

Intensive behavioural interventions based on applied behaviour analysis for young children with autism

Introduction

The aim of the HTA Programme is to ensure that high quality research information on the effectiveness, costs and broader impact of health technology is produced in the most efficient way for those who use, manage, provide care in or develop policy for the NHS. Topics for research are identified and prioritised to meet the needs of the NHS. Health technology assessment forms a substantial portfolio of work within the National Institute for Health Research and each year about fifty new studies are commissioned to help answer questions of direct importance to the NHS. The studies include both primary research and evidence synthesis.

Research Question:

What is the potential for cost-effectiveness of intensive behavioural interventions based on applied behaviour analysis for young children with autism and which subgroups of children are most likely to benefit?

- 1. Interventions:** Intensive (eg >15 hours per week), comprehensive behavioural interventions for young children with autism based on applied behaviour analysis (eg early intensive behavioural intervention, EIBI) delivered over an extended timeframe – the exact interventions to be included should be defined and justified by applicants. Interventions where the therapist is primarily focused on parents are not the focus of this call.
- 2. Patient group:** Young children with autism spectrum disorder - inclusion criteria to be defined and justified by applicants. Consideration should be given to the severity of the disorder, age of participants and any comorbid conditions.
- 3. Setting:** Community (eg child's home and pre-school).
- 4. Control:** Any appropriate control or comparator.
- 5. Study design:** An evidence synthesis comprising a systematic review and economic modelling to include an estimate of cost-effectiveness, a value of information analysis to inform recommendations for future research, and an assessment of affordability to the health service. Meta-analyses of included studies should aim to identify appropriate subgroups of responders - the use of individual patient data would be welcome if available. Applicants should take a broad perspective to the economic evaluation in order to estimate the impact of the intervention across different sectors such as health, social care and education.
- 6. Important outcomes:** Potential cost-effectiveness of intensive behavioural interventions in terms of outcomes defined and justified by applicants; an estimate of the probability that such interventions might be cost-effective and affordable in the UK; value of information analysis; recommendations for UK primary research to include whether further effectiveness trials are needed; identification of subgroups of responders.

NHS decision problem to be addressed by this research:

Children with autism spectrum disorder (ASD) face many difficulties which are a challenge for them and their families. The lifelong impairments of ASD mean that there is a widely recognised need for effective interventions to help affected children to achieve their maximum potential and to experience the best quality of life possible. Current UK recommendations are that pre-school children should have access to 15 hours per week of ASD specific programmes. Although a wide range of interventions for ASD exist, few are evidence-based. According to systematic reviews, the most evaluated, frequently requested (by parents), recommended and used intervention worldwide for young children with ASD is early intensive behavioural intervention (EIBI). EIBI is a highly structured, comprehensive and intensive intervention in which a child is taught a range of skills by a team of therapists; it is based on the principles of behaviour modification using applied behaviour analysis (ABA).

ABA-based intensive interventions such as EIBI are used as standard in countries like Switzerland and the US. Although reportedly suffering from methodological limitations, previous economic studies conducted in the Netherlands, Canada and the US have demonstrated that substantial costs savings can be made if high quality intensive ABA-based intervention provision is offered to remediate and improve aspects of autism that are both damaging to a child's quality of life and costly later to social care budgets. However, despite evidence of effectiveness and cost-effectiveness such interventions are rarely available in the UK unless parents are able to pay for them. Some local authorities and health care services do fund these intensive ABA-based interventions but it is uncommon; requests are said to be considered on an individual basis as part of a health, education and care needs assessment of the child.

Intensive ABA-based interventions such as EIBI are very often requested by parents whose young child is diagnosed with ASD. The purpose of the proposed piece of work is to explore the potential for cost-effectiveness of intensive ABA-based interventions that involve a professional working with a child with autism for a high number of hours per week, to determine the probability of whether such interventions might be cost-effective and affordable in the UK. The study should also establish whether any further UK-based primary research is needed.

Making an application

The NIHR Health Technology Assessment Programme is funded by the NIHR, with contributions from the CSO in Scotland, NISCHR in Wales, and the Public Health Agency in Northern Ireland.

If you wish to submit a proposal on this topic, complete the on-line application form at www.nets.nihr.ac.uk/funding/hta-commissioned and submit it on line by 15 September 2016.

Your full proposal will be assessed by designated board members, alongside other applications submitted in the same topic area. A maximum of three proposals will be taken forward for peer review by external referees, and subsequent consideration by the HTA Funding Board at its meeting in November 2016.

In line with the government's transparency agenda, any contract resulting from this tender may be published in its entirety to the general public. Further information on the transparency agenda is at: <http://transparency.number10.gov.uk/#>

Applicants are recommended to seek advice from suitable methodological support services, at an appropriate stage in the development of their research idea and application. It is advisable to make contact at an early a stage as possible to allow sufficient time for discussion and a considered response.

The NIHR Research Design Service (<http://www.rds.nihr.ac.uk/>) can advise on appropriate NIHR Programme choice, and developing and designing high quality research grant applications.

Clinical Trials Toolkit

Researchers designing or undertaking clinical trials are encouraged to consult the Clinical Trials Toolkit (www.ct-toolkit.ac.uk). This NIHR resource is a website designed to help researchers navigate through the complex landscape of setting up and managing clinical trials in line with regulatory requirements. Although primarily aimed at those involved in publicly funded Clinical Trials of Investigational Medicinal Products (CTIMPs), the Toolkit will also benefit researchers and R&D staff working on trials in other areas, who will find useful information and guidance of relevance to the wider trials environment.

Applications received electronically after 1300 hours on the due date will not be considered.

Please see GUIDANCE ON APPLICATIONS overleaf.

Should you have any queries please contact htacmsng@soton.ac.uk

Guidance on applications

Methods

Applicants should demonstrate knowledge of current research in the field and of systematic review methods and state how these would apply to the question posed. Valid and reliable methods should be proposed for identifying and selecting relevant material, assessing its quality and synthesising the results. Guidance on choice of appropriate methods is contained in NHS CRD Report *Systematic Reviews: CRD's guidance for undertaking reviews in health care (third edition)* (www.york.ac.uk/inst/crd/index_guidance.htm). Where established Core Outcomes exist they should be included amongst the list of outcomes unless there is good reason to do otherwise. Please see The COMET Initiative website at www.comet-initiative.org to identify whether Core Outcomes have been established. Where policy implications are considered, the emphasis should be on assessing the likely effects of a range of policy options open to decision makers rather than a judgement on any single strategy. Where epidemiological modelling or economic evaluation is required, the range of uncertainty associated with the results should be assessed. In the assessment of cost-effectiveness, further data collection may be required to estimate resource use and costs. If there is evidence that the ratio of costs and benefits may differ between readily identifiable groups, applicants are encouraged to state how they will identify these differences. Where relevant, researchers should explore the effect of the intervention in relation to health inequalities.

Cochrane

Applicants wishing to produce and maintain a Cochrane systematic review from a HTA commissioned systematic review should make the case in their proposal. This will need to include the approval of

the relevant Cochrane Review Group (www.cochrane.org). Any additional costs associated with the initial preparation of a Cochrane review should be included in your project proposal. Maintenance costs cannot be met.

Diagnostics and Imaging

In evaluating diagnostic and imaging techniques, the emphasis of the HTA Programme is to assess the effect on patient management and outcomes (particularly where changes in management can be shown to have patient benefits). Improvements in diagnostic accuracy, whilst relevant, are not the primary interest of this commissioned research programme. Applicants should justify where they consider improvements in diagnostic accuracy to be relevant to these objectives. Where there is poor evidence to link diagnostic improvements to patient benefits, part of the research may be to assess the effects of such changes on patient outcome.

Public involvement in research

The HTA Programme recognises the benefit of increasing active involvement of members of the public in research and would like to support research projects appropriately. The HTA Programme encourages applicants to consider *how* the scientific quality, feasibility or practicality of their proposal *could* be improved by involving members of the public. Examples of how this has been done for health technology assessment projects can be found at www.nets.nihr.ac.uk/ppj. Research teams wishing to involve members of the public should include in their application: the aims of active involvement in this project; a description of the members of the public (to be) involved; a description of the methods of involvement; and an appropriate budget. Applications that involve members of the public will not, for that reason alone, be favoured over proposals that do not but it is hoped that the involvement of members of the public will improve the quality of the application.

Updating

It is the policy of NETSCC, HTA that all search strategies undertaken as part of evidence synthesis/secondary research projects must not be more than 12 months out of date when the draft final report is submitted. We expect that most projects will manage to bring their searches up to date prior to analysis and writing up. As research funders we are aware that exceptional circumstances can apply that would not allow this to be case but this must be the exception rather than the rule and will be assessed on a case by case basis. The expectation is that projects funded by the HTA Programme will deliver information that is both relevant and timely.

In addition, in order to inform decisions on whether and when to update the review, researchers will be expected to give some indication of how fast the evidence base is changing in the field concerned, based on the nature and volume of on-going work known at the time the review is completed. Applicants should note that they will not be expected to carry out any future updating as part of the contract to complete the review.

Communication

Communication of the results of research to decision makers in the NHS is central to the HTA Programme. Successful applicants will be required to submit a single final report for publication by the HTA Programme. They are also required to communicate their work through peer-reviewed journals and may also be asked to support NETSCC, HTA in further efforts to ensure that results are readily available to all relevant parties in the NHS. Where findings demonstrate continuing uncertainty, these should be highlighted as areas for further research.

Timescale

There are no fixed limits on the duration of projects or funding. However, there is a pressing need within the NHS for the information and so the research would normally be expected to be completed as soon as possible – however it is for applicants to justify the duration and costs proposed.