Evaluation of a continuous monitoring and feedback initiative to improve quality of anaesthetic care: a mixed-methods quasi-experimental study

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Scientific summary

Improving quality of anaesthetic care

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Scientific summary

Background

Effective monitoring and feedback is essential if clinical teams and individuals are to understand variations in care, detect and respond to opportunities to improve standards and evaluate the impact of changes to services.

Anaesthetists as a professional group have a high degree of patient contact in the perioperative pathway yet receive little routine feedback on patient experience or outcomes such as pain and postoperative nausea. Feedback on such outcome measures often occurs irregularly in acute care organisations through clinical audit projects, but these information streams are discontinuous and not geared towards continuous monitoring and improvement. Recent reviews have highlighted that, from the anaesthetist’s perspective, current perioperative quality indicators lack sensitivity and specificity. Furthermore, there exists limited evidence concerning the reliability and validity of measures that can be used to monitor patient satisfaction with anaesthetic care. A clear need exists for the development of routine monitoring and feedback of quality of anaesthetic care, from the postoperative period, to support improvement in anaesthetic practice.

Studies show that providing feedback to clinicians can be an effective improvement intervention and results in generally small to moderate positive effects on professional practice. Initiatives that use feedback are more effective than those that do not, and feedback paired with an educational strategy or implementation plan is more effective than using simple passive feedback alone. Research suggests that a large range of characteristics of feedback may influence its effectiveness as a quality improvement mechanism.

Objective

To conduct a comprehensive, mixed-methods, quasi-experimental evaluation of the impact of a departmental continuous quality monitoring and feedback initiative for quality of anaesthetic care, within a London teaching hospital over a 3-year period.

The intervention

The feedback initiative was developed and implemented as part of the Collaboration for Leadership in Applied Health Research and Care North West London portfolio of quality improvement projects and was given the title IMPAQT (Improving Anaesthetic Quality). Based on industrial quality improvement models, the initiative was conceived as a continuous quality monitoring and feedback programme for anaesthetists. It comprised continuous measurement of anaesthetic quality indicators in the post-anaesthetic care unit (PACU) of the primary site coupled with continuous monthly feedback of personal-level case data to 44 consultant anaesthetists. Baseline data collection of anaesthetic quality indicators began in March 2010 at St Mary’s Hospital main theatre suite. The intervention model was then implemented in two main phases: (1) implementation of basic, passive monthly feedback using a simple summary statistical report (from October 2010), and (2) implementation of an enhanced feedback protocol (from July 2012 until the end of the project in November 2013).

Basic feedback comprised the provision of monthly personal data summaries in tabled form with limited longitudinal and comparative graphical representations. In the enhanced phase of the programme, a more statistically sophisticated report was developed in response to user requests, including monthly detailed
case category breakdown, specialty-specific information, deviant case details, enhanced comparative and longitudinal data and institution-wide dissemination. Basic data feedback was enhanced with broader professional engagement activities including regular presentation of statistical results, consultative interviews by the research team, topic-focused engagement and facilitated peer interaction on specific specialty areas (e.g. pain management after gynaecological surgery).

Methods

Qualitative evaluation
A longitudinal, qualitative work stream was used, which ran parallel to the intervention work and took a realist evaluative perspective on the project. The realist position provides a framework for identifying not only what outcomes are produced by an intervention, but how they are produced and how the intervention interacts with varying local conditions to produce outcomes. In total, interviews were conducted with 24 consultant anaesthetists, six surgical nursing leads and five perioperative service leads, in two phases.

Survey evaluation
A longitudinal evaluative end-user survey study was undertaken, with three time points corresponding to baseline, basic feedback and enhanced feedback conditions, across three participating sites. The items included scales designed to quantify the effectiveness of current quality indicators, data feedback and the usefulness of the feedback for improvement, along with attitudes to quality improvement within the local working environment. In total, 70 individual anaesthetists completed the survey at one or more time points.

Quasi-experimental evaluation
Evaluation of the impact of the anaesthetics quality monitoring and feedback initiative on anaesthetic quality indicators and perioperative outcomes utilised a single-group longitudinal design, with multiple study epochs. Interrupted time series analysis was used as the primary evaluative model, with interrupts representing multiple intervention time points corresponding to the onset of basic and enhanced feedback protocols. The perioperative indicators modelled included patient temperature on arrival in recovery (two metrics), patient-reported Quality of Recovery (QoR) Scale score (two metrics), postoperative pain (two metrics), postoperative nausea (two metrics), surgical site infection (SSI) rate and 30-day postoperative mortality rate. The study anaesthetist cohort comprised 50,235 cases, performed by 44 anaesthetists over the course of the study, with 22,670 cases performed at the primary hospital site.

Productivity analysis
Interviews were conducted with the perioperative service manager, the lead nurse for the PACU and six surgical nursing leads from the primary site to identify and interpret themes related to productivity. Ward wait time (WWT) was compared pre and post feedback, defined as the interval between the receiving ward being contacted after the patient was deemed ready for discharge from the PACU and the handover of the patient.

Results

Qualitative evaluation
The results provided a rich understanding of the causal mechanisms of effectiveness for monitoring performance and making improvements to practice based on quality indicators, along with a developmental perspective on acceptability and engagement over time. Clinicians clearly agreed with the rationale for the initiative, recognising the existence of a problem and the need for a solution. Clinicians emphasised that the right quality indicators needed to be selected with the right characteristics (i.e. they must be specific, relevant and meaningful) in order to promote the necessary level of trust in the data and
demonstrate fitness for purpose. The interviewees explored the translation of information into action at two levels of the health-care system: the departmental level and the individual clinician level. Crucially, the mechanisms of effective data use were different at each level.

The issue of anonymity was important to end-users and appeared to demonstrate a process of maturity that was longitudinally dependent and tied to end-users’ growing confidence in the intent of the feedback system. At the individual level, with a desire to receive normative feedback, people wanted to identify and contact high performers in order to obtain support and ideas for behaviour change. Dealing with case-mix variations (and the intraprofessional issues it gave rise to) was identified as a critical success factor for initiatives of this type. Our analysis suggests that a combination of normative comparison (i.e. genuine peer benchmarking) and individual trends over time may have the greatest effect. The need to transform hard data into usable information and the experience of health-care professionals in doing so can be viewed as a powerful message emerging from this study.

Interviewees clearly identified a role for this initiative in revalidation and participating in quality monitoring and acting on the results is an identified dimension of good medical practice. The connection of the initiative with revalidation and appraisal appeared to significantly increase levels of engagement throughout the evolution of the project.

**Survey evaluation**

The results suggest that anaesthetists perceive a range of factors as important in determining the usefulness of feedback. Specifically, the local departmental context and its support of quality improvement is an important determinant of how instrumental feedback from monitoring quality indicators is likely to be. Furthermore, feedback that is tailored to be relevant to the personal professional practice of the individual clinician is an important predictor of usefulness. In terms of the feedback content and design characteristics that anaesthetists value most, the perceived credibility of the data and the local relevance of the quality indicators are paramount.

In the longitudinal evaluation, the survey data from the primary site demonstrated a significant improvement in perceptions of quality indicators, feedback, data use and overall effectiveness of quality monitoring between baseline and implementation of basic feedback. For the majority of the survey measures, there was a significant improvement at the secondary study sites between the baseline condition and the implementation of the enhanced feedback protocol.

**Interrupted time series analysis**

The observed response to the implementation of basic feedback in the quality indicators assessed was, on the whole, limited, and the hypothesised benefits of implementing basic feedback were generally not observed in the data. While the average weekly temperature of patients arriving in recovery increased by 0.082 °C in response to the onset of basic feedback, in contrast, the weekly proportion of patients arriving in recovery with temperature under 36 °C increased between the baseline and basic feedback condition, too, by 3.3%.

The second study hypothesis concerned the effect of implementing enhanced feedback in a group that had been receiving routine basic feedback previously. Escalating the intensity of feedback through implementation of an enhanced feedback protocol had a positive effect across a greater range of measures than implementation of basic feedback. After implementation of enhanced feedback, patients were, on average, warmer on arrival in recovery by 0.064 °C. Both the mean patient-reported QoR Scale score and the proportion of patients reporting high-quality recovery showed small improvements in the rate of change between basic and enhanced feedback conditions (change in trend for mean scale score = 0.009 on a 17-point scale; change in trend for proportion of patients = 0.001%).
The two measures of postoperative pain demonstrated consistent positive responses to the implementation of the enhanced feedback protocol, with significant improvement in both level and rate of change in the proportion of patients reporting freedom from severe pain (change in level = 7.2% of patients; change in trend = 0.004%) and those reporting no or mild pain on arrival in recovery (change in level = 12% of patients; change in trend = 0.003%). The proportion of patients with nurse-reported absence of nausea similarly increased by 5.8% in response to the implementation of enhanced feedback, coupled with an improvement in the rate of change in this measure (change in trend = 0.001%), although no significant effect was detected for patient-reported freedom from postoperative nausea. No significant effect of the implementation of enhanced feedback on SSI rate was detected. Thirty-day postoperative mortality appeared to show a complex response to the implementation of enhanced feedback, with a modest increase in level (0.8%) coupled with a modest improvement in the rate of change over time (change in trend = 0.001%).

The observed positive effects of enhanced feedback on patient temperature data, mean QoR score, and measures of both postoperative pain and postoperative nausea were robust after covariate analysis in which longitudinal variation in disease severity, patient age and gender were controlled. When further statistical models were fitted based on stricter case inclusion criteria, limited to elective general anaesthetic cases, the implementation of enhanced feedback was still associated with significant improvement in level and slope in both proportion of patients with nurse-reported freedom from nausea and proportion of patients with freedom from pain on arrival in recovery. Analysis of the impact of feedback on a subgroup of anaesthetists defined by being ranked in the lower 50th percentile of scores during the baseline period confirmed that the beneficial effects of enhanced feedback were replicated and strongest for this subgroup, particularly in the areas of postoperative pain management, control of postoperative nausea and overall patient-reported QoR.

**Productivity analysis**

Although most perioperative ward leads described the initiative as useful and recognised the importance of active clinical engagement, significant improvements in WWT were only reflected in three of the eight wards studied. Qualitative analysis of stakeholder interviews suggested that although the stakeholders valued the feedback initiative, further organisational changes would be needed to progress improvement in ward transfer efficiency, including improvements to the local bed allocation and discharge process. Although there was strong consensus of support for the initiative, the interviews highlighted the need for an infrastructure to support change and shared goals targeted through a system-wide approach that included broader understanding of hospital dynamics.

**Conclusions and implications for health care**

Taken as a whole, the findings from this evaluation provide rich information concerning the effects of a comprehensive, long-term anaesthetic quality monitoring and feedback initiative on multiple dimensions of service performance. Furthermore, they provide insight into the process of development that took place within this initiative, of interactions between context, intervention and user, and document the experiences and perceptions of the anaesthetists that participated as end-users and codesigners of the feedback. Productive future directions for research include (1) investigation of whether or not this model of a continuous feedback intervention will port successfully to other clinical specialties, (2) in-depth cost–benefits analysis of a continuous quality monitoring model compared with conventional discontinuous audit, and (3) how variations in context relating to the maturity of local electronic record systems affect implementation and outcome of similar initiatives.
The research findings give rise to the following specific implications:

1. Quality monitoring and feedback interventions represent a potentially important quality improvement mechanism, especially where investment is made in their long-term development and sustainment.
2. The design of feedback and its perceived intent, fitness for purpose and context of use are all important considerations for success.
3. It is essential to not only involve end-users in the development of the feedback system at conception, but to foster an ongoing sense of ownership of the data and a willingness to interact with them.
4. It is important to pair passive data dissemination with support, active engagement and opportunities for intra- and interprofessional dialogue, concerning how to respond to evidence of variations and opportunities for improvement.
5. Continuous feedback can make the natural variation inherent in human-intensive processes, such as health care, visible to improvement efforts. In so doing, subjective and intangible phenomena, such as patient satisfaction, may be objectified for more constructive conversations, enhanced shared decision-making and better control.
6. In the development of monitoring and feedback systems, appropriate attention must be given to how data are used and converted into information for specific user groups, such as clinicians, rather than simply focusing on what to measure and how reliable those measures are.
7. The success of data feedback interventions should be evaluated using multiple dimensions, including social, organisational and professional outcomes, in addition to clinical end points.
8. While downstream postoperative outcomes may be insensitive to the effects of an anaesthetic quality feedback intervention, process-of-care measures, such as those associated with postoperative pain management, nausea and perioperative normothermia, are more receptive.
9. Within the health informatics field, considerable scope exists beyond this project to further test evolving theory and practice from improvement science and industrial process control related to how data can be used to support continuous improvement in process-based operations.
10. The trend towards a shift away from intermittent, snapshot audits of practice in favour of a continuous monitoring and continuous improvement model within health-care organisations should be the subject of further investigation in terms of its implications for patients and quality of care.

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