# The diagnostic accuracy of hearing tests and cost-effectiveness of school entry hearing screening programmes

#### Introduction

The aim of the HTA programme is to ensure that high quality research information on the effectiveness, costs and broader impact of health technologies is produced in the most efficient way for those who use, manage, provide care in or develop policy for the NHS. Topics for research are identified and prioritised to meet the needs of the NHS. Health technology assessment forms a substantial portfolio of work within the National Institute for Health Research and each year about fifty new studies are commissioned to help answer questions of direct importance to the NHS. The studies include both primary research and evidence synthesis.

## **Question**

What is the diagnostic accuracy of different hearing tests for permanent childhood hearing loss? Applicants may, if they wish, propose a second phase to identify the likely cost-effectiveness of a school entry audiometric screening programme.

- **Technology:** Two alternative test variants of the pure tone listening task; the pure tone sweep and a variant of the pure tone screener with fixed frequencies and three levels (Siemens).
- **Patient group:** Children at or around school entry in the UK who have been eligible for the neonatal hearing screening programme.
- **3 Setting:** School settings in the UK.
- **4 Comparator:** For the model of cost-effectiveness, children not subject to a school entry screening programme.
- **Design:** A diagnostic accuracy study to compare the positive predictive value (PPV) and negative predictive value (NPV) of the two technologies in children. The protocols for carrying out the tests and for subsequent management and referral should be clearly specified. Researchers should differentiate between the identification of unilateral and bilateral hearing loss. Cost data should be collected to enable a subsequent economic evaluation. Applicants may wish to propose a second phase of study to develop a model of cost-effectiveness with both tests modelled against 'no active school entry screening'. It will be important to identify the costs of managing true and false positives as well issues resulting from false negatives. Applicants will need to demonstrate the strength of the team in diagnostic methodologies and analysis.
- 6 Important outcomes: Diagnostic accuracy of the different tests. Cost per true case identified. Additional outcomes (for applicants proposing a second phase) the cost-effectiveness of different screening protocols, referral rates and treatment or intervention rate, measures of quality of life or of other benefit.

## **Background to commissioning brief:**

Approximately 1 in 1000 children are born with a Sensori Neural Hearing Loss (SNHL) sufficient to need hearing support and around 90% are born to parents who hear normally. About 25% of these children will benefit from cochlear implants.

The NHS Newborn Hearing Screening Programme (NHSP) ensures all parents are offered hearing screening for their newborn child within the first few weeks of life as part of the Antenatal and Newborn Screening Programmes. The NHSP has an important effect on the yield of any school entry hearing screening programme.

There are approximately 400 cases of bilateral moderate or worse hearing impairment (40 dB HL or worse averaged over 0.5, 1, 2 and 4kHz in the worse ear) that develop in the first few

years of life in England, that would not necessarily be detected before formal education begins. In addition, children with significant unilateral hearing loss may go undetected by the newborn screen.

School screening should aim to identify cases of Permanent Childhood Hearing Loss (PCHL) including predominantly SNHL (and SNHL exacerbated by conductive hearing loss) and permanent conductive hearing loss, but does not aim to identify glue ear cases.

## **Notes to Applicants**

The NIHR Health Technology Assessment programme is funded by the NIHR, with contributions from the CSO in Scotland and WORD in Wales. Researchers from Northern Ireland should contact NETSCC to discuss their eligibility to apply.

For many of the questions posed by the HTA programme, a randomised controlled trial is likely to be the most appropriate method of providing an answer. However, there may be practical or ethical reasons why this might not be possible. Applicants proposing other research methods are invited to justify these choices.

Applicants are asked to:

- 1. Follow the Medical Research Council's Good Clinical Practice guidelines (<a href="http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002416">http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002416</a>) when planning how studies, particularly RCTs, will be supervised. Further advice specific to each topic will be given by the HTA programme at full proposal and contract stages.
- 2. Note that trials involving medicinal products must comply with "The Medicines for Human Use (Clinical Trials) Regulations 2004". In the case of such trials, the DH expects the employing institution of the chief investigator to be nominated as the sponsor. Other institutions may wish to take on this responsibility or agree co-sponsorship with the employing institution. The DH is prepared to accept the nomination of multiple sponsors. Applicants who are asked to submit a full proposal will need to obtain confirmation of a sponsor(s) to complete their application. The DH reserve the right to withdraw from funding the project if they are not satisfied with the arrangements put in place to conduct the trial.

The MHRA (<u>info@mhra.gsi.gov.uk</u>, <u>http://www.mhra.gov.uk</u>) can provide guidance as to whether your trial would be covered by the regulations. The DH/MRC website (<u>http://www.ct-toolkit.ac.uk/</u>) also contains the latest information about Clinical Trials regulations and a helpful FAQ page.

#### Research networks

The HTA programme expects, where appropriate, that applicants will work with the relevant research network.

## Making an application

If you wish to submit an outline proposal on this topic, complete the on-line application form at <a href="http://www.hta.ac.uk/funding/standardcalls/index.shtml">http://www.hta.ac.uk/funding/standardcalls/index.shtml</a> and submit it on line by 30<sup>th</sup> September 2010. Applications will be considered by the HTA Commissioning Board at its meeting in January 2011. For outline applications, if shortlisted, investigators will be given a minimum of eight weeks to submit a full proposal.

Applications received electronically after <u>1300 hours</u> on the due date will not be considered.

Please see GUIDANCE ON APPLICATIONS overleaf.

# **Guidance on applications**

## Required expertise

HTA is a multidisciplinary enterprise. It needs to draw on the expertise and knowledge of clinicians and of those trained in health service research methodologies such as health economics, medical statistics, study design and qualitative approaches. The HTA programme expects teams proposing randomised controlled trials to include input from an accredited clinical trials unit, or one with equivalent experience. Applicants are also expected to engage a qualified Trial Manager for appropriate projects. A commitment to team working must be shown and applicants may wish to consider a collaborative approach between several institutions.

#### Public involvement in research

The HTA programme recognises the benefit of increasing active involvement of members of the public in research and would like to support research projects appropriately. The HTA programme encourages applicants to consider *how* the scientific quality, feasibility or practicality of their proposal *could* be improved by involving members of the public. Examples of how this has been done for health technology assessment projects can be found at <a href="http://www.hta.ac.uk/PPIguidance/">http://www.hta.ac.uk/PPIguidance/</a>. Research teams wishing to involve members of the public should include in their application: the aims of active involvement in this project; a description of the members of the public (to be) involved; a description of the methods of involvement; and an appropriate budget. Applications that involve members of the public will not, for that reason alone, be favoured over proposals that do not but it is hoped that the involvement of members of the public will improve the quality of the application.

#### **Outcomes**

Wherever possible, the results of HTA should provide information about the effectiveness and costeffectiveness of care provided in its usual clinical setting and for the diverse subjects who would be
eligible for the interventions under study. The endpoints of interest will in most cases include disease
specific measures, health related quality of life and costs (directly and indirectly related to patient
management). Wherever possible, these measurements should be made by individuals who are
unaware of the treatment allocation of the subjects they are assessing. We encourage applicants to
involve users of health care in the preparation of their proposal, for instance in selecting patientoriented outcomes. A period of follow up should be undertaken which is sufficient to ensure that a
wider range of effects are identified other than those which are evident immediately after treatment.
These factors should guide applicants in their choice of subjects, settings and measurements made.

#### Sample size

A formal estimate should be made of the number of subjects required to show important differences in the chosen primary outcome measure. Justification of this estimate will be expected in the application.

### Communication

Communication of the results of research to decision makers in the NHS is central to the HTA Programme. Successful applicants will be required to submit a single final report for publication by the HTA programme. They are also required to seek peer-reviewed publication of their results elsewhere and may also be asked to support NETSCC, HTA in further efforts to ensure that results are readily available to all relevant parties in the NHS. Where findings demonstrate continuing uncertainty, these should be highlighted as areas for further research.

#### **Timescale**

There are no fixed limits on the duration of projects or funding and proposals should be tailored to fully address the problem (including long-term follow-up if necessary). Applicants should consider however that there is a pressing need within the NHS for this research, and so the duration of the research needs to be timely.

#### Feasibility and Pilot studies

We expect that when pilot or feasibility studies are proposed by applicants, or specified in

commissioning briefs, a clear route to the substantive study will be described. This applies whether the brief or proposal describes just the preliminary study or both together. Whether preliminary and main studies are funded together or separately may be decided on practical grounds.

Feasibility Studies are pieces of research done before a main study. They are used to estimate important parameters that are needed to design the main study. Feasibility studies for randomised controlled trials may not themselves be randomised. Crucially, feasibility studies do not evaluate the outcome of interest; that is left to the main study. If a feasibility study is a small randomised controlled trial, it need not have a primary outcome and the usual sort of power calculation is not normally undertaken. Instead the sample size should be adequate to estimate the critical parameters (e.g. recruitment rate) to the necessary degree of precision.

Pilot studies are a version of the main study that is run in miniature to test whether the components of the main study can all work together. It is focused on the processes of the main study, for example to ensure recruitment, randomisation, treatment, and follow-up assessments all run smoothly. It will therefore resemble the main study in many respects. In some cases this will be the first phase of the substantive study and data from the pilot phase may contribute to the final analysis; this can be referred to as an internal pilot. Or at the end of the pilot study the data may be analysed and set aside, a so-called external pilot.

For a full definition of the terms 'feasibility study' and 'pilot study' visit the NETSCC website glossary page <a href="http://www.netscc.ac.uk/glossary/">http://www.netscc.ac.uk/glossary/</a>

In evaluating diagnostic and imaging techniques, the emphasis of the HTA programme is to assess the effect on patient management and outcomes (particularly where changes in management can be shown to have patient benefits). Improvements in diagnostic accuracy, whilst relevant, are not the primary interest of this commissioned research programme. Applicants should justify where they consider improvements in diagnostic accuracy to be relevant to these objectives. Where there is poor evidence to link diagnostic improvements to patient benefits, part of the primary research may be to assess the effects of such changes on patient outcome.

An assessment should also be made of changes in other resources (particularly other subsequent therapies) used as a result of changes in diagnostic methods.