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## Clinical Study Protocol

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**Study Title: An open-label, multicentre, randomised, single-period, parallel design study to assess the effect of closed loop insulin delivery from onset of type 1 diabetes in youth on residual beta cell function compared to standard insulin therapy**

**(CLOuD)**

**Short Title: Closed Loop from Onset in type 1 Diabetes (CLOuD)**

**Protocol Version: 3.04.0 16 June 2017 23 February 2018**

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**This protocol has been written in accordance with current ISO 14155:2011 standard**

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**PROTOCOL SIGNATURE PAGE**

The signature below documents the approval of the protocol entitled “An open-label, multicentre, randomised, single-period, parallel design study to assess the effect of closed loop insulin delivery from onset of type 1 diabetes in youth on residual beta cell function compared to standard insulin therapy” version 3.04.0 dated ~~16 June 2017~~23 February 2018 and provides the necessary assurances that this study will be conducted according to all stipulations of the protocol, the principles of GCP and the appropriate reporting requirements.

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Signature ..... Date.....

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# 1 List of abbreviations and relevant definitions

ADA	American Diabetes Association
ADE	Adverse Device Effect
ASADE	Anticipated Serious Adverse Device Effect
AE	Adverse Event
ANCOVA	Analysis of Covariance
AP	Artificial Pancreas
AR	Adverse Reaction
AUC	Area Under the Curve
BMI	Body Mass Index
CCTU	Cambridge Clinical Trials Unit
CDM	Core Diabetes Model
CE	Conformité Européenne (CE-mark)
CGM	Continuous Glucose Monitoring
CI	Chief Investigator <i>or</i> Confidence Interval
CL	Closed Loop
CRF	Case Report Form
CSII	Continuous Subcutaneous Insulin Infusion
DCCT	Diabetes Control and Complications Trial
DKA	Diabetic Ketoacidosis
DMEC	Data Monitoring and Ethics Committee



DNA	Deoxyribonucleic Acid
eCRF	Electronic Case Report Form
EudraCT	European Clinical Trial Database
FDA	US Food and Drug Administration
GCP	Good Clinical Practice
HbA1c	Glycated haemoglobin A1c
HFS	Hypoglycaemia Fear Survey
IDE	US Investigational Device Exemption
IRB	Institutional Review Board
ISPAD	International Society for Pediatric and Adolescent Diabetes
i.v.	Intravenous
MDI	Multiple Daily Injection therapy
MHRA	Medicine and Healthcare products Regulatory Agency
MMTT	Mixed Meal Tolerance Test
MPC	Model-Predictive-Control
NGP	Next Generation insulin Pump (Medtronic)
NHS	National Health Service
NICE	National Institute for Health and Care Excellence
PBMC	Peripheral Blood Mononuclear Cell
PedsQL	Pediatric Quality of Life Inventory
PI	Principal Investigator
PPI	Public and Patient Involvement

PSQI	Pittsburgh Sleep Quality Index
QALY	Quality-Adjusted Life Years
R & D	Research and Development
RCT	Randomised Controlled Trial
REC	Research Ethics Committee
RF	Radio Frequency
s.c.	Subcutaneous
SADE	Serious Adverse Device Effect
SAE	Serious Adverse Event
SAP	Sensor Augmented Pump Therapy
SD	Standard Deviation
SDQ	Strengths and Difficulties Questionnaire
T1D	Type 1 Diabetes Mellitus
TMG	Trial Management Group
TSC	Trial Steering Committee
UCPCR	Urine C-peptide/Creatinine Ratio
USADE	Unanticipated Serious Adverse Device Effect
WHO	World Health Organisation

## 2 Study synopsis

<b>Title of clinical trial</b>	An open-label, multicentre, randomised, single-period, parallel design study to assess the effect of closed loop insulin delivery from onset of type 1 diabetes in youth on residual beta cell function compared to standard insulin therapy
<b>Short title</b>	Effect of closed loop from onset on progression of T1D (CLOuD)
<b>Sponsors name</b>	Cambridge University Hospitals NHS Foundation Trust and University of Cambridge, Cambridge, UK
<b>Medical condition or disease under investigation</b>	Type 1 diabetes
<b>Purpose of clinical trial</b>	To determine whether continued intensive metabolic control using closed loop insulin delivery (CL) following diagnosis of type 1 diabetes can preserve C-peptide secretion as a marker of residual beta cell function compared to standard multiple daily injections (MDI) therapy
<b>Study objectives</b>	<p><u>Primary objective:</u></p> <ul style="list-style-type: none"> <li>To assess residual C-peptide secretion 12 months after diagnosis of type 1 diabetes in participants receiving either CL insulin delivery or standard MDI therapy</li> </ul> <p><u>Secondary Objectives:</u></p> <ul style="list-style-type: none"> <li>Biochemical: <ul style="list-style-type: none"> <li>To compare effects of study interventions on residual C-peptide secretion over 24 months following diagnosis</li> <li>To examine how intensive diabetes management using CL insulin delivery affects glucose control in terms of safety and efficacy over 24 months</li> </ul> </li> <li>Human Factors: To assess cognitive, emotional, and behavioural characteristics of participating subjects and family members and their response to closed loop insulin delivery and clinical trial</li> <li>Health economics: To perform cost utility analysis and inform reimbursement decision-</li> </ul>

	making
<b>Study design</b>	An open-label, multi-centre, randomised, single period, two-arm parallel group study with internal pilot, contrasting closed loop with MDI
<b>Primary endpoint</b>	Area under the meal stimulated C-peptide curve (AUC) during a mixed meal tolerance test (MMTT) at 12 months post diagnosis
<b>Secondary endpoint(s)</b>	<ul style="list-style-type: none"> <li>• Mean stimulated C-peptide AUC at baseline, 6 and 24 months</li> <li>• Overall glucose control and glucose variability <ul style="list-style-type: none"> <li>○ HbA1c levels</li> <li>○ Percentage of patients in each group with HbA1c &lt;7.5% (58 mmol/mol)</li> <li>○ Percentage of time spent with sensor glucose readings in the target range (3.9 to 10mmol/l)</li> <li>○ Average, standard deviation, and coefficient of variation of sensor glucose levels</li> </ul> </li> <li>• Hypoglycaemia <ul style="list-style-type: none"> <li>○ Percentage of time spent below target glucose (3.9mmol/l)*</li> <li>○ Percentage of time with sensor glucose levels &lt;3.5 mmol/l, <u>&lt;3.0 mmol/l</u> and &lt;2.8 mmol/l</li> <li>○ AUC of sensor glucose below <u>3.9 mmol/l and</u> 3.5 mmol/l</li> </ul> </li> <li>• Hyperglycaemia <ul style="list-style-type: none"> <li>○ Time spent with sensor glucose above target (10.0 mmol/l)</li> <li>○ Time with sensor glucose levels in significant hyperglycaemia (glucose levels &gt; 16.7 mmol/l)</li> </ul> </li> <li>• Insulin requirements <ul style="list-style-type: none"> <li>○ Total, basal and bolus insulin dose (U/kg)</li> </ul> </li> <li>• Weight <ul style="list-style-type: none"> <li>○ Change in body mass index (BMI) standard deviation score</li> </ul> </li> </ul>
<b>Exploratory endpoint(s)</b>	Trends in glucose control and insulin delivery, Daytime vs. overnight glucose control; relationships between CL compliance and glucose outcomes;

	correlation between fasting C-peptide, stimulated C-peptide and <del>C-peptide at 90 minutes urine C-peptide/creatinine ratio</del> during MMTT, relationship between beta-cell function and immune markers
<b>Safety evaluation</b>	<ul style="list-style-type: none"> <li>• Frequency of severe hypoglycaemic episodes as defined by International Society for Pediatric and Adolescent Diabetes (ISPAD).</li> <li>• Frequency of diabetic ketoacidosis as defined by ISPAD</li> <li>• Number, nature and severity of other adverse events</li> </ul>
<b>Utility evaluation</b>	Assessment of the frequency and duration of use of the closed loop system
<b>Human factors assessment</b>	Cognitive, emotional, and behavioural characteristics of participating subjects and family members and their response to the closed loop system and clinical trial will be assessed gathering both quantitative (validated surveys and tests) and qualitative data (interviews and focus groups).
<b>Health economic evaluation</b>	Cost utility analysis on the benefits of closed loop insulin delivery to inform reimbursement decision-making
<b>Sample size</b>	96 participants randomised (48 per group); each clinical site will aim to recruit between 15 and 20 participants
<b>Summary of eligibility criteria</b>	<p>Key inclusion criteria:</p> <ol style="list-style-type: none"> <li>1. Diagnosis of type 1 diabetes using standard diagnostic practice within previous 10 working days</li> <li>2. Age 10 to 16.9 years</li> <li>3. Willingness to monitor blood glucose four or more times daily</li> <li>4. Literate in English</li> <li>5. Willingness to wear study devices</li> </ol> <p>Key exclusion criteria:</p> <ol style="list-style-type: none"> <li>1. Physical or psychological condition likely to interfere with the normal conduct of the study and interpretation of the study results as judged by the investigator</li> <li>2. Current treatment with drugs known to</li> </ol>

	<p>interfere with glucose metabolism, e.g. systemic corticosteroids, non-selective beta-blockers and MAO inhibitors</p> <ol style="list-style-type: none"> <li>3. Known or suspected allergy to insulin</li> <li>4. Regular use of acetaminophen</li> <li>5. Lack of reliable telephone facility for contact</li> <li>6. Pregnancy, planned pregnancy, or breast feeding</li> <li>7. Living alone</li> <li>8. Severe visual impairment</li> <li>9. Severe hearing impairment</li> <li>10. Medically documented allergy towards the adhesive (glue) of plasters</li> <li>11. Serious skin diseases located at places of the body, which potentially are possible to be used for localisation of the glucose sensor</li> <li>12. Illicit drugs abuse</li> <li>13. Prescription drugs abuse</li> <li>14. Alcohol abuse</li> <li>15. Sickle cell disease or haemoglobinopathy</li> <li>16. Eating disorder such as anorexia or bulimia</li> <li>17. <u>Milk protein allergy</u></li> </ol>
<b>Maximum duration of study for a subject</b>	24 months
<b>Recruitment</b>	Recruitment will take place at Addenbrooke's Hospital, Cambridge, Leeds Teaching Hospital, Leeds, Alder Hey Children's Hospital, Liverpool, Nottingham Hospital, Nottingham, Oxford Children's Hospital, Oxford, Southampton Children's Hospital, Southampton and Royal Hospital for Sick Children, Edinburgh.
<b>Consent</b>	Written consent/assent will be obtained from participants and/or guardians according to Research Ethics Committee (REC) requirements.
<b>Screening and baseline assessment</b>	<p>Eligible participants will undergo a screening evaluation including the following activities:</p> <ul style="list-style-type: none"> <li>• medical (diabetes) history</li> <li>• body weight, height and blood pressure measurement</li> </ul>

	<ul style="list-style-type: none"> <li>• record of current insulin therapy</li> <li>• screening and baseline blood sampling</li> </ul> <p>During a baseline visit, the following assessments/ interventions will be carried out at the clinical research facility:</p> <ul style="list-style-type: none"> <li>• mixed meal tolerance test (MMTT)</li> <li>• blood sampling for lipid profile, centrally measured HbA1c, and subsequent immunological analyses</li> <li>• questionnaires</li> <li>• computerised cognitive testing</li> <li>• initiating blinded CGM to assess baseline glycaemic control</li> </ul>
<b>Run in period</b>	<p>Following consent/screening and baseline assessment, multiple daily injection therapy will be continued in all participants. All participants will receive non study related core diabetes training as per usual clinical practice for a period of up to three weeks.</p> <p>All subjects will be provided with 24 hour telephone helpline and will also be given written instructions about when to contact clinical team.</p>
<b>Randomisation</b>	<p>Eligible participants will be randomised in a 1:1 ratio using central randomisation software to either closed loop or standard therapy i.e. MDI.</p>
<b>1. Closed loop (interventional arm)</b>	<p>Following randomisation, participants in the closed loop group will receive additional training sessions to cover key aspects of insulin pump use and CGM, prior to starting closed loop insulin delivery.</p> <p>Once competent in the use of the study pump and CGM system, participants will receive training required for safe and effective use of the closed loop system. During a 2-4 hour session participants will operate the system under the supervision of the clinical team. Competency on the use of closed loop system will be evaluated. Thereafter, participants are expected to use closed loop for 24 months without supervision or remote monitoring. The 24 hour support helpline will be available in case of problems.</p>
<b>2. Multiple daily injections (control arm)</b>	<p>Participants in the control group will receive additional training sessions following randomisation including a refresher on carbohydrate counting skills, and insulin dose adjustments.</p> <p>Standard therapy (i.e. MDI) will be applied for</p>

	24 months. Participants will be allowed to switch to insulin pump therapy if clinically indicated.
<b>Follow up assessments</b> <b>(3-, 6-, 9-, 12-, 15-, 18-, 21-months)</b>	<p><i>Both arms.</i> Follow up study visits will be conducted 3 monthly including data downloads/recording of insulin requirements, adverse event recording, and blood sampling (HbA1c).</p> <p>Participants will be fitted with blinded CGM systems at the end of each follow up visit. The sensors will be worn at home for up to 14 days and will be sent back to the research team.</p> <p>MMTTs will be performed at 6 month and 12 month follow up visits.</p> <p>Sleep will be assessed using a wristwatch device for 7 days following study visits at 6 and 12 months post diagnosis. Concomitantly, a sleep diary and sleep quality questionnaire will be distributed.</p> <p>Validated questionnaires evaluating the impact of the technology on quality of life, life change, diabetes management and fear of hypoglycaemia will be completed at the 12 month visit.</p> <p>At 12 months, participants will repeat the computerised cognitive tests first administered at baseline.</p> <p>Qualitative interviews will be conducted at month 12 in a subset of subjects and parents.</p>
<b>End of study assessments (24 months)</b>	<p>A MMTT will be performed.</p> <p>A blood sample will be taken for measurement of HbA1c, lipids and immunological analyses.</p> <p>Validated questionnaires evaluating the impact of the technology on quality of life, life change, diabetes management and fear of hypoglycaemia will be completed.</p> <p>Participants will repeat the computerised cognitive tests first administered at baseline.</p> <p>Sleep will be assessed using a wristwatch device for 7 days within the last month of the trial. Concomitantly, a sleep diary and sleep quality questionnaire will be distributed.</p> <p>Participants and families will be invited to attend focus group discussions.</p>



<b>24-hour telephone helpline</b>	<p>In case of any technical device or problems related to diabetes management such as hypo- or hyperglycaemia, subjects will be able to contact a 24-hour telephone helpline to the local clinical and research team at any time. The local research team will have access to central 24 hour advice on technical issues.</p>
<b>Procedures for safety monitoring during trial</b>	<p>Standard operating procedures for monitoring and reporting of all adverse events (AE) will be in place, including serious adverse events (SAE), serious adverse device effects (SADE) and specific adverse events such as severe hypoglycaemia.</p> <p>Subjects will be asked to test and record blood or urine ketones if their finger prick glucose is above 14.0 mmol/l, as part of the safety assessment for hyperglycaemia.</p> <p>A data monitoring and ethics committee (DMEC) will be informed of all serious adverse events and any unanticipated serious adverse device effects that occur during the study and will review compiled adverse event data at periodic intervals.</p>
<b>Criteria for withdrawal of patients on safety grounds</b>	<p>A subject, parent, or guardian may terminate participation in the study at any time without necessarily giving a reason and without any personal disadvantage. An investigator can stop the participation of a subject after consideration of the benefit/risk ratio. Possible reasons are:</p> <ol style="list-style-type: none"> <li>1. Serious adverse events</li> <li>2. Significant protocol violation or non-compliance</li> <li>3. Failure to satisfy competency assessment</li> <li>4. Decision by the investigator, or the Sponsor, that termination is in the subject's best medical interest</li> <li>5. Pregnancy, planned pregnancy, or breast feeding</li> <li>6. Allergic reaction to insulin</li> </ol> <p>Efforts will be made to retain subjects in follow up for the final primary outcome assessment even if the intervention is discontinued, unless the investigator believes that it will be harmful for the subject to continue in the trial.</p>

### 3 Summary

The purpose of the study is to use a novel treatment approach, the artificial pancreas, after diagnosis of type 1 diabetes (T1D) to improve glucose control with the anticipated improvements of residual C-peptide secretion.

This is an open-label, multi-centre, single-period, randomised, parallel group design study. It is expected that a total of up to 190 subjects (aiming for 96 randomised subjects) will be recruited within ten working days of diagnosis of type 1 diabetes through paediatric diabetes centres in the UK. Half of the participants aged 10 to 16.9 years will be treated by conventional insulin injections and the other half by the artificial pancreas (closed loop insulin delivery system). Each treatment will last 24 months.

Subjects in the intervention group will receive additional training on components of the artificial pancreas, i.e. insulin pump and continuous glucose monitoring (CGM), prior to starting closed loop insulin delivery. Subjects in the control intervention group will continue with standard therapy, i.e. multiple daily injection therapy. The study includes up to 14 visits and 1 telephone/email contact for subjects completing the study. After run-in and randomisation, visits will be conducted every 3 months in both arms. Beta-cell function will be assessed by serial measurement of C-peptide in response to a standardised mixed meal tolerance test (MMTT). MMTTs will be conducted at baseline, 6-, 12- and 24 months post diagnosis.

The primary outcome is the between group difference in the area under the stimulated C-peptide curve (AUC) of the MMTT at 12 month post diagnosis. Secondary outcomes include between group differences in stimulated C-peptide AUC over 24 months, differences in glycaemic control as assessed by HbA1c, time spent in glucose target range, glucose variability, hypo- and hyperglycaemia as recorded by periodically applied CGM, as well as insulin requirements and change in bodyweight. Additionally, cognitive, emotional and behavioural characteristics of participating subjects and parents will be assessed, and a cost utility analysis on the benefits of closed loop insulin delivery will be performed. Safety evaluation comprises assessment of the frequency of severe hypoglycaemic episodes, diabetic ketoacidosis (DKA) and number, nature and severity of other adverse events.

## 4 Background

### 4.1 Existing research

Management of newly diagnosed T1D in children and adolescents is challenging for patients, families, carers, and health care professionals. Glucose is the dominant metabolic substrate for brain function (1) and the glycaemic instability inherent in T1D is known to affect brain structure and function in those with poorly controlled disease (2). Severe hypoglycaemia, particularly nocturnal episodes, is more common in children (3) and has a negative impact on the developing brain (2; 4). Fear of hypoglycaemia is common (5), impacts quality of life and psychological well-being of the young and their families (6), and leads to suboptimal glucose control (6). Glycaemic control usually deteriorates during adolescence. The Diabetes Control and Complications Trial (DCCT) revealed both higher HbA1c levels and a 50% increase in the rate of severe hypoglycaemia in intensively treated adolescents compared to adults (7). Teenagers with T1D face the burden of diabetes management in addition to major physiological and psychological changes accompanying puberty.

#### ***4.1.1 Preservation of C-peptide – evidence and approach***

At the clinical diagnosis of diabetes most patients have residual pancreatic islet cells which can continue to secrete insulin for several additional years. In the DCCT (7), 35% of participants with diabetes duration of 1-5 years had persistent islet cell function (meal stimulated C-peptide levels of 0.2 to 0.5 pmol/ml). Assignment to the intensively managed group reduced the risk for loss of C-peptide by 57% over the mean 6.5 years of study. This was very clear proof that metabolic control had a significant effect on preservation of islet cell function. However, intensification of insulin therapy inevitably hits the barrier of hypoglycaemia (8). Four in five youth aged 13 to 18 years fails to meet the International Society for Pediatric and Adolescent Diabetes (ISPAD) glycaemic control target of HbA1c below 7.5% (58.5 mmol/mol) (9).

In the DCCT, those who had greater or equal 0.20 pmol/ml C-peptide initially or sustained over a year had markedly less complications – a 79% decrease in the relative risk of retinopathy (10). Importantly, these benefits were seen in the face of less hypoglycaemia events. Individuals in the intensive treated group with  $\geq 0.20$  pmol/ml C-peptide had about the same frequency of severe hypoglycaemia as those in the standard care group; a 30% reduction as compared to those in intensive therapy without this level of C-peptide. A more recent analysis found a linear relationship between frequency of retinopathy progression and C-peptide as low as 0.03 pmol/ml (11).

Islet transplant studies have also shown that even small amounts of residual beta-cell function are clinically important. Vantyghem et al. showed that while significant beta-cell function was required to improve mean glucose, lower glucose excursions, and result in insulin independence, participants who maintained minimal beta-cell function experienced almost no severe hypoglycaemic events (12).

Metabolic control following the onset of type 1 diabetes can have a major impact on preserving residual islet cell function. Two weeks of islet cell rest after clinical diagnosis of diabetes resulted in stimulated C-peptide levels 1 year post diagnosis of 0.51 pmol/ml (13), greater than that seen after a year of cyclosporine treatment (peak C-peptide of 0.45 pmol/ml (14). However, a short four day burst of tight glucose control failed to improve meal stimulated C-peptide secretion 12 months post diagnosis (15).

#### ***4.1.2 Closed loop technology***

The emergence of new technologies including continuous glucose monitoring (16), sensor augmented pump therapy (SAP) (17), and threshold pump suspend (18; 19) provides new opportunities to improve outcomes. The most promising approach is closed loop insulin therapy (20) which combines real-time continuous glucose monitoring with insulin pump therapy to achieve glucose responsive subcutaneous insulin delivery mimicking beta-cell function. The vital component of such a system, also known as an artificial pancreas (AP), is a computer-based algorithm. The role of the control algorithm is to translate, in real-time, the information it receives from the CGM and to compute the amount of insulin to be delivered by the pump. The other components include a real-time continuous glucose monitor and an infusion pump to titrate and deliver insulin. Timely insulin delivery is expected to rest the beta-cells and lessen the immune attack.

The closed loop approach has been successfully evaluated in children and adolescents in controlled laboratory studies (21-23) and in home settings (24-27). Investigations in adults have also been conducted (25; 28; 29). The results demonstrated improved glucose control and reduced risk of hypoglycaemia events. Psychosocial assessments supported acceptability and positive impact of this novel therapeutic approach among children/adolescents and carers (30), although the potential benefit in preserving cognitive function is, as yet, unknown. The closed loop approach promises to transform management of type 1 providing a tangible option to improve residual beta-cell function.

## **4.2 Artificial Pancreas research at Cambridge**

### **4.2.1 Preclinical testing of Cambridge closed loop algorithm**

The research conducted at the University of Cambridge focused on developing a closed loop system for overnight glucose (initial approach) and day-and-night control (more recent applications; see below) in subjects with T1D. Studies that have been performed employed model predictive control (MPC) – this algorithm estimates user-specific parameters from CGM measurements taken every 1 to 15 minutes and makes predictions of glucose excursions, which are then used to direct insulin infusion between meals and overnight whilst standard bolus calculator is used to deliver prandial insulin (31).

The MPC algorithm has been studied extensively using *in silico* testing utilising a simulator developed by members of the study team (32). The simulations suggested a reduced risk of nocturnal hypoglycaemia and hyperglycaemia with the use of the MPC algorithm (33).

### **4.2.2 Studies of closed loop in children and adolescents with type 1 diabetes in the clinical research facility**

To date around sixty children and adolescents with type 1 diabetes have been studied at the clinical research facility. Closed loop insulin delivery was maintained on more than 100 nights. No episodes of significant hypoglycaemia (plasma glucose concentration less than 2.8 mmol/l) have been observed thus far during closed loop blood glucose control. Results from these studies were published in The Lancet (21) and showed that overnight closed loop therapy increased the time spent euglycaemic by 37% and reduced the risk of overnight hypoglycaemia eight-fold, as compared to conventional pump treatment. Different real-life scenarios predisposing to nocturnal hypoglycaemia, such as afternoon exercise, were explored and closed loop therapy reduced the risk of overnight hypoglycaemia as compared to conventional insulin pump therapy in a randomised, cross-over design.

### **4.2.3 Studies of closed loop in adults with type 1 diabetes in the clinical research facility**

We have completed two randomised overnight closed loop studies in 24 adults with T1D, testing a similar closed loop system comprising CGM and pump devices and the MPC algorithm. The first study (n=12) assessed the feasibility and efficacy of overnight closed loop insulin delivery following a moderate-sized (60g carbohydrate) evening meal compared with conventional pump therapy. We demonstrated that overnight closed loop insulin delivery, compared with usual continuous

subcutaneous insulin infusion (CSII), significantly increased time in target plasma glucose range (3.9-8 mmol/l) by 24% and reduced glycaemic variability as measured by standard deviation of plasma glucose. The improvements in glucose control seen on closed loop were even greater after midnight, when time in target increased by 41%. In the second study we tested the efficacy of overnight closed loop following a common situation such as consuming a large (100g carbohydrate) evening meal and drinking alcohol (0.75g ethanol/kg body weight of 13%abv white wine). We showed that overnight closed loop insulin delivery, compared with conventional CSII, similarly increased time in target plasma glucose between 3.9 and 8.0 mmol/l by 24% and reduced time spent above target by 11%, even following such challenges. Importantly these improvements during closed loop were achieved with no increased requirement in the average rate of insulin infusion overnight. These results have been published in the British Medical Journal (34).

#### **4.2.4 Overnight closed loop study in children and adolescents with type 1 diabetes in home setting**

Following successful demonstration of safety and efficacy of closed loop insulin delivery in the research facility, overnight closed loop studies under free living conditions were commenced in July 2012. The first study compared the efficacy and safety of closed loop with sensor augmented pump therapy in 16 adolescents over a three week duration (24). Closed loop was activated over at least 4 hours on 269 nights (80%); sensor data were collected over at least 4 hours on 282 control nights (84%). Closed loop increased the time when glucose was in target range by a median 15% (interquartile range -9 to +43),  $P < 0.001$ . Mean overnight glucose was reduced by a mean  $0.8 \pm 3.2$  mmol/l,  $P < 0.001$ . Time when glucose was below 3.9 mmol/l was low in both groups but nights with glucose below 3.5 mmol/l for at least 20min were less frequent during closed loop (10% vs. 17%,  $P = 0.01$ ). Despite lower total daily insulin doses by a median 2.3 (interquartile range -4.7 to +9.3) units,  $P = 0.009$ , overall 24h glucose was reduced by a mean 0.5 (standard deviation 2.3 mmol/l ( $P = 0.006$ ) during closed loop.

In a second multicentre, crossover, randomised, controlled study, we compared 12 week use of an overnight closed loop insulin delivery system with sensor augmented pump therapy in children and adolescents aged 6 to 18 years (25). The proportion of time with the night-time glucose level in the target range (3.9 to 8.0 mmol/l) was higher during the closed loop phase than during the control phase (by 24.7 percentage points; 95% CI, 20.6 to 28.7;  $P < 0.001$ ), and the mean night-time glucose level was lower (difference, -1.6 mmol/l; 95% CI, -2.2 to -1.1;  $P < 0.001$ ). The area under the curve for the period in which the day-and-night glucose levels were less than 3.5 mmol/l was lower by 42% (95% CI, 4 to 65;  $P = 0.03$ ). Two severe hypoglycaemic episodes occurred during the closed loop phase when the closed loop system was not in use.

#### **4.2.5 Overnight closed loop studies in adults with type 1 diabetes in home setting**

A four week overnight closed loop study under free living conditions in 24 adults with type 1 diabetes on insulin pump therapy in a multicentre crossover study design was completed in 2014 (29). Closed loop was utilised over median 8.3 (interquartile range 6.0, 9.6) hours on 555 nights (86%). The proportion of time when overnight glucose was in the overnight target range between 3.9 and 8.0 mmol/l from midnight to 07:00 was significantly higher during closed loop compared to sensor augmented pump therapy ( $52.6\% \pm 10.6$  vs.  $39.1\% \pm 12.8$ , mean  $\pm$  SD;  $p < 0.001$ ). Mean overnight glucose ( $8.2 \pm 0.9$  vs.  $9.0 \pm 1.3$  mmol/l,  $p = 0.005$ ) and time spent above target ( $44.3\% \pm 11.9$  vs.  $57.1\% \pm 15.6$ ,  $p = 0.001$ ) were significantly lower during closed loop. Time spent below target was low and comparable between interventions [ $1.8\%$  (0.6, 3.6) vs.  $2.1\%$  (0.7, 3.9),  $p = 0.28$ ].

#### **4.2.6 Day-and-night closed loop studies in adolescents with type 1 diabetes in home setting**

We completed a randomised, crossover design study in adolescents aged 10 to 18 years who underwent two 7-day home periods of sensor-augmented insulin pump therapy or closed loop insulin delivery without supervision or remote monitoring (27). The proportion of time when the sensor glucose level was in the target range (3.9–10 mmol/L) was increased during closed loop insulin delivery compared with sensor-augmented pump therapy (72% vs. 53%,  $P < 0.001$ ; primary end point), the mean glucose concentration was lowered (8.7 vs. 10.1 mmol/L,  $P = 0.028$ ), and the time spent above the target level was reduced ( $P = 0.005$ ) without changing the total daily insulin amount ( $P = 0.55$ ). The time spent in the hypoglycaemic range was low and comparable between interventions. A three week single centre study in children and adolescents has also been completed ( $N = 12$ ).

#### **4.2.7 Day and night closed loop studies in adults with type 1 diabetes in home setting**

In 2014, we completed a first study testing a day and night home system over a seven day period in 17 adults. This randomised clinical trial adopted a multicentre, multi-national, crossover design. During the home phase, the percentage time when glucose was in target range (3.9 to 10.0 mmol/l) was significantly higher during closed loop compared to sensor augmented pump therapy (75 [61, 79] vs. 62 [53, 70]%, median [IQR],  $p = 0.005$ ). Mean glucose (8.1 vs. 8.8 mmol/l,  $p = 0.027$ ) and time spent above target ( $p = 0.013$ ) were lower during closed loop while time spent below target was comparable ( $p = 0.339$ ). Increased time in target was observed during both day-time ( $p = 0.017$ ) and night-time ( $p = 0.013$ ).



We completed a multicentre, multinational, crossover, randomised, controlled study under free living home conditions comparing 24/7 closed loop insulin delivery with sensor augmented pump therapy (control intervention) in 33 adults with type 1 diabetes (25). The proportion of time that the glucose level was in the target range (3.9 to 10.0 mmol/l) was 11.0 percentage points (95% confidence interval [CI], 8.1 to 13.8) greater with the use of the closed loop system day and night than with control therapy ( $P < 0.001$ ). The mean glucose level was lower during the closed loop phase than during the control phase (difference,  $-0.6$  mmol/l; 95% CI,  $-0.9$  to  $-0.3$ ;  $P < 0.001$ ), as were the area under the curve for the period when the glucose level was less than 3.5 mmol/l (39% lower; 95% CI, 24 to 51;  $P < 0.001$ ) and the mean glycated hemoglobin level (difference,  $-0.3\%$ ; 95% CI,  $-0.5$  to  $-0.1$ ;  $P = 0.002$ ).

### 4.3 Risk and benefits

A potential key benefit of closed loop insulin delivery is the retention of residual C-peptide secretion which has been shown to decrease the risk of microvascular complications and a lower risk of severe hypoglycaemia by 65% when compared to intensively treated participants without residual beta-cell function in the DCCT trial (7). Thus the most important long term impact of improved glucose control and residual islet function may be reduced rates of diabetes complications and improved quality of life.

Any potential risks presented by this investigation have been minimized and adequate testing, safeguards, and safety monitoring will be incorporated into the investigation to further minimize and mitigate these risks. A detailed Risk Management File adopting risk management processes complying with EN ISO 14971:2012 Medical Devices – Application of Risk Management to Medical Devices, will be submitted as part of the regulatory submission to the MHRA.

### 4.4 Rationale for the current study

The study builds on recent technological advances of closed loop insulin delivery (artificial pancreas). The purpose of this study is to test the impact of continued intensive metabolic control using closed loop insulin delivery after diagnosis on preservation of C-peptide residual secretion. The study enrolls children aged 10 and older, as they are characterised by higher residual C-peptide secretion at diagnosis compared to younger children. The present study will also test the feasibility and acceptance of this therapy so that it could be considered as a standard treatment modality in the future.



We propose an internal pilot to test the feasibility of recruiting a patient cohort within a predetermined time period.

## 4.5 Automated closed loop system (FlorenceM) to be used in the present study

The automated closed loop system (FlorenceM) will consist of:

- Next generation sensor augmented Medtronic insulin pump 640G (Medtronic Minimed, CA, USA) incorporating the Medtronic Enlite 3 family real time CGM and glucose suspend feature
- An Android smartphone containing the Cambridge model predictive algorithm and communicating wirelessly with the insulin pump using a proprietary translator device.

An overview of this proposed automated closed loop system is given in Figure 1.

**Figure 1: Representative design of the proposed FlorenceM automated closed loop system**



## 5 Objectives

### 5.1 Primary objective

The primary objective is to evaluate the effect of continued intensive metabolic control using closed loop insulin delivery after diagnosis on preservation of C-peptide residual secretion by comparing the area under the stimulated C-peptide curve (AUC) of a mixed meal glucose tolerance test conducted at the 12 month visit in participants receiving closed loop insulin delivery with those receiving standard therapy, i.e. multiple daily injections applying basal bolus regimen.

The objective of an internal pilot phase is to carry out preliminary evaluation of recruitment, randomisation, treatment and follow-up assessments at the five participating sites.

### 5.2 Secondary objectives

#### 5.2.1 Glucose control

The objective is to examine the efficacy of day-and-night closed loop compared with standard basal bolus regimen as far as glucose control is concerned. We will compare between group differences in HbA1c, and parameters based on subcutaneous continuous glucose monitoring (CGM), such as the percentage of time spent within, below and above the target range from 3.9 to 10.0 mmol/l.

#### 5.2.2 Safety

The objective is to evaluate the safety of day and night automated closed loop glucose control in terms of episodes of severe hypoglycaemia and other adverse events.

#### 5.2.3 Utility

The objective is to determine the frequency and duration of use of the automated closed loop system.

#### 5.2.4 Human factors

The objective is to assess cognitive, emotional, and behavioural characteristics of participating subjects and family members and their response to the closed loop system and clinical trial in order to aid interpretation of trial results and inform recommendations for future use of closed loop systems.

### **5.2.5 Health economics**

A cost utility analysis on the benefits of closed loop insulin delivery will be conducted to inform reimbursement decision-making.

## **6 Study design**

This will be an open-label, multi-centre, randomised, single-period, two-arm parallel group study with internal pilot phase, contrasting automated closed loop glucose control (CL) with multiple daily injections (MDI).

At diagnosis of T1D young people and families will be invited to participate in the study. Eligible participants will be randomised in a 1:1 ratio using central randomisation software to either closed loop (CL) or multiple daily injection therapy (MDI). The study will aim for a total of 96 (15-20 per site) completed participants. Recruitment will target up to 190 to allow for dropouts. Subjects who have signed the consent/assent but drop out during run-in may be replaced. The study flow chart is outlined in Figure 2.

### **6.1 Internal pilot phase**

The purpose of the internal pilot study is to estimate the rate of recruitment, and to pilot randomisation, treatment and follow-up assessments at the five participating sites. During the pilot phase, we aim to recruit at least 10 subjects i.e. 2 per site. All participants recruited during the pilot phase will proceed to the full study.

### **6.2 Full study**

Following the internal pilot phase and consecutive re-evaluation of recruitment procedures and follow-up assessments, recruitment for the study will be resumed at full rate, and all 96 randomised subjects will be followed up until study completion.

Permission will be sought from participants and their carers for ongoing submission of routine clinical data to the research team for a further nine years to enable long term outcomes to be reported.

## 7 Study subjects

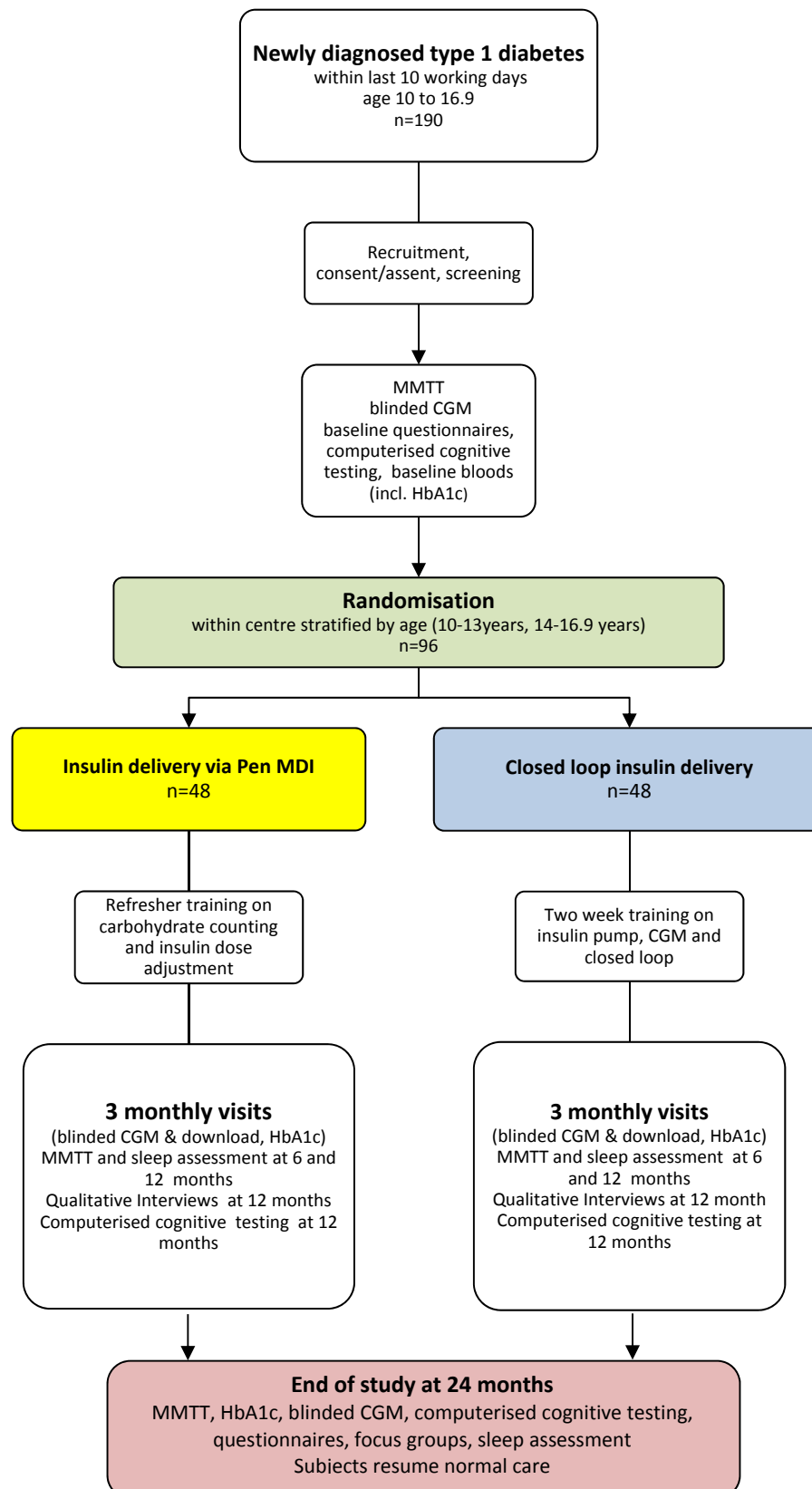
### 7.1 Study population

This is a multicentre study and recruitment will take place at the following centres:

1. Addenbrooke's Hospital, Cambridge, UK
2. Leeds Teaching Hospital, Leeds, UK
3. Alder Hey Children's Hospital, Liverpool, UK
4. Nottingham Hospital, Nottingham, UK
5. Oxford Children's Hospital, Oxford, UK
6. Southampton Children's Hospital, Southampton, UK
7. Royal Hospital for Sick Children, Edinburgh, UK

Up to a total of 96 youths aged 10 to 16.9 years will be recruited within ten working days of diagnosis of type 1 diabetes. Each site will aim to recruit between 15 to 20 participants. Participants may also be recruited from other diabetes centres (Patient Identification Centres) in the East Anglia region and London for the Addenbrooke's site, from the Thames Valley region for the Oxford Children's Hospital site and from the Wessex Paediatric Network for the Southampton Children's Hospital site. ~~For the Addenbrooke's site, participants may also be recruited from other diabetes centres in the East Anglia region and London (Patient Identification Centres).~~

Potential participants will be identified by their treating clinicians and a contact with the research team will be established if agreed. Study information leaflets and/or similar recruitment material will be handed out or sent to participants by the research team including an invitation to join the study. Written informed consent will be obtained from all participants aged 16 years and parents/guardians of participants aged 15 years and younger, before any study related activities. Participants aged 15 years and younger will be asked to provide evidence of their assent to the study procedures.

**Figure 2: Study flow chart**

## 7.2 Inclusion criteria

1. Diagnosis of type 1 diabetes within previous ten working days. Day 1 will be defined as the day insulin was first administered. Type 1 diabetes will be defined according to WHO criteria using standard diagnostic practice.

[WHO definition: 'The aetiological type named type 1 encompasses the majority of cases with are primarily due to beta-cell destruction, and are prone to ketoacidosis. Type 1 includes those cases attributable to an autoimmune process, as well as those with beta-cell destruction for which neither an aetiology nor a pathogenesis is known (idiopathic). It does not include those forms of beta-cell destruction or failure to which specific causes can be assigned (e.g. cystic fibrosis, mitochondrial defects, etc.).']

2. The subject is at least 10 years and not older than 16.9 years
3. The subject/carer is willing to perform regular capillary blood glucose monitoring, with at least 4 blood glucose measurements taken every day
4. The subject is literate in English
5. The subject is willing to wear glucose sensor
6. The subject is willing to wear closed loop system at home
7. The subject is willing to follow study specific instructions
8. The subject is willing to upload pump and CGM data at regular intervals

## 7.3 Exclusion criteria

1. Physical or psychological condition likely to interfere with the normal conduct of the study and interpretation of the study results as judged by the investigator
2. Current treatment with drugs known to interfere with glucose metabolism, e.g. systemic corticosteroids, non-selective beta-blockers and MAO inhibitors etc.
3. Known or suspected allergy to insulin
4. Regular use of acetaminophen
5. Lack of reliable telephone facility for contact
6. Pregnancy, planned pregnancy, or breast feeding
7. Living alone
8. Severe visual impairment
9. Severe hearing impairment

10. Medically documented allergy towards the adhesive (glue) of plasters or unable to tolerate tape adhesive in the area of sensor placement
11. Serious skin diseases (e.g. psoriasis vulgaris, bacterial skin diseases) located at places of the body, which potentially are possible to be used for localisation of the glucose sensor
12. Illicit drugs abuse
13. Prescription drugs abuse
14. Alcohol abuse
15. Sickle cell disease, haemoglobinopathy, receiving red blood cell transfusion or erythropoietin within 3 months prior to time of screening
16. Eating disorder such as anorexia or bulimia
17. Milk protein allergy

## 8 Methods under investigation

### 8.1 Introduction

This randomised controlled trial (RCT) compares two methods of insulin delivery in children from 10 to 16.9 years of age at diagnosis of type 1 diabetes. During run-in period and control intervention when standard multiple daily injection (MDI) therapy will be applied, CE-marked insulin pens will be used as per usual clinical practice. For participants randomised to closed loop intervention, insulin will be applied by an investigational closed loop medical device, see sections 4.5. and 8.4. During run-in and both interventions, rapid acting insulin analogues will be used (insulin aspart, insulin lispro, insulin glulisine or similar or ultra-rapid insulin analogue). When applying MDI, long acting insulin analogues will be used (insulin glargine, insulin detemir or similar) as described in section 13.1.

### 8.2 Education for both arms

At entry to the study, all participants will complete a structured educational programme delivered to the participants and their families in accordance with the standards of the International Society for Pediatric and Adolescent Diabetes (35). All participants will be trained on the use of MDI regimen.

Participants and their families will be educated in:

- Type 1 diabetes
- The use and administration of insulin
- Hyperglycaemia and correction doses
- Hypoglycaemia symptoms and treatment
- Exercise
- Sick day rules
- Carbohydrate counting and dietetic education
- The benefits of maintaining optimal glycaemic control for long term health
- Blood glucose monitoring

### 8.3 Multiple daily injection therapy

Rapid acting insulin analogue and long acting insulin analogue (see 13.1) will be subcutaneously administered using CE-marked insulin pen devices in accordance with the manufacturer's instructions for their intended purposes.



Participants will be given long acting analogue once or twice daily according to their needs and boluses of rapid acting analogue when carbohydrates are consumed.

Participants will be managed as per local treatment protocols. Guidelines for health care professionals with respect to starting dose calculations and dose modifications will be provided separately in the study manual.

Pens/consumables for participants allocated to MDI will be supplied in line with normal clinical practice.

## **8.4 Closed loop intervention**

### **8.4.1 Name and description of the method of investigation**

The investigational treatment is the FlorenceM, see section 4.5 or follow up prototypes of the automated day and night closed loop system manufactured by the Cambridge University Hospitals NHS Foundation Trust and supported by Medtronic Minimed Inc. Northridge, CA, USA. Component versions will be identified during regulatory submission to the MHRA.

### **8.4.2 Intended purpose**

The intended purpose of the investigational treatment is automated day and night hybrid closed loop insulin delivery combined with pump suspend feature.

### **8.4.3 Method of administration**

The closed loop system consists of components directly attached to the patient, which are the CGM transmitter and the insulin pump. The component not directly attached to the patient is the handheld smartphone containing closed loop algorithm and communicating wirelessly with the insulin pump.

### **8.4.4 Required training**

Prior to commencement of the study, the research team nurses/clinicians at each of the investigation centres will be trained to use the closed loop system and its components. Prior to the use of study devices, participants will be trained to use the study CGM device, the study pump and where appropriate the closed loop system. Competency assessments of the participants' capability to use study devices and the closed loop system will be made.

### **8.4.5 Precautions**

During treatment with insulin there is a risk of hypoglycaemia and hyperglycaemia. In-hospital testing and Hazard Analysis both documented reduced risk of hypoglycaemia and hyperglycaemia during day and night closed loop compared to conventional treatment. Addition of pump suspend feature will further increase safety.

#### **8.4.6 Accountability of the method under investigation**

The local Investigator will provide training for the study participants and will make every effort, through regular contact, to ascertain that the closed loop system is used for the study purposes only. Devices will be identified using batch/lot/serial numbers and the location of investigational devices and their dates of use by subjects will be documented throughout the study.

## 9 Study schedule

### 9.1 Overview

The study will be co-ordinated from the Institute of Metabolic Science, Addenbrooke's Hospital, Cambridge, and performed at the following sites:

1. Addenbrooke's Hospital, Cambridge, UK
2. Leeds Teaching Hospital, Leeds, UK
3. Alder Hey Children's Hospital, Liverpool, UK
4. Nottingham Hospital, Nottingham, UK
5. Oxford Children's Hospital, Oxford, UK
6. Southampton Children's Hospital, Southampton, UK
7. Royal Hospital for Sick Children, Edinburgh, UK

After recruitment, consent, and run-in period, subjects will be randomised for 24 months home use of real-time CGM combined with automated day and night closed loop insulin delivery or 24 months during which they will apply standard basal bolus therapy.

The study includes up to 14 visits and 1 telephone/email contact for subjects completing the study. After run in and randomisation, visits will be conducted every 3 months. The visit to set up automated closed loop for the first time may take place in the home setting or alternatively in an in-patient facility. All participants will continue to be seen by their clinical team at frequencies as appropriate in line with usual clinical practice. All study visits will be scheduled in addition to routine visits and will be performed by the research team only. For convenience, dates for study visits will be arranged along with routine clinic appointments if possible. Maximum time in study is 24 months.

Table 1 outlines study activities when participant is randomised to day and night closed loop (intervention group).

Table 2 outlines study activities when participant is randomised to standard therapy alone (control group).

**Table 1: Schedule of study visits / phone contacts when the participant is randomised to closed loop (intervention group)**

	Visit/ contact	Description	Start relative to previous / next Visit / Activity	Duration
Run in period	Visit 1	Recruitment and screening visit: Consent/assent; inclusion, exclusion; screening blood sample	Within 10 working days of diagnosis	2 hours
	Visit 2	Baseline visit: HbA1c, MMTT, blinded CGM, questionnaires, computerised cognitive testing, bloods for immunological analyses	7 to 21 days after diagnosis	3-4hours
		Randomisation		
Insulin pump & CGM Training	Visit 3	Insulin pump training, initiation study pump	Within 1 week of Visit 2	3-4 hours
	Visit 4	CGM training, initiation of CGM	Within 0 to 7 days of Visit 3 (Visit 4 may coincide with Visit 3; Training visits can be repeated)	2 hours
Closed loop insulin delivery (24 months)	*Visit 5	CL initiation at clinic/home	Within 6 weeks of diagnosis	3-4 hours
	Contact	Review use of study devices, study update	1 week after Visit 5 ( $\pm 3$ days)	<0.5 hour
	*Visit 6	HbA1c, data download, blinded CGM	After 3 months of diagnosis ( $\pm 1$ week)	<1 hour
	Visit 7	MMTT, HbA1c, bloods for immunological analyses, data download, blinded CGM, sleep quality assessment	After 6 months of diagnosis ( $\pm 2$ weeks)	3-4hours
	*Visit 8	HbA1c, data download, blinded CGM	After 9 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	Visit 9	MMTT, HbA1c, bloods for immunological analyses, data download, blinded CGM, questionnaires, computerised cognitive testing, interviews, sleep quality assessment	After 12 months of diagnosis ( $\pm 2$ weeks)	3-4 hours
	*Visit 10	HbA1c, data download, blinded CGM	After 15 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	*Visit 11	HbA1c, data download, blinded CGM	After 18 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	*Visit 12	HbA1c, data download, blinded CGM	After 21 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	*Visit 13	Blinded CGM, sleep quality assessment	Between Visit 12 and Visit 14	<0.5 hour
	Visit 14	End of closed loop treatment: MMTT, HbA1c, data download, bloods for immunological analyses, questionnaires, computerised cognitive testing, focus groups	After 24 months of diagnosis ( $\pm 2$ weeks)	4-5 hours
* could be done at home				

**Table 2: Schedule of study visits / phone contacts when the participant is randomised to standard therapy i.e. multiply daily injections (control group)**

	Visit/ contact	Description	Start relative to previous / next Visit / Activity	Duration
<b>Run in period</b>	Visit 1	Recruitment and screening visit: Consent/assent; inclusion, exclusion; screening blood sample	Within 10 working days of diagnosis	2-hours
	Visit 2	Baseline visit: HbA1c, MMTT, blinded CGM, questionnaires, computerised cognitive testing, bloods for immunological analyses	7 to 21 days after diagnosis	3-4hours
		Randomisation		
<b>Additional Training</b>	Visit 3	Training on carbohydrate counting	Within 1 week of Visit 2	2 hours
	Visit 4	Training on insulin dose adjustment	Within 0 to 7 days of Visit 3 (Visit 4 may coincide with Visit 3; Training visits can be repeated)	2 hours
<b>Multiple daily injection of insulin (24 months)</b>	*Visit 5	MDI arm start visit	Within 6 weeks of diagnosis	<1 hour
	Contact	Study update	1 week after Visit 5 ( $\pm 3$ days)	<0.5 hour
	**Visit 6	HbA1c, blinded CGM	After 3 months of diagnosis ( $\pm 1$ week)	<1 hour
	Visit 7	MMTT, HbA1c, bloods for immunological analyses, blinded CGM, sleep quality assessment	After 6 months of diagnosis ( $\pm 2$ weeks)	3-4 hours
	**Visit 8	HbA1c, blinded CGM	After 9 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	Visit 9	MMTT, HbA1c, bloods for immunological analyses, blinded CGM, questionnaires, computerised cognitive testing, interviews, sleep quality assessment	After 12 months of diagnosis ( $\pm 2$ weeks)	3-4 hours
	**Visit 10	HbA1c, blinded CGM	After 15 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	**Visit 11	HbA1c, blinded CGM	After 18 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	**Visit 12	HbA1c, blinded CGM	After 21 months of diagnosis ( $\pm 2$ weeks)	<1 hour
	**Visit 13	Blinded CGM, sleep quality assessment	Between Visit 12 and Visit 14, may coincide with contact 14 or 15	<1 hour
	Visit 14	End of closed loop treatment: MMTT, HbA1c, bloods for immunological analyses, questionnaires, computerised cognitive testing, focus groups	After 24 months of diagnosis ( $\pm 2$ weeks)	4-5 hours
* could be done at home or phone/email, ** could be done at home				

## 9.2 Recruitment visit and screening assessment (Visit 1)

Once the subjects have agreed to participate in the study, they will be invited for the recruitment visit, when the following activities will be performed by the research team:

- written informed consent/assent
- checking inclusion and exclusion criteria
- medical (diabetes) history
- body weight and height measurement; calculation of BMI
- blood pressure measurement
- record of current insulin therapy
- urine pregnancy test (females of child-bearing potential)

### 9.2.1 Screening and reference blood sampling

If not done at diagnosis, a blood sample will be taken for assessment of full blood count, thyroid function (TSH, fT4), anti-transglutaminase antibodies and IgA (all measured at a local laboratory). Less than 15 ml of whole blood will be taken from each participant if need be.

## 9.3 Baseline visit (Visit 2)

Subject will arrive at the clinical facility in the morning at the agreed time. This session will include the following activities:

- Body weight measurement (see 12.1.1)
- Cognitive function will be assessed using validated computerized cognitive tests (see 12.2.2)
- A mixed meal tolerance test (MMTT) to assess residual beta cell function (see 12.1.2).
- In addition to measurements of C-peptide and blood glucose (MMTT), blood samples for analysis of HbA1c, lipid profile and immunological parameters will be taken (see 12.4)
- Validated questionnaires will be distributed to assess quality of life and diabetes management (see 12.2.1).
- At the end of this session, participants will be fitted with a blinded continuous glucose monitoring (CGM) device to assess baseline glycaemic control. Instructions on how to safely remove and send back the device will be provided (see 12.1.3.1)

## 9.4 Run in period

All participants will receive core diabetes training as per usual clinical practice during an up to three week run-in period following diagnosis, study consent/assent and baseline assessment. These non-study-specific training sessions should include introduction to blood glucose monitoring, blood glucose targets, insulin therapy (including handling of insulin pens and injections), physical activity advice, hypoglycaemia management, dealing with hyperglycaemia (incl. blood ketone monitoring), sick day rules, and carbohydrate counting (for details see section 8.2).

All subjects will be provided with 24 hour telephone helpline and will also be given written instructions about when to contact clinical team.

## 9.5 Randomisation

On completion of Visit 2, eligible subjects will be randomised in a 1:1 ratio using central randomisation software to the use of day and night closed loop or to standard therapy. The randomisation will be stratified by site, age, and possibly gender.

## 9.6 Post-randomisation training (Visits 3 and 4)

### 9.6.1 Closed loop intervention

Participants randomised to the closed loop group will receive additional training sessions following randomisation to cover key aspects of insulin pump use and CGM, prior to starting closed loop insulin delivery. Particular attention will be paid to:

- Insulin cartridge and infusion set changes and correct priming procedure
- Carbohydrate counting and the use of the bolus calculator on the pump
- Hypo- and hyperglycaemia management using an insulin pump
- Sensor insertion and calibration
- Uploading pump data

Written easy to use guidelines for the operation of insulin pump and CGM will be provided. This session will be conducted by a professional pump educator and/or member of the study team. Device manual guides will be provided. Competency on the use of study pump and CGM will be assessed.

Guidelines for health care professional with respect to initiation of insulin pump therapy and continuous glucose monitoring will be provided separately in the study manual.

### **9.6.2 Standard therapy (control intervention)**

Following randomisation participants in the control group will receive additional training sessions to complement the core training as provided during the run-in: Particular attention will be paid to:

- Carbohydrate counting
- Understanding insulin to carb ratios and correction factors
- Data review, pattern recognition
- Adjusting insulin doses

Written easy to use guidelines will be provided. These sessions will be conducted by an experienced educator. Guidelines for health care professional with respect to multiple daily injection therapy will be provided separately in the study manual.

## **9.7 Initiation of treatment arm (Visit 5)**

For participants competent in the accomplishment of their respective treatment regimen (MDI or pump and CGM), the start of study arm will be initiated within at least two weeks of visit 3, but within 6 weeks of diagnosis at latest. Initiation visits could be either done at the hospital clinic, the clinical facility, subject's home or other suitable meeting place. Current insulin therapy will be recorded.

Subjects will be provided with 24 hour telephone helpline and will also be given written instructions on how to deal with low and high glucose at home and when to contact study team.

### **9.7.1 Closed loop intervention**

Those subjects randomised to closed loop intervention will receive training required for safe and effective use of the closed loop system and pump suspend feature. The visit will include training on connection and disconnection of the closed loop system, switching between closed loop and usual pump therapy and trouble-shooting aspects. Written step by step guidance will also be provided. During the initiation visit, subjects will use closed loop system under supervision by study staff. Subjects will have a meal according to their own choice and will be required to deliver a meal bolus for the given meal. Competency on the use of closed loop system will be assessed by the study team. Only subjects who demonstrate competency on use of the system will be



allowed to continue to the home study phase. Participants are expected to use the closed loop at all times during the 24 months intervention period.

### **9.7.2 Standard therapy (control intervention)**

In subjects randomised to control intervention, diabetes management skills will be revisited, data will be reviewed, and dose adjustments will be made if need be. Additionally, study schedule and study related procedures will be discussed again. In the control intervention arm, visit 5 can be done via telephone/email, too. Participants will apply standard insulin therapy using multiple daily injections via insulin pens during the 24 months control period. However, participants will be allowed to switch to insulin pump therapy if clinically indicated applying NICE criteria according to usual clinical practice. As per the intention to treat approach of this study, participants switching to insulin pump treatment during control intervention will continue to be followed up unless consent is withdrawn or other withdrawal criteria apply (see section 9.14).

## **9.8 Telephone/email contact after initiation of treatment arm**

Participants and/or parents will be contacted by email or telephone within one week after initiation of the respective study arm. The purpose of this contact would be to troubleshoot any problems, and to record any adverse events, device deficiencies, and changes in insulin settings, other medical conditions and/or medication. Thereafter, participants will be followed up through study visits at 3-monthly intervals. Throughout the trial, subjects/parents and/or the clinical team are free to adjust insulin therapy as per usual clinical practice, but no active treatment optimisation will be undertaken by the study team.

## **9.9 Routine follow up visits (Visit 6, 8, 10, 11, 12)**

The subjects will be invited to attend the first follow up visit 3 months after diagnosis. Thereafter, visits will take place every 3 months for the remainder of the study. Routine follow up visits can take place at the hospital clinic, home or other suitable meeting place, according to participants' convenience. A routine follow up visit should not take longer than approximately 30 minutes.

The purpose of this visit would be to record any adverse events, device deficiencies, and changes in insulin settings, other medical conditions and/or medication. An HbA1c sample will be taken to monitor overall glucose control. For subjects randomised to closed loop intervention, data from study devices will be downloaded.

At the end of this session, participants of both study arms will be fitted with a blinded continuous glucose monitoring (CGM) sensor. The sensor will be worn at home for up to 14 days. If the sensor fails (i.e. does not provide any data) or sensor function is interrupted prematurely (detached sensor), another sensor may be inserted. The sensor(s) will be sent back to the research team or collected by the research team once the sensor life has expired and/or the sensor has detached. The sensor data may be used to optimise insulin delivery.

## 9.10 Follow up visits including MMTT (Visit 7, 9)

The subject will attend the research centre for the purpose of a mixed meal tolerance test (see section 12.1.2) at 6 months and 12 months after diagnosis. Otherwise, procedures are identical to routine follow up visit (including HbA1c sampling and blinded CGM; see section 9.9). Follow up visits including MMTT should not last longer than 3-4 hours.

- Body weight, height and blood pressure will be measured
- In addition to measurements of C-peptide and blood glucose (MMTT), blood samples for analysis of immunological parameters will be taken (see section 12.4). At 12 months, blood lipid profile will be additionally assessed

At the end of the session, participants will be fitted with the Actiwatch (a simple wristwatch used to measure sleep non-invasively in the participant's home), and the Pittsburgh Sleep Quality Index and a sleep diary will be handed out (see section 12.2.3). The wristwatch will be worn for up to 7 days. Concomitantly, a sleep diary will be kept, and PSQI questionnaires will be completed by the participants (assisted by their parents/carers if need be), and their parents/carers. The wristwatch, the completed diary and questionnaires, along with the blinded CGM device will be sent back to the research team or collected by the research team.

At 12 months, a subset of subjects/family members will be invited to perform a qualitative interview with the trained personnel (see 12.2.4.1).

At 12 months, cognitive function will be assessed using validated computerized cognitive tests (see section 12.2.2).

## 9.11 Penultimate visit 13

For assessment of glycaemic control during the final 3-month period of the trial, participants of both study arms will be asked to attend the clinical facility at least 2 weeks before the end of study visits (Visit 14). Alternatively, this visit could take place in the subject's home. Participants will be

fitted with a blinded continuous glucose monitoring (CGM) sensor. The sensor will be worn at home for up to 14 days.

Additionally, participants will be fitted with the Actiwatch wristwatch, and the Pittsburgh Sleep Quality Index (PSQI) and a sleep diary will be handed out (details re sleep assessment see section 12.2.3) for assessment of sleep. The wristwatch will be worn for 7 days. Concomitantly, a sleep diary will be kept, and the PSQI will be completed by the participants (assisted by their parents/carers if need be), and their parents/carers.

All devices (blinded CGM, wristwatch) and the completed sleep diary and questionnaires, along with the blinded CGM device will be returned to the research team once the sensor life has expired and/or the sensor has detached, or at the end of study visit (Visit 14) at latest.

## **9.12 End of study visit (Visit 14)**

The subject will be invited to attend the research centre approximately 3 months after visit 12. This would be the end of 24 month study period. The subject will have a MMTT and a blood sample for HbA1c, immunological analyses and lipid profile will be taken. Body weight, height and blood pressure measurements will be made. Subject will be asked to complete questionnaires as outlined in section 12.2.1. Cognitive function will be assessed using validated computerized cognitive tests (see section 12.2.2). Additionally, subjects/family members will be invited to attend focus group discussions at their clinical site (see section 12.2.4.2) following the End of study visit. For participants of the closed loop intervention, study device data will be downloaded and subjects will be asked to return study devices.

## **9.13 Transition to usual care**

Following visit 14, subjects randomised to closed loop will revert to conventional insulin therapy by switching back to either insulin pump alone or multiple daily injection therapy as per decision of the clinical team. Refresher training sessions on standard therapy will be provided by the routine clinical team to facilitate transition to usual care. Subjects of the control intervention will continue with standard therapy as before.

## **9.14 Participant withdrawal criteria**

The following pre-randomisation withdrawal criterion will apply:

1. Subject/Family is unable to demonstrate safe application of multiple daily injection therapy during run-in period as judged by the investigator

The following pre- and post-randomisation withdrawal criteria will apply:

2. Subject is unable to demonstrate safe use of MDI or study insulin pump and/or CGM during post randomisation training period as judged by the investigator
3. Subject fails to demonstrate compliance as described in sections 9.6.1 and 9.6.2 with MDI therapy or study insulin pump and / or CGM during post randomisation training period
4. Subjects may terminate participation in the study at any time without necessarily giving a reason and without any personal disadvantage
5. Significant protocol violation or non-compliance
6. Recurrent severe hypoglycaemia events not related to the use of the closed loop system
7. Recurrent severe hyperglycaemia event/DKA unrelated to infusion site failure and related to the use of the closed loop system
8. Decision by the investigator or the Sponsor that termination is in the subject's best medical interest
9. Allergic reaction to insulin
10. Allergic reaction to adhesive surface of infusion set or glucose sensor
11. If patient cannot be contacted in 12 weeks subject will be considered lost to follow up

If participant wishes to withdraw from trial treatment, sites should nevertheless explain the importance of remaining on trial follow-up or, failing this, of allowing routine follow-up data to be used for trial purpose. Generally, follow-up will continue unless the participant explicitly also withdraws consent for follow-up.

Subjects who are withdrawn for reasons stated in (5) to (11) will be invited to undergo mixed meal tolerance test and to provide blood sample at the end of the planned study intervention for the assessment of HbA1c.

In consenting to the trial, participants are consenting to trial treatment, follow-up and data collection. If voluntary withdrawal occurs, the participant (or parent/legal representative) should be asked to allow continuation of scheduled evaluations, complete an end-of-study evaluation, and be given appropriate care under medical supervision until symptoms of any adverse event resolved or the participant's condition becomes stable. Follow-up of these participants will be continued through the research nurse and the lead investigator at each site and, where these are unsuccessful, through child's local paediatric diabetes service or via their GP.

The research team plans to enable ongoing observation of participants as they move from paediatric to adult health care services. This ongoing observation will be the focus of a separate application for funding.

### **9.15 Participant transfer**

For the participant moving from the area, every effort should be made for the participant to be followed-up at another participating trial site and for this trial site to take over responsibility for the participant or follow-up at the local paediatric diabetes services or via their GP.

The participant (or parent/legal representative) will have to sign a new consent form at the new site, and until this occurs, the participant remains the responsibility of the original site. The Chief Investigator, or the Sponsor or its representative should be notified in writing of participant transfer.

### **9.16 Study stopping criteria**

The study may be stopped if three consecutive participants withdraw on safety grounds or on the advice of an independent Data Monitoring and Ethics Committee (DMEC).

### **9.17 Co-enrolment guidelines**

To avoid potentially confounding issues, ideally participants should not be recruited into other trials. Where recruitment into another study is considered to be appropriate and without having any detrimental effect on the present study, this must first be discussed with the Chief Investigator, or the Sponsor or its representative.

### **9.18 Support telephone line**

There will be a 24-hour telephone helpline to the local clinical and research teams for subjects in case of any technical device or problems related to diabetes management such as hypo- or hyperglycaemia. The local research team will have access to central 24 hour advice on technical issues.

### **9.19 Study peer support system**

A peer support system will be available in this trial in order to enhance trial recruitment, acceptability and retention. Potential participants can freely choose whether to have a peer supporter and whether to share any personal health information.

Peer supporters or buddies will be inside members of the target population with personal experience of both recent diagnosis of type 1 diabetes and participation in this trial, e.g. other trial participants and their families at a later stage of the trial, or former participants/participating families. Peer supporters are neither qualified nor licensed to diagnose, give medical advice, or recommend changes to medications. No payments will be made to peer supporters.

Potential peer supporters will be approached and selected by the study team at a later stage of the trial. Separate consent/assent forms for buddies will be signed, as well as an oath of confidentiality. Guidance and training for buddies will be provided including the following topics: the buddy's role and possible role conflict, what kinds of information the buddy can best provide and when to refer to a health professional, types and amount of contact to expect, potential problems and trouble-shooting, confidentiality.

Contact details of a selected buddy will be forwarded to the potential participant, once the buddy's availability has been confirmed. The abilities and qualities of the peer supporters will be matched to the needs of those to be supported. The first contact by phone will be initiated by the potential participant according to availability of the buddy. Further contacts will be arranged independently from the study team if wished. Buddies and participants may terminate participation in the buddy system at any time.

## **9.20 Subject reimbursement**

The study will provide the insulin pump/CGM device, CGM sensors, and closed loop components. Other related consumables (e.g. infusion sets and glucose test strips) will be at least partially covered. As an appreciation for the participants' involvement in the study, vouchers/payment will be provided at each of the visits including a MMTT as specified in the participant information sheet and REC application form. A capped contribution to travel expenses may be offered. After completing the study, subjects will not keep the study devices. They will revert to conventional insulin therapy or insulin pump therapy based on the decision of the local clinical team.

## 10 Endpoints

### 10.1 Primary endpoint

The primary endpoint is the area under the stimulated C-peptide curve of a mixed meal glucose tolerance test conducted 12 months post diagnosis.

### 10.2 Secondary endpoints

Secondary endpoints include:

- Mean stimulated C-peptide AUC over time (at baseline, 6 and 24 months)
- Overall glucose control and glucose variability
  - HbA1c levels (3-monthly assessed)
  - Percentage of patients in each group with HbA1c <7.5% (58 mmol/mol)
  - Percentage of time spent in with sensor glucose readings in the target range (3.9 to 10mmol/l)\*
  - Average, standard deviation, and coefficient of variation of sensor glucose levels\*
- Hypoglycaemia
  - Percentage of time spent below target glucose (3.9 mmol/l)\*
  - Percentage of time with sensor glucose levels <3.5 mmol/l, 3.0 mmol/l and <2.8 mmol/l\*
  - AUC of sensor glucose below 3.9 mmol/l and 3.5 mmol/l\*
- Hyperglycaemia
  - Time spent with sensor glucose above target (10.0 mmol/l)\*
  - Time with sensor glucose levels in significant hyperglycaemia (glucose levels > 16.7 mmol/l)\*
- Insulin requirements
  - Total, basal and bolus insulin dose (U/kg)
- Weight
  - Change in body mass index (BMI) standard deviation score
- Blood pressure
- Lipid profile
- ~~Characteristics of pump suspend feature events (number of events, duration of suspension, sensor glucose at start and end of suspension) (applicable to closed loop intervention)~~

*\*based on 3-monthly data from blinded continuous glucose monitoring (CGM)*

Exploratory endpoints will include trends in glucose control and insulin delivery, daytime vs. overnight glucose control, relationships between CL compliance and glucose outcomes, correlation between fasting C-peptide, stimulated C-peptide and ~~C-peptide at 90 minutes urine C-peptide/Creatinine ratio~~ as assessed during MMTT, relationship between beta-cell function and immune markers.

For the assessment of long term outcomes (see section 6.2) routine clinical data will be submitted to the research team for a further nine years after the subject has completed the 24 month trial. This will include:

- HbA1c
- Insulin treatment regimen
- BMI
- Insulin dose (units/kg/day)
- Number of severe hypoglycaemic episodes and DKAs
- Complications of type 1 diabetes

### 10.3 Safety evaluation

Safety evaluation will comprise the number of episodes of severe hypoglycaemia as well as the number of subjects experiencing severe hypoglycaemia, frequency of diabetic ketoacidosis, and number, nature and severity of any other adverse events.

### 10.4 Utility evaluation

Utility evaluation is the frequency and duration of use of the closed loop system.

### 10.5 Human Factors Evaluation

Cognitive, emotional, and behavioural characteristics of participating subjects and family members and their response to the closed loop system and clinical trial will be assessed at distinct time-points throughout the trial using validated surveys (perceptions of quality of life, diabetes management, sleep and fear of hypoglycaemia) cognitive tests, as well as qualitative interviews and focus groups. Additionally, sleep will be objectively assessed using wristwatch devices.



## 10.6 Health economic assessment

Health economic analysis will be performed contrasting the artificial pancreas (closed loop) and conventional insulin therapy (MDI) using a health economic simulation model: the IMS CORE DIABETES MODEL (CDM). Long-term outcomes derived from the simulation will include total direct costs, life expectancy, quality-adjusted life expectancy and time to onset of complications. Incremental costs versus incremental effectiveness (quality-adjusted life years [QALYs]) for closed loop vs multiple daily injection therapy will be compared.

## 11 Assessment and reporting of adverse events

### 11.1 Definitions

#### 11.1.1 Reportable Adverse Events

A reportable Adverse Event is any untoward medical occurrence that meets criteria for a serious adverse event or any unanticipated medical occurrence in a study subject that is study or device-related. Device deficiencies that could have led to a serious adverse device effect will also be reported.

#### 11.1.2 Adverse Events

An adverse event (AE) is any untoward medical occurrence, unintended disease or injury, or untoward clinical signs (including abnormal laboratory findings) in a subject who has received an investigational device, whether or not related to the investigational medical device. This definition includes events related to the device under investigation or the comparator or to the study procedures. For users or other persons, this definition is restricted to events related to the investigational device. The following anticipated adverse events will not be recorded:

- Non clinically significant skin reactions as judged by investigator
- Pre-existing medical conditions
- New illnesses or conditions not requiring concomitant medication or medical intervention/procedures
- Non severe hypoglycaemia
- Hyperglycaemia without significant ketonaemia

#### 11.1.3 Adverse Device Effect

An Adverse Device Effect (ADE) is an adverse event related to the use of an investigational medical device. This includes adverse events resulting from insufficient or inadequate instructions for use, deployment, implantation, installation, or operation, or any malfunction of the

investigational medical device. This definition also includes any event resulting from use error or from intentional misuse of the device under investigation.

#### **11.1.4 Serious Adverse Event**

A serious adverse event (SAE) is an adverse event that:

- led to a death
- led to a serious deterioration in the health of the subject, that either resulted in:
  - a life threatening illness or injury
  - a permanent impairment of a body structure or function
  - in-patient hospitalisation or prolonged hospitalisation
  - medical or surgical intervention to prevent life-threatening illness or injury or permanent impairment to a body structure or a body function
- led to foetal distress, foetal death or a congenital abnormality or birth defect

A planned hospitalisation for pre-existing condition, or a procedure required by the study protocol, without a serious deterioration in health, is not considered to be a serious adverse event.

More than one of the above criteria can be applicable to one event. Life-threatening in the definition of a serious adverse event or serious adverse reaction refers to an event in which the subject was at risk of death at the time of the event; it does not refer to an event which hypothetically might have caused death if it were more severe. Medical judgement should be exercised in deciding whether an adverse event or reaction is serious in other situations.

Important adverse events or reactions that are not immediately life-threatening or do not result in death or hospitalisation but may jeopardise the subject or may require intervention to prevent one of the other outcomes listed in the definition above, should also be considered serious.

The following serious adverse events are anticipated:

- Severe hypoglycaemia
- DKA

### 11.1.5 Serious Adverse Device Effect

A Serious Adverse Device Effect (SADE) is an adverse device effect that has resulted in any of the consequences characteristic of a serious adverse event.

### 11.1.6 Unanticipated Serious Adverse Device Effect

An Unanticipated Serious Adverse Device Effect (USADE) is a serious adverse device effect which by its nature, incidence, severity or outcome has not been identified in the current version of the protocol.

An Anticipated Serious Adverse Device Effect (ASADE) is a serious adverse device effect which by its nature, incidence, severity or outcome has been identified in the protocol.

### 11.1.7 Device Deficiencies

A device deficiency is an inadequacy of a medical device with respect to its identity, quality, durability, reliability, safety or performance. A device deficiency may lead to an Adverse Device Effect or Serious Adverse Device Effect. The following anticipated device deficiencies and device-related issues will not be recorded:

- Infusion set occlusion/leakage not leading to ketonaemia
- Sensor failure due to miscalibration/detachment
- Premature interruption of sensor-life
- Battery lifespan deficiency due to inadequate charging or extensive wireless communication
- CAD error messages not needing system replacement
- Intermittent device communication failure not leading to system replacement

### 11.1.8 Adverse event intensity

Intensity	Definition
Mild	Patient is aware of signs and symptoms but they are easily tolerated
Moderate	Signs / symptoms cause sufficient discomfort to interfere with usual activities
Severe	Patient is incapable to work or perform usual activities

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NB. The term “severe” is often used to describe the intensity (severity) of a specific event. This is not the same as ‘serious’, which is based on patient/event outcome or action criteria (see definition 11.1.4). For example, itching for several days may be rated as severe, but may not be clinically serious.

### 11.1.9 Adverse event causality

Intensity	Definition
Not assessable	A report suggesting an adverse event, which cannot be judged because information is insufficient or contradictory, and which cannot be supplemented or verified.
Unlikely	A clinical event, including laboratory test abnormality, with a temporal relationship, which makes a causal relationship improbable, and in which other drugs/treatments, chemicals or underlying disease(s) provide plausible explanations.
Possible	A clinical event, including laboratory test abnormality, with a reasonable time sequence to administration of the treatment/use of investigational treatment/device, but which also could be explained by concomitant diseases or other drugs/treatments or chemicals.
Probable	A clinical event, including laboratory test abnormality, with a reasonable time sequence to administration of the treatment/use of medical method/device, unlikely to be attributable to concomitant disease(s) or other drugs/treatments or chemicals, and which follows a clinically reasonable response on withdrawal (dechallenge). Rechallenge information is not required to fulfil this definition.
Definite/certain	A clinical event, including laboratory test abnormality, occurring in a plausible time relationship to study treatment/use of medical method/device and which cannot be explained by concomitant disease(s), other drugs/treatments or chemicals. The response to withdrawal of the treatment (dechallenge) should be clinically plausible. The event must be unambiguous, either pharmacologically or as phenomenon, using satisfactory rechallenge procedures if necessary.

(Reference: WHO-UMC Causality Categories)

## **11.2 Recording and reporting of adverse events, serious adverse events and device deficiencies**

### **11.2.1 Monitoring period of adverse events**

The period during which adverse events will be reported is defined as the period from the beginning of the study (obtaining informed consent) until 3 weeks after the end of the study participation. Adverse events that continue after the subject's discontinuation or completion of the study will be followed until their medical outcome is determined or until no further change in the condition is expected. The follow up of AEs may therefore extend after the end of the clinical investigation; however no new AEs will be reported after the trial reporting period.

### **11.2.2 Recording and reporting of adverse events**

Throughout the course of the study, all efforts will be made to remain alert to possible adverse events or untoward findings. The first concern will be the safety of the subject, and appropriate medical intervention will be taken. The investigator will elicit reports of adverse events from the subject at each visit and complete adverse event forms. All AEs, including those the subject reports spontaneously, those the investigators observe, and those the subject reports in response to questions will be recorded on paper or electronic AE forms at each site within seven days of discovering the event.

The study investigator will assess the relationship of any adverse event to be device-related or unrelated by determining if there is a reasonable possibility that the adverse event may have been caused by the study device or study procedures. The individual investigator at each site will be responsible for managing all adverse events according to local protocols, and decide if reporting is required.

### **11.2.3 Severe hypoglycaemia**

In line with ISPAD guidelines (36), hypoglycaemic events will be considered severe if the event requires assistance of another person due to altered consciousness to actively administer carbohydrate, glucagon, or other resuscitative actions. This means that the participant is impaired cognitively to the point that he/she is unable to treat his or herself, is unable to verbalize his or her needs, is incoherent, disoriented, and/or combative, or experiences seizure or coma. For children who are developmentally too young to independently recognize and react to hypoglycaemia, hypoglycaemia is only considered severe if there are associated signs or symptoms of neuroglycopenia including temporary impairment of cognition; incoherent, disoriented and/or combative behaviour; seizure or coma. If plasma glucose measurements are not available during

such an event, neurological recovery attributable to the restoration of plasma glucose to normal is considered sufficient evidence that the event was induced by a low plasma glucose concentration.

Severe hypoglycaemia will be regarded as a foreseeable adverse event and an adverse event form will be completed. Severe hypoglycaemia is not necessarily a serious adverse event and hence may not require immediate reporting to the Sponsor. Non-severe hypoglycaemia will not be reported or considered an adverse event.

#### **11.2.4 Hyperglycaemia, ketonaemia and diabetic ketoacidosis**

As per usual clinical practice, subjects will be asked to test and record blood or urine ketones if their finger prick glucose is above 14.0 mmol/l or if feeling sick.

Hyperglycaemic events are recorded as Adverse Events if the event involved DKA, as defined by ISPAD (37) and described below, or in the absence of DKA if evaluation or treatment was obtained at a health care facility for an acute event involving hyperglycaemia or ketosis.

- Hyperglycemia (blood glucose >11 mmol/L [ $\approx$ 200 mg/dL])
- Venous pH <7.3 or bicarbonate <15 mmol/L
- Ketonemia and ketonuria

#### **11.2.5 Reporting of serious adverse events and serious adverse device effects**

When reporting adverse events, all pertinent data protection legislation must be adhered to.

The serious adverse event report should contain the following information\*:

1. Study identifier (EudraCT number if applicable)
2. Participant's unique study number
3. Date of birth
4. Event description
5. Start date of event
6. Laboratory tests used and medical interventions used to treat the SAE

7. Planned actions relating to the event, including whether the study device was discontinued
8. Statement on the patient's current state of health
9. Reason for seriousness (i.e. death, life threatening, hospitalisation, disability/incapacity or other)
10. Evaluation of causality (including grade of relatedness) with the following (more than one may apply):
  - a. the investigational treatment/medical device
  - b. the clinical study/a study specific procedure
  - c. other: e. g. concomitant treatment, underlying disease
11. Reporter's name, date and signature

\*In the case of incomplete information at the time of initial reporting, all appropriate information should be provided as soon as this becomes available.

The relationship of the SAE to the investigational treatment / medical device should be assessed by the investigator at site, as should the anticipated or unanticipated nature of any SAEs and SADEs.

All SAEs whether or not deemed investigational method/device related and whether anticipated or unanticipated must be reported to the Sponsor by email or fax within 24 hours (one working day) of the Investigator learning of its occurrence.

SAEs should be reported to:

Stephen Kelleher

Cambridge University Hospitals

NHS Foundation Trust

Box 277, Addenbrooke's Hospital

Hills Road, Cambridge, CB2 0QQ, UK

Phone: +44 (0) 1223 217418

Fax: +44 (0) 1223 348494

E-mail: [r&denquiries@addenbrookes.nhs.uk](mailto:r&denquiries@addenbrookes.nhs.uk)

A written report must follow within five working days and is to include a full description of the event and sequelae, in the format detailed on the Serious Adverse Event reporting form. If applicable,

the Sponsor will notify the competent authority of all Serious Adverse Events in line with pertinent legal requirements.

The Investigator will notify the Research Ethics Committee (REC) in UK of all Serious Adverse Events in line with pertinent legal requirements. The Investigator will inform the Sponsor about all reports sent to the reporting organisation including follow-up information and answers by the reporting organisation. The local investigator is responsible for informing other site principal investigators and the CI of all SAEs.

The regulatory authority (MHRA) will be notified of all SAEs as soon as possible within ten days of the event occurring during the study. The main REC will be notified of all unexpected and related SAEs within 15 days of the occurrence of the event.

#### **11.2.6 Recording and reporting of device deficiencies**

All device deficiencies will be documented throughout the study. The investigator at each site will be responsible for managing all device deficiencies and determine and document in writing whether they could have led to a serious adverse device effect.

All device deficiencies that might have led to a serious adverse device effect(s) if: suitable action had not been taken; intervention had not been made, or if circumstances had been less fortunate, must be reported to the Sponsor as for SAEs/SADEs.

#### **11.2.7 Reporting of Pregnancy**

Study participants will not routinely be tested for pregnancy as part of the trial follow up process. Any pregnancy which does occur during the course of the study should be reported to the Sponsor as indicated in section 11.2.5 immediately. It is at the investigator's discretion to decide whether the individual should be instructed to stop study treatment. All pregnancies that occur during trial treatment, or within seven days of finishing treatment, need to be followed up until completion and reported separately.

#### **11.2.8 Healthcare arrangements and compensation for adverse events**

Healthcare arrangements for subjects who suffer an adverse event as a result of participating in the study may include advice from clinical members of the study team or the patient's treating diabetes team, or use of emergency health services.



If an adverse event occurs, there are no special compensation arrangements unless this was due to the negligence of one of the clinical investigators or due to harm resulting from study protocol design. In this case subjects may have grounds for legal action for compensation. The normal national complaints mechanism will be available. In addition, any harm arising due to study design (both negligent and non-negligent) will be covered under Sponsor's insurance policy as applicable.

## **11.3 Anticipated adverse events, risks and benefits**

### **11.3.1 Risks and anticipated adverse events**

Known risks represent hazardous situations which may result in anticipated adverse events. In the following text, where appropriate, the term "risk" and "anticipated adverse events" are used interchangeably without affecting meaning.

### **11.3.2 Hypoglycaemia and hyperglycaemia**

Subjects with type 1 diabetes have a pre-existing risk for hypoglycaemia and hyperglycaemia. Potential risks are:

- Risk of mild to moderate hypoglycaemia and associated symptoms such as sweating, trembling, difficulty thinking and dizziness. There is also a rare risk of severe hypoglycaemia when conscious level is altered, needing help from a third party to correct the hypoglycaemia. These risks are pre-existent in any patient with type 1 diabetes and the study objective is to develop systems to minimise these risks
- Risk of possible mild to moderate hyperglycaemia similar to the risk that a subject with type 1 diabetes experiences on a daily basis
- Risk of hyperglycaemia leading to diabetic ketoacidosis (DKA). This risk is pre-existent in any patient with type 1 diabetes.

### **11.3.3 Blood sampling**

Subjects will be required to have nine blood tests (venepuncture) during the whole study. Venepuncture is required annually as part of the annual review for people with diabetes, and in some places venepuncture is required every 3 to 12 months for assessment of HbA1c. Potential risks include:

- Slight discomfort or bruising at the site (common)
- Excess bleeding at the site (unlikely)

- Infection at the site (rare)

Local anaesthetic cream or spray may be used to minimise the discomfort.

#### **11.3.4 Finger-prick blood glucose measurements**

Finger-prick tests may produce pain and/or bruising at the site.

#### **11.3.5 Insulin injection therapy**

Potential risks associated with multiple daily injection therapy include:

- Slight discomfort at the time of insulin injection (common)
- Slight bruising at the site of injection (common)
- Bleeding at injection site (rare)
- Infection at the site of injection (rare)
- Insulin pen malfunction and mechanical problems (rare)
- Allergy to insulin (very rare)
- Lipodystrophy / lipoatrophy (very rare)

#### **11.3.6 Insulin pump therapy**

Potential risks associated with insulin pump therapy include:

- Slight discomfort at the time of insertion of the insulin delivery cannula (common)
- Slight bruising at the site of insertion (common)
- Bleeding at insertion site (rare)
- Infection at the site of insertion (rare)
- Allergy to the insulin delivery cannula or adhesive (rare)
- Infusion set and cannula occlusions (rare)
- Insulin pump malfunction and mechanical problems (rare)
- Allergy to insulin (very rare)
- Lipodystrophy / lipoatrophy (very rare)

#### **11.3.7 Continuous glucose monitoring**

Potential risks associated with CGM:

- Slight discomfort at the time of insertion of CGM (common)
- Slight bruising at the site of insertion (unlikely)
- Bleeding at insertion site (rare)
- Infection at the site of insertion (rare)
- Allergic reaction to the CGM sensor material (rare)

If a skin reaction is classified as severe (the observation is noticeable and bothersome to subject and may indicate infection or risk of infection or potentially life-threatening allergic reaction), an adverse event form will be completed.

### **11.3.8 Questionnaires, interviews and focus groups**

As part of the study, subjects will complete semi-structured interviews, focus groups and questionnaires which include questions about their private attitudes, feelings and behaviour related to diabetes. It is possible that some people may find these questionnaires to be mildly upsetting. Similar questionnaires have been used in previous research and these reactions are uncommon. If questionnaire or conversational responses indicate serious psychological distress as judged by the investigators, appropriate clinical services will be arranged. Any treatment will be documented in the case-report form.

The study team takes the safeguarding of children very seriously and should any concerns be raised during the course of the study, including during the interview and focus group sessions, these concerns will be dealt with in accordance with local policy. Participants and caregivers will be made aware of this.

### **11.3.9 Risk Analysis and residual risk associated with the investigational device**

A detailed risk analysis for the closed loop system was conducted, according to Cambridge University Hospitals NHS Foundation Trust's standard Risk Assessment Tool. The risk analysis is presented in a separate risk analysis report

The hazard analysis has identified two hazardous situations in which the residual risk assessed exceeds a predefined score after all practicable control measures have been applied. Risk/benefit analyses concerning these hazardous situations have been conducted by experienced and knowledgeable multidisciplinary members of the research team.

The risks/benefit analysis concluded that day and night closed loop is expected to reduce substantially but not to eliminate the risk of plasma glucose levels below 2.0 mmol/l. This is supported by clinical data recorded over more than 100 nights at the clinical research facility, by more than 9 years of total use of closed loop in home settings, by simulations, and is further enhanced by the requirement for a calibration check to be performed every day before breakfast and before evening meal.

## **11.4 Benefits**

It is expected that day and night closed loop system may have an important role in the management of diabetes. Therefore, the results of this study are likely to be beneficial for subjects with diabetes.

It is possible that subjects will not directly benefit from being a part of this study. However, it is also possible that the blood sugar information from the CGM devices along with the information about insulin dosing during day and night closed loop will be useful for subjects' diabetes self-management.

## **11.5 Data Monitoring and Ethics Committee (DMEC)**

An independent Data Monitoring and Ethics Committee (DMEC) will be informed of all serious adverse events and any unanticipated adverse device effects that occur during the study and will review compiled adverse event data at periodic intervals.

## **12 Methods and assessments**

### **12.1 Procedures**

#### **12.1.1 Height, weight and blood pressure**

These will be recorded during the recruitment visit, at the 12 month and 24 month visits. Weight will be also measured at Visit 2 (Baseline Visit) and Visit 7 (6 months) before conducting the MMTT. Height will be measured in centimetres using a calibrated stadiometer. Weight will be measured in kilograms using a calibrated electronic scale. Blood pressure readings will be obtained using automated calibrated BP monitors with the patient seated comfortably for 5 minutes prior to the measurements.

#### **12.1.2 Mixed Meal Tolerance Test (MMTT)**

MMTT will commence following an overnight fast (from midnight; water permitted). Long-acting insulin or basal rates (for closed loop participants) will continue as normal. Rapid-acting insulin or bolus can be given up to 2 hours before the MMTT to correct for hyperglycaemia (subjects will use their own correction factors). The MMTT will only be performed if participant's blood glucose level is between 4 and 11.1 mmol/l or otherwise will be rescheduled.

Participants will be given a liquid meal according to bodyweight - Sustacal/Boost (Nestle, Switzerland, 17g carbohydrates, 4 g proteins, 3g fat per 100ml) or similar. Venous blood samples for the measurement of C-peptide and plasma glucose will be collected 10 minutes prior to the meal (-10 min), at the time of ingestion (0 minutes), and at 15, 30, 60, 90 and 120 minutes.

If the glucose level at t=120 minutes is >8 mmol/l, a subcutaneous insulin correction dose may be given, either via injection or pump, according to the subject's own insulin sensitivity factor. If the glucose level at t=120 is >14 mmol/l, ketones will be tested by finger prick. If ketones are >0.6 mmol/l, glucose and ketones will be repeated until ketones have decreased <0.6 mmol/l. Following the MMTT the participant will be offered a meal, before leaving the study facility.

#### **12.1.3 Continuous subcutaneous glucose monitoring**

Two different continuous glucose monitoring systems (CGM) will be used throughout this trial: a blinded CGM with retrospective sensor glucose data read out, and a real-time system providing a contemporaneous display of sensor readings.

### **12.1.3.1 Blinded Continuous Subcutaneous Glucose Monitoring**

Blinded CGM will be intermittently applied at various periods throughout the trial. During run-in, blinded CGM will serve to gain knowledge of the specific subject's glucose control characteristics before the beginning of any intervention arm. Post-randomisation, blinded CGM will be periodically used following 3-monthly study visits. Participants of both intervention arms will be fitted with a blinded sensor during the study visits and will wear the sensors until expiry or detachment. Sensors will be returned to the research team thereafter. Secondary glucose endpoints as outlined in 10.2 will be based on glucose data derived from data captured during these up to 14 day periods. Moreover, this data could be used to facilitate insulin dose optimisation during control intervention.

### **12.1.3.2 Real-time Continuous Subcutaneous Glucose Monitoring**

Real-time CGM will be applied during closed loop intervention only. The control algorithm will use the real-time CGM's continuous stream of glucose data to control insulin titration. Data from the real-time CGM system will be downloaded periodically by the participant and during study visits.

### **12.1.4 Insulin pump data**

Data from the study insulin pump will be downloaded periodically by the participant and during study visits.

## **12.2 Human factors assessment**

We are broadly referring to human factors as the cognitive, emotional, and behavioural characteristics of the participants in the study. The human factors assessment battery is grounded in two principles: 1) it is critical to use evidence-based methods that are reliable, valid, and have parallel forms for youth and their caregivers, and 2) both quantitative (i.e., surveys) and qualitative (i.e., interviews, focus groups) data need to be gathered to provide the richest, most comprehensive characterization of the sample and their response to the closed loop system and clinical trial.

### **12.2.1 Questionnaires**

Surveys and tests used in this trial are listed in Table 3. The Measure column lists the construct of interest in bold. Participants/guardians will complete the questionnaires at time-points as indicated in the table. Additionally, feedback questionnaires on closed loop specific experience will be distributed to participants/guardians who have been randomised to the closed loop intervention arm. All results will be evaluated at the end of the study.

**Table 3.** Human Factors Assessment.

<b>Measure</b>	<b>Respondent</b>	<b>Construct Measured / Relevant Points</b>	<b>Duration</b>	<b>Time-point</b>
Paediatric <b>Quality of Life</b> Inventory (PedsQL) Diabetes (38)	All youth and all parents	All youth ages 10-18 will complete age-appropriate PedsQL Diabetes module. There are 28 items total and can take up to 5 minutes to complete. Parents will also complete a proxy version.	5-10 min	Baseline, 12 months, 24 months
<b>Strengths and Difficulties</b> Questionnaire (SDQ) (39)	All youth and all parents	This is a widely used 25 item self-report inventory behavioural screening questionnaire for children and adolescents. The same 25 items are included in questionnaires for completion by the parents.	5-10 min	Baseline, 12 months, 24 months
<b>Hypoglycaemia Fear</b> Survey (HFS) (40-42)	All youth and all parents	Validated questionnaires (HFS child version, HFS parent version) to measure several dimensions of fear of hypoglycaemia. They consist of a 10-item “Behaviour subscale” that measures behaviours involved in avoidance and over-treatment of hypoglycaemia and a 13-item “Worry subscale” that measures anxiety and fear surrounding hypoglycaemia.	5- 10 min	12 months, 24 months
<b>Cognitive testing</b> (CogState) (43)	All youth	Selected subtests from this computerised-cognitive testing battery will be completed electronically. See 12.2.2	15-20 min	Baseline, 12 months, 24 months
Pittsburgh <b>Sleep Quality</b> Index (PSQI) (44)	All youth and all parents	The PSQI is a validated 19 item questionnaire that holistically assesses sleep quality and sleep duration.	5-10 min	6 months, 12 months, 24 months

<b><u>INSPIRE</u></b>	<u>All youth and parents</u>	<u>Measures the psychological side of automated insulin delivery. Child (6-12) and Adolescent versions (13-18) have 18 items; Parent version has 21 items.</u>	<u>5 – 10 min</u>	<u>12 months.</u> <u>24 months</u>
<b><u>PAID-Teen</u></b>	<u>All youth</u>	<u>Measures 26 items related to the daily hassles of managing type 1 diabetes, and the degree of diabetes distress that arises from diabetes management.</u>	<u>5 – 10 min</u>	<u>12 months</u> <u>24 months</u>



### 12.2.2 Computerized cognitive testing

Participants will complete selected subtests from a computerized test battery, Cogstate (43), at baseline, 12 and 24 months. Cogstate has demonstrated sensitivity to subtle changes in cognition and is designed to accommodate repeated assessment of a single individual. The subtests selected, Identification Task, Two Back Task, Set-Shifting Task and the Continuous Paired Associate Learning Task measure choice reaction time, working memory, mental flexibility and fluid reasoning, and spatial new learning respectively. These skills are known to be sensitive to dysglycaemia (2; 4). Each task takes between 2 and 5 minutes to complete (total testing time 15-20 minutes). Raw data will be transferred electronically in an anonymised format (i.e using a numerical study identifier) to Cogstate for scoring and collation prior to electronic transfer back to the study investigators for statistical analyses.

### 12.2.3 Measures of sleep quality

Quality, duration and fragmentation of sleep will be assessed subjectively (using the Pittsburgh Sleep Quality Index (PSQI) or similar validated questionnaire, and a daily sleep diary) and objectively (by actigraphy) in participants, as well as subjectively in parents/carers (using the Pittsburgh Sleep Quality Index (PSQI)). These measures will be conducted over 7 days at 6, 12 and 24 months post diagnosis during both intervention arms.

The PSQI is a validated 19 item questionnaire that holistically assesses sleep quality and sleep duration. The sleep diary will record time of going to bed and waking, plus time of, and reason for (e.g. urination or infant feeding) any nocturnal awakenings.

An Actiwatch (Philips Respironics, Bend, Oregon, USA) worn on the non-dominant wrist will provide objective measures of sleep and wakefulness based on motor activity - a low cost, non-invasive and objective method for evaluating sleep in free-living participants. Actiwatchs will record time in bed and actual sleep time, as well as changes in sleep quality from measures of sleep maintenance, sleep efficiency, sleep latency, fragmentation index, total nocturnal activity, and percentage moving time. Light exposure will be measured by the Actiwatch's photovoltaic sensor.

### 12.2.4 Qualitative assessment

#### 12.2.4.1 Interviews

An integrated qualitative sub-study aims to explore parents' and youth's views about using closed loop systems ~~and how these compare to using MDI~~; understand the impact of using closed loop

systems ~~(as compared to MDI)~~ on diabetes management practices and everyday family life; identify parents' and youth's information and support needs when using closed loop systems.

In-depth interviews will be undertaken at 12 months with a subset of up to 15-20 ~~30 - 40~~ youth and ~~15-20 up to 30 - 40~~ parents ~~from each arm of the trial (total sample size (30-40 youth and 30-40 parents))~~. Purposive sampling will be used to ensure diversity in terms of (a) youth's age and gender (b) parents' occupation/education and (c) family forms. Where possible, parents and youth from the same family will be interviewed. Participants will be interviewed separately unless a joint interview is requested.

#### **12.2.4.2 Focus groups**

Focus groups will be conducted at the end of the study (24 months). We will conduct focus groups at each of the study sites. Recruitment will proceed on a heterogeneous composition basis in terms of both randomisation and adult/children participants, in order to maximise the possibility of exploring relevant topics from different perspectives. Four families will be recruited for each focus group, with each participating family consisting of a child and either one or two parents, thus giving a total of between 8 and 12 participants per focus group. Two families in each group will include children randomised to pen MDI, with the remaining two families including children randomised to the closed loop system. Eligible families will be approached for focus group recruitment as soon as the participating child has participated in the study for 12 months; the focus groups will then take place in each study centre as soon as four families have agreed to participate. Minimal demographic details (gender and age) will be collected from participating individuals. We will work from a script of open-ended questions used to gather feedback and reactions to study recruitment and randomisation, study support systems, and the closed loop system. There will also be time for discussion of content raised by participants. Use of a moderator with advanced training will ensure consistency across groups. The moderator will keep time and manage group logistics. Sessions will be digitally audio-taped and transcribed by a trusted professional transcription service.

### **12.3 Health economic evaluation**

The analysis will be performed using the CORE Diabetes Model (CDM; IMS Health, Basel, Switzerland). The CDM is a validated non-product-specific policy analysis tool for cost-effectiveness analysis in both type 1 and type 2 diabetes; a detailed description of the model architecture (including schematic diagrams) and validation is available in publications by Palmer et al (45; 46) and more recently McEwan et al (47). In summary, the model is based on a series of inter-dependent submodels that simulate both acute and long-term diabetes-related complications (angina, myocardial infarction, congestive heart failure, stroke, peripheral vascular disease,

diabetic retinopathy, macula oedema, cataract, hypoglycaemia, ketoacidosis, lactic acidosis, depression, oedema, nephropathy and end-stage renal disease, neuropathy, foot ulcer and amputation, and non-specific mortality). The sub-models have a semi-Markov structure and use time, state, time-in-state and diabetes type-dependent probabilities derived from published sources to simulate disease progression. Monte Carlo simulation using tracker variables is used to overcome the memory-less properties of the standard Markov model and allows for interconnectivity and interaction between individual sub-models.

### **12.3.1 Simulation cohort and treatment effects**

Baseline characteristics of the simulation cohort will come from the trial. They will include: age, sex ratio, Hb1Ac and other risk factors. Treatment effects will be based on the trial findings at 12 months for both arms: closed loop versus MDI.

### **12.3.2 Costs and utilities**

The base-case analysis will be performed from the perspective of the UK National Health Service. Direct costs will be sourced from published literature and where necessary inflated to the current year costs (48-59).

For treatment costs, only the incremental costs between the two arms will be considered, namely the difference between closed loop therapy versus MDI. Average UK costs for will be sourced from the British National Formulary 63.

Health state utility values will be taken from published literature (60) and references therein.

## **12.4 Laboratory methods**

### **12.4.1 Screening and reference sample**

Thyroid function (TSH, fT4) and anti-transglutaminase antibodies with IgA levels (to exclude diagnosis of coeliac disease) and full blood count will be measured locally if not already done during diagnosis.

### **12.4.2 Lipid profile**

Venous blood samples for the measurement of total cholesterol, triglycerides, HDL, and LDL cholesterol will be taken at baseline, 12 months and 24 months post diagnosis, and will be measured locally.

### 12.4.3 C-peptide

Venous blood samples for the measurement of plasma C-peptide will be taken during Mixed Meal Tolerance Tests (MMTT) at baseline, 6 months, 12 months and 24 months post-diagnosis (see section 12.1.2). Plasma samples for C-peptide will be processed locally and stored deep frozen (-20°C or below) until analysis at a central laboratory. ~~Additionally, a urine sample will be collected at the end of the MMTT for the measurement of urine C-peptide/Creatinine ratio (UCPCR; 1x10ml urine per MMTT).~~

### 12.4.4 Plasma glucose

Plasma samples for glucose will be taken during MMTT at baseline, 6 months, 12 months and 24 months post-diagnosis (see 9.3.1 and 12.1.2). Plasma samples for glucose will be processed locally and stored until analysis at a central laboratory.

### 12.4.5 HbA1c

Blood samples for the measurement of HbA1c levels will be taken at baseline, at 3 monthly follow up visits, and at the end of the study.

HbA1c will be measured at a central laboratory using an International Federation of Clinical Chemistry and Laboratory Medicine (IFCC) aligned method. HbA1c testing will follow National Glycohemoglobin Standardization Program (NGSP) standards. A local laboratory/point-of-care backup measurement of HbA1c will be made.

### 12.4.6 Immunological assessment

If the treatment group achieves “metabolic rest” for the islet cell, it may dampen the immune response. During MMTT at baseline, 6 12 and 24 months, we will take and store blood/serum/plasma samples for a subsequent analysis to assess changes in immune markers in intensively treated participants as a result of decreased metabolic activity of their islet cells or a direct effect of improved glycaemic control. Immunological assessment may include analysis of peripheral blood mononuclear cells (PBMCs) and DNA.

## 12.5 Blood loss

Test	Source	Tube type	Tube Volume ml	Visit 2 baseline	Visit 6 3 months	Visit 7 6 months	Visit 8 9 months	Visit 9 12 months	Visit 10 15 months	Visit 11 18 months	Visit 12 21 months	Visit 14 24 months
C-peptide	Blood	Lith Heparin	1.2	7x (8.4 ml)		7x (8.4 ml)		7x (8.4 ml)				7x (8.4 ml)
Glucose	Blood	Flouride	1.2	7x (8.4 ml)		7x (8.4 ml)		7x (8.4 ml)				7x (8.4 ml)
Lipid profile	Blood	Serum Gel	1.1	1x (1.1 ml)				1x (1.1ml)				1x (1.1 ml)
HbA1c	Blood	EDTA	1.2	1x (1.2 ml)	1x (1.2 ml)	1x (1.2 ml)	1x (1.2 ml)	1x (1.2 ml)	1x (1.2 ml)	1x (1.2 ml)	1x (1.2 ml)	1x (1.2 ml)
Immunology	Blood	Lith Heparin	4.9/7.5	1x (30 ml)		1x (20 ml)		1x (20 ml)				1x (20 ml)
Immunology	Blood	Serum Gel	1.1	1x (1.1ml)		1x (1.1ml)		1x (1.1ml)				1x (1.1ml)
				50.2 ml	1.2 ml	40.2 ml	1.2 ml	40.2 ml	1.2 ml	1.2 ml	1.2 ml	40.2 ml

The total blood loss over two years will be approximately: 175.7 ml.

## **13 Study materials and products**

### **13.1 Insulin**

During run-in and control intervention, rapid acting insulin analogues (insulin aspart, insulin lispro, insulin glulisine or similar or ultra-rapid insulin analogue) and long acting insulin analogues (insulin glargine, insulin detemir or similar) will be delivered subcutaneously using an insulin pen injection device in accordance with manufacturer instructions for use.

During closed loop intervention, rapid acting insulin analogues (insulin aspart, insulin lispro, insulin glulisine or similar or ultra-rapid insulin analogue) will be administered via an insulin pump as described below (see 13.3).

### **13.2 Multiple daily insulin injections during run-in and control intervention**

During run-in and control intervention when multiple daily injection therapy will be applied, insulin will be administered using CE-marked insulin pen devices as per usual clinical practice.

### **13.3 Insulin pump with pump suspend feature**

During day and night automated closed loop glucose control combined with threshold based pump interruption, the next generation Medtronic subcutaneous insulin infusion pump Medtronic 640G (Medtronic Minimed, Northridge, CA, USA) will be used. Threshold-suspend or predictive low glucose suspend feature will be initially set to suspend insulin delivery at sensor glucose values of 3.3 mmol/l or higher, after which the setting could range from 3.3 to 5.0 mmol/l.

To download insulin pump data during closed loop intervention Medtronic CareLink® Therapy Management Software or similar will be used.

### **13.4 Continuous subcutaneous glucose monitor**

#### **13.4.1 Blinded Continuous Subcutaneous Glucose Monitor**

FreeStyle Libre Pro sensor (Abbott Diabetes Care, Alameda, CA) or similar blinded CGM devices will be used. Insertions will be done by the research team according to manufacturer's instruction. FreeStyle Libre Pro automatically stores up to 14 days' worth of glucose data and requires no calibration.

To download blinded sensor data during run-in, interventional and control periods, Abbott Diabetes Care proprietary software or similar will be used.

### **13.4.2 Real-time Continuous Subcutaneous Glucose Monitor**

The next generation Medtronic Enlite 3 family real-time sensor with Enliteserter (Medtronic Minimed, Northridge, CA, USA) will be used in the study. The sensor will be calibrated according to manufacturer's instructions with additional calibration checks in the morning and evening.

To download real-time sensor data during closed loop intervention Medtronic CareLink® Therapy Management Software or similar will be used.

### **13.5 CareLink USB link**

The Medtronic CareLink™ USB is indicated for use commercially by patients at home and for clinicians in a medical office setting as a means of facilitating communication between Medtronic diabetes therapy management devices that use Paradigm-compatible RF telemetry and a personal computer that uses data management application software. The CareLink USB device will enable data from study insulin pumps to be uploaded to CareLink Clinical.

### **13.6 Bayer CONTOUR™ Next Link blood glucose meter**

A Bayer Contour Next Link RF enabled BG Meter (Study Meter) will be provided to study participants for use throughout the trial. During closed loop, the meter measures will be used to calibrate the study pump. The study pumps use the calibration point in the real-time algorithm which calculates the sensor glucose values that are displayed to the subject.

### **13.7 Computer-based algorithm**

The Cambridge closed loop controller has been used safely and effectively in the closed loop studies in both children and adults with T1D (**study REC Ref. 06/Q0108/350, REC Ref. 07/H0306/116, REC Ref. 08/H0304/75, REC Ref. 08/H0308/297, REC Ref. 09/H0306/44, REC Ref. 10/H0304/87, REC Ref. 12/EE/0155, REC Ref. 12/EE/0034, and REC Ref. 12/EE/0424**).

### **13.8 Actiwatch**

An Actiwatch (Philips Respironics, Bend, Oregon, USA) will be used to measure sleep over 7 day periods at 6, 12, and 24 months during each intervention arm

## **14 Data analysis**

Analyses of study data will be conducted to address the primary and secondary objectives of the trial. All randomised participants will be included in the analysis according to intention to treat principle.

## 14.1 Primary analysis

The primary analysis will evaluate between group differences in the levels of the mean area under the stimulated C-peptide curve of mixed meal glucose tolerance test conducted 12 months post diagnosis.

## 14.2 Secondary analysis

### 14.2.1 Biochemical evaluation

Secondary endpoints include trends over time in mean stimulated C-peptide AUC, HbA1c levels, percentage of patients in each group with HbA1c <7.5% , insulin dose (U/kg), change in BMI standard deviation scores, change in blood pressure, and change in lipid profile. Based on CGM glucose levels during periods of blinded CGM sensors wear, the between group differences of the following parameter will be assessed: AUC ~~and number of events~~ less than 3.9 mmol/l ~~and 3.5mmol/l, hyperglycaemia events as measured as the AUC and number of events greater than 10mmol/l,~~ time spent below target glucose (<3.9 mmol/l), time spent above target glucose (>10.0 mmol/l), time spent within the target glucose range (3.0 mmol/l – 10.0 mmol/l), average, standard deviation, and the coefficient of variation of glucose levels, the time with glucose levels < 3.5 mmol/l, 3.0 mmol/l and <2.8 mmol/l, the time with glucose levels in the significant hyperglycaemia (glucose levels > 16.7 mmol/l), total, basal and bolus insulin dose, ~~number of nights when sensor glucose was below 3.5 mmol/l for at least 20 minutes. Characteristics of pump suspend feature events such as number of events, duration of suspension, sensor glucose at start and end of suspension will be evaluated in the intervention arm.~~ Trends in CGM and insulin data collected within intervention arms will be evaluated on a 3-monthly basis. CGM and insulin data collected during intervention arms will also be compared to pre-intervention baseline CGM readings.

### 14.2.2 Safety evaluation

Safety data including severe hypoglycaemia events and ketone-positive hyperglycaemia will be tabulated for all subjects, including drop-outs and withdrawals, irrespective of whether CGM data are available and irrespective of whether closed loop was operational. For purposes of analysis, a severe hypoglycaemic event will be defined as an event requiring assistance of another person actively to administer carbohydrate, glucagon, or other resuscitative actions. These episodes may be associated with sufficient neuroglycopenia to induce seizure or coma. If plasma glucose measurements are not available during such an event, neurological recovery attributable to the restoration of plasma glucose to normal is considered sufficient evidence that the event was induced by a low plasma glucose concentration.



## **14.2.3 Human factors evaluation**

### **14.2.3.1 Questionnaires**

Descriptive tabulations of questionnaires will be carried out, and scores will be calculated using provided scaling and scoring tools as appropriate.

### **14.2.3.2 Cognitive evaluation**

Change in cognitive test performance from baseline to follow-up will be assessed, as a function of group (intervention or control) and C-peptide level.

### **14.2.3.3 Qualitative interviews**

To maximise rigour at least two experienced qualitative researchers will be involved in data analysis. A thematic analysis will be undertaken by these individuals who will independently review data and write separate reports before attending regular meetings to compare their interpretations and reach agreement on recurrent themes and findings. Interviews will be read through repeatedly and cross-compared in order to identify issues and themes which cut across different people's accounts. A key aspect of the analysis will involve comparison of the experiences and views of participants in the MDI and closed loop arm of the trial, to better understand the impact of closed loop as compared to MDI regimens on diabetes self-management practices and quality of life. A final coding frame, reflecting the initial research questions and emergent themes, will be developed once all data have been reviewed and consensus reached on key themes and findings. NVivo9, a qualitative software package, will be used to facilitate data coding/retrieval.

### **14.2.3.4 Quality of sleep assessment using Actiwatch**

Sleep will be automatically scored by Actiware software using previously described and validated algorithms. Sleep duration will be calculated as the sum of all epochs scored as sleep during the time in bed. Variability across nights in a participant's sleep duration will be summarised using the coefficient of variation. Sleep data will be averaged across nights in each participant for each study period.

### **14.2.3.5 Focus groups**

Transcripts of focus group discussions will be thematically analysed using QSR NVivo qualitative analysis software.

## **14.2.4 Health economics assessment**

For each simulation, a simulated cohort of 1,000 patients will be run through the model 1,000 times using first-order Monte Carlo simulation. Long-term outcomes will include total direct costs, life expectancy, quality-adjusted life expectancy and time to onset of complications. Future costs will be discounted at a rate of 3.5% per annum and clinical outcomes discounted at a rate of 1.5% per annum in line with NICE guidance on long-term conditions where treatment effects are

sustained over a prolonged period of time (61), if still applicable by the time of analysis. The mean values from the simulation (a total of 1,000 mean values, each from a cohort of 1,000 patients run through the model) will then be used to generate scatterplots of incremental costs versus incremental effectiveness (quality-adjusted life years [QALYs]) for closed loop vs. MDI. Data from the scatterplot will then be used to generate a cost-effectiveness acceptability curve.

### ***Sensitivity analysis***

In order to explore the robustness of the base-case findings and establish the key drivers of results, a series of one-way simulations will be performed on those parameters.

## **14.3 Evaluative periods**

Where appropriate, secondary sensor based measures will also be calculated for day and night-time periods. The interval from 8.00 to 24:00 defines day-time period, 00:00 to 08:00 am defines the night-time period.

## **14.4 Interim monitoring and analyses**

Interim analyses of the subset of accumulating data particularly SAEs will be performed at regular intervals (at least annually) for review by the DMEC. The DMEC members will comply with DMEC charter. The DMEC will be asked to give advice on whether the accumulated data from the trial, together with results from other relevant trials, justifies continuing recruitment of further participants or further follow-up.

A detailed interim analysis of 12 month data will be performed.

## **14.5 Statistical methods**

The respective values obtained during the 24-month randomised interventions contrasting the closed loop against usual care will be compared using an ANCOVA model adjusting for gender, presence or absence of DKA, age and baseline log(C-peptide+1). Primary and secondary analyses will also be conducted to adjust for the baseline C-peptide and HbA1c levels, and by age, ~~clinical presentation~~, BMI, z-score, gender and race/ethnicity, as appropriate. A centre-effect will be explored in the analyses by evaluating for interaction between centre and treatment group on outcome. Secondary per protocol analyses will be conducted. Analyses will be carried out at month 24 post-diagnosis.

Primary analysis will be a single comparison and no attempt will be formally made to control the overall type I error rate for the secondary outcomes. For non-normally distributed parameters transformation or nonparametric analyses will be used. A 5% significance level will be used to declare statistical significance for the primary comparison.

Severe hypoglycaemic events and ketone-positive hyperglycaemia will be tabulated in each treatment group, which will be compared using repeated measures logistic regression (generalised estimator equation).

## **14.6 Adherence and retention**

Protocol adherence will be assessed in each treatment group. Tabulations of protocol deviations and unscheduled visits will be included in the analysis. A flow chart will also be used to assess visit completion rates post treatment initiation.

## **14.6 14.7 Sample size and power calculations**

The primary analysis will compare the difference between groups in the levels of the 2-hour AUC-mean using the  $\ln(\text{mean C-peptide}+1)$ . The residual standard deviation of the  $\ln(x+1)$  transformed C-peptide AUC analysis of covariance is referred to by TrialNet (Lachin 2011) as the root mean squared error (RMSE). The back-transform,  $\exp(y) - 1$ , of the mean of the transformed values is referred to by TrialNet as the geometric-like mean. In the DirecNet/TrialNet new onset studies (Lachin 2011), the point estimate for RMSE was 0.18 (transformed scale) and was used in the power calculations. As in the TrialNet sample size calculations, a 50% improvement was assumed in the geometric-like mean C-peptide AUC. The sample size depends on the geometric-like mean value in the control group. The original TrialNet sample size calculations assumed a value of 0.37 pmol/ml for the control group based on the lower 90% confidence limit from previous data (Lachin 2011). The present power calculation applied the same value. A 50% increase in the intervention group of the geometric-like mean C-peptide AUC gives  $0.37 \times 1.50 = 0.555 \text{ pmol/ml}$ . After  $\ln(x+1)$  transformation, the mean values in the control and treatment groups are 0.315 and 0.441 (transformed scale), respectively, the treatment effect is  $0.441 - 0.315 = 0.126$ . The treatment effect of 0.126 with a standard deviation of 0.18 requires 44 subjects per group at 90% power for a two sided-test at the 0.05 level. Allowing for 10% loss to follow up means we would need a total of 96 randomised participants (48 per group).

## **14.7 14.8 Deviations from the statistical plan**

Any deviations from the original statistical plan will be recorded and agreed by the Investigators.

## **15 Case report forms**

The Case Report Form (CRF) is the printed, optical, or electronic document designed to record all the protocol required information to be reported to the Chief Investigator for each study participant.

CRFs will be completed in accordance with GCP and ISO 15197:2013 Guidelines. Corrections to the CRF will be performed by striking through the incorrect entry and by writing the correct value next to the data that has been crossed out; each correction will be initialled and explained (if necessary) by the Investigator or the Investigator's authorised staff.

The electronic CRF system provides an edit feature that records the identity of the person making the change and retains a record of the before and after values of the data field(s) in question. In addition, all eCRF changes require electronic review and signoff by the investigator associated with the visit.

If any amendments to the protocol or other study documents are made, CRFs will be reviewed to determine if an amendment to these forms is also necessary.

## 16 Data handling

Confidentiality of subject data shall be observed at all times during the study. Personal details including hospital and NHS number for each subject taking part in the research study and linking them to a unique identification number will be held locally on a study screening log in the Trial Site File at each of the study sites. These details will not be revealed at any other stage during the study, and all results will remain anonymous. Electronic case report forms (eCRFs) will be used for recording anonymised study data. eCRFs will be completed in accordance with GCP and ISO 15197: 2013 Guidelines. The study identification number will be used on eCRF and on all the blood /serum/urine samples that are collected throughout the study. Names and addresses will not be used. Collected samples will be stored securely and locked away.

Electronic data will be stored on password-protected computers. All paper records will be kept in locked filing cabinets, in a secure office at each of the study sites. Paper records from transcripts derived from focus group discussions will be stored without any identifiable information in a research office at the Institute of Public Health in Cambridge.

Only members of the research team and collaborating institutions will have password access to the anonymised electronic data. Only members of the research teams will have access to the filing

cabinet. All data will be stored in accordance with the Data Protection Act 1998 and will be archived securely according to Sponsor's archiving policy.

Direct access to the source data will be provided for monitoring, audits, REC review and regulatory authority inspections during and after the study. The fully anonymised data may be shared with third parties (EU or non-EU based) for the purposes of advancing management and treatment of diabetes.

Appropriate procedures agreed by the Chief Investigator and Clinical Principal Investigators will be put in place for data review, database cleaning and issuing and resolving data queries.

## **17 Study management**

The study will be undertaken in accordance with Good Clinical Practice (GCP). All staff will receive appropriate Good Clinical Practice Training. An independent Trial Steering Committee (TSC) and Data Monitoring and Ethics Committee (DMEC) will be appointed for the study. Each recruiting site will have a designated PI. Obtaining consent and recruitment to the study, CGM training, insulin pump training and closed loop system training will be undertaken by appropriately trained members of the local teams. A delegation log will be held at each site listing the responsibilities of staff members

### **17.1 Trial Steering Committee (TSC)**

A trial steering committee consisting of an independent chairperson, two other independent experts in the field of Diabetes and Endocrinology, a public and patient involvement (PPI) representative, and the Chief Investigator (observers: Study Coordinators) will meet bi-annually to provide overall supervision of the trial including progress of the trial, adherence to the protocol, patient safety and the consideration of new information of relevance to the research question. Representatives of the Trial Sponsor and the Trial Funder will be invited to TSC meetings.

### **17.2 Data Monitoring and Ethics Committee (DMEC)**

An independent Data Monitoring and Ethics Committee (DMEC) will comprise a chairperson, and two experts. The DMEC will be informed of all serious adverse events and any unanticipated adverse device effects/events that occur during the study. The DMEC will review compiled adverse event data at periodic intervals. The DMEC will report to the Trial Steering Committee any safety concerns and recommendations for suspension or early termination of the investigation.

## **17.3 Trial Management Group (TMG)**

A trial management group (TMG) consisting of the Chief Investigator, Study Coordinators, and Study Data Manager will be responsible for the day to day management of the trial. Operational aspects of the study will be discussed at least bi-monthly via teleconference. The Principal Clinical Investigators may also participate in the meetings of the TMG.

## **17.4 Study monitoring**

The Study Coordinators on behalf of the Sponsor will ensure that the study is conducted in accordance with GCP standards through site monitoring visits. A monitoring plan will be written and agreed prior to randomisation. Monitoring of the Trial sites will be undertaken by the CCTU according to monitoring plan.

# **18 Responsibilities**

## **18.1 Chief Investigator**

The Chief Investigator (CI) is the person with overall responsibility for the research and all UK ethical applications will be submitted by the CI. The CI is accountable for the conduct of the study and will ensure that all study personnel are adequately qualified and informed about the protocol, any amendments to the protocol, the study treatments and procedures and their study related duties. The CI should maintain a list of appropriately qualified persons to whom he/she has delegated specified significant study-related duties.

## **18.2 Principal Clinical Investigators**

The Principal Clinical Investigators at each investigation centre will be responsible for the day-to-day conduct of the clinical aspects of the study (e.g. overseeing recruitment of eligible subjects and that the study is run according to GCP).

## **18.3 Study Coordinators**

The Study Coordinators will provide day-to-day support for the sites and provide training through Principal Investigator meetings, site initiation and routine monitoring visits.

# **19 Ethics**

The study will be conducted in accordance with the Declaration of Helsinki Ethical Principles for Medical Research involving Human Subjects (October 2000).

## **19.1 Research Ethics Committee and Institutional Review Board**

Prior to commencement of the study, the protocol, any amendments, subject information and informed consent and assent forms, any other written information to be provided to the subject, subject recruitment procedures, current investigator CVs, and any other documents as required by the Research Ethics Committee or Institutional Review Board will be submitted. Written approval will be obtained from the REC prior to the commencement of the study. Any additional requirements imposed by the REC or regulatory authority shall be followed.

## **19.2 Informed consent of study subjects**

In obtaining and documenting informed consent, the investigator will comply with the applicable regulatory requirements and will adhere to GCP standards and to the ethical principles that have their origin in the Declaration of Helsinki. Prior to the start of the study, the Investigator will obtain favourable ethical opinion of the written informed consent form, assent form and any other written information to be provided to subjects.

Subjects will be given full verbal and written information regarding the objectives and procedures of the study and the possible risks involved. The study team will avoid any coercion or undue improper inducement of the subject to participate and subjects will be given ample time to consider participation in the study. Subjects will be informed about their right to withdraw from the study at any time.

The subject and/or their legal representative will be informed in a timely manner should any new information become available during the course of the study that may affect their well-being, safety and willingness to participate in the study.

Written consent/assent will be obtained from participants and/or guardians/family members according to REC requirements. The signed informed consent forms will be photocopied, originals filed in the Investigator's Site File, a copy placed in the patient's notes and a copy given to the subjects.

## **20 Amendments to the protocol**

Any substantial amendments to the protocol and other documents shall be notified to, and approved by, the Research Ethics Committee or Institutional Review Board, and the regulatory authority, prior to implementation as per nationally agreed guidelines.

## 21 Deviations from the protocol

Deviations from the protocol should not occur without prior approval of the REC or Sponsor except under emergency circumstances, to protect the rights, safety and well-being of subjects. If deviations do occur, they will be documented, stating the reason and the date, the action taken, and the impact for the subject and for the study. The documentation will be kept in the Investigator's Site File. Deviations will be logged electronically and will require chief investigator or local principal investigator acknowledgement and sign-off.

Deviations affecting the subject's rights, safety and well-being or the scientific integrity of the study will be reported to the REC and Sponsor as soon as possible/ in a timely manner, following nationally agreed guidelines.

## 22 Timetable

Inclusion of the first subject in the study is planned to take place in August 2016, with an enrolment period of up to 2.5 years. The study will start with an internal pilot phase which will be completed in the last half of 2017. The expected completion of the last subject is August 2020 and the planned completion of the Clinical Study Report is December 2020.

## 23 Reports and publications

Data will be submitted for publication in internationally peer-reviewed scientific journals; members of the investigator group will all be co-authors. The privacy of each subject and confidentiality of their information shall be preserved in reports and publication of data.

## 24 Retention of study documentation

Subject notes must be kept for the maximum time period as permitted by each individual site. Other source documents and the Investigator's Site File must be retained for at least 15 years, in line with the Data Protection Act 1998. The Principal Investigator will archive the documentation pertaining to the study after completion or discontinuation of the study.

## 25 Indemnity statements

The clinical investigators are indemnified to cover negligent harm to patients participating in the study by their membership of medical defence organisations.





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## 26 Document Amendment History

Version Number	Date	Amendment
1.1	29 July 2016	<ol style="list-style-type: none"> <li>1. Paragraph on safeguarding children added to section 11.3.8.</li> <li>2. Typographical errors in the protocol corrected.</li> </ol>
2.0	06 March 2017	<ol style="list-style-type: none"> <li>1. The age range throughout the document has been changed from 10 – 17.9 years to 10 – 16.9 years.</li> <li>2. The Clinical PI in Oxford has been updated.</li> <li>3. The composition of the DMEC has been updated.</li> <li>4. All reference to the hypoglycaemia fear questionnaire at baseline has been removed.</li> </ol>
3.0	16 June 2017	<ol style="list-style-type: none"> <li>1. Addition of Royal Hospital for Sick Children, Edinburgh as a participating site</li> <li>2. Contact details updated for Prof Greene</li> </ol>
<u>4.0</u>	<u>23 February 2018</u>	<ol style="list-style-type: none"> <li>1. <u>Study personnel information updated</u></li> <li>2. <u>Additional exclusion criteria added</u></li> <li>3. <u>PIC centres added for Oxford Children's Hospital and Southampton Children's Hospital</u></li> <li>4. <u>Statistical analysis information updated</u></li> <li>5. <u>INSPIRE and PAID questionnaires included at 12 month and 24 month visit</u></li> </ol>