

Accessibility and implementation in the UK NHS services of an effective depression relapse prevention programme: learning from mindfulness based cognitive therapy through a mixed methods study

Jo Rycroft-Malone^{1*}, Felix Gradinger², Heledd O Griffiths¹, Rebecca Crane³, Andy Gibson², Stewart Mercer⁴, Rob Anderson², Willem Kuyken⁵.

¹Bangor Institute for Health & Medical Research, School of Healthcare Sciences, Bangor University, Bangor, UK.

²Institute of Health Research, University of Exeter Medical School, Exeter, UK.

³Centre for Mindfulness Research and Practice, Bangor University, School of Psychology, Bangor University, Bangor, UK.

⁴ General Practice and Primary Care, Institute for Health and Wellbeing, University of Glasgow, Glasgow, UK

⁵Department of Psychiatry, University of Oxford, Oxford, UK

*Corresponding author

Main Arts

Bangor University

College Road

Bangor, LL57 2DG

Tel: 01248 383119

E-mail: j.rycroft-malone@bangor.ac.uk

Important

A 'first look' scientific summary is created from the original author-supplied summary once the normal NIHR Journals Library peer and editorial review processes are complete. The summary has undergone full peer and editorial review as documented at NIHR Journals Library website and may undergo rewrite during the publication process. The order of authors was correct at editorial sign-off stage.

A final version (which has undergone a rigorous copy-edit and proofreading) will publish as part of a fuller account of the research in a forthcoming issue of the Health Services and Delivery Research journal.

Any queries about this 'first look' version of the scientific summary should be addressed to the NIHR Journals Library Editorial Office – journals.library@nihr.ac.uk

The research reported in this 'first look' scientific summary was funded by the HS&DR programme or one of its predecessor programmes (NIHR Service Delivery and Organisation programme, or Health Services Research programme) as project number 12/64/187. For more information visit <https://www.journalslibrary.nihr.ac.uk/programmes/hsdr/1264187/#/>

The authors have been wholly responsible for all data collection, analysis and interpretation, and for writing up their work. The HS&DR editors have tried to ensure the accuracy of the authors' work and would like to thank the reviewers for their constructive comments however; they do not accept liability for damages or losses arising from material published in this scientific summary.

This 'first look' scientific summary presents independent research funded by the National Institute for Health Research (NIHR). The views and opinions expressed by authors in this publication are those of the authors and do not necessarily reflect those of the NHS, the NIHR, NETSCC, the HS&DR programme or the Department of Health. If there are verbatim quotations included in this publication the views and opinions expressed by the interviewees are those of the interviewees and do not necessarily reflect those of the authors, those of the NHS, the NIHR, NETSCC, the HS&DR programme or the Department of Health.

Scientific Summary

Background

Depression is one of the most common mental health problems, affecting as many as one in five people in their lifetime. It often runs a recurrent lifetime course and is associated with considerable disability, personal distress and cost to society.

Mindfulness-based cognitive therapy (MBCT) was developed as a group-based psychosocial approach to help people at risk for depressive relapse learn skills to prevent depressive relapse and stay well in the long term. Its effectiveness has been demonstrated in numerous randomised controlled trials and NICE has recommended it as one of two psychological treatments for depression relapse prevention since 2004.

Numerous stakeholders, patient groups and most recently an All Party Parliamentary Group have called for it to be made more readily available in the NHS. Our literature review and feasibility work suggest that access to MBCT is very patchy, access is inequitably distributed and we have little systematic understanding about why that is the case and how best to improve the accessibility and implementation of MBCT. As such, this study fills a gap in the evidence about the implementation of an effective psychological intervention.

Objectives

The objectives of this work were to:

- Scope existing provision of MBCT in the health service across England, Northern Ireland, Scotland and Wales.
- Develop an understanding of the perceived benefits and costs of embedding MBCT in mental health services.
- Explore facilitators that have enabled services to deliver MBCT.
- Explore barriers that have prevented MBCT being delivered in services.
- Articulate the critical success factors for enhanced accessibility and the routine and successful use of MBCT as recommended by NICE.
- Synthesise the evidence from these data sources, and in cooperation with stakeholders develop implementation guidance and related resources that services can use to implement MBCT.

Methods

We used a two-phase qualitative, exploratory and explanatory study, which was conceptually underpinned by the Promoting Action on Implementing Research in Health Services (PARIHS) framework. In Phase 1 we conducted 68 interviews with participants from 40 regions across the UK about current provision of MBCT. We sampled key stakeholders, including commissioners, managers, MBCT practitioners and teachers, and people living with depression and their carers. Normally we started with a key stakeholder within each region and then sought a pool of participants from key stakeholder groups.

In Phase 2 we undertook a more in-depth study of MBCT implementation within ten case studies. Cases were purposively sampled from across England, Northern

© Queen's Printer and Controller of HMSO 2016. This work was produced by Rycroft-Malone *et al.* under the terms of a commissioning contract issued by the Secretary of State for Health. This 'first look' scientific summary may be freely reproduced for the purposes of private research and study and extracts may be included in professional journals provided that suitable acknowledgement is made and the reproduction is not associated with any form of advertising. Applications for commercial reproduction should be addressed to: NIHR Journals Library, National Institute for Health Research, Evaluation, Trials and Studies Coordinating Centre, Alpha House, University of Southampton Science Park, Southampton SO16 7NS, UK.

Ireland, Scotland and Wales with attention to embeddedness (four fully, four partially embedded and two scarce/no implementation) and site demographics. Across the ten case studies, we interviewed 127 participants, observed 16 events (e.g., supervision, special interest groups, service user sessions and teacher training sessions), collected documents (e.g., strategy papers) and gathered key contextual information about sites from publically available sources (e.g., demographics for socio-economics, ethnicity, as well as mental health metrics). Interviews were transcribed, observational field notes were written and documents added to the data corpus for analysis.

Typically audio-recorded semi-structured interviews were conducted, with interview schedules revised to enable us to build up a rich and coherent description and explanation throughout the two phases of work.

The data were analysed using thematic analysis. An iterative and combined inductive and deductive approach was used to build a description of MBCT implementation and then progress to an explanatory account of what supported sustainable implementation of MBCT in the UK NHS. The case study analysis viewed each case as a whole first, and then looked at cross case themes and pattern matching logic to extract themes and a framework that applied across cases as a more generalizable explanatory model.

Results

Whilst there appears to have been progress since our feasibility study, a picture emerges suggesting that the access and format of MBCT provision across the NHS remains variable, even within the same region and site. NHS services have typically adapted MBCT to their context. The integration of MBCT into care pathways was also highly variable.

We used the Promoting Action on Implementing Research in Health Services (PARIHS) framework, which articulates dimensions of context and evidence through which facilitation takes place. The context for implementation comprised both macro (e.g., national policies, service priorities and culture) and meso (e.g., service specifications, care pathways) levels. A supportive implementation context tended to be linked to national policies, service priorities and crucially found a way to fit MBCT into existing services. Another key contextual factor was resourcing. This included building capacity in terms of MBCT teachers, accessing financial resources, time, as well as practical resources such as space in which to offer MBCT.

Evidence was important to implementation and took different forms. The NICE depression guideline was often cited as opening the door and creating legitimacy in people's minds. Other types of evidence were audits, evaluations and first person accounts. There were several examples of pilots being used to build a platform from which to evolve and develop services further.

In terms of facilitation, perhaps the most significant single element in our data was the central role of the MBCT implementers; dedicated individuals who "championed" implementation, created networks and over time mobilised top-down organisational

© Queen's Printer and Controller of HMSO 2016. This work was produced by Rycroft-Malone *et al.* under the terms of a commissioning contract issued by the Secretary of State for Health. This 'first look' scientific summary may be freely reproduced for the purposes of private research and study and extracts may be included in professional journals provided that suitable acknowledgement is made and the reproduction is not associated with any form of advertising. Applications for commercial reproduction should be addressed to: NIHR Journals Library, National Institute for Health Research, Evaluation, Trials and Studies Coordinating Centre, Alpha House, University of Southampton Science Park, Southampton SO16 7NS, UK.

support. These individuals were generally self-designated in these roles. MBCT implementation has been described as a bottom up grassroots movement. Our data had numerous examples of implementation that could be characterised as starting with dedicated implementers generating a grassroots groundswell. Top-down implementation typically came in later in the implementation process with organisational support being mobilised, greater alignment with organisational strategies and priorities and securing the support of senior and key stakeholders. The case study analysis produced a theoretically transferable account of the how and why of MBCT implementation in this framework, an implementation journey is determined over time by a potentially creative tension between grassroots facilitation from implementers' effort and work, and top down organisational prioritisation of MBCT, through more or less strategic support and subsequent flow of resources, over time. Implementation journeys could be enabled by a degree of alignment or fit between context, appropriately targeted grassroots implementation effort, working with different forms of evidence and responses/reactions to MBCT, and top down factors. An accumulation of factors that were aligned resulted in some shift (positive or negative) in implementation progress. We conceptualise these as *pivot points*, which have real potential for market forward shifts in implementation.

Implementation 'success' was explained by the degree (high – low) of alignment between the intervention and context (e.g. MBCT implementation being challenged by NHS focussed on treatment more than wellbeing, NHS fast paced – MBCT needs appropriate time etc.) and the degree of implementation effort required (low-high). Finally, it is notable that the potential for sustainability in service provision was evident in services that had invested in developing training pathways.

Conclusions

Whilst access to MBCT across the UK is improving, it remains very patchy. Moreover, its form and delivery are variable across different services. Over two phases of work, we developed themes that describe what facilitates MBCT implementation and a theoretical model of how MBCT becomes sustainably embedded within an NHS service. Implementation is a *process and a journey*. We used, and 'tested' in our dissemination workshops, the metaphor of a team embarking on a cycling journey as being an instructive way to bring the explanatory framework to life in a practical way. The next phase of work will be the development of implementation guidance that services can use to implement MBCT.