organs to meet the demand for organ transplantations. At present the UK has an informed consent legislative system in which individuals opt in if they are willing for their organs to be used after death. The process involves carrying a signed donor card, joining the NHS organ donor register or filling in the relevant sections of a passport or driving licence. However, only approximately 25% of the UK population are on the NHS register. The number of organ donors in the UK in 2007/8 was 13.4 per million population (pmp). It has been proposed that a change in legislation to that of presumed consent, in which everyone is considered a donor unless they have explicitly opted out, would increase donor rates.

Objectives

The primary objective of the review was to examine the impact of presumed consent legislation on organ donation rates by identifying, appraising and synthesising empirical studies that have examined the impact of having a presumed consent or opt-out system. The secondary objective was to identify, appraise and synthesise data on attitudes of the public, professionals and any other stakeholders to presumed consent.

Methods

A systematic review was conducted. Eight electronic databases (MEDLINE, MEDLINE In-Process, EMBASE, CINAHL, PsycINFO, HMIC, PAIS International and OpenSIGLE) were searched from inception to January 2008 to locate published and unpublished studies on organ donation and presumed consent. Supplementary internet searches were also performed.

To be included studies had to compare donation rates in a single country before and after the introduction of a presumed consent law (before-and-after studies) or compare donation rates in

countries with and without presumed consent systems (between-country comparisons). The methodological quality of these studies was assessed and a narrative synthesis of results was undertaken. In addition, surveys of attitudes towards presumed consent legislation were included. The methodological quality of the surveys was assessed and considered within a summary of the results of the surveys.

Results

Over 2000 potentially relevant citations were identified, of which 68 were retrieved as full papers (44 for the primary objective and 24 for the secondary objective). After screening, a total of 13 studies (reported in 15 publications) met the inclusion criteria for the primary objective and 13 studies met the inclusion criteria for the secondary objective.

Of the 13 studies addressing the primary objective, eight were between-country comparisons and five were before-and-after studies. Four of the eight between-country comparisons were of sufficient methodological quality to provide reliable results. These studies all used regression models to compare data from different countries. In all four studies presumed consent law or practice was associated with increased rates of organ donation, ranging from an increase of 2.7 donors pmp in one study to 6.14 donors pmp in another. In the third study there was an increase in the rate of organ donation of between 25% and 30% in presumed consent countries and in the fourth study the increase was between 21% and 26%. The studies all assessed the impact of factors other than presumed consent on organ donation rates. Factors found to be important in at least one study were mortality from road traffic accidents and cerebrovascular accident, the transplant capacity of a country, gross domestic product (GDP) per capita and health expenditure per capita, religion (Catholicism), education, public access to information and a common law legal system.

The five before-and-after studies represented three countries, all of which reported an increase

in donation rates following the introduction of a presumed consent system. For example, in Austria the donation rates rose from 4.6 donors pmp to 27.2 pmp over a 5-year period; in Belgium kidney donation rose from 10.9 pmp to 41.3 pmp during a 3-year period; and in Singapore kidney procurement rose from an average of 4.7 per year to 31.3 per year in the 3 years after the change in legislation. Importantly, however, there was very limited investigation of any other changes taking place concurrently with the changes in legislation across this set of studies.

Of the 13 studies addressing the secondary objective, eight were surveys of the UK public and four were from other countries, along with one international survey of health professionals. There was variation among the UK surveys in the level of support for presumed consent, with surveys conducted before 2000 reporting the lowest levels of support (28–57%). The most recent survey by YouGov in 2007 reported that 64% of respondents supported a change to presumed consent. Among the surveys from other countries, only in Belgium, a presumed consent country, was there overall approval of presumed consent.

Conclusions

1. Presumed consent alone is unlikely to explain the variation in organ donation rates between different countries. A combination of legislation, availability of donors, transplantation system organisation and infrastructure, wealth and investment in health care, as well as underlying public attitudes to and awareness of organ donation and transplantation, may all play a role, although the relative importance of each is unclear. The between-country comparison studies overall point to presumed consent law being associated with increased organ donation rates (even when other factors are accounted for) although it cannot be inferred from this that the introduction of presumed consent legislation per se leads to an increase in donation rates. The before-and-after studies suggest an increase in donation rates following the introduction of presumed consent legislation; however, it is not possible to rule out the influence of other factors on donation rates.
2. It is important to note that the survey evidence is incomplete and the variation in attitudes between surveys may reflect differences in methods and the phrasing of questions. Some

surveys suggest a lack of public support for presumed consent, both in the UK and in other countries; however, more recent UK surveys provide evidence of support for presumed consent.

Implications for policy

The evidence identified and included in this review relates only to the specific questions posed. It does not address all of the issues relevant to the work of the UK Organ Donation Taskforce and, therefore, cannot be fully informative with respect to policy. In addition, it is important to be aware of the methodological limitations of the evidence that we have identified and appraised. The available evidence suggests that presumed consent legislation is associated with an increase in organ donation rates, although the size of the association varied between studies. Other factors also appear to be associated with organ donation rates, such as transplant capacity and GDP and health expenditure per capita. It is therefore important to consider such factors when attempting to predict the impact of changing to a presumed consent system. It is also important to take into account the likely public response to presumed consent should legislation be changed. The limited and incomplete evidence available from surveys suggests variable levels of support. In addition, consideration needs to be given to potential variation in attitudes between different sociodemographic subgroups.

Implications for research

When a change in legislation occurs it is important to evaluate and monitor the impact on donor rates and other factors, such as registration to opt out. Further reviews could investigate the factors likely to modify donor rates, such as procedures for family involvement. The way in which families of any potential donor are approached is likely to be an important factor and a review of qualitative research examining the experience of relatives in this context would be useful. The information obtained could be used to determine a priori the factors to be investigated in any evaluation of a change in legislation. At the same time contextual information should be gathered such as transplant capacity and any concurrently running media campaigns.

As public views about presumed consent are crucial, any future surveys should carefully consider the

framing of questions and be designed to minimise the strong possibility of providing what is viewed as a socially acceptable answer. To identify groups with whom it would be particularly important to engage with about presumed consent, any future surveys need to be large enough to investigate variations in attitudes across different sociodemographic groups.

Publication

Rithalia A, McDaid C, Suekarran S, Norman G, Myers L, Sowden A. A systematic review of presumed consent systems for deceased organ donation. *Health Technol Assess* 2009;**13**(26).



How to obtain copies of this and other HTA programme reports

An electronic version of this publication, in Adobe Acrobat format, is available for downloading free of charge for personal use from the HTA website (www.hta.ac.uk). A fully searchable CD-ROM is also available (see below).

Printed copies of HTA monographs cost £20 each (post and packing free in the UK) to both public **and** private sector purchasers from our Despatch Agents.

Non-UK purchasers will have to pay a small fee for post and packing. For European countries the cost is £2 per monograph and for the rest of the world £3 per monograph.

You can order HTA monographs from our Despatch Agents:

- fax (with **credit card** or **official purchase order**)
- post (with **credit card** or **official purchase order** or **cheque**)
- phone during office hours (**credit card** only).

Additionally the HTA website allows you **either** to pay securely by credit card **or** to print out your order and then post or fax it.

Contact details are as follows:

HTA Despatch
c/o Direct Mail Works Ltd
4 Oakwood Business Centre
Downley, HAVANT PO9 2NP, UK

Email: orders@hta.ac.uk
Tel: 02392 492 000
Fax: 02392 478 555
Fax from outside the UK: +44 2392 478 555

NHS libraries can subscribe free of charge. Public libraries can subscribe at a very reduced cost of £100 for each volume (normally comprising 30–40 titles). The commercial subscription rate is £300 per volume. Please see our website for details. Subscriptions can be purchased only for the current or forthcoming volume.

Payment methods

Paying by cheque

If you pay by cheque, the cheque must be in **pounds sterling**, made payable to *Direct Mail Works Ltd* and drawn on a bank with a UK address.

Paying by credit card

The following cards are accepted by phone, fax, post or via the website ordering pages: Delta, Eurocard, Mastercard, Solo, Switch and Visa. We advise against sending credit card details in a plain email.

Paying by official purchase order

You can post or fax these, but they must be from public bodies (i.e. NHS or universities) within the UK. We cannot at present accept purchase orders from commercial companies or from outside the UK.

How do I get a copy of HTA on CD?

Please use the form on the HTA website (www.hta.ac.uk/htacd.htm). Or contact Direct Mail Works (see contact details above) by email, post, fax or phone. *HTA on CD* is currently free of charge worldwide.

The website also provides information about the HTA programme and lists the membership of the various committees.

NIHR Health Technology Assessment programme

The Health Technology Assessment (HTA) programme, part of the National Institute for Health Research (NIHR), was set up in 1993. It produces high-quality research information on the effectiveness, costs and broader impact of health technologies for those who use, manage and provide care in the NHS. 'Health technologies' are broadly defined as all interventions used to promote health, prevent and treat disease, and improve rehabilitation and long-term care.

The research findings from the HTA programme directly influence decision-making bodies such as the National Institute for Health and Clinical Excellence (NICE) and the National Screening Committee (NSC). HTA findings also help to improve the quality of clinical practice in the NHS indirectly in that they form a key component of the 'National Knowledge Service'.

The HTA programme is needs led in that it fills gaps in the evidence needed by the NHS. There are three routes to the start of projects.

First is the commissioned route. Suggestions for research are actively sought from people working in the NHS, from the public and consumer groups and from professional bodies such as royal colleges and NHS trusts. These suggestions are carefully prioritised by panels of independent experts (including NHS service users). The HTA programme then commissions the research by competitive tender.

Second, the HTA programme provides grants for clinical trials for researchers who identify research questions. These are assessed for importance to patients and the NHS, and scientific rigour.

Third, through its Technology Assessment Report (TAR) call-off contract, the HTA programme commissions bespoke reports, principally for NICE, but also for other policy-makers. TARs bring together evidence on the value of specific technologies.

Some HTA research projects, including TARs, may take only months, others need several years. They can cost from as little as £40,000 to over £1 million, and may involve synthesising existing evidence, undertaking a trial, or other research collecting new data to answer a research problem.

The final reports from HTA projects are peer reviewed by a number of independent expert referees before publication in the widely read journal series *Health Technology Assessment*.

Criteria for inclusion in the HTA journal series

Reports are published in the HTA journal series if (1) they have resulted from work for the HTA programme, and (2) they are of a sufficiently high scientific quality as assessed by the referees and editors.

Reviews in *Health Technology Assessment* are termed 'systematic' when the account of the search, appraisal and synthesis methods (to minimise biases and random errors) would, in theory, permit the replication of the review by others.

The research reported in this issue of the journal was commissioned by the HTA programme as project number 08/33/01. The protocol was agreed in April 2008. The assessment report began editorial review in August 2008 and was accepted for publication in December 2008. As the funder, by devising a commissioning brief, the HTA programme specified the research question and study design. The authors have been wholly responsible for all data collection, analysis and interpretation, and for writing up their work. The HTA editors and publisher have tried to ensure the accuracy of the authors' report and would like to thank the referees for their constructive comments on the draft document. However, they do not accept liability for damages or losses arising from material published in this report.

The views expressed in this publication are those of the authors and not necessarily those of the HTA programme or the Department of Health.

Editor-in-Chief: Professor Tom Walley CBE
Series Editors: Dr Aileen Clarke, Dr Chris Hyde, Dr John Powell,
Dr Rob Riemsma and Professor Ken Stein

ISSN 1366-5278

© 2009 Queen's Printer and Controller of HMSO

This monograph may be freely reproduced for the purposes of private research and study and may be included in professional journals provided that suitable acknowledgement is made and the reproduction is not associated with any form of advertising.

Applications for commercial reproduction should be addressed to: NETSCC, Health Technology Assessment, Alpha House, University of Southampton Science Park, Southampton SO16 7NS, UK.

Published by Prepress Projects Ltd, Perth, Scotland (www.prepress-projects.co.uk), on behalf of NETSCC, HTA.

Printed on acid-free paper in the UK by Henry Ling Ltd, The Dorset Press, Dorchester.