

## Intramedullary nail fixation versus locking plate fixation for adults with a fracture of the distal tibia: the UK FixDT RCT

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**Declared competing interests of authors:** Matthew L Costa is a member of the National Institute for Health Research (NIHR) Health Technology Assessment (HTA) General Board and reports grants from the trauma industry (Stryker Corporation, X-Bolt Orthopaedics, Onbone, Smith & Nephew plc, Heraeus Holding GmbH and DePuy Synthes Companies); grants from the AO Foundation; and grants from NIHR and the European Union outside the submitted work. Sarah E Lamb was a member of the NIHR HTA Additional Capacity Funding Board, NIHR HTA End of Life Care and Add-on Studies, NIHR HTA Prioritisation Group and NIHR HTA Trauma Board during this study.

Published May 2018

DOI: 10.3310/hta22250

This report should be referenced as follows:

Costa ML, Achten J, Hennings S, Boota N, Griffin J, Petrou S, *et al.* Intramedullary nail fixation versus locking plate fixation for adults with a fracture of the distal tibia: the UK FixDT RCT. *Health Technol Assess* 2018;**22**(25).

*Health Technology Assessment* is indexed and abstracted in *Index Medicus/MEDLINE*, *Excerpta Medica/EMBASE*, *Science Citation Index Expanded (SciSearch®)* and *Current Contents®/Clinical Medicine*.



ISSN 1366-5278 (Print)

ISSN 2046-4924 (Online)

Impact factor: 4.236

*Health Technology Assessment* is indexed in MEDLINE, CINAHL, EMBASE, The Cochrane Library and the Clarivate Analytics Science Citation Index.

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## This report

The research reported in this issue of the journal was funded by the HTA programme as project number 11/136/04. The contractual start date was in March 2013. The draft report began editorial review in March 2017 and was accepted for publication in November 2017. The authors have been wholly responsible for all data collection, analysis and interpretation, and for writing up their work. The HTA editors and publisher have tried to ensure the accuracy of the authors' report and would like to thank the reviewers for their constructive comments on the draft document. However, they do not accept liability for damages or losses arising from material published in this report.

This report presents independent research funded by the National Institute for Health Research (NIHR). The views and opinions expressed by authors in this publication are those of the authors and do not necessarily reflect those of the NHS, the NIHR, NETSCC, the HTA programme or the Department of Health and Social Care. If there are verbatim quotations included in this publication the views and opinions expressed by the interviewees are those of the interviewees and do not necessarily reflect those of the authors, those of the NHS, the NIHR, NETSCC, the HTA programme or the Department of Health and Social Care.

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# Abstract

## Intramedullary nail fixation versus locking plate fixation for adults with a fracture of the distal tibia: the UK FixDT RCT

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**Background:** The best treatment for fractures of the distal tibia remains controversial. Most of these fractures require surgical fixation, but the outcomes are unpredictable and complications are common.

**Objectives:** To assess disability, quality of life, complications and resource use in patients treated with intramedullary (IM) nail fixation versus locking plate fixation in the 12 months following a fracture of the distal tibia.

**Design:** This was a multicentre randomised trial.

**Setting:** The trial was conducted in 28 UK acute trauma centres from April 2013 to final follow-up in February 2017.

**Participants:** In total, 321 adult patients were recruited. Participants were excluded if they had open fractures, fractures involving the ankle joint, contraindication to nailing or inability to complete questionnaires.

**Interventions:** IM nail fixation ( $n = 161$ ), in which a metal rod is inserted into the hollow centre of the tibia, versus locking plate fixation ( $n = 160$ ), in which a plate is attached to the surface of the tibia with fixed-angle screws.

**Main outcome measures:** The primary outcome measure was the Disability Rating Index (DRI) score, which ranges from 0 points (no disability) to 100 points (complete disability), at 6 months with a minimum clinically important difference of 8 points. The DRI score was also collected at 3 and 12 months. The secondary outcomes were the Olerud–Molander Ankle Score (OMAS), quality of life as measured using EuroQol-5 Dimensions (EQ-5D), complications such as infection, and further surgery. Resource use was collected to inform the health economic evaluation.

**Results:** Participants had a mean age of 45 years (standard deviation 16.2 years), were predominantly male (61%, 197/321) and had experienced traumatic injury after a fall (69%, 223/321). There was no statistically significant difference in DRI score at 6 months [IM nail fixation group, mean 29.8 points, 95% confidence interval (CI) 26.1 to 33.7 points; locking plate group, mean 33.8 points, 95% CI 29.7 to 37.9 points;

adjusted difference, 4.0 points, 95% CI –1.0 to 9.0 points;  $p = 0.11$ ). There was a statistically significant difference in DRI score at 3 months in favour of IM nail fixation (IM nail fixation group, mean 44.2 points, 95% CI 40.8 to 47.6 points; locking plate group, mean 52.6 points, 95% CI 49.3 to 55.9 points; adjusted difference 8.8 points, 95% CI 4.3 to 13.2 points;  $p < 0.001$ ), but not at 12 months (IM nail fixation group, mean 23.1 points, 95% CI 18.9 to 27.2 points; locking plate group, 24.0 points, 95% CI 19.7 to 28.3 points; adjusted difference 1.9 points, 95% CI –3.2 to 6.9 points;  $p = 0.47$ ). Secondary outcomes showed the same pattern, including a statistically significant difference in mean OMAS and EQ-5D scores at 3 and 6 months in favour of IM nail fixation. There were no statistically significant differences in complications, including the number of postoperative infections (13% in the locking plate group and 9% in the IM nail fixation group). Further surgery was more common in the locking plate group (12% in locking plate group and 8% in IM nail fixation group at 12 months). The economic evaluation showed that IM nail fixation provided a slightly higher quality of life in the 12 months after injury and at lower cost and, therefore, it was cost-effective compared with locking plate fixation. The probability of cost-effectiveness for IM nail fixation exceeded 90%, regardless of the value of the cost-effectiveness threshold.

**Limitations:** As wound dressings after surgery are clearly visible, it was not possible to blind the patients to their treatment allocation. This evidence does not apply to intra-articular (pilon) fractures of the distal tibia.

**Conclusions:** Among adults with an acute fracture of the distal tibia who were randomised to IM nail fixation or locking plate fixation, there were similar disability ratings at 6 months. However, recovery across all outcomes was faster in the IM nail fixation group and costs were lower.

**Future work:** The potential benefit of IM nail fixation in several other fractures requires investigation. Research is also required into the role of adjuvant treatment and different rehabilitation strategies to accelerate recovery following a fracture of the tibia and other long-bone fractures in the lower limb. The patients in this trial will remain in longer-term follow-up.

**Trial registration:** Current Controlled Trials ISRCTN99771224 and UKCRN 13761.

**Funding:** This project was funded by the National Institute for Health Research (NIHR) Health Technology Assessment programme and will be published in full in *Health Technology Assessment*; Vol. 22, No. 25. See the NIHR Journals Library website for further project information.

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# List of abbreviations

AUC	area under the curve	NMB	net monetary benefit
CI	confidence interval	OMAS	Olerud–Molander Ankle Score
CONSORT	Consolidated Standards of Reporting Trials	pcpm	per centre per month
DMC	Data Monitoring Committee	PSS	Personal Social Services
DRI	Disability Rating Index	PSSRU	Personal Social Services Research Unit
EQ-5D	EuroQol-5 Dimensions	QALY	quality-adjusted life-year
FixDT	Fixation of Distal Tibia Fractures	RCT	randomised controlled trial
HRG	Healthcare Resource Group	REC	Research Ethics Committee
ICER	incremental cost-effectiveness ratio	SAE	serious adverse event
IM	intramedullary	SD	standard deviation
MCID	minimum clinically important difference	SE	standard error
NICE	National Institute for Health and Care Excellence	TMG	Trial Management Group
NIHR	National Institute for Health Research	TSC	Trial Steering Committee
		VAS	visual analogue scale
		WCTU	Warwick Clinical Trials Unit





## Plain English summary

**T**he shin bone (tibia) is the most commonly broken major bone in the leg. Injuries in the lower part of the shin bone (distal tibia) nearly always require hospital admission and usually require surgery, resulting in prolonged periods (months) away from work and social activities.

Existing research suggested that modern 'locking' plate fixation and intramedullary (IM) nail fixation are the most common types of operation performed for this fracture. However, it was not clear which provides the better outcome for patients.

In this study, we asked 321 adult patients, who were having surgery for a fracture of the distal tibia, to have either IM nail fixation or locking plate fixation. The decision about which type of fixation to use was made using randomisation, which is a process similar to tossing a coin. The patients reported their own outcome at 3, 6 and 12 months after their fracture using the Disability Rating Index (DRI). We also collected information on quality of life, complications and costs from patient-completed questionnaires and other NHS sources.

The DRI score of both groups of patients improved in the months after their surgery, although patients were not back to normal, even 1 year later. The patients who had IM nail fixation of their tibial fracture recovered more quickly than the patients with locking plate fixation, but there were no differences between the treatments after 6 months. There was no difference in the number of complications suffered by each group, but further surgery was more common in the locking plate group. The economic analysis showed that IM nail fixation was cheaper than locking plate fixation.

This important study shows that IM nail fixation provides slightly better quality of life for patients in the 12 months following a fracture of the distal tibia and costs less than locking plate fixation. If surgery to fix the distal tibia is required, IM nail fixation is the preferred treatment.



# Scientific summary

## Background

The tibia is the most commonly broken major bone in the leg. Injuries require hospital admission, usually require surgery and result in prolonged periods away from work and social activities. The treatment of displaced, extra-articular fractures of the distal tibia (lower third) remains controversial. These injuries are particularly difficult to manage because of the limited soft-tissue cover, poor vascularity of the area and proximity of the fracture to the ankle joint. Infections, non-union and malunion of the fracture are well-recognised complications.

Surgical treatment options include intramedullary (IM) nail fixation, 'locking' plate and screw fixation and external fixation. External fixators may be beneficial in selected cases but, in the UK, the IM nail and locking plate options are most commonly used for extra-articular fractures. Mid-shaft fractures of the tibia are generally treated successfully with locked IM nails. However, in the more distal metaphyseal region of the tibia, the fixation may be less stable. The bolts or screws that are inserted into the nail may break, malalignment of the bone may occur and there is a risk that the nail will penetrate into the ankle joint. The development of locking plates, in which a thread on the head of the screws locks into the holes in the plate to create a 'fixed-angle' construct, has led to a recent increase in the use of locking plate fixation. However, locking plates are not without risks and they require greater soft-tissue dissection, which carries a risk of infection, wound breakdown and damage to the surrounding structures.

The UK Fixation of Distal Tibia Fractures trial was designed to compare IM nail fixation with locking plate fixation for adult patients with a displaced, extra-articular fracture of the distal tibia.

## Methods

All of the centres involved in the trial were UK NHS acute trauma centres. All of the centres provided definitive surgical fixation of this type of fracture in their hospital as part of routine clinical practice.

### Inclusion criteria

The inclusion criteria for this trial were that the patient:

- was aged  $\geq 16$  years
- had any fracture that involves the distal tibial metaphysis, which was defined as a fracture extending within 2 Müller squares of the ankle joint
- would, in the opinion of the attending surgeon, benefit from internal fixation of the fracture.

### Exclusion criteria

The exclusion criteria for this trial were that:

- there is, in the opinion of the attending surgeon, a contraindication to IM nailing: the presence of total knee replacement OR that the medullary canal is too narrow OR there is a pre-injury deformity of the medullary canal OR it is not possible to achieve fixation of four cortices with screws distal to the fracture
- the fracture is open
- there is a contraindication to anaesthesia
- there is evidence that the patient would be unable to adhere to trial procedures or complete questionnaires
- the fracture extends into the ankle joint (i.e. intra-articular fracture).

## Interventions

Each patient had the allocated surgery according to the preferred technique of the operating surgeon. However, as there were a large number of centres and a large number of surgeons at each of these centres, no individual surgeon was expected to perform more than a handful of the procedures. Therefore, the effect of the surgeon and their learning curve would be minimal in this particular trial.

### *Intramedullary nail fixation*

The IM nail is inserted at the proximal end of the tibia and passed down the hollow centre (medullary canal) of the bone in order to hold the fracture in the correct (anatomical) position. The reduction technique, the surgical approach, the type and size of the nail, the configuration of the proximal and distal interlocking screws and any supplementary device or technique was left at the discretion of the surgeon as per standard clinical practice.

### *Locking plate fixation*

A locking plate is inserted at the distal end of the tibia and passed under the skin onto the surface of the bone. Again, the details of the reduction technique, the surgical approach, the type and position of the plate, the number and configuration of fixed-angle screws and any supplementary device or technique were left to the discretion of the surgeon. The only stipulation was that fixed-angle screws must be used in at least some of the distal screw holes; this is standard practice with all distal tibia locking plates.

## Outcomes

The primary outcome was the Disability Rating Index (DRI), a validated scale that assessed patients' rating of their own disability. The DRI contains 12 items and provides an overall score from 0 to 100 points, in which 0 points represents no disability and 100 points represents complete disability. The secondary outcome measures in this trial were the Olerud–Molander Ankle Score (OMAS), the EuroQol-5 Dimensions (EQ-5D) health-related quality-of-life questionnaire score and complications at 3, 6 and 12 months postoperatively. Resource use was collected to inform the health economic evaluation.

## Randomisation

A minimisation algorithm was used to allocate participants. Following informed consent, the method of fixation was allocated using a secure, centralised, web-based randomisation service, delivered by an accredited Clinical Trials Unit (Warwick Clinical Trials Unit). The allocated treatment was reported to the research associate, who informed the treating surgeon. Stratification by centre helped to ensure that any clustering effect related to the centre itself was equally distributed in the trial arms. Stratification on the basis of age was used to discriminate between younger patients with normal bone quality sustaining high-energy fractures and older patients with low-energy (fragility) fractures related to osteoporosis.

## Blinding

The type of fixation determines the site of clearly visible surgical scars. Specifically, the insertion of an IM tibial nail requires a surgical incision at the knee. Therefore, the patients could not be blind to their allocated treatment. In addition, the treating surgeons were also not blind to the treatment but did not take part in the postoperative assessment of the patients. The functional outcome data were collected via patient questionnaires and entered into the trial central database by a research assistant or data clerk in the trial central office. The radiographs were reviewed by an independent assessor who was not involved in any other data collection or analysis.

## Statistical analysis

The main analysis investigated differences in the primary outcome measure, the DRI score, at 3, 6 and 12 months on an intention-to-treat basis. The primary outcome end point was at 6 months.

## Health economic evaluation

An economic evaluation was conducted from the recommended NHS and Personal Social Services (PSS) perspective. An incremental cost-effectiveness analysis was performed, which is expressed in terms of incremental cost per quality-adjusted life-year (QALY) gained.

## Results

### Patients

A total of 321 patients took part in the trial between April 2013 and May 2016 at 28 UK acute trauma centres. Over 80% of participants provided follow-up data at each time point and data were 88% complete for the primary outcome measure at 6 months.

### Primary outcome measure

There was no statistically significant difference in DRI score at 6 months [IM nail fixation group, mean 29.8 points, 95% confidence interval (CI) 26.1 to 33.7 points; locking plate group, mean 33.8 points, 95% CI 29.7 to 37.9 points; adjusted difference 4.0 points, 95% CI –1.0 to 9.0 points;  $p = 0.11$ ]. There was a statistically significant difference in DRI score at 3 months in favour of IM nail fixation (IM nail fixation group, mean 44.2 points, 95% CI 40.8 to 47.6 points; locking plate group, mean 52.6 points, 95% CI 49.3 to 55.9 points; adjusted difference 8.8 points, 95% CI 4.3 to 13.2 points;  $p < 0.001$ ), but not at 12 months (IM nail fixation group, 23.1 points, 95% CI 18.9 to 27.2 points; locking plate group, 24.0 points, 95% CI 19.7 to 28.3 points; adjusted difference 1.9 points, 95% CI –3.2 to 6.9 points;  $p = 0.47$ ).

Conclusions from the secondary per-protocol (per-treatment) analysis of the DRI did not differ from those of the primary intention-to-treat analysis.

### Secondary outcome measures

The secondary patient-reported outcome measures showed the same effect and the same pattern over time as the DRI score. Each measure showed a statistically significant difference in favour of IM nail fixation at 3 months, which gradually reduced at 6 months and then 12 months after the injury. There was a statistically significant difference in the OMAS at 6 months (mean difference –6.0 points, 95% CI –11.2 to –0.7 points;  $p = 0.026$ ) in favour of the IM nail fixation group. Similarly, there was a statistically significant difference in the EQ-5D health-related quality-of-life index at 6 months (mean difference –0.063 points, 95% CI –0.12 to –0.01 points;  $p = 0.033$ ) in favour of the IM nail fixation group.

In terms of ‘complications local to the distal tibia fracture’, 14 (9%) patients in the IM nail fixation group and 21 (13%) in the locking plate group were treated with antibiotics for a wound infection in the first 6 weeks after surgery. Revision of the internal fixation was uncommon in both groups: two patients (1%) in the IM nail fixation group and five patients (3%) in the locking plate group ( $p = 0.283$ ). However, removal of metalwork was required in 11 (7%) patients in the IM nail fixation group and 14 (9%) in the locking plate group. Other local complications were rare in both groups. There was no evidence of a difference in ‘systemic complications related to the injury or its treatment’ or in the number of ‘unrelated adverse events’.

The health economic evaluation showed that patients who were allocated to the IM nail fixation group experienced a small increase in QALYs in the base-case analysis (0.01 QALYs, 95% CI –0.03 to 0.06).

QALYs) over the 12-month follow-up and mean NHS and PSS costs were significantly lower in the IM nail fixation group (–£970, 95% CI –£1690 to –£260;  $p = 0.05$ ). The difference in cost was driven by the lower number of outpatient visits and the lower rate of further surgery in the IM nail fixation group. Therefore, the incremental cost-effectiveness ratio indicates IM nail fixation is the ‘dominant’ procedure, as average costs for this intervention were lower and average benefits were greater than in the locking plate group. The probability of cost-effectiveness for IM nail fixation exceeded 90% regardless of the value of the cost-effectiveness threshold.

## Discussion

This trial provides strong evidence that IM nail fixation and locking plate fixation provide similar outcomes at 6 and 12 months following an extra-articular fracture of the distal tibia. However, patients receiving IM nail fixation reported less disability, better ankle function and improved health-related quality of life at 3 months. The economic evaluation showed that IM nail fixation provided slightly higher quality of life in the 12 months after injury and at a lower cost and, therefore, it was cost-effective compared with locking plate fixation. The probability of cost-effectiveness for IM nail fixation exceeded 90%, regardless of the value of the cost-effectiveness threshold.

In conclusion, among adults with an acute fracture of the distal tibia randomised to IM nail fixation or locking plate fixation, there were similar disability ratings at 6 months. However, recovery across all outcomes was faster in the nail fixation group and costs were lower.

## Trial registration

This trial is registered as ISRCTN99771224 and UKCRN 13761.

## Funding

Funding for this study was provided by the Health Technology Assessment programme of the National Institute for Health Research.

# Chapter 1 Introduction

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## Background

The tibia is the most commonly broken major bone in the leg. In younger patients, fractures of the tibia typically occur during sporting activity or road traffic accidents, but in older patients they can happen during simple falls. Injuries usually require hospital admission and surgery, resulting in prolonged periods (months) away from work and social activities.

The treatment of displaced, extra-articular fractures of the distal tibia (lower third) remains controversial. These injuries are difficult to manage because of the limited soft-tissue cover, poor vascularity of the area and proximity of the fracture to the ankle joint. Infections, non-union and malunion are well-recognised complications.

Non-operative treatment is one option and avoids the risks associated with surgery. Sarmiento *et al.*,<sup>2</sup> in 2003, reviewed 450 closed fractures of the distal tibia following functional bracing: 13.1% developed a malunion (defined as  $> 7^\circ$  of angulation or 12 mm of shortening). Another study,<sup>3</sup> using a more robust definition of 10 mm of shortening and  $5^\circ$  of angulation, found a higher rate of malunion (26.4%). In this study, Böstman *et al.*<sup>3</sup> treated patients using a long leg cast, and failure to maintain reduction led to surgical treatment with an intramedullary (IM) nail. Thirty-two out of 103 cases required nailing at a mean of 9 days following injury. Two patients in this group, and three in the non-operative group, went on to have a non-union.<sup>3</sup> Union rates were faster with IM nailing than with conservative treatment and median values were 12.5 and 14.5 weeks, respectively ( $p < 0.001$ ).<sup>3</sup> Digby *et al.*<sup>4</sup> also found that non-operative treatment for tibial fractures in the metaphyseal region leads to unacceptable deformity and ankle stiffness. Therefore, operative treatment is now the treatment of choice for the majority of patients with a fracture of the distal tibia.

Surgical treatment options are expanding and include locked IM nails, plate and screw fixation, as well as external fixator systems, including the Ilizarov frame and hybrid fixators. External fixators may be beneficial in selected cases, particularly those involving severe soft-tissue injuries, but, in the UK, the IM nail and 'locking' plate options are most commonly used for extra-articular fractures. Mid-shaft fractures of the tibia are generally successfully treated with locked IM nails. However, in the more distal metaphyseal region of the tibia, the fixation may be less stable.<sup>5</sup> The bolts or screws that are inserted into the nail may break,<sup>6</sup> malalignment may occur<sup>7</sup> and there is a risk that the nail will penetrate into the ankle joint.<sup>8,9</sup>

The development of locking plates, in which a thread on the head of the screws locks into the holes in the plate to create a 'fixed-angle' construct, has led to a recent increase in the use of locking plate fixation. However, locking plates are not without risks and they require greater soft tissue dissection, which carries a risk of infection, wound breakdown and damage to the surrounding structures.<sup>10</sup>

In a retrospective study<sup>11</sup> of 111 patients with extra-articular fractures of the distal tibia (4 to 11 cm proximal to the plafond), a comparison was made between IM nail and locking plate fixation. Seventy-six fractures were treated with an IM nail and 37 were treated with a medial plate.<sup>11</sup> Nine patients (12%) had a delayed union or non-union in the IM nail fixation group and one patient (2.7%) had a non-union after locking plate fixation ( $p = 0.10$ ). Angular malalignment of  $\geq 5^\circ$  occurred in 22 patients with IM nails (29%) and two with locking plates (5.4%;  $p = 0.003$ ). The authors concluded that fractures of the distal tibia may

be treated successfully with locking plates or IM nails, but that delayed union, malunion and secondary procedures were more frequent after IM nailing. Janssen *et al.*<sup>12</sup> found similar results: delayed union was higher in the IM nail fixation group (25%) than in the locking plate fixation group (16.7%) and rotational malalignment was also higher in the IM nail fixation group (16.7%) than in the locking plate group (0%). However, this was not a randomised controlled trial (RCT) and the results do need to be interpreted with some caution. Randomised prospective assessment are necessary to further clarify these issues and provide information about costs associated with these fractures.<sup>11</sup>

Only two prospective RCTs had been published when this trial began.<sup>13,14</sup> In the first,<sup>13</sup> 64 patients were randomised to either IM nail or plate fixation for the treatment of a closed extra-articular fracture. The time to union was found to be similar for the two groups and there was no difference in terms of Olerud–Molander Ankle Score (OMAS) at 2 years. However, a significant difference was observed in the number of wound complications: one in the IM nail fixation group versus seven in the plate group. This paper concluded that IM nailing is the treatment of choice for this injury. However, the method of randomisation was poorly described and so bias in group assignment may have occurred. The study used traditional (non-locking plates) rather than the newer fixed-angle devices. Furthermore, the study included patients with Tschene classification C2 soft-tissue injuries, which may have influenced the results. The second trial<sup>14</sup> randomised 111 patients to either IM nail fixation or ‘locking’ plate fixation. This trial also showed no difference in the time to union but, 1 year after the injury, there was some evidence of improved American Orthopaedic Foot and Ankle Society functional scores in the IM nail fixation group. However, this was a single-centre investigation and > 20% of the patients in the trial were lost to follow-up.

In a meta-analysis, Zelle *et al.*<sup>15</sup> reviewed 1125 extra-articular fractures of the distal tibia. They reported that non-union, malunion and infection rates were similar for patients undergoing IM nailing and locking plate fixation. It must be noted that none of the studies in the review was a RCT.

## Pre-pilot trial

We performed a pilot study involving 24 patients with extra-articular fractures of the distal tibia that were closed or Gustilo and Anderson grade 1.<sup>16</sup> The study was a RCT with clinical assessment, functional outcomes and radiological images performed at baseline and at 6 weeks, and 3, 6 and 12 months post surgery. The study was performed to obtain an estimate of the potential effect size to inform the sample size calculation for a larger definitive trial and to assess recruitment rates and study feasibility.

The study had 12 patients in each group. There was no statistically significant difference between the groups 6 months after the injury but there was a 10-point difference [standard deviation (SD) 20 points] in the Disability Rating Index (DRI)<sup>17</sup> in favour of the IM nail group. More secondary procedures were required in the ‘locking’ plate fixation group. There was also a difference in the cost of the implants.

This pilot study, combined with the literature review, provided compelling evidence to support the development of a definitive RCT in multiple centres.

## Null hypothesis

There was no difference in the DRI score between adults with a displaced fracture of the distal tibia treated with locking plate fixation versus IM nail fixation.



## Objectives

The primary objective was to estimate the difference in the DRI scores between the trial treatment groups at 6 months after injury.

The secondary objectives were to:

1. estimate the difference in early functional status at 3 months and later functional status at 12 months
2. estimate the difference in health-related quality of life between the trial treatment groups in the first year after injury
3. determine the complication rate of IM nail fixation versus locking plate fixation in the first year after injury, including radiological complications – non-union and malunion
4. investigate, using appropriate statistical and economic analytical methods, the resource use, costs and comparative cost-effectiveness of IM nail fixation versus locking plate fixation.



## Chapter 2 Methods

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### Trial design

We conducted this project as a two-phased study. Phase 1 (internal pilot) determined the expected rate of recruitment in a large-scale multicentre RCT in this complicated area of trauma research. Phase 2 (main phase) was a RCT in 28 acute trauma centres across the UK.

#### Internal pilot summary

The pilot took place in six centres over a period of 6 months. Screening logs were kept at each site to determine the number of patients assessed for eligibility and reasons for any exclusion. In addition, the number of eligible and recruited patients, and the number of patients who declined consent/withdrew, were recorded.

#### Main randomised controlled trial summary

All adult patients presenting at the trial centres with an acute fracture of the distal tibia were potentially eligible to take part in the trial. The broad eligibility criteria ensured that the results of the study could readily be generalised to the wider patient population. A computer-generated randomisation sequence, stratified by centre and age, was produced and administered independently by a secure web-based service, Warwick Clinical Trials Unit (WCTU). Randomisation was on a 1 : 1 basis to either IM nail fixation or locking plate fixation. Both of these operations are widely used within the NHS and all of the surgeons in the chosen centres were familiar with both techniques.

Baseline demographic data, radiographs and pre-injury functional data using the DRI and the OMAS questionnaire were collected. The patients were also asked to fill out the EuroQol-5 Dimensions (EQ-5D) health-related quality-of-life questionnaire twice at baseline; once to indicate their typical pre-injury health status and a second time to indicate their current post-injury status.

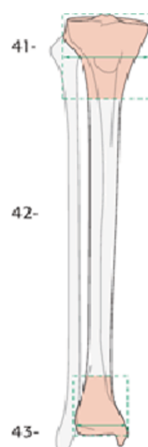
In conjunction with the clinical team, a research associate performed a clinical assessment and recorded any early complications at 6 weeks, and a radiograph was taken. A further clinical assessment and radiograph was also taken at 12 months postoperatively to detect late complications. Functional outcome, health-related quality of life and resource use questionnaires were collected at 3, 6 and 12 months postoperatively.

### Participants

#### Inclusion criteria

The inclusion criteria for this trial were that the patient:

- was aged  $\geq 16$  years
- had any fracture that involves the distal tibial metaphysis, which was defined as a fracture extending within 2 Müller squares of the ankle joint<sup>18</sup> (a Müller square is shown in *Figure 1*)
- had a closed fracture
- would, in the opinion of the attending surgeon, benefit from internal fixation of the fracture.



**FIGURE 1** Müller square.<sup>18</sup> Reproduced with permission. Copyright by AO Foundation, Biel, Switzerland.

### Exclusion criteria

The exclusion criteria for this trial were that:

- there is, in the opinion of the attending surgeon, a contraindication to IM nailing – the presence of total knee replacement OR the medullary canal is too narrow OR there is a pre-injury deformity of the medullary canal OR it is not possible to achieve fixation of four cortices with screws distal to the fracture
- the fracture is open
- there is a contraindication to anaesthesia
- there is evidence that the patient would be unable to adhere to trial procedures or complete questionnaires
- the fracture extends into the ankle joint (i.e. intra-articular fracture).

### Screening and recruitment

All of the centres involved in the trial were UK NHS acute trauma centres. All of the centres provided definitive surgical fixation of this type of fracture in their hospital as part of routine clinical practice.

The internal pilot informed, and tested, the recruitment rate for the main trial.<sup>16</sup> Recruitment took place in six trial centres over a period of 6 months. The expected rate of recruitment was based on the pre-pilot study performed at the lead centre. The average recruitment rate for the pre-pilot study, during which 24 patients were recruited, was 1.3 patients per month. The other centres involved in the trial were all regional trauma units with similar catchment areas to the lead centre. However, a conservative recruitment rate of 0.75 patient per centre per month (pcpm) was estimated for the full trial based on our previous experience of multicentre trials, in which we found that recruitment outside the lead centre was at a lower rate. Our plan was to progress to the main phase if this recruitment rate could be achieved at the end of the internal pilot. Our intention was to recruit 320 participants, from a minimum of 18 centres in total (including the lead centre), over a 30-month period.

Screening logs were collected throughout the trial to assess the main reasons for patient exclusion as well as the number of patients unwilling to take part. Patients were screened by the research associates in the emergency department and trauma unit at the trial centres. The trial was carried out in accordance with the *Mental Capacity Act 2005*<sup>19</sup> and the procedures for undertaking trials in 'emergency settings' were followed as described in detail in *Consent*. The consent procedures were reviewed at the end of the pilot period.

## Consent

Patients with a fracture of the distal tibia are admitted to hospital, through the emergency department, to a trauma ward. Patients are in pain and often treated with opiate-based painkillers during the initial treatment of their injury, so mental capacity may be impaired. However, although surgical treatment for a closed fracture of the tibia is urgent, it is not a time-critical intervention. In fact, traditionally, surgeons have deliberately waited a day or more before operating in order to let soft-tissue swelling settle. Once the leg is immobilised in a plaster cast, the pain is much better controlled, so mental capacity usually returns quite quickly following admission to the trauma ward.

During the internal pilot phase of the trial, we monitored the number of patients with impaired capacity and reviewed these data in conjunction with the Trial Steering Committee (TSC). In the context of this injury, by the time the patient was due to have surgery, none of the potentially eligible patients was judged to have reduced capacity according to the clinical team responsible for patient care. Therefore, informed consent from the patient was obtained by the local research associate before surgery. Patients were provided with verbal and written information about the study.

For those patients who withdraw from the trial after written consent had been obtained, data obtained up to the point of withdrawal have been included in the final analysis.

## Randomisation

Following informed consent, the method of fixation was allocated using a secure, centralised, web-based randomisation service, delivered by an accredited clinical trials unit (WCTU). The randomisation service was available 24 hours each day to facilitate the inclusion of all eligible patients. The allocated treatment was reported to the research associate, who informed the treating surgeon. The surgeon then arranged the allocated surgery on the next available trauma operating list, as per standard practice at that institution; this ensured the integrity of the randomisation process. Allocation was implemented using a minimisation algorithm (sometimes referred to as adaptive randomisation) that attempts, at recruitment of each new patient, to balance the marginal totals for each level of the stratification factors. Experience indicates that, for studies in which some centres recruit only a relative small number of patients, this method tends to perform better than conventional stratification methods and may provide potential gains in efficiency.<sup>20</sup>

Stratification by centre helped to ensure that any clustering effect related to the centre itself was equally distributed in the trial arms. The catchment area (the local population served by the hospital) was similar for all of the hospitals, each hospital being a trauma unit dealing with these fractures on a daily basis. Although it could have been possible that the surgeons at one centre may have been more expert in one or the other treatment than those at another centre, all of the recruiting hospitals were chosen on the basis that both techniques were routinely available at the centre, that is, theatre staff and surgeons were equally familiar with both forms of fixation. This did not eliminate the surgeon-specific effect of an individual at any one centre.<sup>21</sup> However, fixation of a fracture of the tibia is not an uncommon procedure and many surgeons will be involved in the management of this group of patients: between 10 and 30 surgeons at each centre, including consultants and trainees. Therefore, we expected that each individual surgeon would operate on only two or three patients enrolled in the trial – and indeed this was the case – greatly reducing the risk of a surgeon-specific effect on the outcome at any one centre.

Stratification on the basis of age was used to discriminate between younger patients with normal bone quality sustaining high-energy fractures and older patients with low-energy (fragility) fractures related to osteoporosis. The stratification would have helped to identify any effect related to the quality of the patients' bone. The use of dual-energy X-ray absorptiometry is widely regarded as the gold standard for the assessment of bone density. However, such an investigation may be expensive and would not have been routinely available at all centres.

Therefore, we used age as a surrogate for bone density. In a large study in Norway, involving 7600 participants, it was demonstrated that bone mineral density remains stable up until the age of 50 years. After the age of 50 years, bone mineral density decreased steadily in males, while in females there was an initial decline between the ages of 50 and 65 years, with a further decline in both age groups thereafter.<sup>22</sup> Over 1000 patients with a fracture were recently assessed in a study by Court-Brown *et al.*<sup>23</sup> This study confirmed that there is a clear bimodal distribution according to the age of the patient. The crossover of the two peaks of incidence was around 50 years of age. These studies provide strong evidence that patients > 50 years of age become increasingly vulnerable to fragility fractures. Therefore, we chose an age of 50 years as the stratification cut-off point for this trial.

### Sequence generation

A minimisation algorithm was used to allocate participants, so no random sequences were generated before the study. This is standard practice for trials conducted at WCTU.

### Blinding

The type of fixation determines the site of clearly visible surgical scars. Specifically, the insertion of an IM tibial nail requires a surgical incision at the knee. Therefore, the patients could not be blind to their allocated treatment. In addition, the treating surgeons were also not blind to the treatment, but did not take part in the postoperative assessment of the patients. The functional outcome data were collected and entered into the trial central database via questionnaire administered by a research assistant/data clerk in the trial central office. The radiographs collected were reviewed by an independent assessor who was not involved in any other data collection or analysis.

### Post-randomisation withdrawals

Participants could decline to continue to take part in the trial at any time and without prejudice. The participants were made aware that a decision to decline consent or withdraw would not affect the standard of care that they would receive.

Participants had two options for withdrawal:

1. Participants could withdraw from completing any further questionnaires but allow the trial team to continue to view and record any relevant hospital data that were recorded as part of normal standard of care, including radiographs and further surgery information.
2. Participants could withdraw wholly from the study and only data obtained up to the point of withdrawal would be included in the final analysis of the study; thereafter, no further data were collected for that participant.

Once withdrawn, the patient continued under the care of their surgical team, as per normal clinical practice.

## Interventions

All the hospitals involved in this trial used both methods of fixation as part of routine clinical care. Consultant orthopaedic trauma surgeons supervised the surgery, all of whom were familiar with both techniques. Operative fixation of fractures of the distal tibia usually takes place under a general anaesthetic, but this decision was made by the attending anaesthetist as per their usual clinical practice.

Each patient had the allocated surgery according to the preferred technique of the operating surgeon. Although the basic principles of IM nailing and locking plate fixation are inherent in the technique (see *Intramedullary nail fixation* and *Locking plate fixation*), there are several different implant systems and several different options for the positioning of the screws. Similarly, each surgeon would have made minor modifications to their surgical technique according to preference and the specific pattern of each fracture.

In this trial, the details of the surgery were left entirely to the discretion of the surgeon, to ensure that the results of the trial could be generalised to as wide a group of patients as possible. A copy of the 'operating record' formed part of the trial data set.

Although all of the surgeons in the trial were familiar with both techniques, it is possible that an individual surgeon may have had more experience with one technique than the other. In general, the proficiency of an individual surgeon to perform the procedure may change over time, as the surgeon gains experience and expertise. The term 'learning curve' is often used to describe this process. It was important to be aware of this effect within the trial. Therefore, the operating time was recorded from the operative record for each surgery, as a proxy to measure the task 'efficiency' of the surgeons (quality assurance of the clinical process), and the number of complications (e.g. infections) at 6 weeks after surgery was also recorded as a patient-based outcome related to surgeon 'expertise'. However, as there were a large number of centres and a large number of surgeons at each of these centres, no individual surgeon was expected to perform more than a handful of the procedures. Therefore, the effect of the surgeon and their learning curve would be minimal in this particular trial.

### **Intramedullary nail fixation**

The IM nail is inserted at the proximal end of the tibia and passed down the hollow centre (medullary canal) of the bone in order to hold the fracture in the correct (anatomical) position. The reduction technique, the surgical approach, the type and size of the nail, the configuration of the proximal and distal interlocking screws and any supplementary device or technique were left at the discretion of the surgeon, as per standard clinical practice.

### **Locking plate fixation**

A locking plate is inserted at the distal end of the tibia and passed under the skin onto the surface of the bone. Again, the details of the reduction technique, the surgical approach, the type and position of the plate, the number and configuration of fixed-angle screws and any supplementary device or technique were left to the discretion of the surgeon. The only stipulation was that fixed-angle screws must be used in at least some of the distal screw holes – this is standard practice with all distal tibia locking plates.

## **Rehabilitation**

All patients randomised into the two groups received the same standardised, written physiotherapy advice detailing the exercises they needed to perform for rehabilitation following their injury. All of the patients in both groups were advised to move their toes, ankle and knee joints fully within the limits of their comfort. Weight-bearing status was recommended by the treating surgeon. In this pragmatic trial, any other rehabilitation input beyond the written physiotherapy advice (including a formal referral to physiotherapy) was left to the discretion of the treating clinicians. However, a record of any additional rehabilitation input (type of input and number of additional appointments) together with a record of any other investigations/interventions were requested as part of the 3-month, 6-month and 12-month follow-ups, which formed part of the trial data set.

## **Outcomes**

The primary outcome measure for this study was the DRI.<sup>17</sup> The DRI is a validated questionnaire that is self-reported (i.e. filled out by the patient).<sup>24</sup> It consists of 12 items specifically related to function of the lower limb and provides an overall score from 0 to 100 points, in which 0 points represents no disability and 100 points represents complete disability. These data were collected at baseline, 3, 6 and 12 months postoperatively (Table 1). The DRI has been proven to be a robust and practical clinical and research instrument, with good responsiveness and acceptability for assessment of disability caused by impairment in the lower limb.

**TABLE 1** Follow-up measures

Time point	Data collection
Baseline	DRI, OMAS questionnaire, pre-injury and current EQ-5D and radiographs
6 weeks	DRI, OMAS questionnaire, EQ-5D, record of complications or other interventions and resource use questionnaire
3 months	DRI, OMAS questionnaire, EQ-5D, record of complications or other interventions and resource use questionnaire
6 months	DRI, OMAS questionnaire, EQ-5D, record of complications or other interventions and resource use questionnaire
12 months	DRI, OMAS questionnaire, EQ-5D, radiographs, record of complications or other interventions and resource use questionnaire

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Existing guidance for the calculation of DRI scores is unclear as regards the appropriate way to calculate overall scores in the presence of missing items; the most common approach is to take an average of available item scores. Owing to the low number of missing items, all analyses presented used complete cases only, that is, 12 complete item responses out of 12.

The secondary outcome measures in this trial were as follows:

The OMAS questionnaire is a self-administered patient questionnaire designed to assess ankle pain and function. It is a good outcome tool for assessing symptoms after a fracture around the ankle joint.<sup>25</sup> The score is based on nine different items: (1) pain, (2) stiffness, (3) swelling, (4) stair climbing, (5) running, (6) jumping, (7) squatting, (8) supports and (9) work/activities of daily living.<sup>16</sup> The scoring system correlates well with parameters considered to summarise the results after this type of injury and, therefore, is recommended for use in scientific investigations.

The EQ-5D is a validated, generic health-related quality-of-life measure consisting of five questions regarding five dimensions of health. The answers can be converted into a health utility score.<sup>26</sup> It has good test–retest reliability, is simple for patients to use and gives a single preference-based index value for health status that can be used for broader cost-effectiveness comparative purposes.

All complications were recorded, including malunion, non-union, infection, wound complications, vascular and neurological injury and venous thromboembolism. A record was also kept of any other surgery required in relation to the index fracture, including removal of any surgical implant. The adverse events have been broken down into ‘local complications related to the fracture or its treatment’, ‘systemic complications potentially related to the fracture or its treatment’ and ‘unrelated adverse events’ during the 12 months after the injury.

For the radiographic evaluation of complications, standard anteroposterior and lateral radiographs of the tibia and fibula were taken at baseline, at 6 weeks and at 12 months after the injury, as described in *Outcomes*. The radiographs were viewed using OsiriX software version 7.5 (Pixmeo, Berne, Switzerland). The radiographs were reviewed by an independent trauma surgeon from University Hospitals Coventry & Warwickshire NHS Trust. An assessment was made on the alignment of the tibia in both the coronal (lateral) and sagittal (anteroposterior) views of the tibia, and there was also an assessment of any shortening present.



Threshold values were used to define 'malunion' as follows:<sup>3</sup>

1. coronal angulation of the distal tibia fixation of  $> 5^\circ$
2. sagittal angulation of the distal tibia fixation of  $> 10^\circ$
3. shortening of  $> 10$  mm.

Threshold values have been shown to be more reliable than absolute measurements of deformity in the distal tibia (Thomas Wood, Department of Trauma and Orthopaedics, University Hospitals Coventry and Warwickshire NHS Trust, 2016, unpublished data).

We used techniques common in long-term cohort studies to ensure minimum loss to follow-up, such as collection of multiple contact addresses and telephone numbers, mobile phone numbers and e-mail addresses. Considerable efforts were made, by the trial team, to keep in touch with patients throughout the trial by means of newsletters, and so on.

## Follow-up

Baseline, standardised radiographs were copied from the hospital picture archiving and communication system. Copies of the baseline clinical report forms and images were sent to the trial co-ordinating centre.

As part of routine clinical practice, patients were seen in the outpatient fracture clinic on a regular basis after this injury. For this trial, the sample size was based on the primary outcome measure at 6 months, when patients with an uncomplicated fracture may be expected to return to normal activities; but to ensure that all complications and secondary procedures were captured, we continued follow-up for 1 year.<sup>14</sup>

The research associate performed a clinical assessment and made a record of any early complications at the 6-week routine follow-up appointment. Radiographs were taken at 6 weeks and 12 months (or before 12 months if the surgeon felt that the fracture was united). An uncomplicated fracture of the distal tibia would be expected to be clinically united at 6 months after the injury. However, radiographic union may lag behind the clinical picture. Therefore, the 12-month radiographs were used to assess if there are long-term complications, such as non-union and arthritis of the ankle joint.

The outcome and resource use data were collected using questionnaires at 3, 6 and 12 months postoperatively. Patients were asked to complete their 6-month and 12-month postoperative questionnaire during their routine follow-up appointments if they had one or to return them by post if they did not have an appointment at these time points. Text messages were sent to patients to inform them that a questionnaire was due or was on its way. Text messages were only sent to those patients who had given their prior consent to this by initialling the corresponding box on the consent form.

## Adverse event management

Adverse events are defined as any untoward medical occurrences in a clinical trial participant that do not necessarily have a causal relationship with the treatment.

Serious adverse events (SAEs) are defined as any untoward and unexpected medical occurrence that:

1. results in death
2. is life-threatening
3. requires hospitalisation or prolongation of existing inpatients' hospitalisation
4. results in persistent or significant disability or incapacity
5. is a congenital anomaly or birth defect
6. is any other important medical condition that, although not included in the above, may require medical or surgical intervention to prevent one of the outcomes listed.

All SAEs were entered onto the SAE reporting form and faxed to a dedicated fax machine at WCTU within 24 hours of the investigator becoming aware of them. Once received, causality and expectedness, as determined by the principal investigator at each centre, were confirmed by the chief investigator. SAEs that were deemed to be unexpected and related to the trial were notified to the Research Ethics Committee (REC) and sponsor within 15 days. All such events were reported to the TSC and Data Monitoring Committee (DMC) at their subsequent meetings.

Serious adverse events that may have been expected as part of the surgical interventions, and that did not need to be reported to the main REC, were complications of anaesthesia or surgery (e.g. wound complications, infection, damage to a nerve or blood vessel and thromboembolic events) and secondary operations for or to prevent infection, malunion or non-union or for symptoms related to the metalwork. All participants experiencing SAEs were followed up until the end of the trial, as described in the protocol.

### Risks and benefits

The risks associated with this study were predominantly those associated with the surgery: infection, bleeding and damage to the adjacent structures such as nerves, blood vessels and tendons. Participants in both groups had surgery and were potentially at risk from any/all of these complications. We believed that the overall risk profile was similar for the two interventions, but assessment of the number of complications in each group was a secondary objective of this trial.

## Statistical analysis

### Sample size

The minimum clinically important difference (MCID) is 8 points on the primary outcome (DRI) measurement scale. The DRI is a 12-question, patient-reported, functional outcome measure (physical exercise or sports, running, heavy physical work, heavy lifting, carrying a bag, leaning over a wash-stand, making a bed, moderate physical work, walks, mounting stairs, sitting still more than briefly and dressing or undressing) converted to a 100-point scale in which 0 points represents normal function and 100 points represents complete disability. At an individual patient level, a difference of 8 points represents the ability to climb stairs or run, with 'some difficulty' versus with 'great difficulty'. At a population level, 8 points represents the difference between a 'healthy patient' and a 'patient with a minor disability'. In addition, 8 points corresponds approximately to the clinically worthwhile benefit identified in other studies<sup>24</sup> and is slightly lower than the 10-point difference between treatment group means in our pre-pilot study.

The SD of DRI score in our pilot study was approximately 20 points; the sample size was also estimated for a larger and smaller SDs to obtain an indication of the sensitivity to changes in this parameter. Assuming the distribution of DRI score in the study populations to be approximately normal, which is consistent with assumptions made for other reported trials using DRI as the primary outcome measure, *Table 2* shows the total trial sample size with two-sided significance set at 5% for various scenarios of power and sample SD.

In *Table 2*, the number of 264 patients, represented in bold, represented the most likely scenario, based on our current knowledge, for 90% power to detect the selected MCID. Allowing a margin of 20% loss during follow-up, this gives a value of 320 patients in total. Therefore, 160 patients randomised to each group would provide 90% power to detect a difference of 8 points in the DRI score at 6 months, with 90% power at the 5% significance level.

Standard statistical summaries (e.g. medians and ranges or means and variances, dependent on the assumed distribution of the outcome) and graphical plots are presented for the primary outcome measure and all secondary outcome measures. Baseline data are summarised to check comparability between treatment arms and to highlight any characteristic differences between those individuals in the study, those who were ineligible and those who were eligible but withheld consent.

**TABLE 2** Sample size at variable power and SD

SD (points)	Power, sample size (n)	
	80%	90%
15	112	150
20	198	<b>264</b>
25	308	412

Bold indicates the actual sample size chosen for this trial.

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### Analysis plan

The detailed statistical analysis plan was created by the trial statisticians and agreed by the DMC at the start of the study, in line with standard operating procedures at WCTU. Any subsequent amendments to this initial statistical analysis plan were clearly stated and justified to the DMC.

### Software

All routine data reporting and final analysis was conducted using Stata® version 14 (StataCorp LP, College Station, TX, USA).<sup>27</sup>

### Data validation

Prior to formal analysis, data were checked for outliers, missing values and validated using the defined score ranges for all outcome measures. Queries were reported to the trial co-ordinator and investigated with the relevant recruiting centre if appropriate. Any subsequent changes to the database were recorded in accordance with the relevant WCTU standard operating practice and the UK Fixation of Distal Tibia Fractures (FixDT) trial data management plan.

### Missing data

Data may not be available as a result of withdrawal of patients, lack of completion of individual data items or loss to follow-up. Reasons for data 'missingness' were ascertained and reported as far as possible. Any patterns of missingness were carefully considered, including, in particular, whether or not data could be treated as missing completely at random. No formal statistical testing was planned to assess missingness, but model assumptions were checked and patterns of missingness explored. If judged appropriate, missing data in the primary outcome (DRI score) was imputed using the *ice* (imputation by chain equation) procedure in Stata version 14. Any imputed data were on an individual-item level, as opposed to an overall score level. Reasons for ineligibility, non-compliance, withdrawal or other protocol violations are stated and any patterns are summarised.

### Interim analyses

There were no planned interim analyses for the UK FixDT study.

### Final statistical analyses

#### Null hypothesis

The null hypothesis for the UK FixDT study was that there is no difference in the DRI score between adult patients with a fracture of the distal tibia treated with IM nail fixation versus locking plate fixation.

## Multilevel model

The main analysis plan was to investigate differences in the primary outcome measure, the DRI score at 6 months after surgery, between the two treatment groups on an intention-to-treat basis. In addition, early functional status was also assessed and reported at 3 months and later functional status at 12 months. The unadjusted differences between treatment groups were assessed using a Student *t*-test, based on a normal approximation for the DRI score at 6 months, and at other occasions. Tests were two-sided and considered to provide evidence for a significant difference if *p*-values are < 0.05 (5% significance level). Estimates of treatment effects are presented with 95% confidence intervals (CIs).

In addition to the unadjusted analysis (*t*-tests), we planned to undertake regression analyses to adjust for any imbalance between test treatments groups in patient age group, baseline pre-injury score and sex. The fixed-effects analysis (linear regression model) was also generalised by adding a random effect for recruiting centre to allow for possible heterogeneity in patient outcomes because of, more generally, the recruiting centre. Outcome data were assumed to be normally distributed during modelling, but subsidiary analyses were also undertaken after appropriate variance-stabilising transformations. The primary focus was the comparison of the two treatment groups of patients and this was reflected in the analysis, which was conducted together with appropriate diagnostic plots to check the underlying model assumptions. Treatment effects are presented for both the unadjusted and adjusted analyses, with 95% CIs as appropriate.

Any interactions between age group or sex and the main treatment effect were not expected to be considerable, and the sample size calculations were not conducted with these potential analyses in mind. Analyses were, however, undertaken for each of these variables. Formal interaction tests were conducted and reported with appropriate 95% CIs.

## Complications

The number of events were compared between treatment groups on an intention-to-treat basis, in line with the main outcome analyses. Complications profiles are presented in three sections: (1) local complications related to the fracture or its treatment, (2) systemic complications potentially related to the fracture or its treatment and (3) unrelated adverse events within the 12-month time frame of the trial. These include information from the 6-week follow-up appointment with the research associate, in conjunction with data from patient-reported questionnaires at 3, 6 and 12 months post randomisation, and any other reports of SAEs. The data were cross-referenced between multiple data sources and clinical judgement was used to classify events in to the presented categories.

## Exploratory analysis

To complement the preplanned analysis, a post hoc exploratory analysis using DRI score at all four time points was conducted. This analysis simplified longitudinal data collected at four time points to a single value, namely the area under the curve (AUC), and facilitated comparisons of the AUCs between treatment groups. It is advisable, in the presence of missing data, to use summary statistics generated by mixed models, as estimates will not be biased under the assumption that data are missing at random or are missing completely at random.<sup>28</sup> Therefore, we fitted a repeated measures mixed model, with the same fixed-effect structure as used in the primary analyses (adjusted for sex and age group), but with a three-level random-effects structure, in which observations (time points) were nested within participants and participants nested within recruitment site. The *mixed* and *margins* commands in Stata version 14 were used to obtain parameter estimates and standard errors (SEs) and the *lincom* command used to calculate AUC for each group.<sup>27</sup> AUCs associated with the two treatment groups were tested using a *t*-test.

## Health economic analysis plan

The following sections summarise the health economics analysis plan, with full details provided in *Chapter 4*.

## Objectives

The economic evaluation was designed to estimate the costs of resource inputs and quality-adjusted life-year (QALY) profiles of each trial participant over the 12-month time horizon of the trial, allowing mean differences in costs and QALYs to be compared between the two arms of the trial. The primary objective was to evaluate the incremental cost-effectiveness of extra-articular distal tibia fractures treated with IM nail fixation versus locking plate fixation and to provide an estimate of the incremental net benefit.

## Measurement of outcomes

Health-related quality of life was estimated using responses from the EQ-5D. This instrument facilitates the generation of a utility score from a person's health-related quality of life. A health utility score refers to the preference that individuals have for any particular set of health outcomes. Patients completed the EQ-5D questionnaire at baseline and at 3, 6 and 12 months after randomisation, thus providing values of their health status at the time of questionnaire completion. Patients self-completed an additional EQ-5D at baseline, which assessed their pre-injury health-related quality of life. The standard UK (York A1) tariff values were applied to these responses at each time point to obtain utility scores.<sup>29</sup> The York A1 tariff set was derived from a survey of the UK general population ( $n = 3337$ ), which used the time trade-off valuation method to estimate utility scores for a subset of 45 EQ-5D health states, with the remainder of the EQ-5D health states subsequently valued through the estimation of a multivariate model.<sup>29</sup> Resulting utility scores ranged from  $-0.59$  to  $1.0$ , with  $0$  representing death and  $1.0$  representing full health; values below  $0$  indicate health states worse than death. The second measurement component of the EQ-5D consists of a 20-cm vertical visual analogue scale (VAS) ranging from 100 (best imaginable health state) to 0 (worst imaginable health state), which provides an indication of the participant's own assessment of their health status on the day of the survey. QALYs, which formed the main outcome of the economic analysis, were calculated using the AUC approach, assuming liner interpolation between the utility measurements. A five-level version of the EQ-5D (EuroQol-5 Dimensions, five-level version; EQ-5D-5L) was newly available at the start of the trial, but a UK tariff set for this version of the measure had not yet been published. Consequently, on advice from the TSC, it was decided to measure health-related quality-of-life outcomes using the EQ-5D.

## Measurement of costs

Total costs for the two intervention arms were estimated by combining (1) resources used during the operation (implants and consumables), (2) total costs for the inpatient hospital stay and (3) patient-reported data on resource usage at 3, 6 and 12 months post randomisation. For the 3-month data, the recall period covered the period following hospital discharge; however, the recall period at subsequent time points covered the period since the last questionnaire was due to be completed. The trial participant questionnaires provided information on broader NHS and Personal Social Services (PSS) resource use as a result of the fracture. Specifically, the questionnaires captured the frequency of use of community-based health and social care services, number and duration of admissions to inpatient wards [classified as orthopaedics (your leg), orthopaedics (any bones), rehabilitation unit], number of diagnostic tests, use of outpatient services (classified as orthopaedics, physiotherapy, emergency department), medication use, and use of aids and adaptations. PSS included number of weeks of frozen/hot Meals on Wheels, laundry services and number of visits of carers and social workers. Total costs of resource usage were estimated by combining the unit cost data for each service with the resource usage data. In addition, the questionnaires captured private costs incurred by the patient as a result of the fracture, such as private physiotherapy. Productivity losses and lost income were also captured.

## Cost-effectiveness analytical methods

An incremental cost-effectiveness analysis was performed, expressed in terms of incremental cost per QALY gained. Multiple imputation methods were used to impute missing data and avoid biases associated with complete-case analysis. The results of the economic evaluation were expressed in terms of incremental cost per QALY gained. A series of sensitivity analyses was undertaken to explore the implications of uncertainty on the incremental cost-effectiveness ratios (ICERs). Heterogeneity of cost-effectiveness results was addressed through the use of subgroup analysis. Further details are provided in *Chapter 4*.

### Reporting

The reporting of the health economic evaluation is consistent with the Consolidated Health Economic Evaluation Reporting Standard statement.<sup>30</sup>

## Ethics approval and monitoring

Standard NHS cover for negligent harm was in place. There was no cover for non-negligent harm.

### Ethics committee approval

The UK FixDT trial was approved by the Coventry and Warwickshire REC on 6 November 2012 (REC reference number 12/WM/0340) and by the research and development department of each participating centre. The final, approved, study protocol has been published.<sup>1</sup>

### Trial Management Group

The day-to-day management of the trial was the responsibility of the trial co-ordinator, who was based at WCTU and supported by the administrative staff. This management was overseen by the Trial Management Group (TMG), which met monthly to assess progress. It was also the responsibility of the trial co-ordinator to undertake training of the research associates at each of the trial centres. The trial statistician and health economist were closely involved in the setting up of data-capture systems and the design of databases and clinical reporting forms.

### Trial Steering Committee

A TSC was responsible for monitoring and supervising the progress of the UK FixDT trial. The TSC consisted of independent experts, a lay member and the chief investigator on behalf of the TMG. Membership of the TSC is given in *Acknowledgements*.

### Data Monitoring Committee

The DMC was independent of the trial and was tasked with monitoring ethics, safety and data integrity. The trial statistician provided the data and analyses requested by the DMC at each of the meetings. Membership of the DMC is given in *Acknowledgements*.

## Patient and public involvement

Before the pilot study that led to the UK FixDT trial, an informal survey was conducted at a large university hospital trust to establish the opinion of patients and their carers with regard to research in orthopaedic trauma. We established that patients place great importance on research comparing different types of interventions in the area of trauma surgery. Furthermore, patients have demonstrated that they are willing to take part in such trials.

The opinions of patients regarding the interventions and the trial procedures were reviewed during the pilot study<sup>16</sup> and informed the design of the UK FixDT trial and, specifically, the patient-facing materials.

Independent lay representation was present on the TSC. Members of the trauma patient and public involvement group also reviewed the progress of the UK FixDT trial at the annual National Institute for Health Research (NIHR) trauma trials meetings.



## Chapter 3 Results

### Screening and recruitment

#### Screening

Screening for potential participants began in April 2013. In total, 2118 patients with a tibial fracture were screened over 36 months. Screening data are presented to help assess the generalisability of the results to the overall population. Screening data are presented for all sites, with the exception of the Edinburgh site, which was closed to recruitment early in the main phase of the study.

There were significant differences in the total number of potential participants who were assessed between recruiting sites because of the varying practice at each site; for example, some sites screened children aged < 16 years but other sites were adult-only trauma centres. The wide variation in totals by centre indicates that some sites screened extensively and recorded any patient with a fracture involving the tibia; however, others appear to have only recorded fractures of the distal tibia on the screening logs.

The reasons why screened patients were not eligible ( $n = 1581$ ) are detailed in *Table 27, Appendix 1*.

The most common reasons for participant ineligibility were that the fracture did not extend to within 2 Müller squares of the ankle joint (375/1581; 24%), the fracture was open (369/1581; 23%) and the fracture extended into the ankle joint (329/1581; 21%).

The total number of potentially eligible patients for the study was 537. The reasons why potentially eligible patients were not randomised ( $n = 216$ ) are given in *Table 28, Appendix 1*.

Of the 537 eligible potential participants identified on screening logs, 40% (216/537) were not randomised. The conversion rate of eligible potential participants to randomised participants was therefore 60%. The most common reasons for non-participation were that there were no research staff available to consent the patient ( $n = 53$ ; 25%) or that the surgeon had a preference to use an IM nail ( $n = 54$ ; 25%). The other common reason was that the patient did not want to be part of a research study ( $n = 25$ ; 12%). There were 18 potential participants for whom the reason for non-participation was 'other'; these reasons included skin around ankle precluded plate fixation ( $n = 4$ ), primary amputation ( $n = 3$ ) and hind foot nail used ( $n = 2$ ). The complete table of reasons why eligible potential participants were not randomised, by site, is given in *Table 28, Appendix 1*.

Data on sex and age were available for almost all of the patients screened (2003/2118; 95%). The largest subgroup of screened patients were men aged < 50 years, who accounted for 41% (819/2003) of the screened population.

*Table 3* shows the age and sex of potentially eligible participants by randomised status (randomised or not randomised). The ages of both groups were similar and a  $t$ -test comparing the means of both groups showed no evidence of a difference in age (mean difference  $-0.9$  years, 95% CI  $-4.1$  to  $2.3$  years). Likewise, a two-sample test of proportions showed no evidence of a difference in sex distribution between the two groups ( $p = 0.818$ ).

#### Recruitment

The trial planned to recruit 320 participants in total. Formal recruitment began in April 2013, with the first participant being randomised on 22 April 2013 at the lead site, University Hospitals Coventry and Warwickshire NHS Trust. Recruitment was undertaken at 28 sites over 36 months, with the final participant being randomised on 3 May 2016. For reporting purposes, the final participant has been included in the April 2016 recruitment month for ease of presentation. Details of site names and their opening dates are listed in *Table 4*.

**TABLE 3** Characteristics of eligible participants who were randomised and not randomised

Characteristics	Randomisation status	
	Randomised	Not randomised
Age (years)		
<i>n</i>	321	216
Mean (SD)	45.1 (16.3)	46.0 (18.5)
Median (IQR)	43.4 (31.6–57.5)	44.8 (30.2–57.5)
Sex, <i>n</i> (%)		
Female	124 (39)	80 (37)
Male	197 (61)	130 (60)
Missing	0 (0)	6 (3)
IQR, interquartile range.		

**TABLE 4** The UK FixDT trial research sites

Number	Full name	Opening date
1	University Hospitals Coventry & Warwickshire	8 April 2013
2	Addenbrookes Hospital	2 May 2013
3	Frenchay Hospital	12 June 2013
4	University Hospitals of Leicester	16 July 2013
5	Nottingham University Hospitals	25 July 2013
6	James Cook Hospital	5 September 2013
7	John Radcliffe Hospital	20 December 2013
8	Aberdeen Royal Infirmary	14 January 2014
9	Derriford Hospital	15 January 2014
10	Royal Stoke University Hospital	31 January 2014
11	Royal Sussex County Hospital	30 January 2014
12	Royal Infirmary of Edinburgh	16 January 2014
13	Royal Victoria Infirmary	6 February 2014
14	Glasgow Royal Infirmary	11 March 2014
15	Royal Berkshire Hospital	8 May 2014
16	Poole Hospital	13 May 2014
17	Queen Alexandra Hospital	29 May 2014
18	King's College Hospital	10 June 2014
19	Aintree University Hospital	15 July 2014
20	Southampton General Hospital	4 August 2014
21	University Hospitals of Birmingham	5 August 2014
22	Leeds Teaching Hospital	12 August 2014
23	St George's Hospital	11 September 2014
24	Hull Royal Infirmary	13 October 2014
25	North Tyneside General Hospital & Wansbeck General	20 November 2014
26	Heartlands Hospital	3 February 2015
27	Sunderland Royal Hospital	26 February 2015
28	Basingstoke & North Hampshire Hospital	10 June 2015



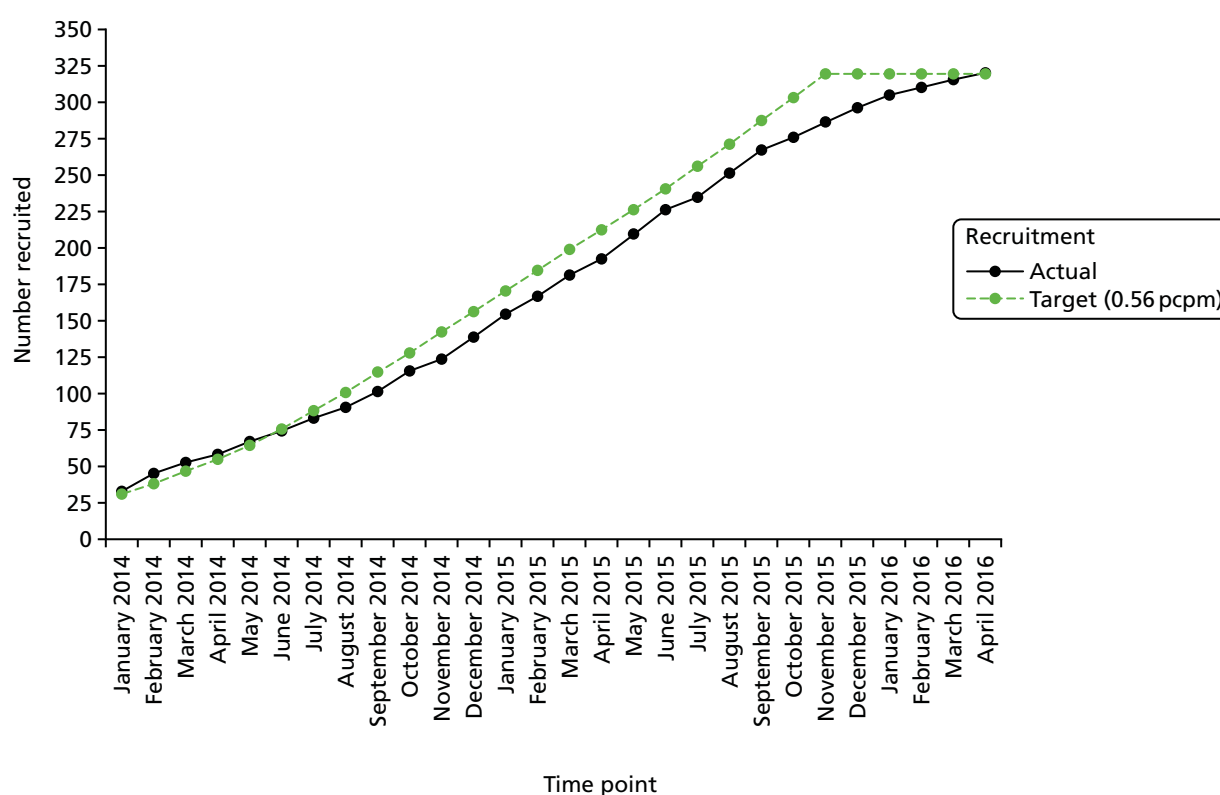
The pilot phase was completed at the end of November 2013, with six sites open to recruitment. The planned recruitment rate was 0.75 participants pcpm. The recruitment rate averaged 0.6 participants pcpm during this phase, so the TSC recommended that the number of sites was increased from 18 to at least 24 to enable the trial to meet the target recruitment of 320 participants within the 30-month recruitment window. This resulted in a revised target of 0.6 participants pcpm.

Actual recruitment rates, by site, are shown in *Table 29, Appendix 1*. The overall observed recruitment rate for the main phase of the trial was 0.47 participants pcpm. This was somewhat lower than the revised target and so the number of trial sites was further increased to 28. One site was closed after just one patient was recruited, and screening data are not presented for this site.

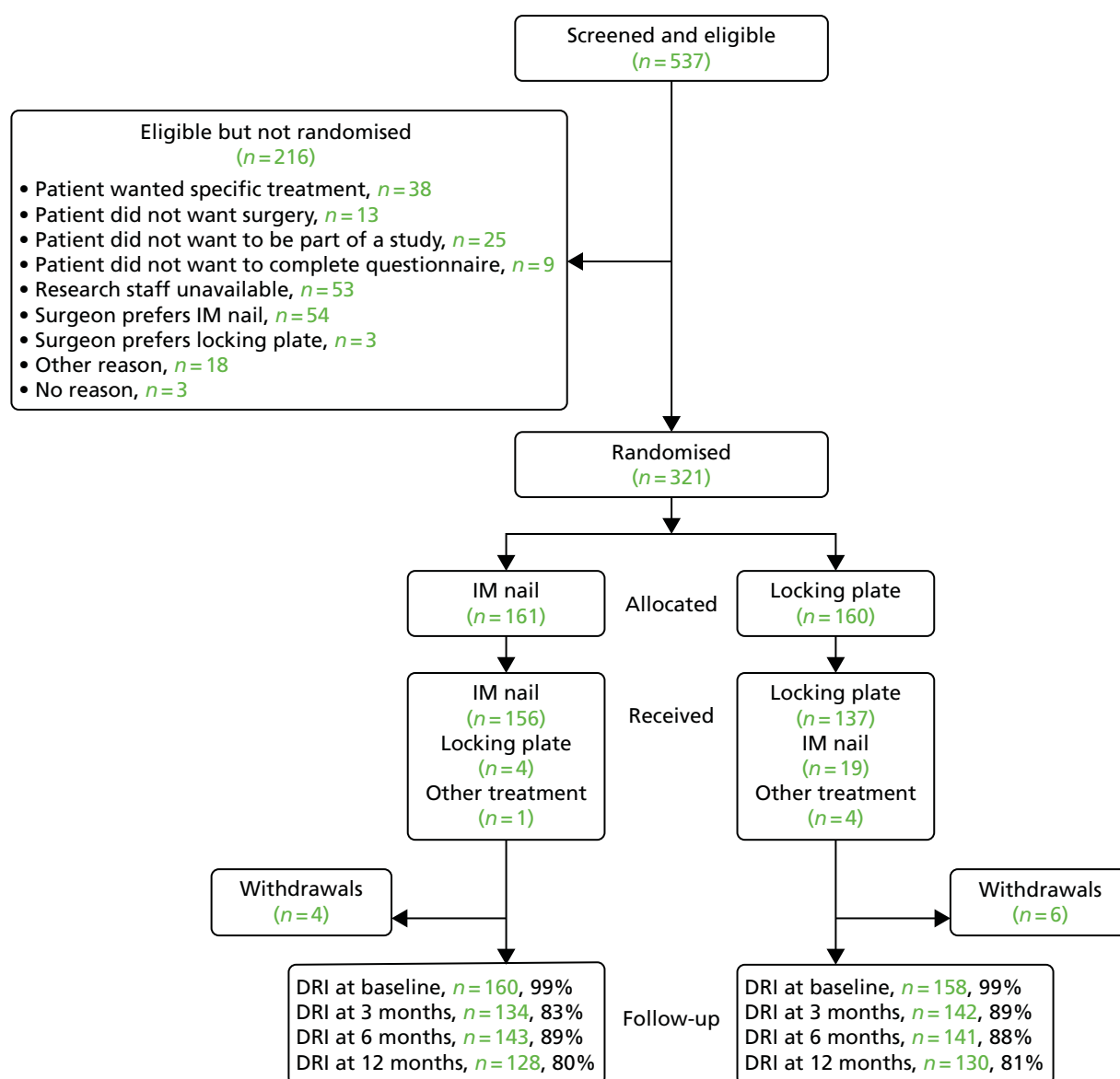
*Figure 2* illustrates the progression of recruitment over time, towards the required sample size target of 320. Recruitment slowed towards the end of the planned recruitment phase, so the recruitment window was extended, although this was still within the original timeline of the trial. *Figure 3* shows the Consolidated Standards of Reporting Trials (CONSORT) flow diagram for the UK FixDT trial.

*Table 5* describes the follow-up rates achieved during the trial. The expected follow-up rate used for sample size adjustment at 6 months was 80%. This was surpassed at the 6-month time point, with 88% of DRI assessments completed. Similar completion rates of 86% and 80% were achieved at the 3- and 12-month time points, respectively.

*Tables 30 and 31, Appendix 1* demonstrate recruitment by stratification variables, namely age group and centre. There is good balance with respect to each factor, indicating that the minimisation procedure used to allocate treatment was implemented successfully.



**FIGURE 2** Overall recruitment progression and target recruitment during the main phase (January 2014–April 2016) of the UK FixDT trial.

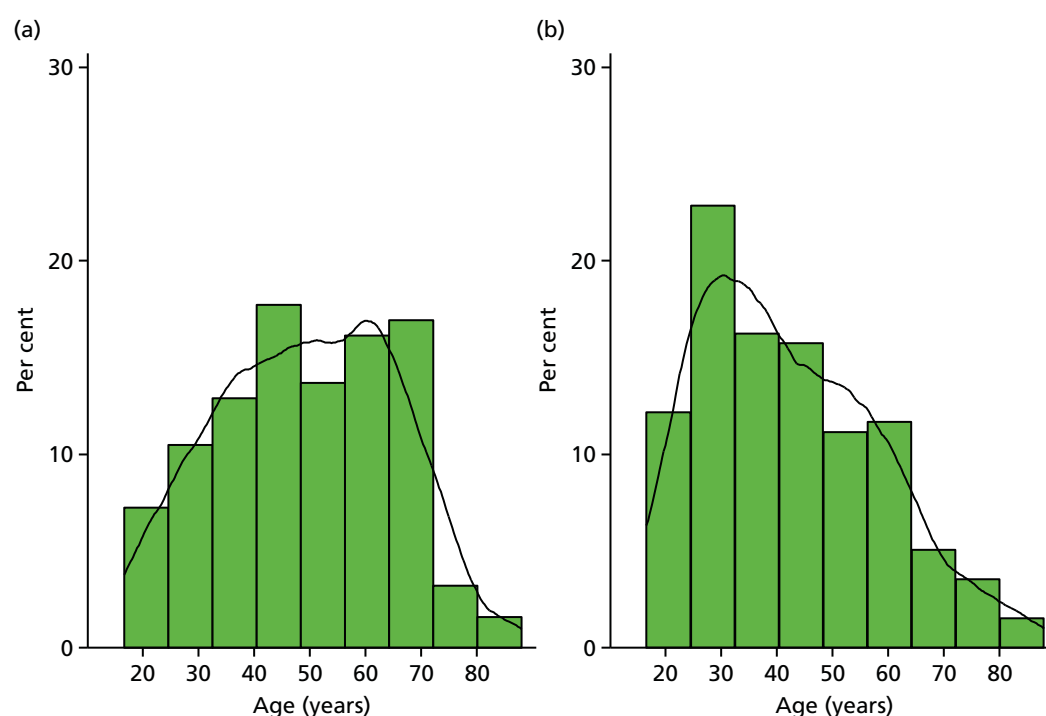


**FIGURE 3** The Consolidated Standards of Reporting Trials (CONSORT) flow diagram.

**TABLE 5** Follow-up status at time points in the UK FixDT trial

Follow-up status	Time point, n (%)			
	Baseline	3 months	6 months	12 months
Returned questionnaire	318 (99)	276 (86)	284 (88)	258 (80)
Missed questionnaire	3 (1)	41 (13)	29 (9)	49 (15)
Consent withdrawn before time point	0 (0)	3 (1)	6 (2)	8 (3)
Died before time point	0 (0)	1 (< 1)	2 (1)	6 (2)

The histograms in *Figure 4* show the distribution of age in years, separately by sex. The overlaid kernel density estimator (the *kdensity* option of the histogram function in Stata version 14) shows the smoothed distribution and highlights the differences between sexes, with a noticeable but expected peak in the number of young male patients randomised. The mean difference in age between men and women was 6.8 years, 95% CI 3.2 to 10.4 years.



**FIGURE 4** Age distribution of randomised participants by sex. (a) Female; and (b) male.

## Participant characteristics

The baseline demographic and clinical data were collected directly from the participant at site as part of the baseline participant's questionnaire. The baseline demographic and clinical characteristics of both treatment groups are well balanced, as shown by allocated treatment group in *Table 6*. Baseline patient-reported outcome measures also showed good balance with similar mean scores being seen across all four outcomes measures, as expected.

**TABLE 6** Baseline demographic and clinical characteristics of randomised patients by treatment group

Demographic and clinical characteristic	Treatment group <sup>a</sup>	
	IM nail fixation ( <i>N</i> = 161)	Locking plate ( <i>N</i> = 160)
Age (years)		
Mean (SD)	44.3 (16.3)	45.8 (16.3)
Median (IQR)	41.3 (30–57)	45.6 (32–58)
Sex, <i>n</i> (%)		
Female	65 (40)	59 (37)
Male	96 (60)	101 (63)
Side of fracture, <i>n</i> (%)		
Left	67 (42)	75 (47)
Right	93 (58)	84 (53)
Missing	1 (1)	1 (1)
Previous problems on the injured side, <i>n</i> (%)		
Yes	40 (25)	36 (23)
No	120 (74)	123 (77)

continued

**TABLE 6** Baseline demographic and clinical characteristics of randomised patients by treatment group (*continued*)

Demographic and clinical characteristic	Treatment group <sup>a</sup>	
	IM nail fixation (N = 161)	Locking plate (N = 160)
Mechanism of injury, n (%)		
Low-energy fall	85 (53)	87 (54)
High-energy fall	27 (17)	24 (15)
Road traffic accident	15 (9)	22 (14)
Crush injury	8 (5)	3 (2)
Contact sports injury	14 (9)	11 (7)
Other	11 (7)	12 (8)
Body mass index (kg/m <sup>2</sup> )		
Mean (SD)	27.7 (6.2)	27.7 (6.9)
Median (IQR)	26.5 (23–31)	26.7 (23–30)
Current smoking status		
Yes, n (%)	53 (33)	50 (31)
No, n (%)	107 (66)	108 (68)
If yes, for how many years smoking		
n	48	40
Mean (SD)	19 (12)	26 (14)
Median (IQR)	18 (10–28)	28 (15–37)
Alcohol consumption (units per week), n (%)		
0–7	86 (53)	87 (54)
8–14	28 (17)	24 (15)
15–21	28 (17)	22 (14)
> 21	18 (11)	22 (14)
Diabetes, n (%)		
Yes	6 (4)	7 (4)
No	154 (95)	152 (95)
DRI (pre-injury)		
Mean (SD)	9.9 (19.0)	10.0 (18.4)
Median (IQR)	1.1 (0–10.6)	1.6 (0–11.5)
OMAS (pre-injury)		
Mean (SD)	91.4 (19.0)	94.2 (14.0)
Median (IQR)	100 (95–100)	100 (95–100)
EQ-5D index score (pre-injury)		
Mean (SD)	0.860 (0.23)	0.888 (0.21)
Median (IQR)	1 (0.80–1.00)	1 (0.85–1.00)
EQ-5D VAS score (pre-injury)		
Mean (SD)	81.5 (17.7)	80.9 (17.5)
Median (IQR)	85 (70–95)	90 (74–90)

IQR, interquartile range.

<sup>a</sup> When numbers do not total to treatment group, this indicates that data were missing.

At the baseline assessment, participants were also asked whether or not they had a strong treatment preference and, if so, for which treatment: 70% (222/321) had no preference; 16% (52/321) preferred IM nail fixation and 13% (43/321) locking plate fixation, with 1% (4/321) of participants not giving a response.

## Interventions

Table 7 provides information on the surgical procedures performed. Table 8 provides further detail specific to those participants who received an IM nail or locking plate, respectively. Unless otherwise stated, all further analyses and tables in the remainder of the report will be described on an intention-to-treat basis.

It was of note that the mean duration of the operations was the same for both treatment groups.

**TABLE 7** Core operation details summarised by treatment group

Operation details	Treatment group <sup>a</sup>	
	IM nail fixation (N = 161)	Locking plate (N = 160)
Intraoperative problems, n (%)		
Yes	3 (2)	3 (2)
No	157 (98)	156 (98)
Missing	1 (1)	1 (1)
If yes, what was the problem? (n)		
Nerve injury	0	0
Vascular injury	0	1
Tendon injury	0	0
Extension of fracture	3	2
Intra-articular extension of the fracture, n (%)		
Yes	7 (4)	3 (2)
No	127 (79)	128 (80)
Missing	27 (17)	29 (18)
Fixation of the fibula, n (%)		
Yes	10 (6)	12 (8)
No	150 (93)	146 (91)
Missing	1 (1)	2 (1)
Any other surgery for additional injuries, n (%)		
Yes	8 (5)	3 (2)
No	152 (94)	156 (97)
Missing	1 (1)	1 (1)
Lead surgeon grade, n (%)		
Consultant	90 (56)	99 (62)
Staff grade/associate specialist	13 (8)	15 (9)
Specialist trainee	50 (31)	41 (26)
Other	7 (4)	4 (3)
Missing	1 (1)	1 (1)

continued

**TABLE 7** Core operation details summarised by treatment group (*continued*)

Operation details	Treatment group <sup>a</sup>	
	IM nail fixation (N = 161)	Locking plate (N = 160)
Total number of surgeons present in theatre		
Mean	2.5	2.4
n	159	159
Median	2	2
Missing	2	1
Operation total duration (minutes)		
n	158	158
Mean (SD)	124 (43)	124 (44)
Median (IQR)	120 (90–145)	120 (96–151)
IQR, interquartile range.		
a When numbers do not total to treatment group, this indicates that data were missing.		

**TABLE 8** Treatment-specific operation details

Treatment-specific operation detail	n (%)
<b>IM nail fixation (n = 174)</b>	
Number of bolts used in coronal plane	
0	16 (9)
1	50 (29)
2	108 (62)
Missing	0 (0)
Number of bolts used in sagittal plane	
0	67 (39)
1	82 (47)
2	25 (14)
Missing	0 (0)
Number of bolts used in oblique plane	
0	144 (83)
1	27 (16)
2	2 (1)
Missing	1 (1)
Number of blocking screws used	
0	138 (79)
1	31 (18)
2	3 (2)
3	2 (1)
4	0 (0)
Missing	0 (0)

**TABLE 8** Treatment-specific operation details (*continued*)

Treatment-specific operation detail	n (%)
Reduction technique used	
Open	12 (7)
Closed	106 (61)
Skeletal traction	20 (11)
No traction	34 (20)
Missing	2 (1)
Surgical approach used	
Medial parapatella	69 (40)
Lateral parapatella	0 (0)
Tendon splitting	102 (58)
Suprapatella approach	2 (1)
Missing	1 (1)
<b>Locking plate (n = 142)</b>	
Number of locking screws used distal to fracture	
1	0 (0)
2	8 (6)
3	24 (17)
4	53 (37)
5	36 (25)
6	16 (11)
> 6	4 (3)
Missing	1 (1)
Number of locking screws used proximal to fracture	
0	36 (25)
1	4 (3)
2	16 (11)
3	46 (32)
4	33 (23)
5	7 (5)
> 5	0 (0)
Missing	0 (0)
Number of non-locking screws used distal to fracture	
1	60 (42)
2	50 (35)
3	21 (15)
4	6 (4)
5	2 (1)
continued	

**TABLE 8** Treatment-specific operation details (*continued*)

Treatment-specific operation detail	n (%)
6	2 (1)
> 6	1 (1)
Missing	0 (0)
Number of non-locking screws used proximal to fracture	
0	43 (30)
1	38 (27)
2	17 (12)
3	19 (13)
4	21 (15)
5	4 (3)
> 5	0 (0)
Missing	0 (0)
Reduction technique used	
Open	73 (51)
Closed	53 (37)
Skeletal traction	11 (8)
No traction	5 (4)
Missing	0 (0)
Surgical approach used	
Longitudinal over medial malleolus	93 (65)
Other	49 (35)
Missing	0 (0)

## Treatment allocation

A total of 91% (293/321) of participants received their allocated treatment (*Table 9*). Among those participants allocated to the IM nail fixation group, 97% of whom received their allocated treatment and in those allocated to the locking plate group, 86% of whom received their allocated treatment. There were two participant withdrawals before any intervention was undertaken, two were treated with external fixation only and one was treated with manipulation under anaesthetic only.

In total, there were 28 participants who received a treatment that was not their allocated treatment; more participants deviated from their allocated treatment in the locking plate group ( $n = 23$ ) than in the IM nail fixation group ( $n = 5$ ). The most common reasons why participants did not receive their allocated treatment was because of either surgeon choice ( $n = 10$ , 36%) or a clinical decision intraoperatively ( $n = 13$ , 46%) (*Table 10*).

The baseline demographics of patients receiving their allocated treatment were broadly similar to those not receiving allocated treatment, with mean age 45 versus 47 years, although patients who did not receive their allocated treatment were more likely to be male (75%).



**TABLE 9** Treatment allocation by treatment received

Received	Allocated (n)		
	IM nail fixation	Locking plate	Total
IM nail fixation	156	19	175
Locking plate	4	137	141
Other	1	4	5
Total	161	160	321

**TABLE 10** Reasons why allocated treatment not received by treatment group

Reason	Allocated (n)		
	IM nail fixation	Locking plate	Total
Surgeon choice	3	7	10
Clinical decision intraoperatively	1	12	13
Lack of equipment	0	2	2
Patient choice	0	1	1
Withdrawal from trial before treatment	1	1	2
Total	5	23	28

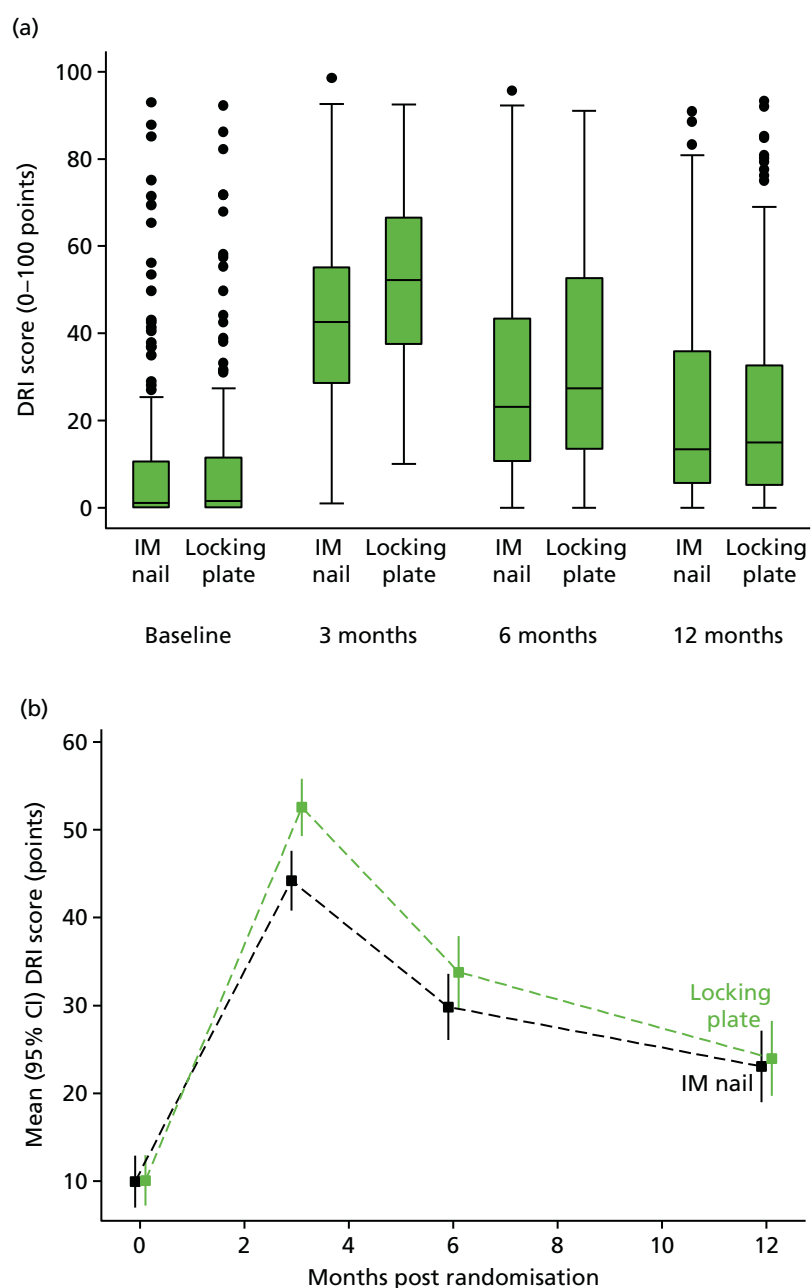
## Outcomes

### Primary outcome

Figure 5a shows the trend in group DRI score means over the trial. Figure 5b shows the distribution of the DRI score at each time point, in which the middle bar is the median, the box represents the interquartile range and the whiskers extend to 1.5 times the interquartile range, with observations outside this range presented individually. Figure 5b demonstrates there is a treatment group difference in favour of the IM nail fixation group at 3 months, but this is reduced and not statistically significant at the 6-month time point and decreases further at the 12-month time point. Model assumptions were checked and appeared to be appropriate.

Table 11 shows the treatment estimates modelled using mixed-effects linear regression model, as previously described. The adjusted estimate of the treatment effect for the DRI score, at the 6-month post randomisation time point based on an intention-to-treat analysis, is 4.0 points (95% CI –1.0 to 9.0 points) in favour of the IM nail fixation group compared with the locking plate group. The *p*-value of 0.114 indicates that there is no evidence for a statistically significant difference in the DRI score between the two treatment groups at the 6-month post-randomisation time point. The prespecified MCID for the DRI is 8 points; therefore, at the 6-month time point we conclude that if there is a difference in the disability outcomes of the two groups, it is likely to be appropriately small as to be clinically unimportant. However, the 95% CI does include the prespecified MCID.

The adjusted estimate of the treatment effect at 3 months is 8.8 (95% CI 4.3 to 13.2) in favour of the IM nail fixation group compared with the locking plate group. The *p*-value of < 0.001 indicates that there is strong evidence for a statistically significant difference in treatment group means at 3 months. The estimated treatment effect is larger than the prespecified MCID for the DRI of 8 points, so this difference is likely to be clinically important to patients. At the 12-month time point, the *p*-value of 0.468 indicates that



**FIGURE 5** The DRI. (a) Box plots of baseline and follow-up scores; and (b) overall trend in means and 95% CIs.

**TABLE 11** Disability Rating Index: summary statistics, unadjusted and adjusted treatment effects at all time points

Time point (months)	Treatment group				Difference (95% CI)		p-value
	IM nail fixation		Locking plate				
	Mean (SD)	n	Mean (SD)	n	Raw	Adjusted <sup>a</sup>	
3	44.2 (19.9)	132	52.6 (19.9)	141	8.4	8.8 (4.3 to 13.2)	< 0.001
6	29.8 (23.1)	142	33.8 (24.7)	140	4.0	4.0 (−1.0 to 9.0)	0.114
12	23.1 (23.3)	125	24.0 (24.6)	129	0.9	1.9 (−3.2 to 6.9)	0.468

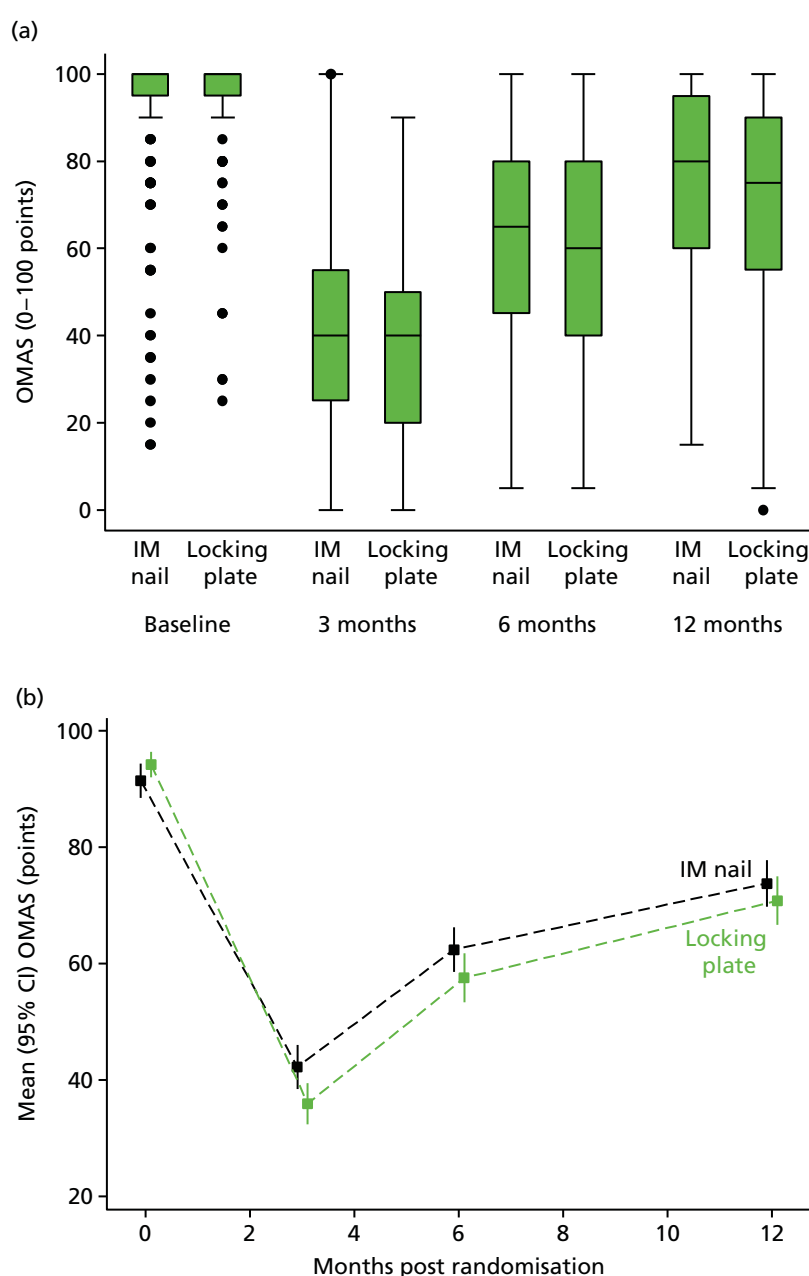
<sup>a</sup> Mixed-effects regression model based on intention-to-treat analysis approach with allocated treatment group, age group, baseline pre-injury score and sex as fixed effects, and recruiting site as a random effect.

there is no evidence for a statistically significant difference in the DRI score between the two treatment groups at the 12-month post-randomisation time point, and the adjusted treatment difference is 1.9 points (95% CI 3.2 to 6.9 points) in favour of the IM nail fixation group compared with the locking plate group.

## Secondary outcomes

### Olerud–Molander Ankle Score questionnaire

The adjusted estimate of the treatment effect for the OMAS, at the 6-month post-randomisation time point based on an intention-to-treat analysis, is  $-6.0$  points (95% CI  $-11.2$  to  $-0.7$  points) in favour of the IM nail fixation group compared with the locking plate group (Figure 6 and Table 12). The  $p$ -value of 0.026 indicates that there is evidence for a statistically significant difference in the OMAS between the two treatment groups at the 6-month time point.



**FIGURE 6** The OMAS questionnaire. (a) Box plots of baseline and follow-up scores; and (b) overall trend in means and 95% CIs.

**TABLE 12** Secondary patient-reported outcome measures: summary statistics, unadjusted and adjusted treatment effects at all time points

Outcome measure, time point	Treatment group				Difference (95% CI)			p-value
	IM nail fixation		Locking plate					
	Mean (SD)	n	Mean (SD)	n	Raw	Adjusted <sup>a</sup>		
OMAS (points)								
3 months	42.3 (22.1)	130	36.0 (21.3)	139	−6.3	−7.0 (−12.0 to −2.0)	0.006	
6 months	62.4 (23.1)	139	57.6 (24.9)	135	−4.9	−6.0 (−11.2 to −0.7)	0.026	
12 months	73.8 (22.5)	20	70.8 (24.2)	29	−3.0	−3.6 (−9.1 to 1.9)	0.195	
EQ-5D index score								
Post injury	−0.003 (0.334)	158	−0.024 (0.311)	156	−0.021	−0.030 (−0.09 to 0.03)	0.331	
3 months	0.546 (0.273)	134	0.499 (0.302)	142	−0.047	−0.058 (−0.12 to 0.00)	0.067	
6 months	0.670 (0.265)	143	0.622 (0.275)	141	−0.048	−0.064 (−0.12 to −0.01)	0.029	
12 months	0.722 (0.278)	128	0.731 (0.246)	130	0.009	−0.018 (−0.07 to 0.05)	0.525	
EQ-5D VAS score								
Post injury	46.6 (24.5)	158	45.3 (24.8)	157	−1.3	−0.8 (−5.7 to 4.0)	0.735	
3 months	66.7 (20.5)	134	64.4 (21.1)	142	−2.3	−1.9 (−6.4 to 2.6)	0.418	
6 months	75.0 (19.6)	143	71.6 (21.2)	141	−3.4	−2.5 (−6.8 to 1.8)	0.247	
12 months	78.3 (20.5)	128	78.4 (20.8)	129	0.1	−0.2 (−4.6 to 4.2)	0.935	

a Mixed-effects regression model based on intention-to-treat analysis approach with allocated treatment group, age group, baseline pre-injury score and sex as fixed effects, and recruiting site as a random effect.

### EuroQol-5 Dimensions index score

The adjusted estimate of the treatment effect for the EQ-5D index score, at the 6-month post-randomisation time point based on an intention-to-treat analysis, is -0.063 points (95% CI -0.12 to -0.01 points) in favour of the IM nail fixation group compared with the locking plate group (*Figure 7* and see *Table 12*). The *p*-value of 0.033 indicates that there is evidence for a statistically significant difference in the EQ-5D score between the two treatment groups at the 6-month time point.

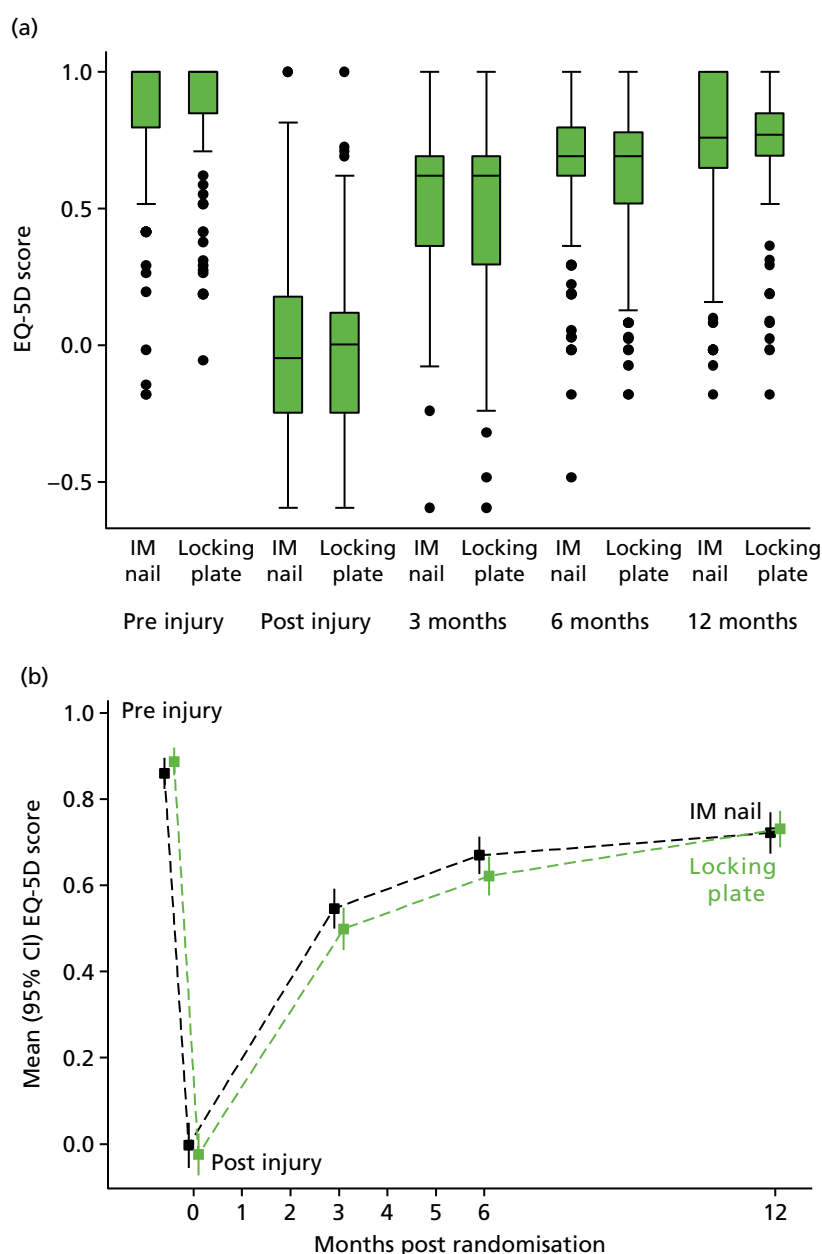
### EuroQol-5 Dimensions visual analogue scale score

The adjusted estimate of the treatment effect for the EQ-5D VAS score, at the 6-month post-randomisation time point based on an intention-to-treat analysis, is -2.5 (95% CI -6.8 to 1.8) in favour of the IM nail fixation group compared with the locking plate group (*Figure 8* and see *Table 12*). The *p*-value of 0.247 indicates that there is no evidence of a statistically significant difference in the EQ-5D VAS score between the two treatment groups at the 6-month time point.

### Per-treatment analysis

*Table 13* shows the results of per-treatment analyses, in which participants were analysed in per-treatment groups, that is, those who received IM nails compared with those who received locking plates. The five participants who did not receive either treatment were excluded from these analyses. An adjusted per-treatment analysis of the DRI scores at 6 months gave an adjusted treatment effect of 4.2 (95% CI -0.9 to 9.2) and a *p*-value equal to 0.103. Adjusted analysis at 3 and 12 months also gave similar results to the intention-to-treat analysis in *Primary outcome*.

Adjusted per-treatment analysis of the secondary outcome measures are also given in *Table 13*. All analyses yielded similar results to the equivalent intention-to-treat analysis.

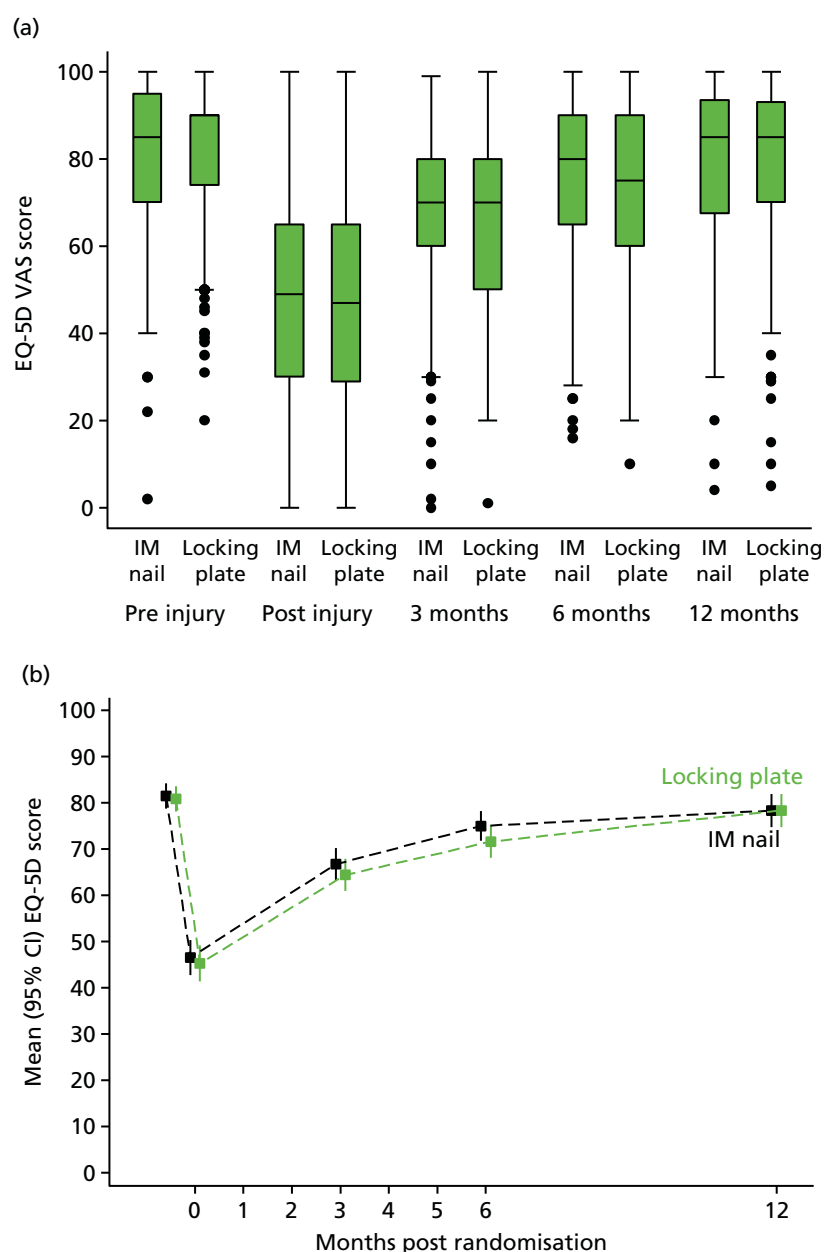


**FIGURE 7** The EQ-5D index. (a) Box plots of baseline and follow-up scores; and (b) overall trend in means and 95% CIs.

### Missing outcome data

The follow-up questionnaire completion rate was very good at all time points of the UK FixDT study. The expected loss to follow-up rate at the 6-month primary outcome time point was 20%; the actual rate was 12%. A summary of status at each follow-up time point is given in *Table 5*. In total, 284 out of 321 participants (88.4%) completed a DRI at the 6-month time point. The item-level missingness for the DRI score, and the secondary outcome measures, is given in *Tables 32–34, Appendix 2*. There were very few missing items in the DRI questionnaire at baseline (one item from 3816 items; < 0.1%), 3 months (1/3312; < 0.1%), 6 months (2/3408; < 0.1%) and 12 months (5/2640; 0.2%). Similar levels of missing data were seen across the OMAS questionnaire and the EQ-5D, with item-level missingness below 0.2% for all scales.

*Table 14* shows the impact that including partial completion of the DRI using 11 or fewer items had on calculating the overall DRI score. There is very little difference in the adjusted treatment differences compared with the results given in *Primary outcome* based on fully completed questionnaires only. The largest differences



**FIGURE 8** The EQ-5D VAS. (a) Box plots of EQ-5D VAS baseline and follow-up scores; and (b) overall trend in EQ-5D VAS means and 95% CIs.

are seen in the 12-month questionnaire data but this is because there are fewer observations and marginally more missing items than at 3- and 6-month data collection, resulting in a slightly larger treatment difference but being still in favour of IM nail fixation, as per the results in *Primary outcome*.

### Subgroup analysis

At 6 months, the  $p$ -value of 0.516 indicates that there is no evidence for a significant interaction effect between age group and treatment group. The results of this analysis, and the subgroup analyses performed at 3 and 12 months, are given in *Table 15*.

Neither was there any evidence for a significant interaction effect between sex and treatment group ( $p$ -value of the interaction term = 0.384).

**TABLE 13** Means and SDs of outcomes at all time points and estimated treatment effects after adjustment, using a per-treatment analysis approach

Outcome measure, time point	Treatment group				Difference (95% CI)		p-value
	IM nail fixation		Locking plate				
	Mean (SD)	n	Mean (SD)	n	Raw	Adjusted <sup>a</sup>	
<b>DRI score (points)</b>							
3 months	44.5 (20.8)	148	53.2 (18.9)	123	8.7	9.0 (4.5 to 13.5)	< 0.001
6 months	29.9 (23.4)	154	34.3 (24.6)	126	4.5	4.2 (−0.9 to 9.2)	0.103
12 months	23.5 (23.5)	136	24.0 (24.5)	116	0.5	0.9 (−4.2 to 6.0)	0.727
<b>OMAS (points)</b>							
3 months	42.1 (23.1)	144	35.3 (19.9)	123	−6.8	−7.2 (−12.3 to −2.2)	0.005
6 months	61.9 (23.8)	150	57.5 (24.5)	122	−4.5	−4.9 (−10.2 to 0.4)	0.073
12 months	73.2 (23.2)	129	70.8 (23.7)	118	−2.3	−2.4 (−7.9 to 3.1)	0.395
<b>EQ-5D index score</b>							
3 months	0.533 (0.30)	150	0.507 (0.28)	124	−0.025	−0.046 (−0.11 to 0.02)	0.158
6 months	0.672 (0.27)	155	0.614 (0.28)	127	−0.058	−0.067 (−0.12 to −0.01)	0.023
12 months	0.718 (0.28)	138	0.732 (0.24)	118	0.014	−0.004 (−0.06 to 0.05)	0.900
<b>EQ-5D VAS score</b>							
3 months	66.5 (20.6)	150	64.5 (21.2)	124	−2.0	−2.0 (−6.5 to 2.6)	0.399
6 months	75.0 (19.9)	155	71.2 (21.1)	127	−3.7	−2.5 (−6.8 to 1.8)	0.254
12 months	78.1 (20.9)	137	78.4 (20.5)	118	0.3	0.6 (−3.9 to 5.0)	0.803
a Mixed-effects regression model based on an intention-to-treat analysis approach with allocated treatment group, age group, baseline pre-injury score and sex as fixed effects, and recruiting site as a random effect.							

**TABLE 14** Means and SDs of outcome at all time points and estimated treatment effects after adjustment per treatment, using all values

Outcome measure, time point	Treatment group				Difference (95% CI)		
	IM nail fixation		Locking plate				
	Mean (SD)	<i>n</i>	Mean (SD)	<i>n</i>	Raw	Adjusted	<i>p</i> -value
<i>DRI score (points)</i>							
3 months	44.2 (20.1)	134	52.7 (19.9)	142	8.5	8.7 (4.2 to 13.2)	< 0.001
6 months	30.0 (23.0)	143	33.9 (24.6)	141	3.9	4.0 (−1.0 to 8.9)	0.119
12 months	22.8 (23.1)	127	24.1 (24.6)	130	1.3	2.2 (−2.8 to 7.2)	0.386

**TABLE 15** Results of subgroup analysis of the DRI score showing means and SDs of outcome at all time points and estimated treatment effects after adjustment

Time point, age (years)	Treatment group				Difference (95% CI)		p-value <sup>b</sup>
	IM nail fixation		Locking plate		Raw	Adjusted <sup>a</sup>	
	Mean (SD)	n	Mean (SD)	n			
3 months							
< 50	41.3 (19.4)	76	51.5 (19.6)	84	10.2	10.8 (4.9 to 16.6)	0.290
≥ 50	48.2 (20.2)	56	54.2 (20.5)	57	6.0	5.9 (−1.1 to 12.8)	
6 months							
< 50	25.6 (21.8)	84	31.1 (24.5)	87	5.5	5.4 (−1.0 to 11.8)	0.516
≥ 50	35.9 (23.7)	58	38.2 (24.6)	53	2.3	2.0 (−6.0 to 10.0)	
12 months							
< 50	18.6 (22.6)	71	21.8 (22.8)	79	3.2	3.4 (−3.1 to 10.0)	0.465
≥ 50	28.8 (23.0)	54	27.4 (27.3)	50	−1.5	−0.4 (−8.3 to 7.5)	

a Mixed-effects regression model based on intention-to-treat analysis approach with allocated treatment group, age group, baseline pre-injury score and sex as fixed effects, and recruiting site as a random effect.

b *p*-values are of the interaction term between the variable of interest and treatment in the model.

## Exploratory analyses

### Area under the curve analysis for the Disability Rating Index score

To complement the preplanned analysis, a post hoc exploratory analysis using DRI score at all four time points was conducted. This analysis simplified longitudinal data collected at four time points to a single value, namely the AUC, and facilitated comparisons of the AUCs between treatment groups.

The group-specific time point means were used to calculate AUCs for treatment groups, as described in the *Methods*. Using the predicted model parameter, the calculated AUC for those participants who were allocated to the IM nail fixation group was 350 (SE 16.4) and for the locking plate group was 407 (SE 16.3), yielding a between-group difference of 57, 95% CI 12 to 103. Larger DRI scores represent higher levels of disability; therefore, larger AUCs are also associated with increased levels of disability. A *t*-test comparing the values between groups yielded a *p*-value of 0.013, indicating that there is a statistically significant difference in AUC between treatment groups. Clinically, this result may be interpreted as evidence that those allocated to locking plates experienced more disability over the 12-month trial follow-up period than those allocated to the IM nail fixation.

## Complications

### Local complications related to the fracture or its treatment

Complications were assessed by the research team at the 6-week time point for almost all randomised participants (*n* = 314; 99% of participants who had a surgical procedure) and, again, in the patient reported questionnaires at 3, 6 and 12 months. *Table 16* shows these complications by allocated treatment group.

The number of patients with symptoms related to infection was higher in the locking plate group (*n* = 32; 20%) than in the nail fixation group (*n* = 20; 13%), although this was not statistically significant (Fisher's exact test *p* = 0.094). Twenty-one (13%) patients in the locking plate group and 14 (9%) in the IM nail fixation group were treated with antibiotics.



**TABLE 16** Local complications

	Treatment group		
Complication	IM nail fixation (n = 157)	Locking plate (n = 157)	p-value <sup>a</sup>
Any infection symptoms identified, n (%)			
Yes	20 (13)	32 (20)	0.094
No	137 (87)	125 (80)	
Which symptoms? <sup>b</sup>			
Erythema	16	27	
Persistent serous drainage	4	12	
Purulent drainage	4	6	
Dehiscence	2	8	
Treated with antibiotics, n (%)			
Yes	14 (9)	21 (13)	0.209
No	143 (91)	136 (87)	
Neurological injury, n (%)			
Yes	8 (5)	4 (3)	0.378
No	149 (93)	153 (96)	
Vascular injury, n (%)			
Yes	1 (1)	0 (0)	1.000
No	156 (97)	157 (98)	
Tendon injury, n (%)			
Yes	0 (0)	2 (1)	0.498
No	157 (98)	155 (97)	
Complex regional pain syndrome, n (%)			
Yes	0 (0)	1 (1)	1.000
No	157 (98)	155 (98)	
Deep-vein thrombosis (within 6 weeks), n (%)			
Yes	1 (1)	2 (1)	1.000
No	156 (97)	155 (97)	
Pulmonary embolism (within 6 weeks), n (%)			
Yes	1 (1)	0 (0)	1.000
No	156 (97)	157 (98)	
Patient fully weight-bearing, n (%)			
Yes	53 (33)	23 (14)	< 0.001
No	104 (65)	134 (84)	
Missing	4 (2)	3 (2)	
a p-values based on Fisher's exact test.			
b Multiple symptoms could be selected, so count of symptoms is not equal to total number of infections.			

a p-values based on Fisher's exact test.

b Multiple symptoms could be selected, so count of symptoms is not equal to total number of infections.

Other local complications were rare in both groups.

Data on ability/inability to bear weight on the injured leg were also collected at the 6-week visit in *Table 16*. In total, 33% of those allocated to nail fixation reported being fully weight-bearing at the 6-week visit, compared with only 14% of those allocated to locking plates. The difference in proportions is 0.19, 95% CI 0.10 to 0.41. The  $p$ -value for the difference in these proportions is  $p < 0.001$ , indicating strong evidence of a difference in the proportion of participants weight-bearing at 6 weeks.

Further surgery related to the fracture or its treatment is shown in *Table 17*. Where patients reported any further surgery, full details of the operation were requested and provided from the treating centre. There was more further surgery in the locking plate group ( $n = 19$ ; 12%) than in the nail fixation group ( $n = 14$ ; 9%), although this was not statistically significant (Fisher's exact test  $p$ -value = 0.363).

### Radiographic outcomes

An independent orthopaedic surgeon assessed the radiographic images, when available. The results of these independent assessments are given in *Tables 18* and *19* for 6-week and 12-month images, respectively.

In addition to the independently assessed radiographs, the principal investigator at each recruiting site assessed key radiographic outcomes and reported these on the 6-week postoperative form. Comparisons between these site assessments and independent assessments were investigated, and there were good levels of agreement between assessors.

**TABLE 17** Further related surgery within 12 months of injury

	Treatment group		
Surgical procedure	IM nail fixation (n = 161)	Locking plate (n = 160)	p-value <sup>a</sup>
<b>Any additional surgical procedure on trial distal tibia, n (%)</b>			
Yes	14 (9)	19 (12)	0.363
No	148 (92)	141 (88)	
<b>If so, which procedure?,<sup>b</sup> n (%)</b>			
Metalwork removal			
Yes	11 (7)	14 (9)	0.540
No	150 (93)	146 (146)	
Surgical debridement			
Yes	1 (1)	5 (3)	0.121
No	160 (99)	155 (97)	
Revision of internal fixation			
Yes	2 (1)	5 (3)	0.283
No	159 (99)	155 (97)	
Other operative procedure			
Yes	4 (2)	3 (2)	1.000
No	157 (98)	157 (98)	

<sup>a</sup>  $p$ -values are for Fisher's exact test.

<sup>b</sup> Multiple procedures may occur during one operation, so totals do not equal first row, which counts participants and not procedures.

**TABLE 18** Imaging outcomes at the 6-week post-surgery radiograph

	Trial group, <i>n</i> (%)		
Deformity	IM nail fixation	Locking plate	<i>p</i> -value <sup>a</sup>
Lateral deformity (> 5°)			
Yes	5 (3)	5 (2)	1.000
No	135 (84)	140 (88)	
Missing	21 (13)	15 (10)	
Anteroposterior deformity (> 10°)			
Yes	12 (7)	5 (3)	0.081
No	128 (80)	142 (89)	
Missing	21 (13)	13 (8)	
Shortening (> 10 mm)			
Yes	5 (3)	0 (0)	0.028
No	136 (84)	146 (91)	
Missing	20 (13)	14 (9)	

<sup>a</sup> The *p*-values are from Fisher's exact tests.

**TABLE 19** Imaging outcomes at the 12-month post-surgery radiograph

	Treatment group, <i>n</i> (%)		<i>p</i> -value <sup>a</sup>
Deformity	IM nail fixation	Locking plate	
Lateral deformity (> 5°)			
Yes	1 (1)	0 (0)	0.469
No	82 (51)	94 (59)	
Missing	78 (48)	66 (41)	
Anteroposterior deformity (> 10°)			
Yes	11 (7)	8 (5)	0.339
No	72 (45)	86 (54)	
Missing	78 (48)	66 (41)	
Shortening (> 10 mm)			
Yes	0 (0)	0 (0)	1.000
No	83 (52)	94 (59)	
Missing	78 (48)	66 (41)	
Ankle osteoarthritis			
Yes	10 (6)	7 (4)	0.320
No	73 (45)	87 (54)	
Missing	78 (48)	66 (41)	

<sup>a</sup> The *p*-values are from Fisher's exact tests.

### Systemic complications potentially related to the fracture or its treatment

Information regarding complications was also given through the SAE reporting pathway over the full 12 months of the trial. Data from related SAEs have been included in these complication profiles, with cross-referencing to ensure that appropriate counts are taken using multiple data sources. Not all of the venous thromboses or infections of the chest, urinary system, etc., will be related to the treatment but we have included all 'potentially' related events here for completeness. These data are summarised by treatment group in *Tables 17 and 20*.

### Serious adverse event unrelated to the fracture or its treatment

There was a total of 126 unrelated SAEs, which were reported by 83 participants. 'Relatedness' was assessed by the principal investigator at each centre. In total, 60 participants reported only one SAE, 14 reported two SAEs and nine reported more than two SAEs. The maximum number of unique SAE reports by a participant was nine. The reasons for SAE reporting are given in *Table 21*, by treatment group. More than one reason can be selected for each report of a SAE (e.g. both hospitalisation and persistent disability may be reasons); therefore, for reporting purposes, the most severe reason is presented.

**TABLE 20** Systemic complications

Complication	Treatment group, <i>n</i> (%)		<i>p</i> -value <sup>a</sup>
	IM nail fixation ( <i>n</i> = 161)	Locking plate ( <i>n</i> = 160)	
Deep-vein thrombosis			
Yes	7 (4)	6 (4)	1.000
No	154 (96)	15 (96)	
Infection treated with antibiotics (not wound related)			
Yes	24 (15)	31 (19)	0.303
No	137 (85)	129 (81)	

<sup>a</sup> *p*-values based on Fisher's exact test.

**TABLE 21** Serious adverse event reporting classifications by treatment arm

Reason	Treatment group ( <i>n</i> )		Total
	IM nail fixation	Locking plate	
Death	2	4	6
Life-threatening condition	0	3	3
Hospitalisation or prolongation of existing hospitalisation	24	47	71
Persistent disability/incapacity	1	1	2
Required medical intervention to prevent one of above	11	15	26
Otherwise medically significant	8	10	18
Total	46	80	126

# Chapter 4 Economic evaluation

## Methods

### Aim and perspective

The main objective of the health economic evaluation was to assess the cost-effectiveness of treating displaced extra-articular fractures of the distal tibia using IM nail fixation versus locking plate fixation. The primary analysis was undertaken from the perspective of the NHS and PSS, as recommended by the National Institute for Health and Care Excellence (NICE).<sup>31</sup> A societal perspective for costs was adopted for the sensitivity analysis and this included private costs incurred by trial participants and their families, as well as productivity losses and loss of earnings as a result of work absences.

The primary health economic outcome was the ICER attributable to IM nail fixation, which was calculated as the incremental cost per QALY gained 12 months after randomisation. No discounting of costs or health consequences was required as the trial-based economic evaluation was limited to a 12-month time horizon.

### Measurement of resource use and costs

A comprehensive strategy was adopted to estimate the costs associated with distal tibia fixation using either locking plate or IM nail fixation. This included the (1) estimation of the initial fixation surgery costs and (2) estimation of broader health and personal social service resource inputs and broader societal resource inputs. All costs were expressed in pounds sterling and valued in 2014–15 prices. When appropriate, costs were inflated or deflated to 2014–15 prices using the *Hospital and Community Health Services (HCHS) Pay and Price Inflation*.<sup>32</sup>

### Costing of distal tibia fixation

The initial fixation surgery costs (intervention costs) were based on the initial hospital stay and associated operative costs, as reported in *Table 22*. The cost of distal tibia fracture fixation surgery was estimated using the NHS reference costs, specifically Healthcare Resource Group (HRG) code HT23D (major knee procedures for trauma).<sup>33</sup> According to this HRG code, operative costs for distal tibia fixation were £5315.47 for cases with a mean length of hospital stay of 5 days. Patient-specific costs for the initial operative period were identified using the average length of stay following primary surgery, as reported in the patient records. The mean length of hospital stay was 3.87 days for IM nail fixation versus 3.85 days for locking plate fixation and SEs were 0.34 and 0.33 days, respectively. The surgery cost of patients who stayed longer than 5 days was adjusted using the cost per excess bed-day figure of £327.00 for the same HRG code. For patients who stayed in hospitals < 5 days, we assumed that treatment costs were disproportionately weighted towards the first 3 days of each initial hospital admission. Thus, the cost to the NHS of a patient who stayed in hospital for 3 days was calculated as £5315.47 – (2 × £327), that is, the 5-day tariff minus the bed-day cost of £327 per each day not spent in hospital.

In addition, operative costs included the implants used during the surgery, namely nails, plates, locking screws/bolts, blocking screws and non-locking screws. Estimation of the number of each of these implants used involved prospectively recording the number of items used for each patient from the operation notes and radiographs. The total cost of implants for each patient was calculated by combining the resource inputs with their unit cost values; the unit cost values were derived from NHS trust finance departments.

### Measuring broader resource use

Individual-level data on all significant health and personal social service and broader societal resource inputs were collected during the trial using follow-up questionnaires completed by trial participants. These data were collected at 3, 6 and 12 months post randomisation. The questionnaires captured the number and duration of admissions to inpatient hospital wards by ward type, number and type of diagnostic tests,

**TABLE 22** Unit costs associated with initial operative procedures and initial hospital stay for IM nail and locking plate fixation

Item	Unit cost (£)	Source
Surgery costs <sup>a</sup>		
Average surgery cost of distal tibia fracture fixation (based on a mean length of stay of 5 days <sup>b</sup> )	5315.47	<i>Reference Costs 2014–15</i> , 'major knee procedures for trauma, 19 years and over, with a CC score of 0', HT23D <sup>33</sup>
Cost per excess bed-day	327.00	<i>Reference Costs 2014–15</i> , 'major knee procedures for trauma, 19 years and over, with a CC score of 0', HT23D <sup>33</sup>
Implants: IM nail fixation		
Guide wire 3.2 × 300	43.11	UHCW finance department
Reaming rod 2.5 × 1000	63.47	UHCW finance department
Distal bolts	45.88	UHCW finance department
End cap	37.93	UHCW finance department
Blocking screw	29.80	UHCW finance department
Nail	265.53	UHCW finance department
Implants: locking plate fixation		
Medial distal tibia plate	358.41	UHCW finance department
Anterolateral distal tibia plate	412.81	UHCW finance department
Lock screw (3.5-mm diameter)	37.79	UHCW finance department
Lock screw (2.7-mm diameter)	26.79	UHCW finance department
Non-lock screw (3.5-mm diameter)	6.98	UHCW finance department
Non-lock screw (2.7-mm diameter)	14.00	UHCW finance department

CC, complications and comorbidities; UHCW, University Hospitals Coventry and Warwick NHS Trust.

a HRG code for distal tibia fracture fixation is similar for both IM and locking plate fixation.

b Surgery cost from *Reference Costs 2014–15*<sup>33</sup> is based on assumed mean length of stay of 5 days for this category of patients; adjustments were made for all patients who stayed in hospital for a period less than 5 days; detailed methodology is explained in *Valuation of resource use*.

use and type of outpatient hospital services, frequency of use and type of community-based health and social care services, medication use and aids and devices provided. In addition, the questionnaires captured the direct non-medical costs (including travel expenses) incurred by patients and their carers, as well as number of days off work and gross loss of earnings, attributable to the trial participant's health state or contacts with care providers. Copies of the resource use questionnaires administered at each time point are provided in *Appendix 3*.

### Valuation of resource use

The derivation of unit cost values was consistent with NICE's *Guide to the Methods of Technology Appraisal 2013*<sup>31</sup> and included values extracted from the Department of Health and Social Care's *Reference Costs 2014–15*,<sup>33</sup> the Personal Social Services Research Unit (PSSRU)'s *Unit Costs of Health and Social Care 2015*,<sup>34</sup> NHS Prescription Cost Analysis and the *British National Formulary*.<sup>35</sup> *Table 35, Appendix 4*, summarises the unit cost values and data sources for the broader resource use categories.

Further inpatient admissions following the initial operation were costed as minor knee procedures for non-trauma (HRG code HN25A) if the inpatient care involved procedures of the leg. However, if the inpatient admission was related to a surgical complication of the primary surgery, individual HRG codes that related to

the subsequent operation procedures undertaken (e.g. debridement, metalwork removal, revision of internal fixation) were derived using the NHS HRG4 2014/15 Reference Cost Grouper software version RC1415 (NHS Digital, Leeds, UK). The Department of Health and Social Care's *Reference Costs 2014–15*<sup>33</sup> was used to assign the costs for each of the derived HRG codes. Subsequent inpatient care that was unrelated to procedures of the leg were also costed using *Reference Costs 2014–15*<sup>33</sup> (Table 35, Appendix 4). The same approach was taken to cost subsequent hospital outpatient care, with resource use data on frequency of outpatient care being combined with the relevant unit costs.

Costs for community-based health services and PSS were calculated by applying unit costs extracted from national tariffs to resource volumes. Costs of medications for individual participants were estimated based on their reported doses and frequencies, when these were available, or based on an assumed daily dose using *British National Formulary*<sup>35</sup> recommendations. When a dose range was reported as 'as required' or when the quantities were not recorded, we assumed a mean cost for that medication item based on the prescription cost analysis values (net ingredient cost per item). If the dose of the medication was missing, we assumed the patient received the same dosage as other trial participants who reported taking the same medication.

The costs of equipment that trial participants received to make their daily lives easier and manage their injury (aids and adaptations) were derived by combining the data on number and type of items received with their unit cost values. Unit costs were obtained from the NHS supply chain catalogue (<https://my.supplychain.nhs.uk/catalogue>; accessed 11 October 2017).

The costs of time taken off work were estimated by applying sex-specific median earnings data from the annual survey of hours and earnings for part-time and full-time work.<sup>36</sup> The employment status of trial participants was derived from self-reported work status information. Broader societal costs were calculated by combining the productivity losses and associated loss of earnings as a result of work absences and any privately incurred costs as attributable to participants' surgeries or impaired health states.

### Calculation of utilities and quality-adjusted life-years

Participants' health-related quality of life was assessed using the EQ-5D<sup>37</sup> obtained at baseline and at 3, 6 and 12 months post randomisation. The EQ-5D defines health-related quality of life in terms of five dimensions: (1) mobility, (2) self-care, (3) usual activities, (4) pain/discomfort and (5) anxiety/depression. Responses in each dimension are divided into three ordinal levels coded: (1) no problems, (2) moderate problems and (3) extreme problems.

The EQ-5D health states are converted into a single summary index by applying a utility algorithm, which attaches values (weights) to each permutation of responses to the EQ-5D descriptive system.<sup>37</sup> EQ-5D preference weights have been elicited from general population samples in the UK using the time trade-off method. The resulting utility scores range from –0.594 to 1.0, with 0 representing death and 1.0 representing full health; values below 0 indicate health states worse than death. QALYs were calculated as the area under the baseline-adjusted utility curve and were calculated using linear interpolation between baseline and follow-up utility scores.

### Missing data

Incomplete data are a particular issue in within-trial health economic evaluations and can result from item-level missingness; for example, when data for visit 2 are missing but data for visit 1 and all visits after visit 2 are available.<sup>38</sup> Consequently, a base-case analysis was constructed when missing data were imputed using fully conditional multiple imputation made chained equations, under the missing at random assumption. Multiple imputation under the missing at random assumption provides unbiased estimates of costs and health consequences. The missing at random assumption was tested through logistic regressions of missingness of costs and QALYs against baseline covariates.

Regression models were used to impute unobserved costs and QALYs at each time point and by treatment allocation using the baseline covariates (age, sex) as predictor variables. Costs and EQ-5D utility scores at each time point contributed as both predictors and imputed variables. The imputation was run 50 times, following the rule of thumb that the number of imputations should be similar to the percentage of incomplete cases.<sup>39</sup> The multiple imputation generated 50 data sets using predictive mean matching. Predictive mean matching provides plausible values when costs and QALYs are non-normally distributed.<sup>40</sup> In line with best practice, the MI model was validated by comparing the distributions of the imputed data with the observed data.<sup>40</sup>

The multiply imputed data sets were analysed independently with bivariate regressions using a seemingly unrelated regression model (Sureg) to estimate the costs and QALYs in each treatment group over the 12-month trial horizon. Non-parametric bootstrapping was used to generate joint distributions of costs and outcomes from the original data set, and changes in costs and QALYs were calculated for each sample. A total of 1000 bootstrap samples were drawn and means for both incremental costs and incremental QALYs (with associated 95% CIs) were calculated.

The final step involved combining estimates from each imputed data set using Rubin's rule to generate an overall mean estimate of costs and QALYs and the SEs.<sup>40</sup> The SE calculated through Rubin's rules reflects the variability within and across imputations.

### **Analyses of resource use, costs and outcome data**

Resource use items were summarised by trial allocation group and follow-up period and differences between groups were analysed using *t*-tests for continuous variables and Pearson chi-squared ( $\chi^2$ ) test for categorical variables. Means and SEs for values of each cost category were estimated by treatment allocation and follow-up period. Statistical differences in mean costs by treatment allocation were assessed using Student *t*-tests. Mean total costs by treatment allocation and follow-up period were also estimated. Statistically significant differences in the mean total costs were assessed using non-parametric bootstrapping, based on 10,000 replications.

We calculated the proportion of patients reporting suboptimal health for each of the five dimensions of the EQ-5D. Patients were considered to be in suboptimal health if they reported moderate or extreme problems. We explored whether or not any statistical differences in suboptimal health-related quality of life existed between the two treatment arms at the different time points, using a Pearson chi-squared ( $\chi^2$ ) test.

### **Cost-effectiveness analyses**

Cost-effectiveness results are expressed in terms of the ICER and calculated as the difference between treatments in mean total costs divided by mean total QALYs. The bootstrap replicates from the non-parametric bootstrapping, described in *Analyses of resource use, costs and outcome data*, were used to populate cost-effectiveness scatterplots. Cost-effectiveness acceptability curves, which showed that the probability that IM nail fixation is cost-effective relative to locking plate fixation across a range of cost-effectiveness thresholds, were also generated based on the proportion of bootstrap replicates with positive incremental net benefits. The net monetary benefit (NMB) of using IM nail fixation versus locking plate fixation was also calculated across three cost-effectiveness thresholds, namely £15,000 per QALY, £20,000 per QALY and £30,000 per QALY. A positive incremental NMB indicates that the intervention is cost-effective compared with the alternative at the given cost-effectiveness threshold.

### **Sensitivity and subgroup analyses**

Several sensitivity analyses were undertaken to assess the impact that uncertain parameters had on components of the economic evaluation. These involved re-estimating the main cost-effectiveness outcomes under the following scenarios: (1) restricting the analyses to complete cases (i.e. those with complete cost and outcome data over the 12-month follow-up period); (2) adopting a wider societal perspective that included private costs incurred by trial participants and their families, as well as productivity losses and loss of earnings owing to work absences; (3) estimating the cost-effectiveness under a per-treatment analysis;



and (4) additionally adjusting the baseline analysis for pre-injury health-related quality of life, which was assessed using the EQ-5D at baseline.

Subgroup analyses were also conducted for the main cost-effectiveness results to explore heterogeneity in the trial population. These were conducted by (1) age group (< 50 and ≥ 50 years) and (2) sex (male and female).

### Longer-term economic modelling

The study protocol allowed for decision-analytic modelling to extend the cost-effectiveness of IM nail fixation, drawing on best-available secondary data sources, supplemented when necessary by expert opinion.

## Results of economic analysis

*Table 36, Appendix 4*, shows the degree of missing health economic data by treatment allocation and follow-up time point. The missing data pattern is non-monotonic, as individuals with missing data at one follow-up time point may return to the trial subsequently. For example, there are more missing EQ-5D data at 3 months than at 6 months. A similar pattern can be observed for costs.

### Health-care resource use

*Table 8* summarises the key resource inputs associated with the initial treatment of displaced extra-articular fractures of the distal tibia. *Table 37, Appendix 4*, presents details of broader health and social care resource use over the 12-month follow-up period for complete cases, disaggregated by resource category and period of follow-up. Generally, resource use at the aggregate level was higher for participants allocated to the locking plate than those allocated to IM nail fixation, but this was not always statistically significant. The exceptions were differences in mean total inpatient stay at 6 months (0 vs. 0.11 months), which was statistically significant at the 5% level ( $p$ -value of 0.03), and mean total outpatient care contacts at 6 months (3.64 vs. 4.78 mean outpatient contacts), which was also significant at the 5% level ( $p$ -value of 0.04). The reported number of days taken off work was generally higher for the locking plate arm. However, the mean difference (46.12 vs. 54.46) was significant at a 10% significance level at 3 months ( $p$ -value of 0.07). Regarding components of the resource categories, patients in the locking plate arm were more likely to use walking frames at 3 months (0.20 vs. 0.34), utilise more NHS physiotherapy (1.84 vs. 2.53) at 6 months and report higher use of 'other' medicines at 12 months (0.08 vs. 0.29).

### Costs

*Table 23* summarises the total costs associated with resource use during the trial period among complete cases by cost category and follow-up period. The mean intervention costs from admission until discharge were £5585 for IM nail fixation compared with £5615 for locking plate fixation; the mean difference of £30 was not statistically significant. The mean total NHS and PSS cost throughout the first 6 months post randomisation was £5876 for IM nail fixation and £6814 for locking plate fixation; the mean cost difference of £939 was statistically significant at the 5% level. The mean total NHS and PSS cost for the entire 12-month follow-up period was £6107 for IM nail fixation and £7102 for locking plate fixation; the mean cost difference of £995 was statistically significant at the 10% significance level. Total societal costs among cases with complete data are summarised in *Table 24*. The mean total societal costs throughout the first 6 months was £9793 for IM nail fixation compared with £12,178 for locking plate fixation; the mean cost difference of £2385 was statistically significant at the 10% significance level. The mean total societal costs are £9490 for IM nail fixation and £12,886 for locking plate fixation; the mean cost difference of £3396 was statistically significant at the 5% significance level.

**TABLE 23** NHS and personal social service costs for cases with complete data by trial allocation, study period and cost category (£, 2014–15 prices)

Cost category by period	Treatment arm, mean (SE) cost		Mean difference	p-value <sup>a</sup>	Bootstrap 95% CI <sup>b</sup>
	IM nail fixation	Locking plate			
Baseline to discharge (total, <i>n</i> = 318: IM nail group; <i>n</i> = 158; locking plate group, <i>n</i> = 160)					
Intervention costs (includes initial surgery costs + initial hospital stay + implants)	5584.86 (107.84)	5615.52 (106.59)	−30.66	0.84	−328.02 to 266.70
Discharge to 3 months (total, <i>n</i> = 210: IM nail group, <i>n</i> = 104; locking plate group, <i>n</i> = 106)					
Subsequent inpatient care	66.22 (49.31)	229.53 (162.97)	−163.31	0.34	−376.63 to 222.79
Outpatient care	137.64 (5.95)	140.71 (7.86)	−3.07	0.78	−15.49 to 19.21
Community care	49.01 (9.82)	371.58 (318.06)	−322.57	0.31	−764.66 to 242.61
Medications	25.30 (8.36)	32.06 (13.12)	−6.76	0.51	−26.01 to 52.51
PSS	0.23 (0.23)	0.85 (0.51)	−0.62	0.27	−1.49 to 0.54
Aids and adaptations	8.65 (1.93)	9.02 (1.35)	−0.37	0.87	−4.58 to 3.33
Total cost	287.05 (51.42)	783.75 (358.54)	−496.70	0.17	−1201.39 to 208.00
Subsequent inpatient care	11.27 (11.20)	121 (63.34)	−109.73	0.09	−235.82 to 16.37
Outpatient care	81.43 (7.39)	106.74 (12.98)	−25.31	0.09	−54.60 to 3.99
Community care	54.13 (20.35)	154.97 (110.55)	−100.84	0.37	−321.55 to 119.87
Medications	8.00 (2.99)	4.28 (1.13)	3.72	0.25	−1.98 to 8.17
PSS	0.56 (0.32)	0.37 (0.26)	0.19	0.361	−0.62 to 1.00
Aids and adaptations	1.40 (0.57)	1.33 (0.49)	0.07	0.92	−1.40 to 1.55
Total cost	156.79 (25.84)	388.70 (128.21)	−231.90	0.08	−487.94 to 22.80
6–12 months (total, <i>n</i> = 219: IM nail, <i>n</i> = 108; locking plate group, <i>n</i> = 111)					
Subsequent inpatient care	168.00 (66.46)	293.51 (102.88)	−125.51	0.31	−331.86 to 147.03
Outpatient care	52.61 (7.79)	58.98 (9.72)	−6.37	0.61	−33.08 to 12.48
Community care	15.45 (5.39)	42.37 (28.60)	−26.92	0.36	−89.66 to 31.29
Medications	12.53 (9.89)	30.74 (24.58)	−18.21	0.49	−48.54 to 23.01
PSS	0.61 (0.44)	0.64 (0.44)	−0.03	0.99	−1.33 to 1.30
Aids and adaptations	0.38 (0.19)	0.35 (0.21)	0.03	0.93	−0.65 to 0.60
Total cost	249.58 (72.38)	426.59 (119.86)	−177.01	0.21	−420.89 to 137.92
0–6 months ( <i>n</i> = 189 total: IM nail group, <i>n</i> = 91; locking plate group, <i>n</i> = 98)					
Initial operation cost	5460.04 (137.92)	5600.11 (137.92)	−140.07	0.19	−684.24 to 262.61
Subsequent Inpatient care	40.73 (29.35)	313.14 (187.55)	−272.41	0.08	−648.97 to 104.13
Outpatient care	218.66 (11.46)	249.01 (19.49)	−30.35	0.09	−75.00 to 14.31
Community care	106.91 (28.42)	601.69 (371.42)	−494.78	0.10	−1233.98 to 244.42
Medications	37.73 (10.18)	38.83 (14.28)	−1.11	0.47	−35.73 to 33.52
PSS	0.52 (0.52)	0.98 (0.59)	−0.46	0.28	−2.02 to 1.10
Aids and adaptations	10.97 (2.30)	10.45 (1.61)	0.52	0.58	−5.02 to 6.06
Total costs throughout first 6 months	5875.56 (124.85)	6814.22 (425.71)	−938.66	0.04*	−1795.46 to −83.62

**TABLE 23** NHS and personal social service costs for cases with complete data by trial allocation, study period and cost category (£, 2014–15 prices) (*continued*)

Cost category by period	Treatment arm, mean (SE) cost		Mean difference	p-value <sup>a</sup>	Bootstrap 95% CI <sup>b</sup>
	IM nail fixation	Locking plate			
0–12 months (total, <i>n</i> = 160: IM nail group, <i>n</i> = 70; locking plate group, <i>n</i> = 78)					
Initial operation costs	5428.47 (112.00)	5528.72 (114.25)	−100.26	0.53	−671.23 to 298.66
Subsequent inpatient care	234.91 (92.68)	596.25(237.18)	−361.34	0.16	−848.35 to 211.12
Outpatient care	268.94 (16.90)	299.14 (26.25)	−30.20	0.34	−100.29 to 27.88
Community care	107.09 (23.30)	588.22 (410.64)	−481.13	0.25	−1401.81 to 361.51
Medications	58.14 (19.60)	78.45 (35.95)	−20.31	0.62	−111.91 to 62.76
PSS	0.32 (0.32)	0.91 (0.64)	−0.59	0.40	−2.16 to 0.88
Aids and adaptations	9.45 (2.08)	10.77 (1.89)	−1.28	0.65	−7.90 to 2.03
Total costs throughout first 12 months	6107.32 (158.56)	7102.46 (485.18)	−995.14	0.05	−2069.63 to −74.93

\*Significant difference at the 95% level.

a *p*-value calculated using the Student's *t*-test, two-tail unequal variance.

b Non-parametric bootstrap estimation using 1000 replications.

**TABLE 24** Societal costs related to distal fracture fixation for cases with complete data by treatment arm (£, 2014–15 prices)

Cost category by period	Treatment arm, mean (SE) cost		Mean difference	p-value <sup>a</sup>
	IM nail fixation	Locking plate		
Follow-up period: 0–6 months				
NHS and PSS costs	5875.56 (124.85)	6814.22 (425.71)	–938.66	0.04
Private costs	16.36 (8.02)	12.46 (3.74)	3.90	0.65
Cost of lost productivity	3901.13 (759.48)	5351.80 (814.56)	–1450.67	0.20
Societal costs	9793.05 (761.66)	12,178.48 (1003.33)	–2385.43	0.07
Follow-up period: 0–12 months				
NHS resource use costs	6107.32 (158.56)	7102.46 (485.18)	–995.14	0.06
Private costs	49.52 (35.72)	24.65 (7.80)	24.87	0.48
Cost of lost productivity	3333.28 (649.45)	5758.62 (1032)	–2425.34	0.05
Societal costs	9490.12 (658.07)	12,885.73 (1174.33)	–3395.61	0.01

## Health outcomes

Table 25 details the number and proportion of individuals reporting each of the EQ-5D dimension levels at each time point. The proportion of trial participants reporting suboptimal health (moderate to extreme health outcomes) is also indicated for each dimension and the difference between the two treatment arms shown by *p*-values. With the exception of mobility at 3 months [IM nail fixation (81%) vs. locking plate fixation (89%)], which was statistically significant at the 10% significance level, there were no significant differences in the proportions of individuals reporting suboptimal health within dimensions between the two arms at each time point.

**TABLE 25** The EQ-5D descriptive measurements by trial allocation, study period and EQ-5D dimension

Time point	EQ-5D domain level, <i>n</i> (%) <sup>a</sup>																			
	Mobility				Self-care				Anxiety/depression				Usual activities				Pain/discomfort			
	Level 1	Level 2	Level 3	Suboptimal	Level 1	Level 2	Level 3	Suboptimal	Level 1	Level 2	Level 3	Suboptimal	Level 1	Level 2	Level 3	Suboptimal	Level 1	Level 2	Level 3	Suboptimal
Baseline: IM ( <i>n</i> = 157); locking plate ( <i>n</i> = 158)																				
IM nail	3 (2)	44 (28)	110 (70)	154 (98)	19 (12)	109 (69)	29 (18)	138 (88)	64 (41)	74 (47)	18 (11)	92 (59)	5 (3)	28 (18)	124 (79)	152 (97)	6 (4)	92 (59)	59 (38)	151 (96)
Locking plate	2 (1)	41 (26)	115 (73)	156 (99)	19 (12)	109 (69)	30 (19)	139 (88)	76 (48)	70 (44)	12 (8)	82 (52)	4 (3)	29 (18)	124 (78)	153 (97)	11 (7)	103 (65)	44 (28)	147 (93)
<i>p</i> -value				0.65				0.98				0.94				0.73				0.12
3 months: IM ( <i>n</i> = 135); locking plate ( <i>n</i> = 141)																				
IM nail	25 (19)	107 (79)	3 (2)	110 (81)	96 (71)	38 (28)	2 (1)	40 (29)	71 (53)	58 (43)	7 (5)	65 (48)	12 (9)	99 (73)	25 (19)	124 (92)	21 (16)	104 (77)	11 (8)	115 (85)
Locking plate	15 (11)	125 (89)	1 (1)	126 (89)	92 (65)	49 (35)	0	49 (35)	76 (54)	53 (38)	12 (9)	124 (88)	6 (4)	102 (72)	33 (23)	135 (96)	16 (11)	107 (76)	18 (13)	125 (89)
<i>p</i> -value				0.07				0.34				0.42				0.12				0.31
6 months: IM ( <i>n</i> = 142); locking plate ( <i>n</i> = 142)																				
IM nail	64 (45)	77 (54)	1 (1)	78 (55)	116 (82)	26 (18)	0	26 (18)	81 (57)	52 (37)	9 (6)	142 (43)	53 (37)	77 (54)	12 (8)	89 (63)	34 (24)	98 (69)	10 (7)	108 (76)
Locking plate	57 (40)	85 (60)	0	85 (60)	114 (80)	28 (20)	0	28 (20)	85 (60)	50 (35)	7 (5)	142 (40)	41 (29)	85 (6)	16 (11)	101 (71)	29 (20)	108 (76)	5 (4)	113 (80)
<i>p</i> -value				0.401				0.762				0.72				0.13				0.28
12 months: IM ( <i>n</i> = 116); locking plate ( <i>n</i> = 118)																				
IM nail	73 (63)	43 (37)	0	43 (37)	98 (84)	18 (16)	0	18 (16)	77 (66)	35 (30)	3 (3)	38 (33)	61 (53)	51 (44)	4 (3)	55 (47)	37 (32)	73 (63)	6 (5)	79 (68)
Locking plate	71 (60)	46 (39)	1 (1)	47 (40)	105 (89)	13 (11)	0	13 (11)	82 (69)	31 (26)	5 (4)	36 (31)	68 (58)	43 (36)	7 (6)	50 (42)	41 (35)	72 (61)	5 (4)	77 (65)
<i>p</i> -value				0.66				0.31				0.55				0.44				0.87

<sup>a</sup> *n* (%) are the absolute number and percentages of participants in each EQ-5D dimension and ordinal level. Rating of health care was self-reported.

## Cost-effectiveness results

The cost-effectiveness results are presented in *Table 26* with locking plate fixation selected as the comparator. The analytic time horizon covers the entire 12-month follow-up period of the trial. *Table 26* shows the joint distribution of incremental costs and outcomes for the base-case analysis, sensitivity analyses and subgroup analyses. The joint distribution of costs and outcomes for the base-case analysis, sensitivity analyses and subgroup analyses are graphically represented in *Figure 9* using the NMB metric and in *Figure 10* using scatterplots and cost-effectiveness acceptability curves. Plots for the remaining of the sensitivity analyses that are not shown in *Figure 10* mirror the base-case analysis plot. As such, we elected not to present them.

## Base-case analysis

Patients allocated IM nail fixation experienced a non-statistically significant increase in QALYs in the base case (0.01 QALYs, 95% CI –0.03 to 0.06 QALYs) over a 12-month period. Mean NHS and PSS costs were significantly lower in the IM nail fixation group (–£970, 95% CI –£1685 to –£256). The ICER for the base-case analysis indicates that IM nail fixation is the dominant procedure, as average costs for this intervention were lower and average benefits were greater than those for locking plate fixation.

Assuming cost-effectiveness thresholds of £15,000 per QALY, £20,000 per QALY and £30,000 per QALY, the probability of cost-effectiveness for IM nail fixation ranged from 0.94 to 0.98 and the NMB associated with IM nail fixation was positive (see *Figure 9*). However, the 95% confidence levels for the NMB statistics overlapped the zero mark; the exception was the NMB at a cost-effectiveness threshold of £15,000 per QALY, for which the upper and lower limits for the 95% CI were both positive.

## Sensitivity analyses

Comparing the mean costs and QALY estimates using different analytical scenarios [complete case, societal perspective and imputed attributable costs (additionally controlled for pre-injury utility)] supported the base-case finding (see *Table 26*, *Figures 9* and *10*). However, the per-treatment analysis showed a slightly different pattern for QALY outcomes (see *Table 26* and *Figure 10*). The results for this analysis indicated that participants in the IM fixation arm experienced slightly worse health-related quality-of-life outcomes. However, the result was not statistically significant. The cost difference remained in the same direction as that for the base-case analysis and indicated that IM fixation was significantly less costly than locking plate fixation.

## Subgroup analyses

The subgroup analyses for age and sex revealed that there was significant interaction between treatment effect and age group, but this was significant at the 10% significance level (*p*-value of 0.09); the sex subgroup analysis did not reveal any statistically significant interactions. IM nail fixation lowered costs for patients aged < 50 years (–£1468, 95% CI –£3547 to –£291) and moderately increased QALY benefits (see *Table 26*). For patients aged ≥ 50 years, IM fixation reduced costs and moderately reduced QALY outcomes, with an ICER of £60,000 per QALY that fell within the south-west quadrant of the cost-effectiveness plane, that is, the average costs were less and average benefits were also lower for IM nail fixation than for locking plate fixation. The 95% CIs for both the costs and QALY estimates suggest considerable uncertainty surrounding the effects of IM nail fixation for this category of patients; this is graphically depicted in the NMB forest plot (see *Figure 9*). Cost-effectiveness acceptability curves for the subgroup analyses show the differences in probability of cost-effectiveness of IM nail fixation versus locking plate fixation (*Figure 11*). According to *Figure 11*, the probability that IM nail fixation is more cost-effective is higher for individuals aged < 50 years. There is no observable difference in probability of cost-effectiveness of IM nail fixation between male and female participants.

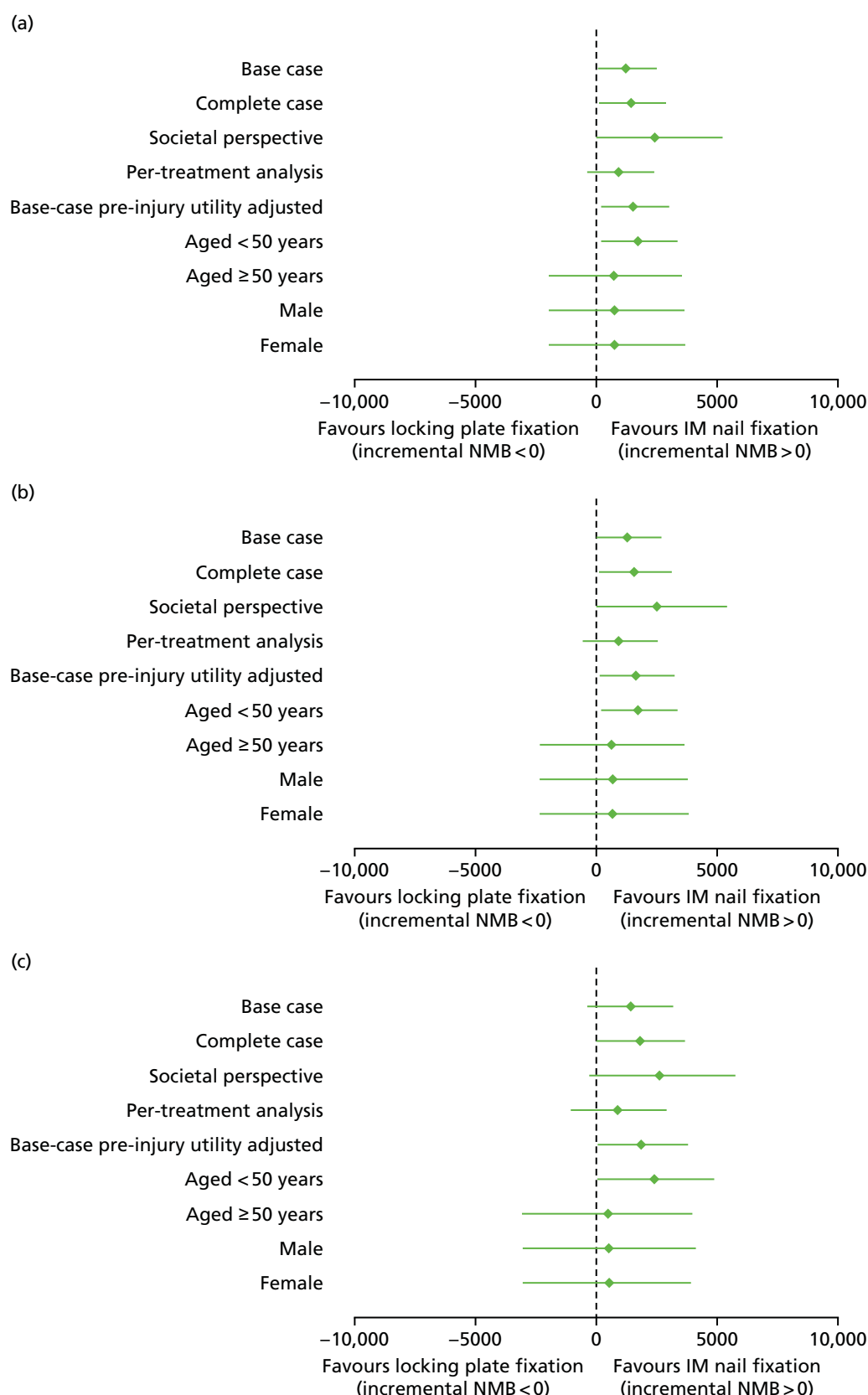
## Long-term economic modelling

The protocol allowed for long-term decision-analytic modelling of the economic outcomes. We conducted a further analysis of the health-related quality-of-life outcomes of the trial participants using extended follow-up data for this trial. This analysis indicated that EQ-5D utility scores for the IM nail fixation and locking plate

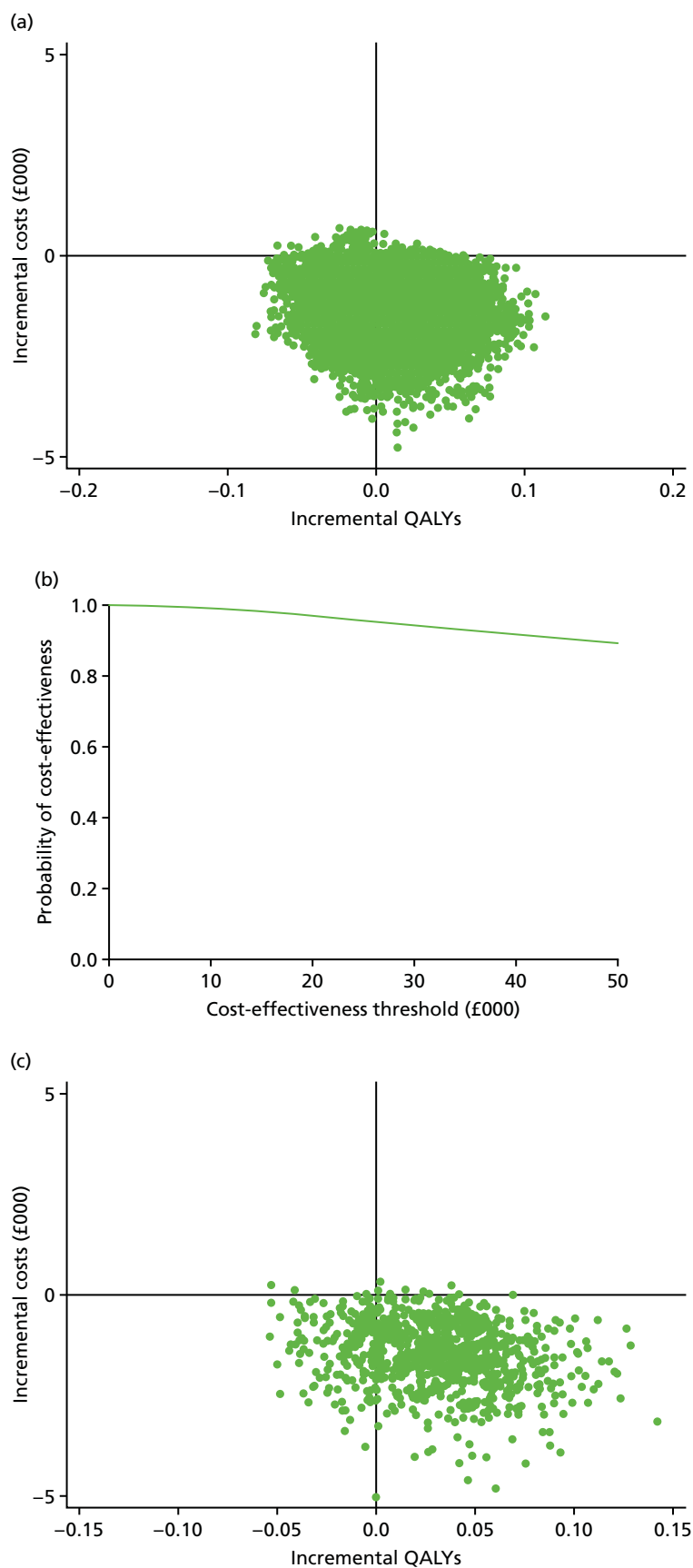
**TABLE 26** Cost-effectiveness, cost/QALY (£, 2015): IM nail fixation compared with locking plate fixation

Scenario	Incremental cost (95% CI)	Incremental QALYs (95% CI)	ICER <sup>a</sup>	Probability of cost-effectiveness (willingness-to-pay threshold)			NMB (willingness-to-pay threshold)		
				£15,000 per QALY	£20,000 per QALY	£30,000 per QALY	£15,000 per QALY (95% CI)	£20,000 per QALY (95% CI)	£30,000 per QALY (95% CI)
Base-case analysis									
Imputed attributable costs and QALYs, covariate adjusted	–970 (–1685 to –256)	0.01 (–0.03 to 0.06)	Dominant	0.98	0.97	0.94	1204 (43 to 2465)	1273 (–82 to 2689)	1410 (–385 to 3190)
Sensitivity analyses									
Complete-case attributable costs and QALYs, covariate adjusted	–1791 (–3986 to –225)	0.04 (–0.02 to 0.09)	Dominant	0.99	0.98	0.98	1429 (146 to 2818)	1558 (118 to 3069)	1818 (36 to 3626)
Societal perspective	–2230 (–4626 to 167)	0.014 (–0.03 to 0.06)	Dominant	0.97	0.97	0.96	2423 (–26 to 5173)	2493 (–93 to 5337)	2626 (–270 to 5706)
Per-treatment analysis – imputed attributable costs and QALYs, covariate adjusted	–875 (–1725 to –26)	–0.01 (–0.06 to 0.04)	172,857 (south-west quadrant)	0.92	0.88	0.81	923 (–347 to 2353)	909 (–570 to 2508)	872 (–1032 to 2861)
Imputed attributable costs and QALYs, additionally controlling for pre-injury utility	–1188 (–2266 to –110)	0.02 (–0.02 to 0.06)	Dominant	0.99	0.99	0.98	1518 (212 to 2940)	1633 (180 to 3194)	1862 (66 to 3738)
Subgroup analyses									
Base case: aged < 50 years	–1468 (–3547 to –291)	0.08 (0 to 0.17)	Dominant	0.99	0.98	0.98	1730 (207 to 3320)	1953 (166 to 3804)	2402 (55 to 4830)
Base case: aged ≥ 50 years	–821 (–2760 to 1110)	–0.022 (–0.09 to 0.05)	60,000 (south-west quadrant)	0.71	0.67	0.62	709 (–1960 to 3480)	630 (–2320 to 3610)	473 (–3065 to 3930)
Base case: males	–1651 (–5042 to –682)	0.05 (–0.07 to 0.17)	Dominant	0.71	0.68	0.62	745 (–1945 to 3612)	670 (–2305 to 3741)	520 (–3043 to 4075)
Base case: females	–1193 (–5243 to 102)	0.02 (–0.05 to 0.10)	Dominant	0.71	0.68	0.62	746 (–1950 to 3643)	673 (–2307 to 3781)	529 (–3049 to 4157)

<sup>a</sup> Dominance indicates that average costs were less and average benefit greater for IM nail fixation than locking plate fixation.

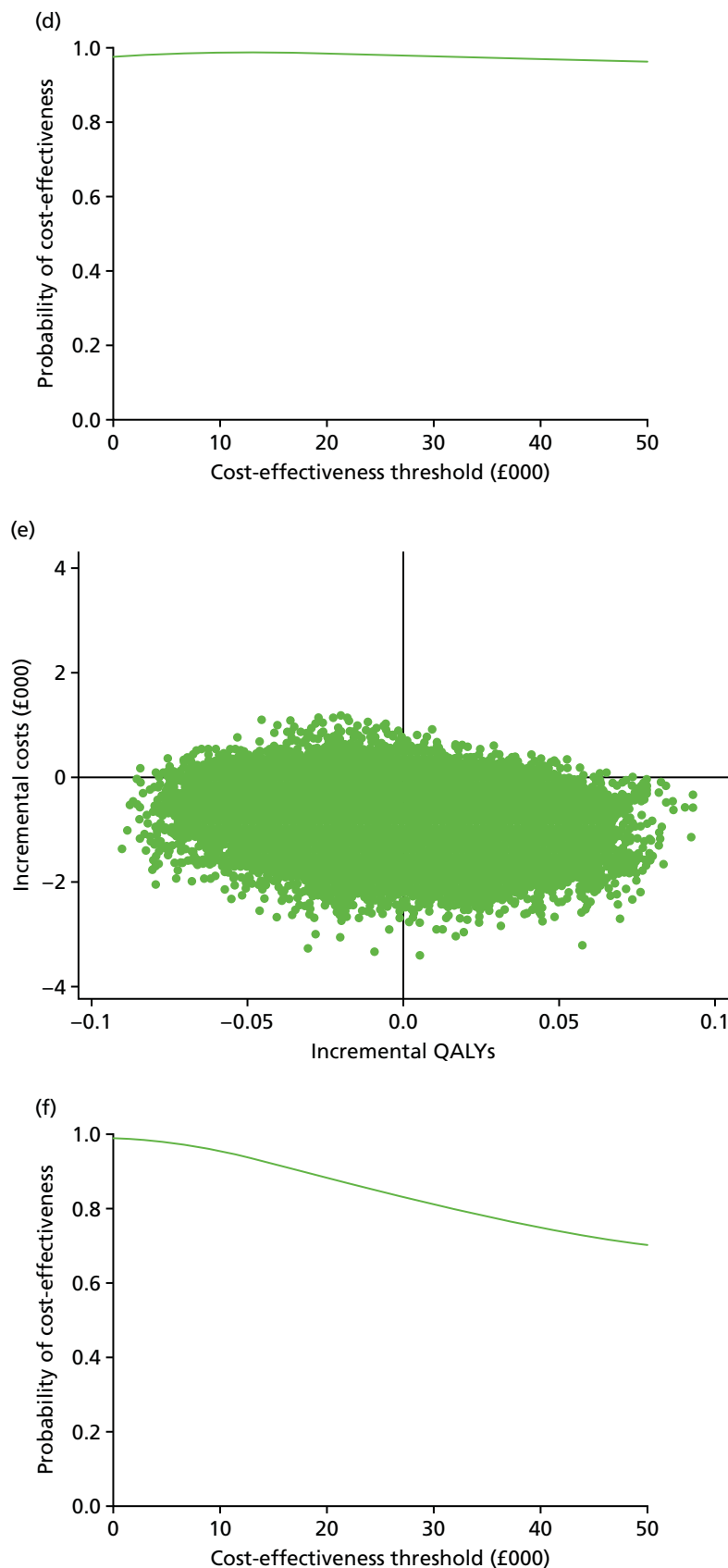


**FIGURE 9** Forest plots of base-case, sensitivity and subgroup analyses showing the impact on incremental NMB at cost-effectiveness thresholds of (a) £15,000 per QALY, (b) £20,000 per QALY and (c) £30,000 per QALY.

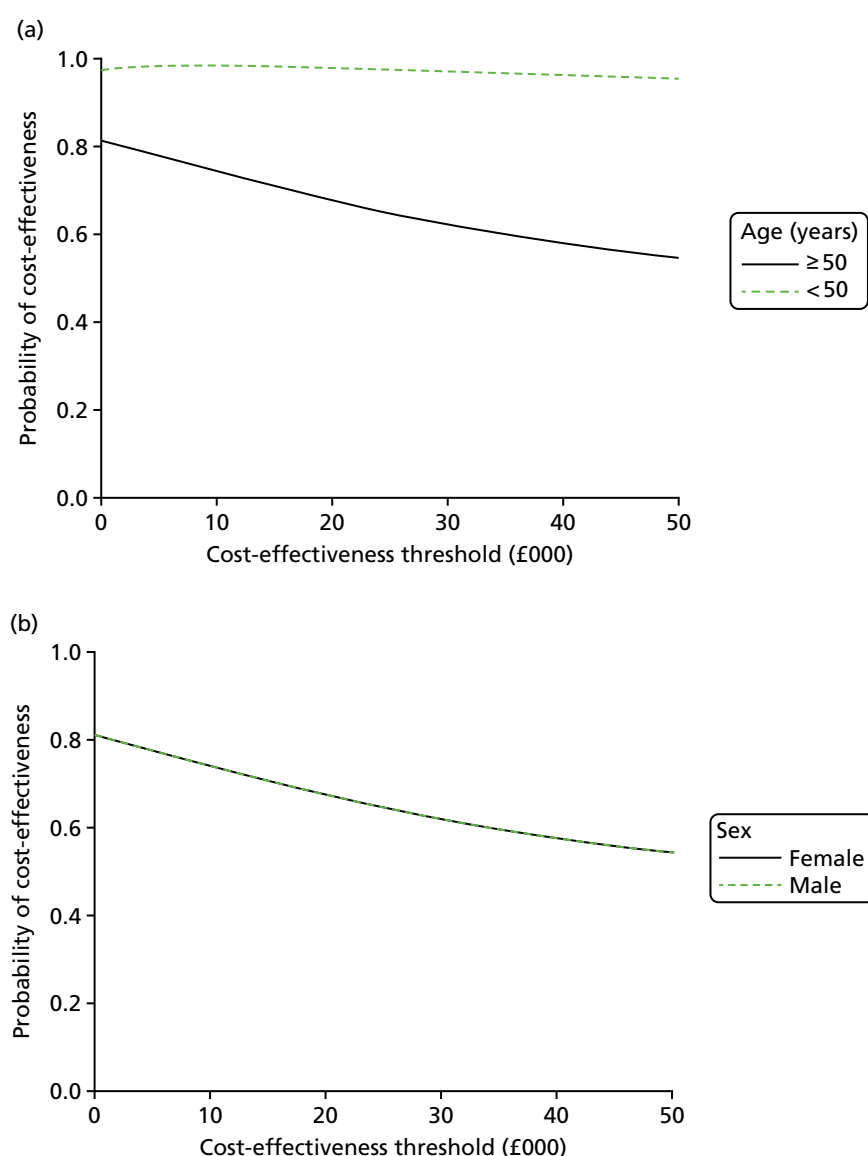


**FIGURE 10** Cost-effectiveness scatterplots and acceptability curves at 12 months. Base-case analysis (NHS and personal social service perspective, imputed, intention-to-treat analysis): (a) scatterplot and (b) cost-effectiveness acceptability curve; complete-case analysis – (c) scatterplot and (d) cost-effectiveness acceptability curve; and imputed per-treatment analysis – (e) scatterplot and (f) cost-effectiveness acceptability curve. (*continued*)





**FIGURE 10** Cost-effectiveness scatterplots and acceptability curves at 12 months. Base-case analysis (NHS and personal social service perspective, imputed, intention-to-treat analysis): (a) scatterplot and (b) cost-effectiveness acceptability curve; complete-case analysis – (c) scatterplot and (d) cost-effectiveness acceptability curve; and imputed per-treatment analysis – (e) scatterplot and (f) cost-effectiveness acceptability curve.



**FIGURE 11** Cost-effectiveness acceptability curves at 12 months (NHS and personal social service perspective, imputed). (a) Age; and (b) sex.

groups were similar at 24 months post randomisation. This analysis was based on sample of 74 (out of 113) participants who had reached the 24-month follow-up time point at the time of writing. The mean EQ-5D utility score in the IM nail fixation group was 0.76 (95% CI 0.69 to 0.82) versus 0.80 (95% CI 0.74 to 0.85) in the locking plate group at 24 months post randomisation. The  $p$ -value for the utility score difference of 0.36 was not statistically significant. This indicated that the benefits of IM nail fixation are very likely to be concentrated in the first year following the treatment of displaced, extra-articular fractures of the distal tibia. In addition, external studies were systematically searched for comparisons of locking plate and IM nail fixation, but no good-quality evidence on differences in functional outcomes and health-related quality of life beyond 12 months post surgery could be found. The available studies were based on a short follow-up period,<sup>41</sup> had a small sample size,<sup>12,42,43</sup> and was a non-randomised study that relied on retrospective reviews or case series, which tend to suffer from selection bias,<sup>11,12,43</sup> or had a combination of these factors. It was, therefore, concluded that longer-term extrapolation of the cost-effectiveness of IM nail fixation would not be appropriate.

# Chapter 5 Summary and discussion

## Screening

A large number of patients were screened for eligibility to enter the trial, which is testament to the hard work of the research teams at each centre. As all patients with a fracture of the tibia are seen by specialist surgeons on the day that they attend the emergency department and because the great majority of patients with this fracture are admitted to the hospital, we can be confident that the research teams screened all potentially eligible patients.

Table 27, Appendix 1, shows the reasons why screened potential patients were not eligible ( $n = 1581$ ). The most commonly used exclusion criteria were as expected: the fracture was too proximal (did not extend within 2 Müller squares of the ankle joint 375/1581, 24%), the fracture was open (369/1581, 23%) or the fracture extended into the ankle joint (329/1581, 21%). However, the wide variation in totals, by centre, indicates that some sites screened extensively and recorded any patient with a fracture involving the tibia; however, others only recorded fractures of the 'distal' tibia on the screening logs. Some centres also included children in their screening log; however, other adult-only trauma centres did not admit any children to their hospital.

### Eligible but did not participate

The reasons why eligible potential participants were not randomised ( $n = 216$ ) are given in Table 28, Appendix 1. This information is key to determining the external validity of the trial, that is, the degree to which the result of the UK FixDT trial can be generalised to the broader population of patients with a fracture of the distal tibia.

Some of the reasons were inevitable and are unlikely to have created a selection bias within the trial. The NIHR Clinical Research Network is, generally, unable to provide routine weekend research associate support for clinical trials, so 53 out of these 216 patients (25%) were not recruited for the reason of 'no research staff being available'. Similarly, there were 18 potential participants for whom the reason for non-participation was on obvious clinical grounds; these reasons included skin around ankle precluded locking plate fixation ( $n = 4$ ), primary amputation ( $n = 3$ ) and hind foot nails used ( $n = 2$ ). Thirteen patients (13/216, 6%) declined any surgery, despite this being offered by the clinical team. The number of patients who declined the trial as they 'did not want to be part of a research study' (25/216, 12%) or 'did not want to fill in a questionnaire' (9/216, 4%) was small, which, once again, goes to show that patients with even severe injuries are keen to take part in research projects.

Patients who were not included in the trial because of a preference for one treatment or the other were of more potential concern. However, preference for a treatment did not seem to be an important issue for the patients; only 38 patients (38/216, 18%) expressed a preference, of whom 23 preferred an IM nail fixation and 15 a locking plate fixation. By contrast, there was a stronger treatment preference among the surgeons and 57 potentially eligible patients were excluded because the surgeon had a treatment preference for the patient. Of these 57 participants, 54 were because the surgeon had a preference to use an IM nail and three were because the surgeon had a preference to use a locking plate. The breakdown of patients who were potentially eligible but who did not participate by centre is informative. Some centres had no such patients; however, two centres had 13 (Royal Sussex County Hospital) and eight (Frenchay Hospital), suggesting that there was a 'centre' preference for IM nail fixation in those centres. The Royal Infirmary of Edinburgh demonstrated this phenomenon very early in their recruitment period and decided to withdraw from the trial completely after only one patient was recruited.

Overall, 60% of patients who were potentially eligible to take part in the trial were approached and agreed to take part. Only 17% of patients who were potentially eligible did not take part in the trial because of a preference for one treatment or the other; the majority as a result of surgeon preference rather than a patient preference. *Table 3* showed that the baseline characteristics of those patients who were eligible and recruited versus those who were potentially eligible but not recruited were well matched.

Therefore, in summary, we can be confident that the results of this trial can be extrapolated to the wider population with a fracture of the distal tibia.

### **Treatment according to allocation**

The CONSORT flow diagram (see *Figure 3*) shows that 156 out of the 161 patients allocated to IM nail fixation received the allocated treatment. Of the 160 patients allocated to locking plate fixation, 137 received the allocated treatment. Therefore, in total, 91% (293/321) of the patients who were randomised received their allocated treatment.

The fact that fewer patients crossed over from IM nail to locking plate fixation than from locking plate to IM nail fixation may, again, reflect a surgeon preference for IM nail fixation. However, the number of crossovers is too small to comment in any meaningful way. Importantly, the small number of crossovers overall makes it very unlikely that surgeon preference will have affected the result of the trial.

### **Recruitment by centre**

The rate of recruitment by centres varied between  $< 0.1$  pcpm (Basingstoke & North Hampshire Hospital) and  $> 1.4$  pcpm (University Hospitals of Leicester). To a large degree this was determined by the size of the catchment area (population served) by the hospital. University Hospitals of Leicester has a very large catchment compared with Basingstoke & North Hampshire Hospital. Given that some centres were only open for a few months (Basingstoke & North Hampshire Hospital), and the number of patients presenting to each centre per month was relatively low, the variation in recruitment rate may also have been affected by simple variation in presentations over a short period of time.

However, some large-catchment hospitals were open for a relatively long time and still had a low rate of recruitment, for example Frenchay Hospital and University Hospitals Coventry & Warwickshire. Therefore, it is more likely that the rate of recruitment in those centres was affected by local recruitment issues. Several centres reported being affected by staff shortages (King's College Hospital, Aintree University Hospital). Only one centre (Royal Infirmary of Edinburgh) withdrew because of lack of equipoise in the local surgeons.

## **Baseline characteristics of the two groups**

### **Patients**

The baseline demographic and clinical characteristics of both treatment groups were well balanced by the randomisation process (see *Table 6*). The baseline patient-reported outcome measures also showed good balance, with similar mean scores being seen across all of the outcomes measures.

Of the 321 patients randomised into the trial, 70% had no preference for which treatment they received. This is further evidence that the patients did appear to have equipoise having read the participant information sheet and discussed the trial with the clinical team, as well as with their friends and relatives.

The most common mechanism of injury was a 'low-energy fall' and the great majority of patients did not have any other injuries at the time of their fracture, that is, the majority of distal tibial fractures are isolated injuries rather than one of multiple injuries in the context of high-energy polytrauma (see *Table 6*).

## Surgeons

Surgery for fracture of the distal tibia is potentially complicated and may be technically challenging, depending on the fracture pattern and associated soft-tissue injury. It is, therefore, unsurprising that the majority of operations in both groups were performed by consultant surgeons: 90 (56%) of IM nail fixations and 99 (62%) of locking plate fixations. The great majority of the other operations being performed by a trainee surgeon under supervision by a consultant. As surgery to fix the distal tibia is technically demanding, surgical assistants are often required. There was, on average, 2.5 surgeons present in the operating theatre for both the IM nail fixations and locking plate fixations.

## Surgery

Full details of the surgery performed are given in *Table 8*. Of note, the duration of surgery was exactly the same in both groups of patients, with a mean duration of 2 hours and 4 minutes of operating time. This contrasts with some previous reports, in which IM nail fixation was found to be a quicker procedure.<sup>14</sup>

The use of locking plate fixation provides more options for screw fixation distal to the fracture than IM nail fixation. This is inherent in the design of the implants and, indeed, is one of the theoretical advantages of locking plate fixation. As expected, the number of screws used in the distal part of the plates was greater than the number used in the nails. Five or six distal locked screws were used most commonly in the locking plate fixation group.

The use of blocking (Poller) screws to augment the IM nail fixation of metaphyseal fractures, such as those in the distal tibia, is the subject of much debate in the orthopaedic trauma community. However, surgeons in this trial only used blocking screws in 21% of fractures fixed with a tibial nail. The number of cases in which blocking screws were used is too small to comment on differences between centres and certainly too small to identify preferences between surgeons.

Intraoperative complications, including extension of the distal tibial fracture into the ankle joint, were rare: 2% in both groups. This is reassuring as one of the often quoted 'risks' associated with IM nail fixation is that the nail may propagate the fracture into the weight-bearing portion of the distal tibial articular surface at the ankle.

*Table 9* shows that the number of patients who crossed over to the other treatment group after randomisation; this is inevitable in trials of surgical interventions and may be because of intraoperative clinical reasons or surgeon preference. Of course, there may be some overlap between these reasons, as an individual surgeon may feel more confident using one technique over another. In technically demanding surgery, such as fixation of fractures of the distal tibia, this may be a large effect. However, in the UK FixDT trial, over 91% (293/321) of participants received their allocated treatment. Therefore, we can be confident that the results of the trial were not unduly affected by crossover of treatment. Even so, we used the conservative intention-to-treat approach as the primary analysis.

Among those allocated to the IM nail fixation group, 97% (156/161) of participants received their allocated treatment, and in the locking plate group, 86% (137/160) of participants received their allocated treatment (there were three participant withdrawals before any intervention was undertaken: two were treated with external fixation and one was treated with manipulation under anaesthetic only). The small number of patients who crossed over were spread across all of the centres; the highest being eight patients (University Hospitals of Leicester), although this centre also had the highest overall recruitment (45 participants). No other centre had more than three patients crossover treatment, so there did not appear to be a centre-specific effect. A centre-specific effect in surgical trials may be because of the particular philosophy and/or training issues in that centre/regional training programme. Again, a preference for one technique may be more common in technically demanding surgery. The one centre (Royal Infirmary of Edinburgh) that withdrew from the trial after only one patient was recruited, cited a preference for tibial nail over locking plate and, therefore, a lack of equipoise. Surgeons at this centre have written a large number of the published literature on tibial nail fixation, so there may have been an unconscious bias towards this technique.

### Follow-up rate

The sample size for the UK FixDT trial allowed for 20% loss to follow-up. We achieved > 80% follow-up at each time point. Therefore, we can be very confident that we have achieved sufficient statistical power according to our prespecified sample size calculation.

## Outcomes

### Primary outcome

The adjusted estimate of the treatment effect for the DRI score at 3 months, on an intention-to-treat basis, is 8.8 points (95% CI 4.3 to 13.2 points) in favour of the nail group compared with the locking plate group. The  $p$ -value of < 0.001 indicates that there is strong evidence for a statistically significant difference in treatment group means at 3 months. The estimated treatment effect was larger than the prespecified MCID for the DRI score of 8 points. Therefore, at the 3-month time point, the difference between treatment groups is likely to be clinically important to patients.

However, the adjusted estimate of the treatment effect at 6 months was only 4.0 (95% CI –1.0 to 9.0) in favour of the IM nail group compared with the locking plate group. Six months was the primary end point for the trial and is the point upon which the sample size calculation was based. The  $p$ -value of 0.114 indicates that there is no evidence for a statistically significant difference in the DRI score between the two treatment groups at 6 months. The 95% CIs suggest that if there is a difference in the DRI score, it is unlikely to be clinically important to patients. However, the upper 95% CI does include the prespecified MCID of 8 points.

At the 12-month time point, the  $p$ -value of 0.468 indicates that there is no evidence for a statistically significant difference in the DRI score between the two treatment groups, the adjusted treatment difference being 1.9 (95% CI –3.2 to 6.9) in favour of the IM nail fixation group compared with the locking plate group. At 12 months, the upper 95% CI excludes the MCID.

To complement the preplanned analysis, a post hoc exploratory analysis using DRI score at all four time points was conducted. This analysis simplified longitudinal data collected at four time points to a single value, namely the AUC, and facilitated comparisons of the AUCs between treatment groups. Using the predicted model parameter, the calculated AUC for those allocated to IM nail fixation was 350 units (SE 16.4 units) and for the locking plate group was 407 units (SE 16.3 units), yielding a between-group difference of 57 units (95% CI 12 to 103 units). Larger DRI scores represent higher levels of disability, so larger AUCs are also associated with increased levels of disability. A  $t$ -test comparing the values between groups yielded a  $p$ -value of 0.013, indicating there is a statistically significant difference in AUC between treatment groups. Clinically, this result may be interpreted as evidence that those allocated to locking plates experienced more disability over the 12-month follow-up period than those allocated to the IM nail fixation.

In designing the trial, we expected that any difference between these two treatments would most likely occur around 6 months. However, in the light of uncertainty about the time course of recovery, we also made assessments at 3 and 12 months. The results show that the largest difference in DRI score actually occurred at the earlier time point of 3 months. The difference in disability reduced at 6 months, and there was very little difference at 12 months. In retrospect, we could perhaps have used 3 months as the primary end point, although traditionally later time points have been used in all trials involving fractures. The very similar results at 12 months are not too surprising, as the great majority of fractures of the tibia would be expected to have achieved bony union at this time point; only very large differences in other aspects of recovery – for instance, muscle strength – would be demonstrated using any outcome measurement tool at this later time point. However, a minimum of 12-month follow-up is important in such trials so that the disability associated with delayed or non-union of the fracture can be assessed. Although rare in the context of this trial, non-union may be more much common in other fractures.

Overall, the primary outcome result of this trial is good news for patients, in that IM nail fixation is associated with faster recovery, that is, there is less disability during the early stages of recovery following a fracture of the distal tibia. This is also an important observation for the design of future trials in fracture healing.

However, it is important for patients to note that, although the DRI scores improve in both groups of patients over the 12 months following the fracture, they did not return to their pre-injury levels. The average DRI score at 12 months was still 23 points out of a maximum disability of 100 points.

To set these results into context, we repeated the systematic review of the evidence regarding this question at the end of the UK FixDT trial. As noted in *Chapter 1, Background*, there was little evidence in the literature regarding the pattern of recovery before the trial began. Our updated review found that four trials have reported since the UK FixDT trial.<sup>41,44–47</sup>

Vallier *et al.*<sup>44</sup> randomised 104 patients with an extra-articular fracture of the distal tibia to either IM nail fixation or plate fixation. However, the plates used in this study were ‘non-locking’ plates, that is, traditional ‘large fragment medial plates’. The authors did not include any patient-reported outcome measures in the initial report of the trial. They noted a high rate of complications in both arms, including non-union and infection, which may have affected functional outcome but their results are confounded by the inclusion of patients with open fractures. The same group subsequently reported later functional outcomes from the participants in their trial using the Foot Function Index and Musculoskeletal Function Assessment questionnaires.<sup>45</sup> They found that ‘scores were not related to plate or nail fixation’ but that both Musculoskeletal Function Assessment and Foot Function Index scores ‘were worse when knee pain or ankle pain was present’.

Fang *et al.*<sup>46</sup> compared the results of external fixation combined with limited internal fixation with a plate, minimally invasive percutaneous plate fixation and IM nailing for distal tibia fractures. They concluded that ‘all achieved similar good functional results’. The authors did not report a disability rating, although there was no evidence of a difference between groups in the American Orthopaedic Foot and Ankle Society score. However, there were only 28 patients in each group in this trial.

Li *et al.*<sup>41</sup> compared external fixation with both plate and nail fixation. This trial concluded that all three methods were ‘efficient methods for treating distal tibia fractures’. There was no evidence of difference in the Mazur ankle score; no disability rating was reported. Again, this study was too small to draw firm conclusions, with only 137 patients distributed across all three groups.

Polat *et al.*<sup>47</sup> compared IM nail fixation with minimally invasive plate fixation. This trial did not find any difference in the Foot Function Index, but only 25 patients were included.

Therefore, there is little, if any, evidence with which to compare the main result of the UK FixDT trial. No other trial reported a disability rating. Other patient-reported scores were used in three trials, each showing no evidence of a difference between the treatment groups. However, each of these trials was considerably smaller than the UK FixDT trial and so subject to an increased risk of type II error, that is, these four trials may not have been large enough to detect a clinically important difference between IM nail fixation and locking plate fixation, even though one existed.

## Secondary outcomes

### Patient reported

The secondary patient-reported outcome measures showed the same effect and the same pattern over time as the DRI score. Each measure showed a large difference in favour of IM nail fixation at 3 months, which gradually reduced at 6 months and then 12 months after the injury.

This is powerful corroborating evidence that the main result of the UK FixDT trial is real and important to patients.



The OMAS is used to assess pain and function of the ankle joint specifically. As the ankle is the closest joint to the distal tibia, it is likely to be the most affected by both the fracture and the surrounding soft-tissue injury. The adjusted estimate of the treatment effect for the OMAS, at the 6-month post-randomisation primary time point, based on an intention-to-treat analysis, was -6.0 points (95% CI -11.2 to -0.7 points) in favour of the IM nail group compared with the locking plate group. The  $p$ -value of 0.026 indicates that there is evidence for a statistically significant difference in the OMAS between the two treatment groups at 6 months. However, a difference of 6 points on the OMAS scale is unlikely to be particularly important to patients. Six points on the OMAS scale has been used as an equivalence margin in previous NIHR Health Technology Assessment trials.<sup>25</sup>

Similarly, the adjusted estimate of the treatment effect for the EQ-5D index (utility) score, at the 6-month post-randomisation time point, on an intention-to-treat analysis, was -0.063 (95% CI -0.12 to -0.01) in favour of the nail group compared with the locking plate group. The  $p$ -value of 0.033 indicates that there is also evidence for a statistically significant difference in the EQ-5D utility score between the two treatment groups at the 6-month time point.

### Weight-bearing status

Data on weight-bearing status were collected at the 6-week follow-up appointment. Only 33% of patients allocated to the IM nail group reported being fully weight-bearing. However, even fewer (15%) of the patients in the locking plate group reported being fully weight-bearing.

The  $p$ -value for the difference is  $p < 0.001$ , indicating strong evidence of a difference between groups. It is possible that the postoperative instructions given to the two groups of patients were different, although recent evidence strongly suggests that patients start to bear weight on their leg when they feel comfortable to do so rather than when the clinical team advise.<sup>25</sup>

Weight-bearing mobilisation is thought to prevent stiffness in the ankle joint as well as reduced wasting (loss of strength) in the muscles of the lower leg. It is impossible to know how much of the difference in disability, ankle function and health-related quality of life noted in the UK FixDT trial at 3 months resulted from the difference in early weight-bearing status, and we did not record weight-bearing status at 3 months, but it seems likely that the ability to fully bear weight in the IM nail fixation group did at least contribute to the faster recovery in this group.

### Complications

When interpreting the primary outcome of any surgical trial, it is important to take account of the complication profile of the two procedures. Therefore, we also preplanned a secondary analysis of the complications occurring in the two groups of patients. We have broken these down into 'local complications related to the fracture or its treatment' and 'systemic complications potentially related to the fracture or its treatment' during the 12 months after the injury.

#### *Local complications related to the fracture or its treatment*

In terms of superficial wound healing complications, we expected that there may be more of these in the locking plate group, as the plate sits on the surface of the distal tibia where there is only a thin soft-tissue envelope. There were 20 (13%) superficial wound healing complications in the IM nail group and 32 (20%) in the locking plate group ( $p = 0.094$ ). Of these superficial wound healing complications, 14 (9%) in the IM nail group and 21 (13%) in the locking plate group were treated with antibiotics in the first 6 weeks after surgery.

Superficial wound complications are uncomfortable and inconvenient for the patient, but usually resolve in a few days. Of greater concern are deep surgical site infections, in which the metalwork and, potentially, the underlying bone are infected. By 12 months, one (1%) of the patients in the IM nail group and five (3%) in the locking plate group required surgical debridement for deep infection ( $p = 0.121$ ).



These findings suggest that, although there were more wound- and infection-related complications in the locking plate group than the IM nail group, both groups were at risk, including an overall 2% risk of deep infection.

Other local complications were rare in both groups. There was only one vascular injury, two tendon injuries and one case of complex regional pain syndrome reported in all 321 patients who took part in the trial. Deficits in the function of local nerves were reported in the period up to 6 weeks by eight (5%) patients in the IM nail group and four (3%) in the locking plate group ( $p = 0.378$ ), but none of these cases required surgical intervention. We did not specifically ask patients to record symptoms related to nerve injury that persisted after 6 weeks. However, we expected that any functional deficit or pain related to such a persistent nerve deficit would affect the patient-reported outcome scores at 3 months and later.

As noted earlier, there have been four trials reported since the UK FixDT trial began.<sup>41,45–48</sup> In the most recent, Fang *et al.*<sup>46</sup> compared the results of external fixation combined with limited internal fixation, minimally invasive percutaneous plate fixation and IM nailing for distal tibia fractures.<sup>46</sup> They concluded that ‘all achieved similar good functional results’ but that the different surgical techniques may have different complication profiles. The other trials came to essentially the same conclusion, comparing plate and nail fixation, although these results may be confounded by the use of non-locking plates in some cases. Non-locking plates generally require larger incisions and greater soft-tissue ‘stripping’ than ‘locking’ plates, which can be inserted using ‘minimally invasive’ techniques.

External fixation leads to the least soft-tissue stripping of any surgical intervention; the external fixation pins/wires being inserted through tiny wounds in the skin. This should, in theory at least, lead to lower rates of infection. However, Fang *et al.*<sup>46</sup> noted that 14% of patients receiving external fixation in their trial, had ‘pin tract’ infections, that is, infections in the skin around the entry point of the external fixation pin/wire. This is similar to the number of patients requiring antibiotics for wound complications in the locking plate group of the UK FixDT trial. Other recent studies have specifically compared external fixation using ‘fine-wire’ Ilizarov external fixation with plate fixation.<sup>48</sup> This trial, although not directly relevant to the results of the UK FixDT trial, did indicate a lower complication rate with external fixation than with plate fixation. However, again, the plate fixation group of participants in this trial had an open reduction and internal fixation with the sort of non-locking plate associated with high wound complication rates.

Therefore, in summary, the most current literature is in keeping with the results of the UK FixDT trial in terms of complications, that is, the type of complication may differ between interventions but the overall rate of complications remains high, including in studies using the alternative intervention of external fixation.

In terms of radiographic malunion, we looked at three parameters to assess ‘deformity’: shortening ( $> 10$  mm), anteroposterior angulation ( $> 10^\circ$ ) and lateral angulation ( $> 5^\circ$ ). We also looked for the presence or absence of arthritis in the ankle at 12 months.

As one of the theoretical advantages of locking plates is that they provide more options for fixation and, therefore, more control over the distal segment of the tibia, we expected that there would be more cases of angular deformity in the IM nail group than the locking plate group. At 6 weeks, there were 12 cases (7%) of lateral (coronal plane) deformity in the nail group and five (3%) in the locking plate group. There were five cases of anteroposterior (sagittal plane) deformity in each of the groups. The overall number of angular deformities was low and there was no statistical evidence of a difference between the groups in terms of angular deformity. However, at 6 weeks, there were five cases (3%) of shortening of the tibia in the IM nail group and none in the locking plate group. This difference was statistically significant ( $p = 0.028$ ) but it is not clear if this was clinically important to the patients. Overall, as there were very few deformities in either group, it is difficult to draw any conclusions about the relationship between radiographic deformity and patient-reported outcome.

In terms of arthritis in the ankle joint, this was assessed using the radiographs taken at 12 months after the injury. Ten radiographs (6%) in the IM nail group showed radiological signs of arthritis and 7 (4%) in the locking plate group, which was not statistically different ( $p = 0.320$ ). Again, it is not possible to tell if these cases led to deteriorating function or pain. Longer-term follow-up may answer this question, but given the low number of cases in either group it is unlikely that long-term review will be able to detect clinically important differences between treatment groups.

### Further surgery related to the distal tibia fracture

Reassuringly, revision of the internal fixation was uncommon in both group: two patients (1%) in the IM nail group and five patients (3%) in the locking plate group ( $p = 0.283$ ). Similarly, other (unspecified) unplanned surgery occurred in only four (2%) and three patients (2%) of the nail and 'locking' plate groups, respectively ( $p = 1.000$ ).

We anticipated that routine removal of metalwork would be reasonably common in this trial. As any metalwork inserted in the region of the distal tibia is easily palpable under the patient's skin, it is more likely to cause symptoms than in other areas of the body. However, removal of metalwork was required in only 11 (7%) of the patients in the nail group and 14 (9%) in the 'locking' plate group. Of course, these figures may rise as some patients wait until after 12 months before returning with symptoms related to their metalwork.

### *Systematic complications potentially related to the fracture or its treatment*

Deep-vein thrombosis occurred in six patients (4%) in the nail group and five patients (3%) in the 'locking' plate group ( $p = 1.000$ ) in the 12 months after the fracture. However, only three deep-vein thromboses (one in the nail group and two in the locking plate group) occurred in the first 6 weeks following surgery, when the patients were considered most at risk. It is not possible to know if the later thromboses were related to the fracture of the distal tibia, but we have included them here for completeness. Of note, there was only one pulmonary embolus reported in the 321 participants during the 12 months of follow-up. In terms of systemic but potentially related infections, for example chest, urinary infections, etc., these were more common; infection treated with antibiotics occurred in 24 patients (15%) of the IM nail group and 31 patients (19%) of the locking plate group ( $p = 0.303$ ).

### Unrelated adverse events

The principal investigator at each centre assessed the 'relatedness' of each adverse event. SAEs were reported by 83 participants, with 23 participants reporting more than one SAE.

### *Preplanned subgroup and secondary analyses*

We preplanned a per-treatment analysis, expecting that some patients allocated to IM nail fixation would crossover to plate fixation, and vice versa. However, as the number of crossovers was actually small, we did not expect a difference between the intention-to-treat analysis and the per-treatment analysis. In keeping with this suggestion, the adjusted per-treatment analysis of the DRI scores at 6 months gave an adjusted treatment effect of 4.2 points (95% CI -0.9 to 9.2 points;  $p = 0.103$ ). Adjusted analysis at 3 and 12 months also gave similar results to the intention-to-treat analysis.

We also preplanned a secondary analysis of the primary outcome measure, taking into account missing data. As there was very few missing data in the DRI forms at any time point, this analysis did not show any difference from the complete data analysis.

We also done preplanned subgroup analyses based upon sex and age, using  $<$  and  $\geq$  50 years as a surrogate for high-energy injuries in younger patients with normal bone density and lower-energy injuries in older patients with reduced bone density. At the primary outcome time point of 6 months, the  $p$ -value of 0.516 indicated there is no evidence for a significant interaction effect between age group and treatment group. Similarly, the  $p$ -value of 0.384 indicates there was no evidence for a significant interaction effect between sex and treatment group.

## Health economic evaluation

The health economic evaluation is vital to the interpretation of the trial and not only for analysis of the initial cost of the implants and surgery. As the clinical data presented indicate that patients with a fracture of the distal tibia have persistent, measurable disability, even 1 year after their fracture, this injury is likely to have longer-term cost implications for both the health and social care systems.

## Cost

The pre-pilot trial that informed the design of the UK FixDT trial suggested that there was considerable cost related to the choice of implant; at the time the cost of an IM nail and locking bolts was in the region of £350 and a distal tibia locking plate with screws was around £1200. Interestingly, in addition, operative costs included the implants used during the surgery, namely nails, plates, locking screws/bolts, blocking screws and non-locking screws. The estimation of the number of each of these implants used involved prospectively recording the number of items used for each patient from the operation notes and radiographs. The total cost of implants for each patient was calculated by combining the resource inputs with their unit cost values; the latter were derived from NHS trust finance departments.

Table 22 shows that the cost of the nail and four locking bolts had risen a little to approximately £450, whereas the cost of the medial distal tibial locking plate and eight locking screws had actually fallen to approximately £650. When the cost of disposable items (guidewire, reaming rod, etc.) is added to the cost of the IM nail, the difference between the costs of the two types of implant has greatly reduced in the last few years.

As a consequence, the trial found little difference in the cost of the initial surgical interventions. Neither was there much difference in the length of the index hospital stay. The total cost of the first admission to hospital was £5585 in the IM nail group and £5616 in the locking plate group.

However, between discharge from the index hospital stay and 6 months, both the inpatient and the outpatient health service costs were significantly greater in the locking plate group. The total NHS and PSS cost to 6 months was £5876 in the nail group and £6814 in the locking plate group, with a mean difference of £938, which was statistically significant (95% CI £84 to £1795;  $p = 0.04$ ).

The difference in costs reflects the increased number of investigations, therapy visits and secondary interventions required in the locking plate group in the early phases of recovery. This fits with the clinical outcome data presented earlier, in that the number of patients having 'problems' was higher in the locking plate group than the IM nail fixation group. For example, patients with symptoms of wound infection would have more outpatient appointments, more blood and radiological tests and more in the way of antibiotic treatment than those without. Another factor contributing to the higher costs in the locking plate group may have been the higher proportion of patients who were not able to bear weight on their leg at 6 weeks. It is likely that this explains, at least in part, the increased number of therapy appointments in the locking plate fixation group.

Between 6 months and 12 months, there are, again, slightly higher costs in the locking plate group, but the difference between the groups was less than in the earlier phases of recovery. The mean cost difference to 12 months was £995.14, 95%CI £74.93 to £2069.63;  $p = 0.05$ . Further surgery, most commonly the removal of symptomatic metalwork, is likely to have happened later in the recovery phase when the surgeon and the patient were confident that the underlying fracture had healed. This may account for the increased costs in the locking plate group in the later phases of the trial.

A fracture of the distal tibia also has costs for the patient beyond the NHS and PSS perspective. The number of days off work in the first 3 months after injury was borderline statistically significant in favour of the IM nail fixation: 46 days in the IM nail group compared with 54 days in the locking plate group ( $p = 0.07$ ). Depending upon the patient's occupation, this may simply be a manifestation of the number of patients who were weight-bearing at this stage in their recovery, which was higher in the group having IM nail

fixation. It may also reflect the greater number of days off work required to attend therapy, outpatient and inpatients visits in the locking plate group, or, most likely, a combination of the two.

### Cost-effectiveness

As there is a small QALY gain in the nail group over the 12-month time frame of the trial, and the IM nail group incurred less cost, the cost-effectiveness evaluation is relatively straightforward to interpret; IM nail fixation dominates locking plate fixation. Assuming a cost-effectiveness threshold of £20,000 per QALY, there is a 97% probability that IM nail fixation is cost-effective.

The preplanned sensitivity analyses support this interpretation. The same result was noted with a complete-case analysis, an analysis including a societal perspective (i.e. including indirect costs, such as those associated with time off work) and an imputed attributable cost analysis. In the per-treatment analysis, there was a marginal QALY gain in the locking plate group, so IM nail fixation does not dominate in this scenario. However, the cost difference remained in the same direction and indicated that IM fixation was generally less costly than locking plate fixation.

In terms of the preplanned subgroup analyses, sex did not alter the interpretation; IM nail fixation dominates locking plate fixation in both men and women, that is, on average, it generates QALY gains and lower costs. The situation was not quite so clear in terms of age. For patients aged < 50 years, IM nail fixation again dominates, but there is much more uncertainty in patients aged ≥ 50 years. In this subgroup, although the costs of IM nail fixation were still lower, there were marginally fewer QALY gains, so the ICER was £60,000 per QALY gained in older patients.

Overall, the health economic evaluation provides strong evidence that IM nail fixation incurs less cost than locking plate fixation and marginally greater QALY gains. So, at any level of the cost-effectiveness threshold, IM nail fixation can be considered cost-effective.

### Limitations

There are, of course, some limitations to the trial. Two hundred and sixteen potentially eligible participants were not randomised, which could pose a risk to the external validity (generalisability) of the trial. However, most of these patients were excluded for logistical reasons (e.g. no research staff available at the weekend), for good clinical reasons (e.g. declined any surgery) or because the patient 'did not want to be' part of a research study or fill out questionnaires'. There is no reason to suspect that these patients created a selection bias within the trial.

Patients who had a preference for one treatment or the other were of more concern. However, preference of treatment did not seem to be common among the patients; only 38 patients expressed a preference, of whom 23 preferred a IM nail and 15 a locking plate fixation. However, there was a stronger treatment preference among the surgeons, by whom 57 potentially eligible patients were excluded; 54 of these patients were excluded because the surgeon had a preference to use an IM nail. This does suggest that there was a preconceived preference for IM nail fixation, at least among surgeons in some centres. However, if patients deemed 'suitable only for a nail' were excluded, despite being eligible according to the trial criteria, this is only likely to have reduced the relative benefit of IM nail fixation compared with locking plate fixation. Despite this possible effect, the trial still showed an overall benefit of IM nail fixation.

Other possible limitations included post-randomisation crossover of patients from one group to the other. However, there were fewer than expected. Ninety-one per cent of patients received the treatment to which they had been allocated.

Similarly, some loss to follow-up was expected. However, the sample size was inflated to account for loss to follow-up of ≥ 20%, and < 20% of participants were lost from the primary outcome analysis at every time point.

Finally, as wound dressings after surgery are clearly visible, it was not possible to blind the patients to their treatment allocation.

## Chapter 6 Conclusion

**A**mong adults with an acute fracture of the distal tibia who were randomised to IM nail fixation or locking plate fixation, there were similar disability ratings at 6 months. However, recovery across all outcomes was faster in the IM nail fixation group and costs were lower.

Future work should address the potential clinical effectiveness and cost-effectiveness benefit of IM nail fixation in other metaphyseal fractures, such as the distal and proximal femur. Research is also required into the role of adjuvant treatment and different mobilisation strategies to accelerate recovery in tibial fractures treated with IM nail fixation. The patients in this trial will remain in longer-term follow-up.



# Acknowledgements

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**Thomas Wood** (Clinical Research Fellow) conducted the main radiographic analysis and participated in writing and reviewing the report.

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## Publications

Mauffrey C, McGuinness K, Parsons N, Achten J, Costa ML. A randomised pilot trial of 'locking plate' fixation versus intramedullary nailing for extra-articular fractures of the distal tibia. *J Bone Joint Surg* 2012;**94-B**:704.

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## Data sharing statement

Requests for data sharing for secondary research purposes can be addressed to the corresponding author.

## Patient data

This work uses data provided by patients and collected by the NHS as part of their care and support. Using patient data is vital to improve health and care for everyone. There is huge potential to make better use of information from people's patient records, to understand more about disease, develop new treatments, monitor safety, and plan NHS services. Patient data should be kept safe and secure, to protect everyone's privacy, and it's important that there are safeguards to make sure that it is stored and used responsibly. Everyone should be able to find out about how patient data are used. #datasaveslives You can find out more about the background to this citation here: <https://understandingpatientdata.org.uk/data-citation>.



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# Appendix 1 Site-specific details

**TABLE 27** Reasons screened patients were not eligible for the study, by site

Reason	Site (n)					
	University Hospitals Coventry & Warwickshire	Addenbrookes Hospital	Frenchay Hospital	University Hospitals of Leicester	Nottingham University Hospitals	James Cook Hospital
Aged < 16 years	13	0	36	5	0	19
Fracture does not extend within 2 Müller squares	28	23	76	27	7	35
Surgeon thinks patient would not benefit	0	0	0	1	0	0
Surgeon believes no operation preferable	6	0	22	6	4	0
Surgeon believes external fixation preferable	5	1	0	1	2	4
Surgeon believes contraindication to nail	0	0	1	0	0	0
Fracture too low for distal locking screws	8	1	11	4	0	5
Obstruction to IM nail	4	0	3	1	0	2
Fracture is open	21	16	84	8	4	22
Patient contraindication to anaesthesia	1	0	6	2	1	0
Patient has permanent cognitive impairment	4	9	11	0	3	5
Fracture extends in to the ankle joint	5	7	39	4	6	25
Total	95	57	289	59	27	117



John Radcliffe Hospital	Aberdeen Royal Infirmary	Derriford Hospital	Royal Stoke University Hospital	Royal Sussex County Hospital	Royal Victoria Infirmary	Glasgow Royal Infirmary	Royal Berkshire Hospital	Poole Hospital
23	0	0	0	0	0	2	4	9
26	2	4	21	19	5	3	7	15
0	0	0	0	0	0	0	0	1
12	7	2	1	2	7	1	3	12
4	0	0	4	1	0	0	2	3
1	0	0	0	0	0	0	0	0
1	3	1	1	1	2	3	0	6
1	2	2	0	0	1	0	0	1
61	18	0	4	22	22	19	0	7
2	2	0	0	0	0	0	2	0
7	9	1	5	1	3	12	1	1
19	23	5	9	37	14	18	2	17
157	66	15	45	83	54	58	21	72

**TABLE 27** Reasons screened patients were not eligible for the study, by site (*continued*)

Reason	Site (n)				
	Queen Alexandra Hospital	King's College Hospital	Aintree University Hospital	Southampton General Hospital	University Hospitals of Birmingham
Aged < 16 years	10	0	0	0	0
Fracture does not extend within 2 Müller squares	11	1	13	14	25
Surgeon thinks patient would not benefit	0	0	0	0	0
Surgeon believes no operation preferable	0	0	1	0	7
Surgeon believes external fixation preferable	0	0	0	2	2
Surgeon believes contraindication to nail	1	0	0	0	1
Fracture too low for distal locking screws	3	0	3	0	5
Obstruction to IM nail	0	0	0	0	5
Fracture is open	2	0	0	6	4
Patient contraindication to anaesthesia	0	0	0	0	0
Patient has permanent cognitive impairment	2	1	1	2	2
Fracture extends in to the ankle joint	7	3	4	1	22
Total	36	5	22	25	73

Leeds Teaching Hospital	St George's Hospital	Hull Royal Infirmary	North Tyneside General Hospital & Wansbeck General	Heartlands Hospital	Sunderland Royal Hospital	Total, n (%)
7	1	0	3	2	0	134 (8)
8	0	2	3	0	0	375 (24)
0	0	0	0	0	0	2 (< 1)
6	0	3	3	3	0	108 (7)
23	0	5	1	1	2	63 (4)
0	0	0	0	0	0	4 (< 1)
4	0	0	3	0	0	65 (4)
2	2	0	1	0	0	27 (2)
6	41	0	2	0	0	369 (23)
0	0	0	0	0	0	16 (1)
5	0	0	3	0	1	89 (6)
33	4	12	12	1	0	329 (21)
94	48	22	31	7	3	1581

**TABLE 28** Reasons potentially eligible patients were not recruited to the study, by site

Reason	Site (n)					
	University Hospitals Coventry & Warwickshire	Addenbrookes Hospital	Frenchay Hospital	University Hospitals of Leicester	Nottingham University Hospitals	James Cook Hospital
Patient wants nail	3	1	1	1	3	0
Patient wants plate	0	0	1	2	0	1
Patient does not want surgery	1	0	1	0	0	0
Patient does not want to be part of research study	3	2	1	1	0	0
Patient does not want to complete questionnaires	1	0	1	0	0	3
Research staff unavailable	1	2	13	2	0	2
Surgeon preferred nail	0	5	8	0	3	1
Surgeon preferred plate	0	0	1	1	0	0
Other	1	0	2	1	0	0
No reason given	0	0	0	0	0	1
Total	10	10	29	8	6	8

John Radcliffe Hospital	Aberdeen Royal Infirmary	Derriford Hospital	Royal Stoke University Hospital	Royal Sussex County Hospital	Royal Victoria Infirmary	Glasgow Royal Infirmary	Royal Berkshire Hospital	Poole Hospital
1	4	0	0	0	0	3	0	1
1	0	0	0	0	0	0	1	6
0	1	0	0	0	0	1	0	1
0	5	0	2	0	0	3	3	0
0	2	0	1	0	0	0	0	0
3	0	0	1	4	3	4	1	5
2	5	3	1	13	0	3	3	0
0	0	0	1	0	0	0	0	0
1	0	0	3	0	2	0	0	4
0	0	0	0	0	2	0	0	0
8	17	3	12	17	7	14	8	17

**TABLE 28** Reasons potentially eligible patients were not recruited to the study, by site (*continued*)

Reason	Site (n)				
	Queen Alexandra Hospital	King's College Hospital	Aintree University Hospital	Southampton General Hospital	University Hospitals of Birmingham
Patient wants nail	2	0	0	0	0
Patient wants plate	1	0	0	0	1
Patient does not want surgery	0	0	0	0	1
Patient does not want to be part of research study	0	0	0	0	1
Patient does not want to complete questionnaires	1	0	0	0	0
Research staff unavailable	2	0	1	4	3
Surgeon preferred nail	0	0	0	2	0
Surgeon preferred plate	0	0	0	0	0
Other	1	0	0	2	0
No reason given	0	0	0	0	0
Total	10	0	1	9	6

Leeds Teaching Hospital	St George's Hospital	Hull Royal Infirmary	North Tyneside General Hospital & Wansbeck General	Heartlands Hospital	Sunderland Royal Hospital	Total, n (%)
3	0	0	0	0	0	23 (11)
1	0	0	0	0	0	15 (7)
5	0	2	0	0	0	13 (6)
3	0	1	0	0	0	25 (12)
0	0	0	0	0	0	9 (4)
1	0	0	1	0	0	53 (25)
2	0	3	0	0	0	54 (25)
0	0	0	0	0	0	3 (1)
1	0	0	0	0	0	18 (8)
0	0	0	0	0	0	3 (1)
16	0	6	1	0	0	216

**TABLE 29** Recruitment by site and month

		Year (n)																		Total (n)	Months (n)	Rate (n)
		2015												2016								
		2013	2014	January	February	March	April	May	June	July	August	September	October	November	December	January	February	March	April			
Site	Date open	2013	2014	January	February	March	April	May	June	July	August	September	October	November	December	January	February	March	April			
University Hospitals Coventry & Warwickshire	8 April 2013	9	14	0	0	0	1	2	4	2	0	1	2	0	1	0	1	1	0	38	36	1.06
Addenbrookes Hospital	2 May 2013	2	7	3	0	1	0	1	0	0	1	0	0	0	0	0	0	0	0	15	35	0.43
Frenchay Hospital	12 June 2013	3	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	34	0.24
University Hospitals of Leicester	16 July 2013	6	19	2	1	1	0	2	2	1	3	1	0	2	1	1	1	1	1	45	32	1.41
Nottingham University Hospitals	25 July 2013	4	5	1	1	0	1	1	0	0	0	1	0	0	0	1	0	0	0	15	32	0.47
James Cook Hospital	5 September 2013	1	6	0	0	0	1	0	0	0	1	0	0	0	0	0	0	0	0	9	31	0.29
John Radcliffe Hospital	20 December 2013	0	3	0	0	0	0	0	2	1	0	1	0	1	0	3	3	0	1	15	28	0.54
Aberdeen Royal Infirmary	14 January 2014		8	2	2	1	0	0	0	0	1	0	0	1	1	0	0	0	1	17	27	0.63
Derriford Hospital	15 January 2014		8	0	1	0	1	1	2	0	0	0	0	0	0	0	0	0	0	13	27	0.48
Royal Stoke University Hospital	31 January 2014		3	1	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	6	27	0.22
Royal Sussex County Hospital	3 February 2014		5	0	0	1	0	0	1	0	0	0	0	0	0	0	0	0	0	7	26	0.27
Royal Infirmary of Edinburgh	10 February 2014		1																	1	10	0.10
Royal Victoria Infirmary	10 February 2014		8	1	0	0	1	2	1	1	0	1	1	2	0	0	0	0	0	18	26	0.69
Glasgow Royal Infirmary	11 March 2014		7	0	1	1	1	0	0	0	5	1	0	2	0	1	0	1	0	20	26	0.77
Royal Berkshire Hospital	8 May 2014		1	0	0	0	0	0	1	0	1	1	1	1	0	0	0	0	0	6	24	0.25
Poole Hospital	13 May 2014		2	0	1	0	1	0	0	0	2	0	1	0	2	0	0	0	0	9	24	0.38
Queen Alexandra Hospital	29 May 2014		2	1	0	1	2	1	1	0	1	3	0	0	1	0	0	0	0	13	24	0.54
King's College Hospital	10 June 2014		1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	23	0.09
Aintree University Hospital	15 July 2014		1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	22	0.09



Site		Date open		Year (n)																		Total (n)	Months (n)	Rate (n)
				2015												2016								
				2013	2014	January	February	March	April	May	June	July	August	September	October	November	December	January	February	March	April			
Southampton General Hospital	4 August 2014	1	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	2	21	0.10		
University Hospitals of Birmingham	6 August 2014	1	1	0	0	0	0	0	0	0	1	1	1	0	0	0	0	0	0	5	21	0.24		
Leeds Teaching Hospital	12 August 2014	2	3	1	2	0	3	2	1	0	1	1	1	1	2	2	0	0	0	21	21	1.00		
St George's Hospital	10 September 2014	2	1	0	0	0	1	0	0	1	1	0	0	0	0	0	0	0	0	6	20	0.30		
Hull Royal Infirmary	13 October 2014	0	0	0	3	1	2	0	0	0	0	1	0	0	0	0	0	1	0	8	19	0.42		
North Tyneside General Hospital & Wansbeck General	20 November 2014	2	0	1	1	0	0	1	1	0	1	0	0	0	0	0	1	1	2	11	18	0.61		
Heartlands Hospital	3 February 2015			2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	15	0.13		
Sunderland Royal Hospital	4 March 2015				2	1	1	0	1	0	0	0	1	0	0	0	0	0	0	6	14	0.43		
Basingstoke & North Hampshire Hospital	1 April 2015					0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	13	0.08		
Total		25	114	16	12	15	11	17	17	8	17	16	8	11	10	8	6	5	5	321	676	0.47		

**TABLE 30** Randomised patients summarised by treatment group and centre

Site	Treatment group (n)		Total
	IM nail fixation	Locking plate	
Aberdeen Royal Infirmary	9	8	17
Addenbrookes Hospital	7	8	15
Aintree University Hospital	1	1	2
Heartlands Hospital	1	1	2
Basingstoke & North Hampshire Hospital	1	0	1
Royal Infirmary of Edinburgh	1	0	1
Frenchay Hospital	4	4	8
Glasgow Royal Infirmary	10	10	20
Hull Royal Infirmary	5	5	10
James Cook Hospital	5	4	9
John Radcliffe Hospital	7	8	15
King's College Hospital	1	1	2
Leeds Teaching Hospital	10	11	21
North Tyneside General Hospital & Wansbeck General	5	4	9
Nottingham University Hospitals	8	7	15
Derriford Hospital	6	7	13
Poole Hospital	4	5	9
Queen Alexandra Hospital	7	6	13
Royal Berkshire Hospital	3	3	6
Royal Sussex County Hospital	3	4	7
Royal Victoria Infirmary	8	10	18
St George's Hospital	3	3	6
Sunderland Royal Hospital	3	3	6
University Hospitals of Birmingham	3	2	5
University Hospitals Coventry & Warwickshire	19	19	38
University Hospitals of Leicester	23	22	45
Southampton General Hospital	1	1	2
Royal Stoke University Hospital	3	3	6
Total	161	160	321

**TABLE 31** Randomised patients summarised by randomisation strata (recruiting site and age group)

Site	Age (years) group (n)			
	< 50		≥ 50	
	IM nail fixation	Locking plate	IM nail fixation	Locking plate
Aberdeen Royal Infirmary	7	6	2	2
Addenbrookes Hospital	4	4	3	4
Aintree University Hospital	1	0	0	1
Heartlands Hospital	0	1	1	0
Basingstoke & North Hampshire Hospital	0	0	1	0
Royal Infirmary of Edinburgh	0	0	1	0
Frenchay Hospital	2	2	2	2
Glasgow Royal Infirmary	5	4	5	6
Hull Royal Infirmary	2	4	3	1
James Cook Hospital	3	3	2	1
John Radcliffe Hospital	5	6	2	2
King's College Hospital	1	1	0	0
Leeds Teaching Hospital	3	6	7	5
North Tyneside General Hospital & Wansbeck General	3	4	2	0
Nottingham University Hospitals	6	5	2	2
Derriford Hospital	3	5	3	2
Poole Hospital	4	2	0	3
Queen Alexandra Hospital	5	2	2	4
Royal Berkshire Hospital	3	1	0	2
Royal Sussex County Hospital	3	3	0	1
Royal Victoria Infirmary	8	7	0	3
St George's Hospital	2	2	1	1
Sunderland Royal Hospital	3	2	0	1
University Hospitals of Birmingham	1	1	2	1
University Hospitals Coventry & Warwickshire	11	12	8	7
University Hospitals of Leicester	11	11	12	11
Southampton General Hospital	1	0	0	1
Royal Stoke University Hospital	0	3	3	0
Total	97	97	64	63



## Appendix 2 Details of missing data

**TABLE 32** Summary of missing responses to DRI questionnaire items

DRI item	Time point (n)							
	Baseline		3 months		6 months		12 months	
	IM nail fixation (n = 160)	Locking plate fixation (n = 158)	IM nail fixation (n = 134)	Locking plate fixation (n = 142)	IM nail fixation (n = 143)	Locking plate fixation (n = 141)	IM nail fixation (n = 128)	Locking plate fixation (n = 130)
Dressing	0	0	0	0	0	0	0	0
Outdoor walks	0	0	0	0	0	0	0	0
Climbing stairs	0	0	0	0	1	0	0	0
Sitting longer time	0	0	1	0	0	0	0	1
Standing bent over a sink	0	0	0	1	0	0	0	0
Carrying a bag	0	0	0	0	0	0	0	0
Making a bed	0	0	0	0	0	0	0	0
Running	0	0	0	0	0	0	1	0
Light work	0	0	0	0	0	0	1	0
Heavy work	0	1	0	0	0	1	1	0
Lifting heavy objects	0	0	0	0	0	0	0	0
Participating in exercise or sports	0	0	1	0	0	0	1	0

**TABLE 33** Summary of missing responses to OMAS questionnaire items

OMAS item	Time point (n)							
	Baseline		3 months		6 months		12 months	
	IM nail fixation (n = 159)	Locking plate fixation (n = 158)	IM nail fixation (n = 134)	Locking plate fixation (n = 142)	IM nail fixation (n = 140)	Locking plate fixation (n = 140)	IM nail fixation (n = 123)	Locking plate fixation (n = 130)
Pain	0	0	1	1	0	0	0	0
Stiffness	0	0	1	0	0	1	0	0
Swelling	0	0	1	0	0	1	0	0
Stairs	0	0	0	0	0	0	0	0
Running	0	0	0	0	1	0	0	1
Jumping	0	0	0	0	0	2	0	0
Squatting	2	0	1	0	0	0	1	0
Supports	0	1	1	2	0	1	2	0
Daily life	0	0	0	1	0	0	0	0

TABLE 34 Summary of missing responses to EQ-5D questionnaire items

EQ-5D domain	Time point (n)									
	Baseline pre injury		Baseline post injury		3 months		6 months		12 months	
	IM nail fixation (n = 160)	Locking plate fixation (n = 158)	IM nail fixation (n = 158)	Locking plate fixation (n = 158)	IM nail fixation (n = 134)	Locking plate fixation (n = 143)	IM nail fixation (n = 143)	Locking plate fixation (n = 140)	IM nail fixation (n = 129)	Locking plate fixation (n = 130)
Mobility	0	0	0	0	0	1	0	0	0	0
Self care	0	0	0	0	0	0	0	0	0	0
Usual activities	0	0	0	1	0	0	0	0	0	0
Pain and discomfort	0	0	0	0	0	0	0	0	0	0
Anxiety and depression	0	1	0	1	0	0	0	0	1	0
VAS	1	0	0	1	0	1	0	0	1	1

## Appendix 3 Example follow-up questionnaire

FixDT

Centre ID:

Participant ID:


### 6 Month Questionnaire

Please read the instructions carefully before completing the questionnaire

Please do not sign this form or add your name.

Please follow the instructions for each section carefully.

Please answer ALL the questions. Although it may seem that the questions are asked more than once, it is still important that you answer every one.

Please use a BLACK or BLUE pen. Please do not use a pencil.

Please check that you have completed all sections.

Please write any notes you have for us on the back page.

For assistance, or if you are unsure how to proceed, please contact the FixDT Team on: [REDACTED]



6 month questionnaire



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FxDOT

What is the date you are completing this form:

 d  d

 m  m  m

 y  y  y  y

## Section 1—Disability Rating Index

How do you manage the following activities?  
After each question, please mark ONE POINT on the line

Please answer ALL questions

	Without difficulty	Not at all	
	<div style="text-align: center;"> <div style="display: inline-block; width: 40%; border-bottom: 1px solid black; position: relative;"> <span style="position: absolute; left: -5px; top: -5px;">↓</span> </div> <div style="display: inline-block; width: 20%; border-bottom: 1px solid black; position: relative;"> <span style="position: absolute; left: -5px; top: -5px;">↓</span> </div> <div style="display: inline-block; width: 40%; border-bottom: 1px solid black; position: relative;"> <span style="position: absolute; left: -5px; top: -5px;">↓</span> </div> </div>		
	With some difficulty - With difficulty - With great difficulty		
Dressing (without help)	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		Office use: <input type="text"/>
Out-door walks	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Climbing stairs	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Sitting longer time	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Standing bent over a sink	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Carrying a bag	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Making a bed	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Running	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Light work	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Heavy work	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Lifting heavy objects	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
Participating in exercise/sports	<div style="border-bottom: 1px solid black; position: relative;"> <div style="position: absolute; left: -5px; top: -5px;"> </div> <div style="position: absolute; right: -5px; top: -5px;"> </div> </div>		<input type="text"/>
			<input type="text"/>

6 month questionnaire

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## **Health Questionnaire**

**English version for the UK**

***(Validated for Ireland)***

By placing a tick in one box in each group below, please indicate which statements best describe your own health state today.

### **Mobility**

- I have no problems in walking about ☐
- I have some problems in walking about ☐
- I am confined to bed ☐

### **Self-Care**

- I have no problems with self-care ☐
- I have some problems washing or dressing myself ☐
- I am unable to wash or dress myself ☐

### **Usual Activities** (e.g. work, study, housework, family or leisure activities)

- I have no problems with performing my usual activities ☐
- I have some problems with performing my usual activities ☐
- I am unable to perform my usual activities ☐

### **Pain / Discomfort**

- I have no pain or discomfort ☐
- I have moderate pain or discomfort ☐
- I have extreme pain or discomfort ☐

### **Anxiety / Depression**

- I am not anxious or depressed ☐
- I am moderately anxious or depressed ☐
- I am extremely anxious or depressed ☐

To help people say how good or bad a health state is, we have drawn a scale (rather like a thermometer) on which the best state you can imagine is marked 100 and the worst state you can imagine is marked 0.

We would like you to indicate on this scale how good or bad your own health is today, in your opinion. Please do this by drawing a line from the box below to whichever point on the scale indicates how good or bad your health state is today.

**Your own health  
state today**

Best imaginable  
health state

100

90

80

70

60

50

40

30

20

10

0

Worst imaginable  
health state

FixDT

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**Section 3 —Olerud-Molander Ankle Score (OMAS)**


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The following questions are designed to assess the problems which your ankle injury may be causing you. Please circle the appropriate score.

PARAMETER	DEGREE	SCORE
1) Pain	None	25
	Walking on an uneven surface	20
	Walking on an even surface	10
	Walking indoors	5
	Constant and severe	0
2) Stiffness	None	10
	Stiffness	0
3)Swelling	None	10
	Only evenings	5
	Constant	0
4) Stairs	No problems	10
	Impaired	5
	Impossible	0
5)Running	Possible	5
	Impossible	0
6) Jumping	Possible	5
	Impossible	0
7)Squatting	No Problems	5
	Impossible	0
8) Supports	None	10
	Taping/ Wrapping	5
	Crutches	0
9) Daily Life	Same as before	20
	Loss of tempo	15
	Change of occupation	10
	Severely impaired work capacity	0

6 month questionnaire

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## Section 4—Social

In order to evaluate the cost-effectiveness of the intervention, the following questions help us to calculate the total cost of the treatment.

### 1. Other support from government benefits

Are you receiving any of the below?

Yes

☐

No

☐

If No, go to question 2

If Yes, can you please tick all benefits you have received in the last three months and how much you currently receive in benefits each week?

Benefit	Tick	£ per week	Benefit	Tick	£ per week
Attendance Allowance	<input type="checkbox"/>		Income Support	<input type="checkbox"/>	
Carer's Allowance	<input type="checkbox"/>		Jobseeker's Allowance	<input type="checkbox"/>	
Child Tax Credit	<input type="checkbox"/>		Pension Credit	<input type="checkbox"/>	
Council Tax Benefit	<input type="checkbox"/>		Statutory Sick Pay	<input type="checkbox"/>	
Disability Living Allowance—caring	<input type="checkbox"/>		State Pension	<input type="checkbox"/>	
Disability Living Allowance—mobility	<input type="checkbox"/>		Other.....	<input type="checkbox"/>	
Employment and Support Allowance	<input type="checkbox"/>		Other.....	<input type="checkbox"/>	
Housing Benefit	<input type="checkbox"/>		Other.....	<input type="checkbox"/>	

### 2. How would you best describe your living arrangements? (Tick one box only)

- Live alone ☐
- Live with relatives ☐
- Live with wife/husband/partner ☐
- Live with friends ☐
- Care home ☐
- Other ☐ Details.....

6 month questionnaire

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## Section 5—Complications

## Section 5A: Trial leg wound complications

1. Have you had any problems with the healing of your wound in the last 3 months? Yes ☐ No ☐

If you have answered Yes, please answer question 2-6  
If you have answered No, please continue with question 7

2. Has there been any discharge or fluid leaking from any part of the wound? Yes ☐ No ☐

If Yes, was it either:

clear or blood stained? Yes ☐ No ☐

yellow/green (pus)? Yes ☐ No ☐

3. Please tick any of the following additional symptoms that applied to your wound in the last 3 months:

Increasing pain or discomfort in the area around the wound Yes ☐ No ☐

Redness or inflammation spreading from the edges of the wound Yes ☐ No ☐

The area around the wound became increasingly swollen Yes ☐ No ☐

The edges of any part of the wound separated or gaped open Yes ☐ No ☐

4. Please tell us the date you noticed these symptoms: (if you are unsure of the exact date please give month and year)

d d m m m y y y y

5. Did any health care worker take a sample from your wound to send it to the laboratory? Yes ☐ No ☐

6. Were you prescribed antibiotics for these symptoms? Yes ☐ No ☐

If yes, please specify in the next section, Section 6 -Medications

## Section 5B: All other complications

In the last 3 months have you been treated for any of the following events: (Tick all that apply)

7. Further surgery because of your fracture Yes ☐ No ☐

8. DVT (Deep Vein Thrombosis) Yes ☐ No ☐

If Yes, did you see the DVT nurse? Yes ☐ No ☐

Were you prescribed medication for the DVT? Yes ☐ No ☐

If yes, please specify in Section 6—Medications

9. Any other complications Yes ☐ No ☐

If Yes, please specify .....

10. Have you had any other unscheduled appointment at hospital for your fracture? Yes ☐ No ☐

6 month questionnaire

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## Section 6—Resource Use

Please think back over the times that you have used the NHS in the last three months. If you are unsure about an answer please write in your best recollection.

### 1. Inpatient care

In the last three months, have you been admitted to hospital again?

Yes ☐No ☐

If Yes, please tell us which department of the hospital you went to (speciality) and the number of days you were in hospital. If the speciality is not listed, then please write in the reason or part of your body as best you can.

Speciality	Name of Hospital and Ward	Number of days in hospital
Orthopaedics (your leg)		
Orthopaedics (any other bones)		
Rehabilitation unit		
For any other surgery? Details: .....		
For any other non-surgical reason? Details: .....		

### 2. Outpatient care

In the last three months, have you made any visits to the hospital or a clinic as an outpatient?

Yes ☐No ☐

If Yes, please indicate which part of the hospital you went to (speciality). If you don't know which speciality it was, or if it's not listed, then write in the reason or part of your body as best you can.

Speciality	Examples	Number of visits
Orthopaedics	Seeing a surgeon about your fracture, changes to plaster or aids (e.g. splint/braces)	
Pathology	For blood tests	
Radiology	For 1. X-rays 2. MRI scan 3. CT scan	X-rays: MRI scan: CT scan:
Physiotherapy (NHS)	Physiotherapy appointment at the hospital to see an NHS physiotherapist	
Physiotherapy (Private)	Physiotherapy appointment to see a private physiotherapist	What was the total cost to you £.....
Emergency Department	Related to your fracture or wound	
Emergency Department	Any other reason	
Others: Details .....		

6 month questionnaire

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**3. Community Health care**

In the last 3 months, have you seen any health professionals in the community because of your fracture?

Yes

☐

No

☐

If Yes, please indicate the type of professional, how you were in contact, how often you saw them and how long this was for in total. If the person isn't listed then feel free to write this in.

Type of professional	Number of contacts in last 3 months	Average duration of contacts (minutes)
GP visits in surgery		
GP home visits		
GP telephone contacts		
Practice nurse contacts		
District nurse contacts		
Community physiotherapy contacts		
Occupational therapy contacts		
Other community health professionals (Please specify) .....		

**4. Medications**

In the last 3 months, have you been prescribed or bought any new medication?

Yes

☐

No

☐

If Yes, please note all such medications (including pain relief) below:

Medication Type	Name	Dosage (Please circle/ record the dosage you receive)	No. times daily	No. of days used
Analgesics	Paracetamol	250mg, 500mg		
	Co-codamol	8/500, 30/500		
	Codeine	15mg, 30mg, 60mg		
Other (Please specify)				
Antibiotics	Flucloxacillin	500mg		
Other (Please specify)				
Anti-inflammatory	Ibuprofen	200mg, 400mg, 600mg		
	Naproxen	250mg, 500mg		
	Diclofenac	25mg, 50mg		
Other (Please specify)				
Anti-inflammatory gels/ creams	Oruvail gel			
Other (Please specify)				
Deep Vein Thrombosis (DVT) medication	Warfarin			
Other (Please specify)				
Other Medication (Please specify)				

6 month questionnaire

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**5. Personal social services**

In the last three months, have you been provided with personal social services to make your day to day life easier to manage?

Yes

☐

No

☐

If Yes, in the following table, please indicate the number of contacts with the service and the average duration of these contacts in minutes. If the type of support you have received isn't listed then feel free to write this in.

Other support	How many times?	Average duration of contacts (minutes)
Meals on wheels (frozen, daily)		
Meals on wheels (hot, daily)		
Laundry services		
Social worker contacts		
Care worker contacts including help at home		
Other Social Services (Please specify)		
Other (Please specify)		

**6. Aids and adaptations**

In the last three months, have you received or bought any aid or adaptation?

Yes

☐

No

☐

If Yes, in the following table, please indicate the number of aids or the items of equipment received. If an item you have received isn't listed then feel free to write this in and the quantity.

Aids and adaptation	Number received	Cost if bought yourself (£)
Crutches		
Stick		
Zimmer frame		
Grab rail		
Dressing aids		
Long-handle shoe horn		
Other		
Other		

6 month questionnaire

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In order to evaluate the cost-effectiveness of the intervention, the following questions help us to calculate the total cost of the treatment.

### 7. Time off work

Are you currently working?

Yes

☐

No

☐

If no, is this: Because of your lower leg fracture

☐

Because of other health reasons

☐

Because you are retired or  
unable to work for other reasons

☐

In the last 3 months, have you taken time off work or lost any income because of your lower leg fracture?

Yes

☐

No

☐

N/a

☐

If Yes, please provide details below:

If Yes, how many days?

Income lost (£)

### 8. Additional information

In the last three months, have you or your partner and relatives incurred any additional costs as a result of your contact with health or social care services or your general health state?

Yes

☐

No

☐

If Yes, please list below in the following table:

Costs	Cost to you (£)	Cost to partner or relatives (£)
Travel costs (Bus, train, taxi, car)		
Child care costs		
Help with housework		
Other: Details .....		
Other: Details .....		

6 month questionnaire

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**Section 7**

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**1. Since leaving hospital do you feel? (Tick one box only)**

Substantially Better

☐

Moderately Better

☐

No Different

☐

Moderately Worse

☐

Substantially Worse

☐**2. How satisfied were you with the treatment you received? (Tick one box only)**

Extremely Satisfied

☐

Very Satisfied

☐

Somewhat Satisfied

☐

Neither Satisfied nor Dissatisfied

☐

Somewhat Dissatisfied

☐

Very Dissatisfied

☐

Extremely Dissatisfied

☐**3. Have your contact details changed or likely to change in the next six months?**

Yes

☐

No

☐

If Yes, please provide your new contact details on the following page.

FlxDT

Centre ID:

--	--	--

Participant ID:

--	--	--	--

Please complete your new contact details below:

House/Flat number: .....

Street Name: .....

.....

Town/City: .....

Postcode: .....

Email: .....

Telephone

Home: .....

Work: .....

Mobile: .....

Preferred method/time of contact: .....

Date new details effective from:

d	d
---	---

m	m	m
---	---	---

y	y	y	y
---	---	---	---

That is the end of the questionnaire.

Please check that you have completed all sections.

We will send you another questionnaire in six months. In the meantime, please keep a record of any days off work, hospital or GP visits, medication, use of special equipment or support you may receive as a result of your fracture.

Please write any notes you have for us in the space overleaf and return the questionnaire in the reply-paid envelope provided.

Thank you very much for your time.

## Appendix 4 Economic supplementary data

**TABLE 35** Summary of unit cost data and data sources

Resource item	Unit cost (£)	Unit of analysis	Source of unit cost
<b>Subsequent inpatient care</b>			
Orthopaedics (your leg)			
Cost per average LoS of 1 day	1780.34	Per procedure	<i>Reference Costs 2014–15</i> ; 'minor knee procedures for non-trauma, 19 years and over' – HN25A <sup>33</sup>
Day case	1349.10	Per procedure	<i>Reference Costs 2014–15</i> ; 'minor knee procedures for non-trauma, 19 years and over' – HN25A <sup>33</sup>
Orthopaedics (any other bones)			
Cost per average LoS of 4 days	2648.56	Per procedure	<i>Reference Costs 2014–15</i> ; 'other muscle, tendon, fascia or ligament procedures' – HN93Z <sup>33</sup>
Day case	965.19	Per procedure	<i>Reference Costs 2014–15</i> ; 'other muscle, tendon, fascia or ligament procedures' – HN93Z <sup>33</sup>
Adjustment per day $\pm$ average LoS (excess bed-days)	278.52	Per day	<i>Reference Costs 2014–15</i> ; 'other muscle, tendon, fascia or ligament procedures' – HN93Z <sup>33</sup>
Other inpatient			
Rehabilitation unit	335.00	Per session	<i>Reference Costs 2013–14</i> ; 'rehabilitation for other trauma' – V636Z <sup>49</sup>
<b>Outpatient care</b>			
Orthopaedics	112.50	Per session	<i>Reference Costs 2014–15</i> <sup>33</sup>
Blood tests/phlebotomy	3.00	Per test	<i>Reference Costs 2014–15</i> <sup>33</sup>
Radiology			
Radiography	30.23	Per test	<i>Reference Costs 2014–15</i> <sup>33</sup>
Magnetic resonance imaging	146.00	Per test	<i>Reference Costs 2014–15</i> <sup>33</sup>
Computerised tomography	111.00	Per test	<i>Reference Costs 2014–15</i> <sup>33</sup>
Hospital physiotherapist (NHS)	38.00	Per session	<i>Unit Costs of Health and Social Care 2015</i> , p. 217 <sup>34</sup>
Physiotherapist (private)	70.00	Per hour	The Physio Centre (URL: <a href="http://www.thephysiocentre.co.uk/how_much/">www.thephysiocentre.co.uk/how_much/</a> ; accessed 3 October 2017)
Emergency department			
Orthopaedics and trauma	112.50	Per session	<i>Reference Costs 2014–15</i> <sup>33</sup>
Other	140.59	Per session	<i>Reference Costs 2014–15</i> <sup>33</sup>
<b>Primary and community care</b>			
GP			
Surgery consultation	225.00	Per hour	<i>Unit Costs of Health and Social Care 2015</i> , p. 178 <sup>34</sup>
Home visit	5.20	Per home visit minute	<i>Unit Costs of Health and Social Care 2010</i> , p. 167 <sup>50</sup>
Telephone call	27.00	Per telephone consultation lasting 7.1 minutes	<i>Unit Costs of Health and Social Care 2015</i> , p. 178 <sup>34</sup>

continued

TABLE 35 Summary of unit cost data and data sources (continued)

Resource item	Unit cost (£)	Unit of analysis	Source of unit cost
Practice nurse	56.00	Per hour of face-to-face contact	<i>Unit Costs of Health and Social Care 2015</i> , p. 174 <sup>34</sup>
District nurse	67.00	Per hour of patient related work	<i>Unit Costs of Health and Social Care 2015</i> , p. 169 <sup>34</sup>
Community physiotherapist	36.00	Per hour of consultation	<i>Unit Costs of Health and Social Care 2015</i> , p. 179 <sup>34</sup>
Occupational therapist	44.00	Per hour	<i>Unit Costs of Health and Social Care 2015</i> , p. 191 <sup>34</sup>
<b>PSS</b>			
Meals on Wheels			
Frozen, daily	46.00	Per weekly meal	<i>Unit Costs of Health and Social Care 2014</i> , p. 127 <sup>51</sup>
Hot, daily	44.00	Per weekly meal	<i>Unit Costs of Health and Social Care 2014</i> , p. 127 <sup>51</sup>
Laundry services	4.55	Per load	North Yorkshire County Council (URL: <a href="http://www.northyorks.gov.uk/article/23988/Paying-for-social-care-services-in-the-community">www.northyorks.gov.uk/article/23988/Paying-for-social-care-services-in-the-community</a> ; accessed 3 October 2017)
Social worker contacts	42.00	Per hour	<i>Unit Costs of Health and Social Care 2015</i> , p. 95 <sup>34</sup>
Care worker contacts including help at home	24.00	Per hour	<i>Unit Costs of Health and Social Care 2015</i> , p. 192 <sup>34</sup>
<b>Aids and adaptations</b>			
Crutches	5.06	Per unit	<i>NHS Supply Chain 2016</i> (URL: <a href="https://my.supplychain.nhs.uk/catalogue">https://my.supplychain.nhs.uk/catalogue</a> ; accessed 3 October 2017)
Stick	3.94	Per unit	<i>NHS Supply Chain 2016</i> (URL: <a href="https://my.supplychain.nhs.uk/catalogue">https://my.supplychain.nhs.uk/catalogue</a> ; accessed 18 July 2016)
Walking frame	35.99	Per unit	<i>NHS Supply Chain 2016</i> (URL: <a href="https://my.supplychain.nhs.uk/catalogue">https://my.supplychain.nhs.uk/catalogue</a> ; accessed 18 July 2016)
Grab rail	1.61	Per unit	<i>NHS Supply Chain 2016</i> (URL: <a href="https://my.supplychain.nhs.uk/catalogue">https://my.supplychain.nhs.uk/catalogue</a> ; accessed 18 July 2016)
Dressing aids	1.66	Per unit	<i>NHS Supply Chain 2016</i> (URL: <a href="https://my.supplychain.nhs.uk/catalogue">https://my.supplychain.nhs.uk/catalogue</a> ; accessed 18 July 2016)
Long-handle shoe horn	1.66	Per unit	<i>NHS Supply Chain 2016</i> (URL: <a href="https://my.supplychain.nhs.uk/catalogue">https://my.supplychain.nhs.uk/catalogue</a> ; accessed 18 July 2016)
<b>Productivity losses</b>			
Median wage rate			
Full time, male	567.00	Per week	<i>Annual Survey of Hours and Earnings: 2015</i> <sup>36</sup>
Full time, female	471.00	Per week	<i>Annual Survey of Hours and Earnings: 2015</i> <sup>36</sup>
Part time, male	156.00	Per week	<i>Annual Survey of Hours and Earnings: 2015</i> <sup>36</sup>
Part time, female	171.00	Per week	<i>Annual Survey of Hours and Earnings: 2015</i> <sup>36</sup>
Median earnings (self-employed)	10,800.00	Per year	<i>The Income of the Self-Employed</i> <sup>52</sup>
GP, general practitioner; LoS, length of stay.			

**TABLE 36** Number and proportion of individuals with missing health economic data by treatment allocation

Variable	Description	Treatment group, missing values, <i>n</i> (%)		
		IM nail fixation ( <i>n</i> = 158)	Locking plate ( <i>n</i> = 160)	Total
eq5db	EQ-5D index score pre injury	1 (1)	1 (1)	2 (1)
eq5d0	EQ-5D index score post injury	2 (1)	3 (2)	5 (2)
eq5d1	EQ-5D at 3 months	23 (15)	19 (12)	42 (13)
eq5d2	EQ-5D at 6 months	16 (10)	18 (11)	34 (11)
eq5d3	EQ-5D at 12 months	43 (27)	42 (26)	85 (27)
c0	Operative costs (surgery cost including initial hospital stay and implants)	0 (0)	0 (0)	0 (0)
c1	Total resource use between baseline and 3 months	54 (34)	54 (34)	108 (34)
c2	Total resource use between 3 and 6 months	30 (19)	31 (19)	61 (19)
c3	Total resource use between 6 and 12 months	60 (38)	58 (36)	118 (37)
c4	Total resource use between 0 and 6 months	67 (42)	62 (39)	129 (41)
c5	Total resource use between 0 and 12 months	88 (56)	82 (51)	170 (54)

**TABLE 37** Use of health-care resources related to distal fracture fixation by each follow-up period and treatment arm (complete cases)

Health-care resource	Treatment group		Difference: <i>p</i> -value of <i>t</i> -test
	IM nail fixation	Locking plate	
<b>Six-week follow-up</b>			
Inpatient care, mean length of stay in days (SE)			
Intensive care	0.11 (0.06)	0.41 (0.39)	0.45
Acute trauma	5.78 (0.36)	5.83 (0.35)	0.92
Rehabilitation	0.55 (0.30)	0.19 (0.09)	0.26
Other	0.17 (0.07)	0.21 (0.12)	0.78
Total inpatient care use	6.61 (0.47)	6.64 (0.39)	0.62
Proportion of participants prescribed antibiotics at 6 weeks (SD)	0.14 (0.35)	0.13 (0.03)	0.69
<b>Three-month follow-up</b>			
Subsequent inpatient care, mean length of stay in days (SE)			
Orthopaedics			
Leg	0.09 (0.05)	0.18 (0.12)	0.25
Other bones	0	0	
Rehabilitation unit	0	0.09 (0.09)	0.16

continued

continued

**TABLE 37** Use of health-care resources related to distal fracture fixation by each follow-up period and treatment arm (complete cases) (*continued*)

Health-care resource	Treatment group		Difference: <i>p</i> -value of <i>t</i> -test
	IM nail fixation	Locking plate	
Other			
Surgery	0.03 (0.03)	0.11 (0.11)	0.24
Non-surgery	0	0.01 (0.01)	0.16
Total inpatient care use	0.12 (0.06)	0.38 (0.24)	0.16
<i>Outpatient care, mean number of contacts (SE)</i>			
Orthopaedics	1.66 (0.12)	1.93 (0.15)	0.09
Pathology	0.07 (0.03)	0.08 (0.03)	0.85
Radiology			
Radiography	1.26 (0.21)	1.38 (0.11)	0.21
Magnetic resonance imaging	0.01 (0.01)	0.03 (0.01)	0.09
Computerised tomography	0	0.03 (0.01)	0.02
Physiotherapy			
NHS	1.82 (0.21)	1.69 (0.19)	0.67
Private	3.59 (3.26)	0.16 (0.10)	0.86
Emergency department			
Fracture related	0.10 (0.03)	0.08 (0.03)	0.66
Other reasons	0.02 (0.01)	0.03 (0.02)	0.25
Other	0.06 (0.02)	0.08 (0.03)	0.30
Total outpatient care use	8.59 (3.25)	5.50 (0.33)	0.83
<i>Community health care, mean number of contacts (SE)</i>			
GP contacts			
Surgery consultation	0.92 (0.13)	0.97 (0.16)	0.39
Home visit	0.14 (0.06)	0.11 (0.05)	0.62
Telephone call	0.36 (0.10)	0.46 (0.10)	0.24
Practice nurse contacts	0.64 (0.19)	0.39 (0.10)	0.88
District nurse contacts	2.61 (0.92)	1.13 (0.49)	0.92
Community physiotherapy contacts	0.59 (0.15)	0.71 (0.18)	0.31
Occupational therapy contacts	0.29 (0.13)	0.26 (0.11)	0.56
Other	0.10 (0.10)	0.22 (0.17)	0.27
Total community health-care use	5.64 (0.97)	4.25 (0.56)	0.89
<i>Aids and adaptations, mean count (SE)</i>			
Crutches	1.05 (0.09)	1.21 (0.10)	0.11
Stick	0.12 (0.03)	0.06 (0.03)	0.91
Walking frame	0.20 (0.04)	0.34 (0.06)	0.03*
Grab rail	0.12 (0.04)	0.09 (0.04)	0.70
Dressing aids	0.33 (0.24)	0.11 (0.08)	0.80
Long-handle shoe horn	0.03 (0.02)	0.01 (0.01)	0.81



**TABLE 37** Use of health-care resources related to distal fracture fixation by each follow-up period and treatment arm (complete cases) (*continued*)

Health-care resource	Treatment group		Difference: <i>p</i> -value of <i>t</i> -test
	IM nail fixation	Locking plate	
Other	0.70 (0.10)	0.68 (0.10)	0.57
Total use – aids and adaptations	2.55 (0.30)	2.51 (0.18)	0.65
<i>Medicines, proportion of participants prescribed each class of drug (SD)</i>			
Analgesics	0.58 (0.35)	0.56 (0.37)	0.60
Antibiotics	0.08 (0.19)	0.13 (0.0.28)	0.34
Anti-inflammatories	0.14 (0.0.21)	0.16 (0.0.27)	0.24
Anticoagulant	0.05 (0.16)	0.03 (0.14)	0.84
Anti-inflammatory gels	0.01 (0.06)	0 (0.04)	0.74
Other	0.15 (0.29)	0.11 (0.25)	0.82
All medicines	1.00	1.00	0.98
<i>PSS, number of contacts (SE)</i>			
Laundry services	0	0	
Social worker contacts	0	0.01 (0.01)	0.16
Care worker/home help	0.43 (0.43)	0.74 (0.56)	0.33
Other	0.60 (0.42)	0	0.92
Total PSS use	1.02 (0.60)	0.75 (0.56)	0.63
<i>Productivity losses (SD)</i>			
Number of days off work	46.12 (4.21)	54.46 (3.72)	0.07*
<b>Six-month follow-up</b>			
<i>Subsequent inpatient care, mean length of stay in days (SE)</i>			
Orthopaedics			
Leg	0	0.08 (0.08)	0.04
Other bones	0	0	
Rehabilitation unit	0	0	
Other			
Surgery	0	0.03 (0.03)	0.16
Non-surgery	0	0.01 (0.01)	
Total inpatient care use	0	0.11 (0.06)	0.03**
<i>Outpatient care, mean number of contacts (SE)</i>			
Orthopaedics	0.71 (0.10)	1.17 (0.25)	0.04
Pathology	0.05 (0.02)	0.02 (0.02)	0.89
Radiology			
Radiography	0.53 (0.09)	0.71 (0.10)	0.1
Magnetic resonance imaging	0.01 (0.01)	0.01 (0.01)	0.5
Computerised tomography	0.02 (0.01)	0.02 (0.01)	0.5

continued

**TABLE 37** Use of health-care resources related to distal fracture fixation by each follow-up period and treatment arm (complete cases) (*continued*)

Health-care resource	Treatment group		Difference: <i>p</i> -value of <i>t</i> -test
	IM nail fixation	Locking plate	
Physiotherapy			
NHS	1.84 (0.28)	2.53 (0.36)	0.07*
Private	0.27 (0.12)	0.12 (0.06)	0.88
Emergency department			
Fracture related	0.02 (0.01)	0.01 (0.01)	0.72
Other reasons	0.02 (0.01)	0.07 (0.05)	0.18
Other	0.16 (0.09)	0.13 (0.06)	0.59
Total outpatient care use	3.64 (0.35)	4.78 (0.53)	0.04**
<i>Community health care</i>			
GP contacts			
Surgery consultation	1.09 (0.18)	0.89 (0.25)	0.74
Home visit	0.06 (0.04)	0.11 (0.08)	0.30
Telephone calls	0.18 (0.09)	0.14 (0.08)	0.62
Practice nurse contacts	0.06 (0.04)	0.07 (0.05)	0.43
District nurse contacts	0.18 (0.13)	0.43 (0.43)	0.29
Community physiotherapy contacts	1.70 (0.59)	1.04 (0.38)	0.82
Occupational therapy contacts	0.15 (0.09)	0.46 (0.43)	0.24
Other	0.15 (0.10)	0.54 (0.37)	0.16
Total community health-care use	3.58 (0.61)	3.68 (0.67)	0.46
<i>Aids and adaptations</i>			
Crutches	0.16 (0.04)	0.19 (0.05)	0.32
Stick	0.09 (0.03)	0.05 (0.02)	0.91
Walking frame	0.02 (0.01)	0.04 (0.02)	0.26
Grab rail	0.06 (0.04)	0.01 (0.01)	0.90
Dressing aids	0.03 (0.03)	0	0.84
Long-handle shoe horn	0.01 (0.01)	0	0.84
Other	0.09 (0.04)	0.13 (0.04)	0.24
Total use – aids and adaptations	0.47 (0.12)	0.41 (0.09)	0.65
<i>Medicines, proportion prescribed each class of drug (SD)</i>			
Analgesics	0.51 (0.45)	0.56 (0.46)	0.32
Antibiotics	0.08 (0.24)	0.13 (0.34)	0.50
Anti-inflammatories	0.07 (0.23)	0.02 (0.10)	0.79
Anticoagulant	0.01 (0.08)	0.01 (0.05)	0.50
Anti-inflammatory gels	0.06 (0.23)	0.04 (0.18)	0.68
Other	0.27 (0.42)	0.25 (0.39)	0.58
All medicines	1.00	1.00	

**TABLE 37** Use of health-care resources related to distal fracture fixation by each follow-up period and treatment arm (complete cases) (*continued*)

Health-care resource	Treatment group		Difference: <i>p</i> -value of <i>t</i> -test
	IM nail fixation	Locking plate	
<i>PSS, number of contacts (SE)</i>			
Laundry services	0	0	
Social worker contacts	0	0	
Care worker/home help	2.43 (1.75)	0.71 (0.71)	0.82
Other	0	0	
Total PSS use	2.43 (1.75)	0.71 (0.71)	0.82
<i>Productivity losses (SD)</i>			
Number of days off work	24.59 (5.22)	32.80 (5.89)	0.15
<b>Twelve-month follow-up</b>			
<i>Subsequent inpatient care, mean length of stay in days (SE)</i>			
Orthopaedics			
Leg	0.16 (0.10)	0.15 (0.09)	0.95
Other bones	0	0	
Rehabilitation unit	0	0	
Other			
Surgery	0.01 (0.01)	0.10 (0.08)	0.28
Non-surgery	0	0	
Total inpatient care	0.17 (0.11)	0.25 (0.15)	0.71
<i>Outpatient care, mean number of contacts (SE)</i>			
Orthopaedics	0.46 (0.13)	0.71 (0.18)	0.28
Pathology	0.13 (0.07)	0.27 (0.17)	0.46
Radiology			
Radiography	0.38 (0.09)	0.30 (0.08)	0.50
Magnetic resonance imaging	0	0.03 (0.01)	0.08
Computerised tomography	0.75 (0.46)	0.11 (0.06)	0.17
Physiotherapy			
NHS	0.55 (0.22)	0.70 (0.28)	0.67
Private	0.07 (0.05)	0.07 (0.05)	0.97
Emergency department			
Fracture related	0.03 (0.02)	0.17 (0.16)	0.36
Other reasons	0.01 (0.01)	0.03 (0.03)	0.38
Other	0.10 (0.03)	0.31 (0.21)	0.33
Total outpatient care use	2.47 (0.71)	2.70 (0.58)	0.80

continued

continued

**TABLE 37** Use of health-care resources related to distal fracture fixation by each follow-up period and treatment arm (complete cases) (*continued*)

Health-care resource	Treatment group		Difference: <i>p</i> -value of <i>t</i> -test
	IM nail fixation	Locking plate	
<i>Community health care, mean number of contacts (SE)</i>			
GP contacts			
Surgery consultation	0.91 (0.26)	1.64 (0.37)	0.11
Home visit	0.06 (0.04)	0	0.16
Telephone call	0.91 (0.75)	0.03 (0.03)	0.25
Practice nurse contacts	0.09 (0.07)	0.42 (0.20)	0.14
District nurse contacts	0	0	
Community physiotherapy contacts	0.69 (0.33)	1.11 (0.59)	0.54
Occupational therapy contacts	0	0.89 (0.68)	0.20
Other	0.09 (0.09)	0.28 (0.22)	0.45
Total community health-care use	2.75 (0.95)	4.36 (1.02)	0.25
<i>Aids and adaptations, mean number of items (SE)</i>			
Crutches	0.13 (0.05)	0.06 (0.03)	0.30
Stick	0.03 (0.02)	0.07 (0.02)	0.13
Walking frame	0	0.02 (0.01)	0.58
Grab rail	0.01 (0.01)	0.02 (0.01)	0.10
Dressing aids	0.02 (0.02)	0	0.32
Long-handle shoe horn	0	0.02 (0.01)	0.16
Other	0.14 (0.05)	0.10 (0.04)	0.53
Total use – aids and adaptations	0.35 (0.09)	0.27 (0.07)	0.45
<i>Medicines, proportion prescribed each class of drug (SD)</i>			
Analgesics	0.55 (0.43)	0.47 (0.42)	0.73
Antibiotics	0.08 (0.24)	0.05 (0.14)	0.66
Anti-inflammatories	0.20 (0.34)	0.19 (0.35)	0.42
Anticoagulant	0.03 (0.11)	0	0.84
Anti-inflammatory gels	0.08 (0.24)	0	0.91
Other	0.08 (0.24)	0.29 (0.43)	0.03**
All medicines	1.00	1.00	
<i>PSS, mean number of contacts (SE)</i>			
Laundry services	0	0.02 (0.02)	0.16
Social worker contacts	0.01 (0.01)	0.04 (0.04)	0.24
Care worker/home help	0	0.01 (0.01)	0.16
Other	0	0.01 (0.01)	0.16
Total PSS use	0.01 (0.01)	0.08 (0.05)	0.09*
<i>Productivity losses (SD)</i>			
Mean number of days off work	12.99 (5.84)	15.59 (4.91)	0.73
*, significant at the 5% level; **, significant at the 10% level. GP, general practitioner.			

## Appendix 5 Follow-up data

**TABLE 38** Follow-up patterns in the UK FixDT trial

Time point				
Baseline	3 months	6 months	12 months	Number of participants (%)
C	C	C	C	240 (75)
C	C	C	M	18 (6)
C	C	M	M	11 (3)
C	M	C	C	11 (3)
C	M	M	M	10 (3)
C	M	C	M	10 (3)
C	M	W	W	3 (1)
C	M	M	C	4 (1)
C	C	C	D	2 (1)
M	W	W	W	2 (1)
C	C	M	C	2 (1)
C	C	D	D	1 (< 1)
C	D	D	D	1 (< 1)
C	M	M	W	1 (< 1)
M	M	C	C	1 (< 1)
C	C	C	W	1 (< 1)
C	W	W	W	1 (< 1)
C	M	C	W	1 (< 1)
C	C	M	D	1 (< 1)

C, completed DRI questionnaire; D, death; M, missing questionnaire; W, withdrawal from follow-up.



## Appendix 6 Protocol amendments

**TABLE 39** List of amendments to the trial protocol

Version			
Amendment number	Date	Date of approval	Reason for change
1	19 December 2012	2 January 2013	Alteration of filter question 7
2	17 March 2013	5 April 2013	Changes in participant 'consent'
3	N/A	N/A	N/A
4	14 August 2013	14 October 2013	Data collection in clinic
5	30 October 2013	22 November 2013	Change in eligibility criteria
6	1 March 2014	12 March 2014	Clarification of eligibility criteria
7	N/A	N/A	N/A
8	21 May 2014	20 June 2014	Updated the contact details of the sponsor and trial staff details and added main phase sites
9	24 June 2014	22 July 2014	Clarification on how the randomisation is implemented
10	26 February 2015	20 April 2015	Opt-in option for long-term follow-up at the outset of the study and addendum foot pressure walking study
N/A, not applicable.			





# Appendix 7 Patient information sheet

## FixDT UK Fixation of Distal Tibia Fractures

Chief investigator: Professor Matt Costa

### PATIENT INFORMATION SHEET

We would like to invite you to take part in our research study, investigating two different ways of fixing your broken leg. This study will provide us with information which may help improve treatment of patients with similar injuries in the future.

Before you decide to take part we would like you to understand why the research is being done and what it would involve for you. A researcher from our team will go through the information sheet with you and answer any questions you have.

#### **Background information**

You have broken (fractured) the lower part of your shin bone. This part of the bone is called the 'distal tibia'. There are several treatment options for this injury. Sometimes the broken bone can be held in a plaster cast. However, the majority of fractures to the distal tibia require surgery and yours is one of those fractures.

During surgery, the bone is most commonly fixed in place with a metal device which is under the skin. This device can sit inside the hollow part of the distal tibia (a 'nail') or can sit on the surface of the bone (a 'plate').

Both plates and nails are successfully used in hospitals throughout the UK for patients with injuries like yours. However, there is little evidence from research studies to say if one is better than the other.

This study will compare plates versus nails with regards to the time required for the bone to heal, ankle function and quality of life. It is important to perform a study in which the two methods are compared, so in the future individuals with similar injuries will receive the best possible treatment.

#### **What is the purpose of this study?**

This study aims to determine the best treatment for patients with a fracture of the distal tibia. We are comparing two treatments – nail fixation versus plate fixation.

#### **Why have I been chosen?**

You are chosen because you sustained a fracture of the distal tibia which required surgery to fix the bones back into place. Over 20 hospitals across the country are taking part in this study

and a minimum of 320 patients will take part.

### Do I have to take part?

It is up to you whether or not to take part. If you decide to take part you will be given this information sheet to keep and be asked to sign a consent form. If you decide to take part you are still free to withdraw at any time and without giving a reason. A decision to withdraw at any time or a decision not to take part will not affect the standard of care you receive.

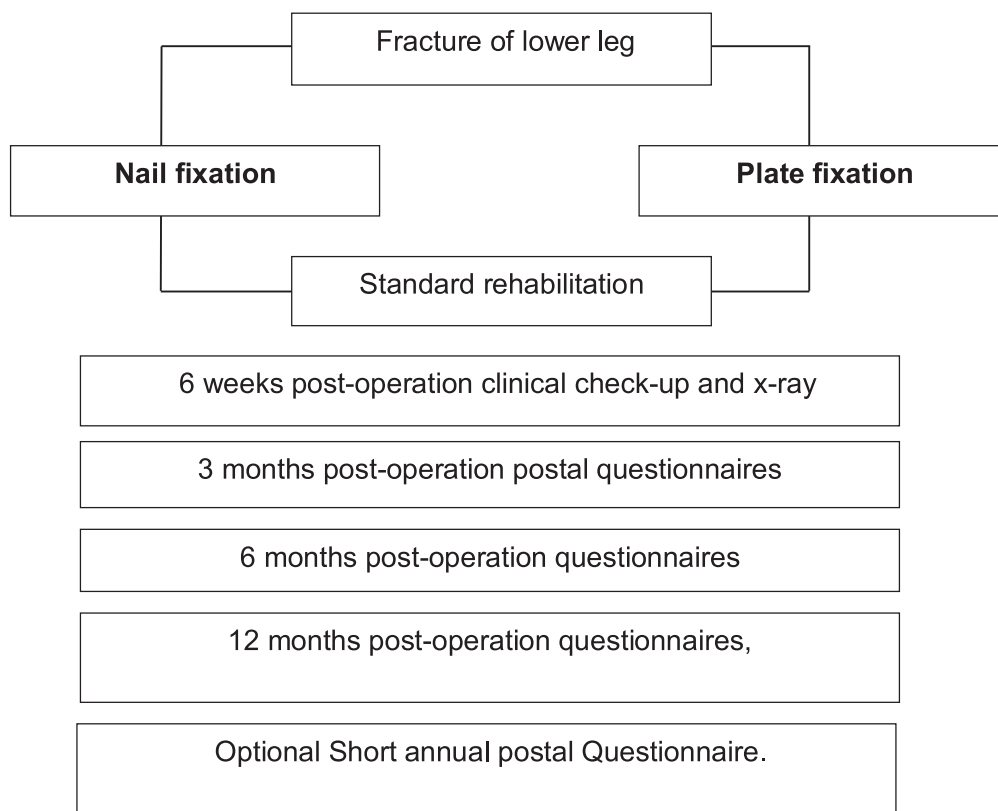
### Which treatment will I be given?

You will be allocated to either a nail or plate fixation. The allocation process will be done by a computer and is done purely by chance. There is an equal chance of you receiving either the nail or plate fixation. The research associate will be able to tell you which type of fixation you will receive.

### What will happen now?

All patients with a fracture of the leg are followed up carefully to make sure their fracture is healing during the first year after their injury. You will be followed up in the usual way. The only additional commitment we would ask of you for purpose of the research is to fill out a number of questionnaires to tell us about your recovery after your injury.

In the flow-chart below you can see a schedule of the visits / assessments and what would happen during this first year after injury.



If you decide you would like to be involved in the research study, you will be asked to sign a consent form after which we will ask you to fill out the first questionnaire. The questionnaire will ask you about how well you were able to perform certain day-to-day activities and how you were feeling before your injury occurred. The questionnaire will take approximately 10 minutes to complete. We will ask you to complete a similar questionnaire approximately 3, 6 and 12 months after your operation. At 3 months we will send the questionnaire to you in the post (with a free post return envelope), at 6 and 12 months it will be completed during your routine clinic appointment. A further shortened annual questionnaire will be sent to you in the post, if you consent at outset, for the long term follow up. With your permission, we may occasionally phone or send you a mobile text message to inform you a questionnaire is due or on its way to you. All of the patients in the study will be invited back to their hospital for their final routine x-ray one year after their injury.

Appropriate personal identifying information will be collected, stored and used by the University of Warwick Clinical Trials Unit to enable the follow up of participants in the study. A copy of the consent form will also be collected by the Warwick Clinical Trials Unit for monitoring purposes. Any information will be treated with the strictest security and confidentiality.

### **What are the possible disadvantages and risks of taking part?**

There are no specific risks of having one type of fixation or the other. Both treatments involve surgery which carries some risks, but the risks are the same and equal to individuals who do not take part. The risks of surgery include: risk of bleeding, risk of deep vein thrombosis, risk of damage to nerves and blood vessels in the surgical area and risk associated with the anaesthetic.

### **What are the possible benefits of taking part?**

Both nail fixation and plate fixation are widely used for people with fractures of the distal tibia. There is no specific advantage to you for taking part in the study. However, the information we get from this study will help us improve treatments for future patients with similar fractures.

### **What if new information becomes available?**

Sometimes during the course of a research project, new information becomes available about the treatment that is being studied. If this happens, your research nurse will tell you about it and discuss with you whether you want to continue in the study. If you decide to withdraw, we will encourage you to discuss your continued care with your doctor. If you decide to continue in the study you will be asked sign an updated consent form.

### **What happens when the research study ends?**

You will be in the study for 12 months. If you are still having problems after this time, your surgeon will arrange for you to have an appointment with an appropriate specialist to continue your care.

**What happens if something goes wrong?**

In the unlikely event that something does go wrong and you are harmed during the research and this is due to someone's negligence then you may have grounds for a legal action for compensation against the University of Warwick. Please address your complaint to the person below who is a senior University of Warwick official entirely independent of this study:

XXXX

XXXX

XXXX

or UHCW NHS Trust (Mrs Ceri Jones, R&D services manager, XXXX), but you may have to pay your legal costs. The normal National Health Service complaints mechanisms will still be available to you.

For independent advice contact the PALS service (Patient Advice Liaison Service) on XXXX

**Will my taking part in this study be kept confidential?**

All information which is collected about you during the course of the research will be kept strictly confidential. Any information about you which leaves Warwick Clinical Trials Unit will have your name and address removed so that you cannot be recognised from it. Your GP and other doctors who may treat you but are not part of this study will be notified, with your consent, that you are taking part in this study.

**What will happen to the results of the research study?**

The main trial is expected to last 5 years. At the end of this time we will publish the findings in medical journals and at medical conferences. You will not be identified in any reports or publications resulting from the study. If you would like to obtain a copy of the published results, please ask a member of the research team.

**What will happen if I decide not to participate in the research study?**

If you decide not to participate in the research study your care will not be affected and you will be followed up in the usual way.

**Who has reviewed this study?**

This study has been reviewed by the *West Midlands – Coventry and Warwickshire Research Ethics Committee* and approval was given on 06 November 2012.

**Contacts for further information**

If, at any time, you would like further information about this research project you may contact

Mrs Katie McGuinness, the trial coordinator of this research study, by telephoning XXXX.

Or you can contact your local Trauma Research Team, telephone number XXXX

The study is funded by the Department of Health and coordinated by University of Warwick Clinical Trials Unit. Professor Matthew Costa, who is the overall lead for this study may also be contacted on: XXXX.

**Thank you for considering participation in this study and for taking  
time to read this information sheet.**

FixDT Prospective Patient Information Sheet V5.1 | 11/05/2015



# Appendix 8 Consent form

## UK Fixation of Distal Tibia Fractures

Chief Investigator: Professor Matt Costa

### CONSENT FORM – *Prospective Consent*

Centre ID:

Participant ID:

Please initial box

1. I confirm that I have read and understood the information sheet version dated 11/05/2015 (Version 5.1) for the above study. I have had the opportunity to consider the information, ask questions and have had these answered satisfactorily.

☐

2. I understand that my participation is voluntary and that I am free to withdraw at any time, without giving any reason, without my medical care or legal rights being affected.

☐

3. I understand that relevant sections of any of my medical notes and data collected during the study may be looked at by responsible individuals from regulatory authorities or from the NHS Trust, where it is relevant to my taking part in this research. I give permission for these individuals to have access to my records.

☐

4. I understand that appropriate personal identifying information will be collected, stored and used by Warwick Clinical Trials Unit to enable follow up of my health status. This is on the understanding that any information will be treated with the strictest security and confidentiality.

☐

5. I agree to my GP being informed of my participation in the study.

☐

6. I agree to being sent text messages in relation to the study.

☐

7. I agree to take part in the above study.

☐

8. I agree to complete a short annual postal questionnaire, for the FixDT long term follow up.

☐

Name of Patient

Signature

Date (dd/mmm/yyyy)

Name of Person taking consent

Signature

Date (dd/mmm/yyyy)

Top original copy to be kept in the FixDT site file, one copy to be given to the patient and one copy to be kept in the patients' medical notes.

Prospective Consent Form

V 5.1 | 11/05/2015





## Appendix 9 Background information

FixDT

Centre ID

### Background Information

Participant ID

#### Section 1 - Current Injury

1. Side of fracture : Right ☐ Left ☐2. Date of injury (dd/mmm/yyyy):        3. Time of injury (24hour):    4. Was the patient transferred from another hospital? Yes ☐ No ☐

5. Mechanism of injury (Tick one box only)

Low energy fall (e.g. while standing or walking)

High energy fall (e.g. while running or from a height of more than 2 metres)

Road traffic accident

Crush injury (e.g. machinery or heavy weight)

Contact sports injury

Other (details): .....

☐  
☐  
☐  
☐  
☐  
☐
6. Does the patient have any other significant injuries? Yes ☐ No ☐

If Yes, tick all that apply:

	Yes	No
Head	<input type="checkbox"/>	<input type="checkbox"/>

Chest	<input type="checkbox"/>	<input type="checkbox"/>
-------	--------------------------	--------------------------

Abdomen	<input type="checkbox"/>	<input type="checkbox"/>
---------	--------------------------	--------------------------

Pelvis	<input type="checkbox"/>	<input type="checkbox"/>
--------	--------------------------	--------------------------

	Yes	No
Spine	<input type="checkbox"/>	<input type="checkbox"/>

Upper limbs	<input type="checkbox"/>	<input type="checkbox"/>
-------------	--------------------------	--------------------------

Ipsilateral limb	<input type="checkbox"/>	<input type="checkbox"/>
------------------	--------------------------	--------------------------

Contralateral limb	<input type="checkbox"/>	<input type="checkbox"/>
--------------------	--------------------------	--------------------------

FixDT

Participant ID

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## Section 2 - Medical History

1. Height (cm) 

--	--	--

 • 

--

2. Weight (kg) 

--	--	--

 • 

--

3. Before the injury was the patient taking any of the following?

Regular analgesia e.g. Paracetamol, anti-inflammatory

Yes

--

No

--

Other Medication

Yes

--

No

--

If Other, please give details including dose and frequency: .....

.....

4. Has the patient been diagnosed with diabetes?

Yes

--

No

--

5. Is the patient currently a regular smoker?

Yes

--

No

--

If Yes, how many cigarettes per day?

--	--	--

and for how many years?

--	--

6. How many units of alcohol does the patient drink in a normal week? (Tick one box only)

Please use the Alcohol Units poster from the expanding trial documents folder for guidance on units

0-7 units

--

8-14 units

--

15-21 units

--

More than 21 units

--

7. Has the patient had previous problems with the lower limb on the injured side?

Yes

--

No

--

If Yes, tick all that apply:

Previous fracture

Yes

No

--

--

Ligament, tendon or nerve injury

Yes

No

--

--

Arthritis

--

--

Other

--

--

If Other, please give details .....

Research Associate signature .....

Date completed (dd/mm/yyyy):

--	--

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# Appendix 10 Baseline questionnaire

FixDT	Centre ID	<input type="text"/>
Baseline Questionnaire	Participant ID	<input type="text"/>

## Section 1 – Personal Information

1. Which of the following best describes your current marital status? (Tick one box only)

Single	<input type="checkbox"/>	Separated	<input type="checkbox"/>	Married/Civil Partner	<input type="checkbox"/>
Living with a partner	<input type="checkbox"/>	Divorced	<input type="checkbox"/>	Widowed	<input type="checkbox"/>

2. Please tick the box that most closely describes your ethnic background. (Tick one box only)

White	<input type="checkbox"/>	Pakistani	<input type="checkbox"/>
Black Caribbean	<input type="checkbox"/>	Bangladeshi	<input type="checkbox"/>
Black African	<input type="checkbox"/>	Chinese	<input type="checkbox"/>
Black Other	<input type="checkbox"/>	Other	<input type="checkbox"/>
Indian	<input type="checkbox"/>	(Please specify) .....	

3. What is your highest level of education? (Tick one box only)

Degree / degree equivalent (including higher degree) / NVQ4 / NVQ5	<input type="checkbox"/>
Higher education below degree	<input type="checkbox"/>
NVQ3/ GCE A level equivalent	<input type="checkbox"/>
NVQ2/ GCE O level / GCSE level equivalent/ School Certificate	<input type="checkbox"/>
Other vocational / work-related qualifications	<input type="checkbox"/>
No qualification	<input type="checkbox"/>

4. What is your current employment status? (Tick one box only)

Full-time employed	<input type="checkbox"/>	Unpaid work	<input type="checkbox"/>
Part-time employed	<input type="checkbox"/>	Unemployed	<input type="checkbox"/>
Self-employed	<input type="checkbox"/>	Full time student	<input type="checkbox"/>
Retired/looking after home/inactive	<input type="checkbox"/>		

5. Although you will be given one of the treatment options by chance, if you could choose which treatment to have, which would be your preference? (Tick one box only)

Intramedullary nail	<input type="checkbox"/>
'Locking' plate	<input type="checkbox"/>
I do not mind which treatment I receive	<input type="checkbox"/>

FixDT

Participant ID

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## Section 2- Disability Rating Index

These questions ask you to think back to the week prior your injury and your ability to perform the following activities. If you did not do a specific task please give your best estimate.

How do you manage the following activities?  
After each question, please mark ONE POINT on the line

Please answer ALL questions

Without difficulty		Not at all
↓		↓
With some difficulty - With difficulty - With great difficulty		

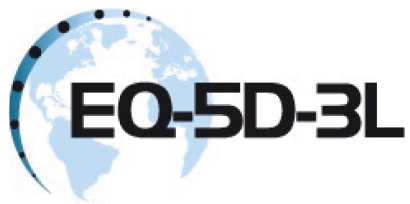
Dressing (without help)	-----	Office use: <input type="text"/>
Out-door walks	-----	<input type="text"/>
Climbing stairs	-----	<input type="text"/>
Sitting longer time	-----	<input type="text"/>
Standing bent over a sink	-----	<input type="text"/>
Carrying a bag	-----	<input type="text"/>
Making a bed	-----	<input type="text"/>
Running	-----	<input type="text"/>
Light work	-----	<input type="text"/>
Heavy work	-----	<input type="text"/>
Lifting heavy objects	-----	<input type="text"/>
Participating in exercise/sports	-----	<input type="text"/>
		Office Use   Line length: <input type="text"/>

## Section 4—Olerud-Molander Ankle Score (OMAS)

Please select the option that best fits your ability to perform certain tasks **BEFORE** your injury by circling the appropriate score.

PARAMETER	DEGREE	SCORE
1) Pain	None	25
	Walking on an uneven surface	20
	Walking on an even surface	10
	Walking indoors	5
	Constant and severe	0
2) Stiffness	None	10
	Stiffness	0
3) Swelling	None	10
	Only evenings	5
	Constant	0
4) Stairs	No problems	10
	Impaired	5
	Impossible	0
5) Running	Possible	5
	Impossible	0
6) Jumping	Possible	5
	Impossible	0
7) Squatting	No Problems	5
	Impossible	0
8) Supports	None	10
	Taping/ Wrapping	5
	Crutches	0
9) Daily Life	Same as before	20
	Loss of tempo	15
	Change of occupation	10
	Severely impaired work capacity	0

The EQ-5D-3L has been reproduced with permission.



**Health Questionnaire**

**English version for the UK**

***(Validated for Ireland)***

*UK (English) © 1990 EuroQol Group EQ-5D™ is a trade mark of the EuroQol Group*

By placing a tick in one box in each group below, please indicate which statements best describe your own health state today.

**Mobility**

- I have no problems in walking about ☐
- I have some problems in walking about ☐
- I am confined to bed ☐

**Self-Care**

- I have no problems with self-care ☐
- I have some problems washing or dressing myself ☐
- I am unable to wash or dress myself ☐

**Usual Activities** (e.g. work, study, housework, family or leisure activities)

- I have no problems with performing my usual activities ☐
- I have some problems with performing my usual activities ☐
- I am unable to perform my usual activities ☐

**Pain / Discomfort**

- I have no pain or discomfort ☐
- I have moderate pain or discomfort ☐
- I have extreme pain or discomfort ☐

**Anxiety / Depression**

- I am not anxious or depressed ☐
- I am moderately anxious or depressed ☐
- I am extremely anxious or depressed ☐

To help people say how good or bad a health state is, we have drawn a scale (rather like a thermometer) on which the best state you can imagine is marked 100 and the worst state you can imagine is marked 0.

We would like you to indicate on this scale how good or bad your own health is today, in your opinion. Please do this by drawing a line from the box below to whichever point on the scale indicates how good or bad your health state is today.

**Your own health  
state today**

Best imaginable  
health state

100

90

80

70

60

50

40

30

20

10

0

Worst imaginable  
health state



FixDT

Participant ID

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## Section 5—Social

In order to evaluate the cost-effectiveness of the intervention, the following questions help us to calculate the total cost of the treatment.

1. Are you receiving any of the benefits below? Yes ☐ No ☐

If No, go to Question 2

If Yes, can you please tick all benefits you currently receive and how much you currently receive in benefits each week?

Benefit	Tick	£ per week	Benefit	Tick	£ per week
Attendance Allowance	<input type="checkbox"/>		Income Support	<input type="checkbox"/>	
Carer's Allowance	<input type="checkbox"/>		Jobseeker's Allowance	<input type="checkbox"/>	
Child Tax Credit	<input type="checkbox"/>		Pension Credit	<input type="checkbox"/>	
Council Tax Benefit	<input type="checkbox"/>		Statutory Sick Pay	<input type="checkbox"/>	
Disability Living Allowance—caring	<input type="checkbox"/>		State Pension	<input type="checkbox"/>	
Disability Living Allowance—mobility	<input type="checkbox"/>		Other.....	<input type="checkbox"/>	
Employment and Support Allowance	<input type="checkbox"/>		Other.....	<input type="checkbox"/>	
Housing Benefit	<input type="checkbox"/>		Other.....	<input type="checkbox"/>	

2. How would you best describe your living arrangements? (Tick one box only)

Live alone	<input type="checkbox"/>	Care home	<input type="checkbox"/>
Live with relatives	<input type="checkbox"/>	Other (please specify).....	<input type="checkbox"/>
Live with wife/husband/partner	<input type="checkbox"/>		
Live with friends	<input type="checkbox"/>		

Research Associate signature .....

Date completed (dd/mm/yyyy):

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------



# Appendix 11 Randomisation process

The Trial Manual provided to sites instructions and screenshots for the online randomisation system as detailed below:

1) **Welcome page.** Make sure you have the eligibility form with you and that a consultant has signed to confirm the patient's eligibility. Click Start to begin the randomisation

The screenshot shows the 'Welcome:' page of the 'Registration Wizard'. It contains a list of instructions for the user:

- Ensure that you ask the caller each question as it is stated on the form
- Click the 'Next' button to progress to the next set of randomisation questions
- Click the 'Previous' button to return to the previous set of Randomisation questions
- To exit the wizard before completing the randomisation click the 'Cancel' button.
- Click on the 'Start' button to begin the randomisation wizard

At the bottom, there are two buttons: 'Cancel' on the left and 'Start' on the right.

2) **Registrant details.** Fill in your own details

The screenshot shows the 'Registrant Details:' page of the 'Registration Wizard'. It contains the following fields:

- Randomising site: UNIVERSITY HOSPITAL (COVENTRY) (WEST MIDL)
- Randomiser's first name: Ben
- Randomiser's surname: Jones
- Role: Surgeon (selected from a dropdown menu)
- Role other: (empty text box)

At the bottom, there are four buttons: 'Cancel', a green progress bar, 'Previous', and 'Next'.

3) **Participant details and eligibility.** Fill in the participants details. You must confirm they meet all of the eligibility criteria and that the consent form has been completed and signed by the patient. A consultant should have signed the eligibility form to confirm the above has been done. If not, then the patient should not be randomised and the system will not allow you to continue.

**Participant Details & Eligibility:** Registration Wizard

Participant Initials: ABC

Participant date of birth (e.g. 01-JAN-1900): 28-Sep-1963

Age Band: Under 50

Does the participant meet all the eligibility criteria and eligibility form signed? Yes

Does the consent form been completed and signed by participant? Yes

Cancel Previous Next

4) **Randomisation confirmation.** This summarises key details and is the last chance to go back and correct anything before the treatment allocation is generated. If the details are correct, click Randomise.

**Randomisation Confirmation:** Registration Wizard

Site: UNIVERSITY HOSPITAL (COVENTRY) (WEST MIDLANDS NHSTS)

Participant Initials: ABC

Participant DOB: 28-Sep-1963

Cancel Previous Randomise

5) **Randomisation complete.** The Participant ID number and the allocated treatment are given. Please write these down at the bottom of the eligibility form and send the form in as soon as possible to the trial office.

**Randomisation Complete:** Registration Wizard

Allocated Participant ID: 21

Allocated Treatment: Intramedullary nail fixation

Exit Report

## Appendix 12 Operation note

FixDT

Centre ID

Operation Note

Participant ID

### Section 1

1. Lead Surgeon's Name (Please print) .....

2. Lead Surgeon grade: (Tick one box only)

Consultant

☐

Staff Grade/Associate Specialist

☐

Specialist Trainee

☐

Other .....

☐
3. Please indicate how many other surgeons were present in theatre: (Please add a number to each box)

Consultant

Staff Grade/Associate Specialist

Specialist Trainee

### Section 2

1. Date of operation (dd/mm/yyyy):

2. Start time of operation (24hr clock)

3. Finish time of operation (24hr clock)

4. Any intra-operative problems?

Yes

☐

No

☐

If Yes, tick all that apply:

Nerve injury

Yes

☐

No

☐

Vascular Injury

Yes

☐

No

☐

Tendon injury

Yes

☐

No

☐

Extension of fracture

Yes

☐

No

☐

5. Which fixation method was performed?

Intramedullary nail

☐

'Locking' plate fixation

☐

Other (please describe) :

☐

.....

FixDT	Participant ID	
<hr/>		
6. Was this different to randomisation?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
<p><b>*If Yes, please complete a Protocol Deviation form and return to the FixDT office*</b></p>		
If Yes, was this due to:	Yes	No
Surgeon choice	<input type="checkbox"/>	<input type="checkbox"/>
Lack of equipment	<input type="checkbox"/>	<input type="checkbox"/>
Other .....	<input type="checkbox"/>	<input type="checkbox"/>
<hr/>		
<p><b><u>If an Intramedullary nail fixation:</u></b></p>		
<p>7. How many distal locking screws/bolts were used in the:</p>		
Coronal plane :	0 <input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/>
Sagittal plane:	0 <input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/>
Oblique plane:	0 <input type="checkbox"/>	1 <input type="checkbox"/> 2 <input type="checkbox"/>
<p>8. How many blocking (Poller) screws were used for the intramedullary nail fixation? (Tick one box only)</p>		
<p>0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/></p>		
<p>9. What reduction technique was used for the intramedullary nail? (Tick one box only)</p>		
<p>Open <input type="checkbox"/> Closed <input type="checkbox"/> Skeletal traction <input type="checkbox"/> No traction/ Freehand <input type="checkbox"/></p>		
<p>10. What surgical approach was used? (Tick one box only)</p>		
Medial Parapatella	Lateral Parapatella	Tendon Splitting      Suprapatella approach (Quads split)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
<hr/>		
<p><b><u>If a 'locking' plate fixation:</u></b> (Tick one box per question)</p>		
<p>11. How many 'locking' screws were used distal to the fracture?</p>		
<p>1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 6+ <input type="checkbox"/></p>		
<p>12. How many 'locking' screws were used proximal to the fracture?</p>		
<p>0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 5+ <input type="checkbox"/></p>		
<p>13. How many 'non-locking' screws were used distal to the fracture?</p>		
<p>0 <input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 5+ <input type="checkbox"/></p>		

FixDT

Participant ID

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If a 'locking' plate fixation: (Tick one box per question)

14. How many 'non-locking' screws were used proximal to the fracture?

0 ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 5+ ☐

15. What reduction technique was used? (Tick one box only)

Open ☐ Closed ☐ Skeletal traction ☐ No traction/ Freehand ☐

16. What surgical approach was used? (Tick one box only)

Longitudinal over the medial malleolus ☐ Other..... ☐

17. Was an intra-articular extension of the distal tibia fracture identified intra-operatively?

Yes ☐ No ☐

18. For either Intramedullary nail or 'locking' plate fixation was the fibula fixed?

Yes ☐ No ☐

19. At the time of operation did the patient have any other surgery for injuries?

Yes ☐ No ☐

If Yes, tick all that apply:

	Yes	No	If Yes, specify type of surgery:
Head	<input type="checkbox"/>	<input type="checkbox"/>	Type of surgery.....
Chest	<input type="checkbox"/>	<input type="checkbox"/>	Type of surgery.....
Abdomen	<input type="checkbox"/>	<input type="checkbox"/>	Type of surgery.....
Pelvis	<input type="checkbox"/>	<input type="checkbox"/>	Type of surgery.....
Spine	<input type="checkbox"/>	<input type="checkbox"/>	Type of surgery.....
Other	<input type="checkbox"/>	<input type="checkbox"/>	Type of surgery.....

Signature of person completing this form:.....

Date (dd/mm/yyyy):

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## Appendix 13 Six-week follow-up form

FixDT

Centre ID

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Six Week Follow-up Form

Participant ID

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### Section 1

1. Has the patient been discharged from the admitting hospital? Yes ☐ No ☐

If Yes, what was the date of discharge?:  
(dd/mm/yy)

--	--

--	--	--

--	--	--	--

2. Hospitalisation (Please add details about the patients stay in hospital to the table below)

Type of Ward	No. of days
Intensive Care Unit	
Acute Trauma Ward	
Rehabilitation Ward	
Other (Please specify).....	

3. Is the patient fully weight bearing? Yes ☐ No ☐

4. Has the patient been referred to physiotherapy? Yes ☐ No ☐

If Yes, has the patient been discharged from physiotherapy? Yes ☐ No ☐

If Yes, please give the date (dd/mm/yy):

--	--

--	--	--

--	--	--	--

FixDT

Participant ID

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## Section 2—Trial Wound Complications

1. Following treatment did any of the following complications occur?:

If Yes complete questions 1-3. If No, please tick No and move to Section 3

Yes ☐No ☐

If Yes, tick all that apply:

	Yes	No
Erythema (increasing redness around wound edges)	<input type="checkbox"/>	<input type="checkbox"/>
Persistent serous drainage longer than 5 days	<input type="checkbox"/>	<input type="checkbox"/>
Purulent drainage	<input type="checkbox"/>	<input type="checkbox"/>
Dehiscence	<input type="checkbox"/>	<input type="checkbox"/>
Microbiological confirmation of infection	<input type="checkbox"/>	<input type="checkbox"/>

If Yes, which bacteria? .....

2. Were complications related to the injury treated by any of the following methods?:

Yes ☐No ☐

If Yes, tick all that apply:

	Yes	No
Metal removal	<input type="checkbox"/>	<input type="checkbox"/>
Surgical debridement	<input type="checkbox"/>	<input type="checkbox"/>
Antibiotics	<input type="checkbox"/>	<input type="checkbox"/>

Please give details of the antibiotics in the table below:

Antibiotic Type	Dose	Times Per Day	Duration

3. If injury complications were treated surgically, please give details:

Surgery 1: Date (dd/mm/yyyy):

--	--

--	--	--

--	--	--	--

Surgeon..... Hospital.....

Details (including type of surgery).....

Surgery 2: Date (dd/mm/yyyy):

--	--

--	--	--

--	--	--	--

Surgeon..... Hospital.....

Details (including type of surgery).....

FixDT

Participant ID

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### Section 3 — Trial Fracture Healing

1. In the opinion of the Principal investigator have any of the following elements of radiological malunion occurred as present on the 6 week x-ray?

	Yes	No
AP (>5° Angular Deformity)	<input type="checkbox"/>	<input type="checkbox"/>
Lateral (> 10° Recurvatum/ Procurvatum)	<input type="checkbox"/>	<input type="checkbox"/>
Shortening > 10mm	<input type="checkbox"/>	<input type="checkbox"/>

2. In the opinion of the Principal Investigator is there any:

Failure of the metal work?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Clinical Mal-rotation?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

### Section 4

1. As a result of the treatment for the injury being investigated by FixDT, has the patient had any of the following?:

Neurological injury Yes ☐ No ☐

If Yes, what was the injury?.....

Please describe treatment.....

Vascular injury Yes ☐ No ☐

If Yes, what was the injury?.....

Please describe treatment.....

Tendon injury Yes ☐ No ☐

If Yes, what was the injury?.....

Please describe treatment.....

FixDT

Participant ID

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2. Has the patient had a diagnosis of:

Complex Regional Pain Syndrome

Yes ☐No ☐

If Yes, please give details.....

Please describe treatment.....

DVT

Yes ☐No ☐

If Yes, please give details.....

Please describe treatment.....

PE

Yes ☐No ☐

If Yes, please give details.....

Please describe treatment.....

Other significant pathology

Yes ☐No ☐

If Yes, please give details.....

Please describe treatment.....

FixDT

Participant ID

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---

## Section 5

1. Compared to how the patient felt when admitted to hospital do they feel (Tick one box only)

The Same

☐

A Little Better

☐

Moderately Better

☐

A Lot Better

☐

Almost Back to Normal

☐

Back to Normal

☐


---

## Section 6 –Patient contact details

1. Has the patient changed or is likely to change any contact details over the next three months?

Yes

☐

No

☐

If Yes, have you completed a 'Change of Contact Details' form Yes

☐

No

☐

If No, please complete the 'Change of Contact Details' form as found in the expanding trial documents folder.

---

Research Associate signature:

--	--	--	--	--	--	--	--	--	--

Date (dd/mm/yyyy):

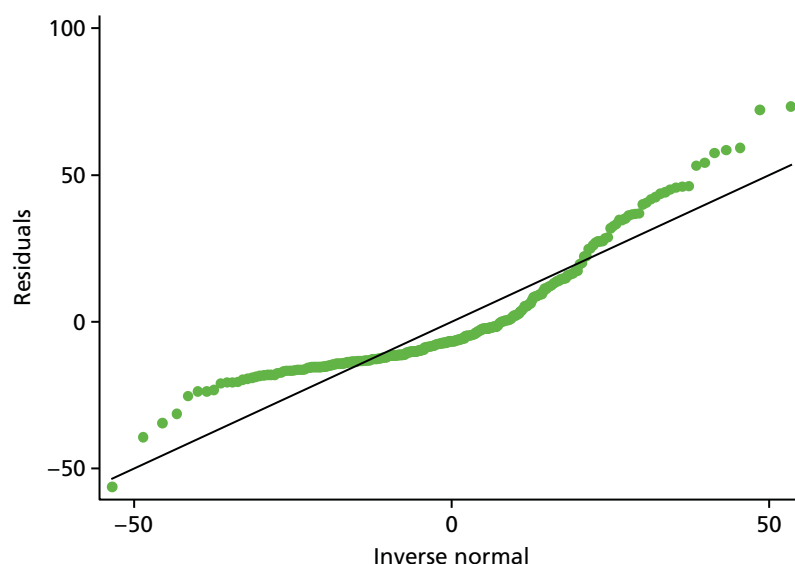


## Appendix 14 Serious adverse event form

<b>FixDT</b> <span style="font-size: small; color: orange;">UK Fixation of Distal Tibia Fractures</span>		<h2 style="margin: 0;">Serious Adverse Event Form</h2> <p style="margin: 0; font-size: small;">Please report any SAEs which occur following initial discharge</p>																						
<i>Please fax immediately to the FixDT Coordinating Centre on [REDACTED]</i>																								
Centre ID:	Participant ID:	Participant Initials:	Date of Birth:																					
[ ] [ ] [ ]	[ ] [ ] [ ] [ ]	[ ] [ ] [ ]	[ ] [ ] - [ ] [ ] [ ] [ ]																					
		Initial or Follow Up?																						
		Initial: [ ]	Follow Up: [ ]																					
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<b>2. EVENT DETAILS:</b> <p>i. Date event deemed serious: [ ] [ ] - [ ] [ ] [ ] [ ]</p> <p>ii. Details of Event: Please include all <b>relevant</b> details of the event, any tests performed and associated results:</p> <div style="border: 1px solid black; height: 150px; margin-top: 5px;"></div>																								
<b>3. CAUSALITY:</b> In the opinion of the Principal Investigator was the event related to the trial <table style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td style="width: 50%;">Related: [ ]</td> <td style="width: 50%;">Unrelated: [ ]</td> </tr> </table>				Related: [ ]	Unrelated: [ ]																			
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<b>Principal Investigator Signature:</b> _____ <p><b>Date signed:</b> [ ] [ ] - [ ] [ ] [ ] [ ]</p>																								
<b>Office use only:</b> <div style="margin-top: 5px;">             Is the event related to the intervention? <span style="float: right;">No → [ ]</span>  <div style="text-align: center;">↓ Yes [ ]</div>             Is it Unexpected? <span style="float: right;">No → [ ]</span>  <div style="text-align: center;">↓ Yes [ ]</div> </div> <p>Date report sent to MREC/Sponsor (within 15 days of FixDT team receiving report) [ ] [ ] - [ ] [ ] [ ] [ ]</p>																								
<b>Chief Investigator Signature:</b> _____ <p><b>Date signed:</b> [ ] [ ] - [ ] [ ] [ ] [ ]</p> <p><b>SAE reference number:</b> [ ] [ ] [ ] [ ] - [ ] [ ]</p>																								



## Appendix 15 Diagnostic plots for primary outcome model



**FIGURE 12** Quantile–quantile plot evaluating residuals against the inverse normal distribution, using residuals from the primary outcome analyses (DRI score at 12 months).





A decorative graphic consisting of numerous thin, parallel green lines that curve from the left side of the page towards the right, creating a sense of movement and flow.

EME  
HS&DR  
HTA  
PGfAR  
PHR

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