Provision of debt counselling in primary care for people with depression and related debt problems

Introduction

The aim of the HTA programme is to ensure that high quality research information on the effectiveness, costs and broader impact of health technologies is produced in the most efficient way for those who use, manage, provide care in or develop policy for the NHS. Topics for research are identified and prioritised to meet the needs of the NHS. Health technology assessment forms a substantial portfolio of work within the National Institute for Health Research and each year about fifty new studies are commissioned to help answer questions of direct importance to the NHS. The studies include both primary research and evidence synthesis.

Research Question:

Does the provision of debt counselling and advice in primary care improve the health and well-being of people with depression and related debt problems compared to usual GP care?

- 1. Intervention: Integrated debt counselling and advice in primary care, which the NHS could consider commissioning in future. The intervention should be separate to the medical consultation and could be provided by the third sector such as Citizens Advice Bureau.
- 2. Patient group: Adults with depression and related debt problems.
- 3. Setting: Primary care particularly GP premises.
- **4. Control or comparator:** Usual primary care. To be defined by researchers since 'usual care' is variable but may include at least the first stage of the NICE recommended stepped care approach to patients with depression which can be carried out as part of usual primary care.
- **5. Study design:** A two arm randomised controlled trial with a feasibility phase. The progression criteria from the feasibility phase should include evidence that NHS commissioners would be interested in a future service. Researchers should consider whether individual or cluster randomisation is appropriate.
- 6. Important outcomes: Severity of depression; well-being.
 Other outcomes: Health related quality of life; management of debt; reduction in secondary care referral; reduction in prescription antidepressants; absence from work; measure of hopelessness; health care utilisation; health economic evaluation.
- 7. Minimum duration of follow-up: 1 year

Background information for potential applicants:

A wide range of biological, psychological and social factors have a significant impact on the course of depression and the response to treatment. One such factor is personal debt. People with depression are more likely to neglect aspects of their lives, such as financial management, and incur debt which in turn leads to increased stress and anxiety levels.

The majority of people with depression receive care from their GP and there is some evidence that alleviating debt improves mental health. The Government's Foresight Review of Mental Capital and Wellbeing (2008) suggested that closer integration of primary care and debt counselling should be explored. Although health and advice services already work together in some areas, nationally, this is not the norm. A randomised controlled trial is needed to assess the effectiveness of providing debt counselling and advice within primary care for people with depression and related debt problems.

Notes to Applicants

The NIHR Health Technology Assessment programme is funded by the NIHR, with contributions from the CSO in Scotland and WORD in Wales. Researchers from Northern Ireland should contact NETSCC to discuss their eligibility to apply.

For many of the questions posed by the HTA programme, a randomised controlled trial is likely to be the most appropriate method of providing an answer. However, there may be practical or ethical reasons why this might not be possible. Applicants proposing other research methods are invited to justify these choices.

Applicants are asked to:

- Follow the Medical Research Council's Good Clinical Practice guidelines (http://www.mrc.ac.uk/Utilities/Documentrecord/index.htm?d=MRC002416) when planning how studies, particularly RCTs, will be supervised. Further advice specific to each topic will be given by the HTA programme at full proposal and contract stages.
- 2. Note that trials involving medicinal products must comply with "The Medicines for Human Use (Clinical Trials) Regulations 2004". In the case of such trials, the DH expects the employing institution of the chief investigator to be nominated as the sponsor. Other institutions may wish to take on this responsibility or agree co-sponsorship with the employing institution. The DH is prepared to accept the nomination of multiple sponsors. Applicants who are asked to submit a full proposal will need to obtain confirmation of a sponsor(s) to complete their application. The DH reserve the right to withdraw from funding the project if they are not satisfied with the arrangements put in place to conduct the trial.

The MHRA (info@mhra.gsi.gov.uk, http://www.mhra.gov.uk) can provide guidance as to whether your trial would be covered by the regulations. The DH/MRC website (http://www.mhra.gov.uk) also contains the latest information about Clinical Trials regulations and a helpful FAQ page.

In line with the government's transparency agenda, any contract resulting from this tender may be published in its entirety to the general public. Further information on the transparency agenda is at: http://transparency.number10.gov.uk/#

Research networks

The HTA programme expects, where appropriate, that applicants will work with the relevant research network.

Making an application

If you wish to submit an outline proposal on this topic, complete the on-line application form at http://www.hta.ac.uk/funding/standardcalls/index.shtml and submit it on line by **Tuesday 24th April.** Applications will be considered by the HTA Commissioning Board at its meeting in **July**. For outline applications, if shortlisted, investigators will be given a minimum of eight weeks to submit a full proposal.

Applications received electronically after <u>1300 hours</u> on the due date will not be considered.

Please see GUIDANCE ON APPLICATIONS overleaf.

Guidance on applications

Required expertise

HTA is a multidisciplinary enterprise. It needs to draw on the expertise and knowledge of clinicians and of those trained in health service research methodologies such as health economics, medical statistics, study design and qualitative approaches. The HTA programme expects teams proposing randomised controlled trials to include input from an accredited clinical trials unit, or one with equivalent experience. Applicants are also expected to engage a qualified Trial Manager for appropriate projects. A commitment to team working must be shown and applicants may wish to consider a collaborative approach between several institutions.

Public involvement in research

The HTA programme recognises the benefit of increasing active involvement of members of the public in research and would like to support research projects appropriately. The HTA programme encourages applicants to consider *how* the scientific quality, feasibility or practicality of their proposal *could* be improved by involving members of the public. Examples of how this has been done for health technology assessment projects can be found at http://www.hta.ac.uk/PPIguidance/. Research teams wishing to involve members of the public should include in their application: the aims of active involvement in this project; a description of the members of the public (to be) involved; a description of the methods of involvement; and an appropriate budget. Applications that involve members of the public will not, for that reason alone, be favoured over proposals that do not but it is hoped that the involvement of members of the public will improve the quality of the application.

Outcomes

Wherever possible, the results of HTA should provide information about the effectiveness and costeffectiveness of care provided in its usual clinical setting and for the diverse subjects who would be
eligible for the interventions under study. The endpoints of interest will in most cases include disease
specific measures, health related quality of life and costs (directly and indirectly related to patient
management). Wherever possible, these measurements should be made by individuals who are
unaware of the treatment allocation of the subjects they are assessing. We encourage applicants to
involve users of health care in the preparation of their proposal, for instance in selecting patientoriented outcomes. Where established Core Outcomes exist they should be included amongst the list
of outcomes unless there is good reason to do otherwise. Please see The COMET Initiative website at
www.comet-initiative.org to identify whether Core Outcomes have been established. A period of follow
up should be undertaken which is sufficient to ensure that a wider range of effects are identified other
than those which are evident immediately after treatment. These factors should guide applicants in
their choice of subjects, settings and measurements made.

Sample size

A formal estimate should be made of the number of subjects required to show important differences in the chosen primary outcome measure. Justification of this estimate will be expected in the application.

Communication

Communication of the results of research to decision makers in the NHS is central to the HTA Programme. Successful applicants will be required to submit a single final report for publication by the HTA programme. They are also required to seek peer-reviewed publication of their results elsewhere and may also be asked to support NETSCC, HTA in further efforts to ensure that results are readily available to all relevant parties in the NHS. Where findings demonstrate continuing uncertainty, these should be highlighted as areas for further research.

Timescale

There are no fixed limits on the duration of projects or funding and proposals should be tailored to fully address the problem (including long-term follow-up if necessary). Applicants should consider however that there is a pressing need within the NHS for this research, and so the duration of the research needs to be timely.

Feasibility and Pilot studies

We expect that when pilot or feasibility studies are proposed by applicants, or specified in commissioning briefs, a clear route to the substantive study will be described. This applies whether the brief or proposal describes just the preliminary study or both together. Whether preliminary and main studies are funded together or separately may be decided on practical grounds.

Feasibility Studies are pieces of research done before a main study. They are used to estimate important parameters that are needed to design the main study. Feasibility studies for randomised controlled trials may not themselves be randomised. Crucially, feasibility studies do not evaluate the outcome of interest; that is left to the main study. If a feasibility study is a small randomised controlled trial, it need not have a primary outcome and the usual sort of power calculation is not normally undertaken. Instead the sample size should be adequate to estimate the critical parameters (e.g. recruitment rate) to the necessary degree of precision.

Pilot studies are a version of the main study that is run in miniature to test whether the components of the main study can all work together. It is focused on the processes of the main study, for example to ensure recruitment, randomisation, treatment, and follow-up assessments all run smoothly. It will therefore resemble the main study in many respects. In some cases this will be the first phase of the substantive study and data from the pilot phase may contribute to the final analysis; this can be referred to as an internal pilot. Or at the end of the pilot study the data may be analysed and set aside, a so-called external pilot.

For a full definition of the terms 'feasibility study' and 'pilot study' visit the NETSCC website glossary page http://www.netscc.ac.uk/glossary/