

Trial Title: ANODE: a randomised controlled trial of prophylactic ANtibiotics to investigate the prevention of infection following Operative vaginal DElivery

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Conflicts of interest

None to declare.

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1. KEY TRIAL CONTACTS

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2. SYNOPSIS

Trial Title	ANODE: a randomised controlled trial of prophylactic ANtibiotics to investigate the prevention of infection following Operative vaginal DElivery		
Internal ref. no. (or short title) Clinical Phase	ANODE: prophylactic ANtibiotics for the prevention of infection following Operative DElivery IV		
Trial Design	Multicentre randomised, blinded, p	placebo-controlled trial	
Trial Participants	Women who have had an operative vaginal delivery at 36^{+0} weeks or greater gestation		
Planned Sample Size	3,424		
Treatment duration	Single dose		
Follow up duration	Six weeks		
Planned Trial Period	38 months		
	Objectives	Outcome Measures	
Primary	To compare the incidence of confirmed or suspected maternal infection in the first six weeks after operative vaginal delivery amongst women who have been randomised to receive a prophylactic antibiotic versus those who received a placebo.	 Confirmed or suspected maternal infection within 6 weeks of delivery, as defined by one of: A new prescription of antibiotics Confirmed systemic infection on culture Endometritis as defined by the US Centers for Disease Control and Prevention (Centers for Disease Control and Prevention and Prevention 2013) 	
Secondary	To investigate the effect of the intervention on various other short-term maternal outcomes, including severe sepsis, perineal wound infection, perineal pain, use of pain relief, hospital bed stay, hospital / GP visits, need for additional perineal care, dyspareunia, ability to sit comfortably to feed the baby, maternal general health, breast feeding, wound breakdown and occurrence of anaphylaxis.	Systemic sepsis: defined according to modified SIRS criteria (Waterstone, Bewley et al. 2001, Acosta, Kurinczuk et al. 2013). Perineal wound infection: defined according to the Public Health England Surveillance definition of surgical site infection (SSI) (Public Health England (Health Protection Agency) 2013). Surgical Site infection (perineal): Identified using the items included in the Public health England "surgical wound healing post discharge questionnaire" (Public Health England (Health Protection Agency) 2013). Perineal pain/use of pain relief/dyspareunia/ability to sit	

	comfortably to feed the baby/need for additional perineal care/breast feeding: Identified using standard questions developed for the HOOP study (McCandlish, Bowler et al. 1998) and the PREVIEW study (Ishmail, personal communication). Maternal general health: As elicited by the EQ-5D-5L (Herdman, Gudex et al. 2011). Hospital bed stay/Hospital and GP visits/Wound breakdown/antibiotic side effects: Identified through specific questions included in the maternal questionnaire, to include medications prescribed, critical care admission, hospital inpatient admissions, outpatient visits, and midwife and practice nurse visits. Hospital admissions and diagnoses at one-year post delivery identified from linked Hospital Episode Statistics (HES) data or NHS Wales Informatics Service.
Investigational Medicinal Product(s) Formulation, Dose,	Co-amoxiclav (active drug) and 0.9% saline (placebo) A single intravenous dose (1g amoxicillin/200mg clavulanic acid in 20ml
Route of Administration	water for injections for active drug, 20ml 0.9% saline for placebo)

3. TRIAL FLOW CHART

ANODE: prophylactic ANtibiotics for the prevention of infection following Operative DElivery



4. ABBREVIATIONS

AE	Adverse event
AR	Adverse Reaction
ARR	Absolute Risk Reduction
BERC	Blinded Endpoint Review Committee
СІ	Chief Investigator
CIG	Co-Investigator Group
CRN	Clinical Research Network
СТ	Clinical Trials
СТА	Clinical Trials Authorisation
CTRG	Clinical Trials and Research Governance Office, University of Oxford
DCF	Data Collection Form
DMC	Data Monitoring Committee
DSUR	Development Safety Update Report
eCRF	Electronic Case Report Form
EDD	Expected Date of Delivery
FTE	Full Time Equivalent
GCP	Good Clinical Practice
GP	General Practitioner
HSCIC	Health and Social Care Information Centre
НТА	Health Technology Assessment
IB	Investigator Brochure
ICF	Informed Consent Form
ICH	International Conference on Harmonisation
IMP	Investigational Medicinal Product
ІПТ	Intention-To-Treat
LRM	Local Research Midwife
MHRA	Medicines and Healthcare products Regulatory Agency
NHS	National Health Service
NICE	National Institute for Health and Care Excellence
NIHR	National Institute for Health Research
NPEU CTU	National Perinatal Epidemiology Unit Clinical Trials Unit
NRES	National Research Ethics Service
Ы	Principal Investigator

PIL	Participant/ Patient Information Leaflet	
PMG	Project Management Group	
QR	Quick Response	
R&D	NHS Trust Research and Development Department	
RCOG	Royal College of Obstetricians and Gynaecologists	
REC	Research Ethics Committee	
RR	Risk Ratio	
SAE	Serious Adverse Event	
SAR	Serious Adverse Reaction	
SMPC	Summary of Medicinal Product Characteristics	
SOP	Standard Operating Procedure	
SUSAR	Suspected Unexpected Serious Adverse Reactions	
TMF	Trial Master File	
тѕс	Trial Steering Committee	

5. BACKGROUND AND RATIONALE

Sepsis is now the most important cause of direct maternal death in the UK (Lewis, Cantwell et al. 2011). In addition to every maternal death, an estimated 50 women have severe sepsis (requiring level 2 or 3 critical care) but survive (Acosta, Kurinczuk et al. 2014). An increased risk of sepsis in association with caesarean section delivery has been recognised for many years (Declercq, Barger et al. 2007), and NICE guidance recommends the use of prophylactic antibiotics at all caesarean deliveries (National Institute for Health and Clinical Excellence 2011), based on substantial randomised controlled trial evidence of effectiveness (Smaill and Gyte 2010). Studies conducted both in the UK and US, have documented an additional risk associated with operative vaginal delivery (Acosta, Bhattacharya et al. 2012, Acosta, Knight et al. 2013, Acosta, Kurinczuk et al. 2014), and particularly in relation to Group A streptococcal infection, the leading and most severe cause of maternal infection (Lewis, Cantwell et al. 2011, Acosta, Kurinczuk et al. 2014). A Cochrane review, updated in 2012, has identified only one small previous trial of prophylactic antibiotics following operative vaginal delivery, including a total of 393 women, with a relative risk of 0.07 (95% confidence interval 0.00 to 1.21) for postpartum infection (Liabsuetrakul, Choobun et al. 2004), and given the small study size and extreme result, recommends that further robust evidence is needed.

Further work suggests that the burden of localised infection following operative vaginal delivery is also significant (Johnson, Thakar et al. 2012), with more than 10% of women experiencing symptoms of perineal wound infection in the three weeks following delivery. Women involved in prioritising childbirth related perineal trauma outcomes have rated "fear of perineal infection" as the most important outcome they are concerned about in the first few weeks after childbirth related perineal trauma (Perkins, Tothill et al. 2008).

Latest figures show that approximately 13% of women have an operative vaginal (forceps or ventouse) delivery in England, representing a significant burden of potentially preventable morbidity (Health and Social Care Information Centre 2012). Current National Institute for Health and Care Excellence (NICE) guidelines for Intrapartum Care make no reference to prophylactic antibiotics following instrumental delivery (National Institute for Health and Clinical Excellence 2007). Royal College of Obstetricians and Gynaecologists (RCOG) Guidance on Operative Vaginal Delivery (Bahl, Strachan et al. 2011) states that there are insufficient data to justify the use of prophylactic antibiotics in operative vaginal delivery, referencing the Cochrane review identified above. RCOG guidance on Bacterial Sepsis following Pregnancy does not identify operative vaginal delivery as a risk factor for postpartum infection (Morgan, Hughes et al. 2012) and lack of awareness of the associated risk may contribute to a delay in diagnosis. Evidence suggests that progression to severe sepsis following delivery, particularly in association with group A streptococcal infection, can be very rapid (Lewis, Cantwell et al. 2011, Acosta, Kurinczuk et al. 2014). This emphasises the importance of urgent investigation of potential prophylactic measures.

Thirteen percent of women in the UK undergo forceps or ventouse deliveries (Health and Social Care Information Centre 2012), an estimated 104,000 women annually. The conservatively estimated incidence of maternal infection following operative vaginal delivery is 4%, based on the one previous trial (Liabsuetrakul, Choobun et al. 2004), resulting in an estimated 4,160 women potentially having an infection after instrumental delivery. Of these women, around 200 will be diagnosed with severe sepsis (Acosta, Bhattacharya et al. 2012), and up to four may die from their infection (Lewis, Cantwell et al.

2011, Acosta, Kurinczuk et al. 2014). There is therefore considerable scope for direct patient benefit from an effective preventive strategy.

The intervention being assessed is a single dose of intravenous co-amoxiclav (1g amoxicillin/200mg clavulanic acid) following delivery, versus a placebo (0.9% normal saline).

Recent recommendations suggest that antibiotic prophylaxis for caesarean section should be given prior to delivery. This trial specifically investigates the use of antibiotic prophylaxis **after** operative vaginal delivery of the infant for the following reasons:

- a) There are increasing concerns about the risks of prenatal exposure to antibiotics, with known associations with necrotising enterocolitis (European Centre for Disease Control and Prevention 2011) and cerebral palsy (McCulloch, Altman et al. 2009) amongst the children of women managed with antibiotics for suspected preterm labour. Use of antibiotics in the third trimester has also been associated with an increased risk of asthma in early childhood (Stensballe, Simonsen et al. 2013), and the potential for antibiotics to alter the infant microbiome and thus have long term impacts on other disease states is also increasingly being recognised (Gulmezoglu and Duley 1998).
- b) The major difference between the episiotomy wound and the caesarean section wound is the fact that there is ongoing contamination of the surgical field. Thus, with caesarean section as soon as the operation is completed and a wound dressing applied, the major risk of infection is over. In contrast, an episiotomy wound is impossible to cover and therefore our rationale is to actually increase the length of time that there would be therapeutic levels of antibiotic from a single dose by giving it post-delivery, to cover for ongoing contamination for as long as possible.
- c) There have been several cases of anaphylaxis relating to antibiotics given prophylactically for caesarean delivery identified in an ongoing NIHR funded study (M Knight, personal communication). Although the incidence is extremely low, this is of concern particularly with antenatal administration when there is the potential for fetal compromise.

Because of concerns over prenatal exposure to co-amoxiclav, prophylaxis at caesarean delivery has moved towards the use of cephalosporins. However, there are several reasons why co-amoxiclav is preferable to cephalosporins as prophylaxis at operative vaginal delivery and hence this study will investigate the use of co-amoxiclav:

- a) Co-amoxiclav has a wider spectrum of activity (encompassing anaerobes and enterococci), which is important in view of the likelihood of perineal contamination with bowel flora and the association of anaerobic bacteria with perineal wound breakdown;
- b) Amoxicillin is up to 10-fold more active than cefuroxime against group A streptococci (GAS). GAS is associated with very severe, rapidly progressive postnatal infection and adequate coverage against this organism is essential. We plan to administer prophylaxis after delivery of the baby, thus avoiding any drug-related risk of necrotising enterocolitis;
- c) Department of Health and Public Health England guidance on *Clostridium difficile* advises avoidance of use of cephalosporins. Many hospitals have thus relegated cefuroxime from first-line use on the basis of this guidance. A cefuroxime-based regimen is therefore unlikely to be acceptable to many hospitals and would require arrangements for ensuring stocks of different antibiotics.

d) Co-amoxiclav is less likely to select for antibiotic resistances (e.g. MRSA, ESBL- and AmpC-producing Gram-negative bacteria) (Chief Medical Officer 2013). Cephalosporins are associated with selection of a number of antibiotic resistances (as well as C. Difficile), most notoriously MRSA and ESBL-producing Gram-negative bacteria. Also, in neonates, cephalosporins have been associated with an increased risk of candidiasis and there is a theoretical risk of the same in women.

6. OBJECTIVES AND OUTCOME MEASURES

Objectives	Outcome Measures	Timepoint(s) of
		evaluation of this
		outcome measure
Primary Objective	The primary outcome will be confirmed or	At 6 weeks post-
To compare the incidence of	suspected maternal infection within 6	delivery by
confirmed or suspected maternal	weeks of delivery, as defined by one of:	telephone
infection in the first six weeks after		interview with a
	 A new prescription of antibiotics Confirmed systemic infection on 	
operative vaginal delivery amongst	 Confirmed systemic infection on culture 	research midwife
women who have been randomised	 Endometritis as defined by the US 	
to receive a prophylactic antibiotic	Centers for Disease Control and	
versus those who received placebo.	Prevention (Centers for Disease	
	Control and Prevention 2013)	
Secondary Objectives	Systemic sepsis: defined according to	A postal or online
To investigate the effect of the	modified SIRS criteria (Waterstone, Bewley	questionnaire (as
intervention on various other	et al. 2001, Acosta, Kurinczuk et al. 2013).	preferred by each
maternal outcomes, including severe	Perineal wound infection: defined	woman) at six
sepsis, perineal wound infection,	according to the Public Health England	weeks post-
perineal pain, use of pain relief,	Surveillance definition of surgical site	delivery, following
hospital bed stay, hospital / GP	infection (SSI) (Public Health England	initial telephone
visits, need for additional perineal	(Health Protection Agency) 2013).	interview.
care, dyspareunia, ability to sit	Surgical Site infection (perineal):	Clinical data
comfortably to feed the baby,	Identified using the items included in the	Clinical data collection from the
maternal general health, breast	Public health England "surgical wound	
feeding, wound breakdown and	healing post discharge questionnaire"	woman's medical
occurrence of anaphylaxis.	(Public Health England (Health Protection	records or hospital
	Agency) 2013).	laboratory at six
	Perineal pain/use of pain	weeks post-delivery
	relief/dyspareunia/ability to sit	if the initial
	comfortably to feed the baby/need for	telephone
	additional perineal care/breast feeding:	interview indicates
	Identified using standard questions	that the woman
	developed for the HOOP study	has been admitted,
	(McCandlish, Bowler et al. 1998) and the	or had samples
	PREVIEW study (Ishmail, personal	sent for culture.
	communication).	
	Maternal general health: As elicited by the	

EQ-5D-5L (Herdman, Gudex et al. 2011).Hospital bed stay/Hospital and Gvisits/Wound breakdown/antibiotic sideffects:Identified through specifquestions included in the maternquestionnaire, to include medicationprescribed, critical care admission, hospitalinpatient admissions, outpatient visits, andmidwife and practice nurse visits.Hospital admissions and diagnoses at oneyear post delivery identified from linkedHospital Episode Statistics (HES) data ofNHS Wales Informatics Service.	 Data from linked information contained within Hospital Episode Statistics or NHS Wales Informatics Service.
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7. TRIAL DESIGN

A multicentre, randomised, blinded, placebo-controlled trial to investigate whether a single dose of prophylactic antibiotic following operative vaginal delivery is clinically effective for preventing confirmed or suspected maternal infection.

Women who have undergone forceps or ventouse delivery at 36^{+0} weeks or greater gestation, with no indication for ongoing prescription of antibiotics in the postpartum period and no contra-indications to prophylactic co-amoxiclav, will be randomised to receive a single intravenous dose of prophylactic co-amoxiclav or placebo.

The research midwife, most clinicians and the women will remain blind to allocation (note that the research midwife will be collecting outcomes information). The people responsible for preparing and checking the trial drug who may be, for example, a doctor, midwife, nurse, Operating Department Practitioner (ODP) or other healthcare professional (centre-dependant), will be the only people not blinded to allocation (these people will not be involved in the collection of outcomes information).

Outcome information will be collected by a single telephone interview and questionnaire, with clinical data collection from medical records or the hospital laboratory if necessary, at six weeks post-delivery. There will be no further follow-up, but participants will be asked for permission to link their records to Hospital Episode Statistics or NHS Wales Informatics Service in order to assess outcomes at one year.

The trial design and schedule of events are summarised in sections 3 and 9.1.

7.1. Structure and Duration of the Study

The trial aims to recruit 3,424 participants from 14 centres in the UK over a period of 19 months (Appendix A). An initial nine month internal pilot study will be undertaken to test whether the components and processes of the study will work together and run smoothly. Projections suggest that approximately 1,128 women could be recruited in that time. Data collected from the internal pilot phase will be included in the final analysis.

The decision to progress with the main trial will be based on efficacy, safety, and logistics and will be made in consultation with the TSC and the funder. Stop/go criteria upon which a decision will be made will be established prior to the start of the internal pilot phase. Should a decision be made not to progress to the main phase, a report on the internal pilot phase will be submitted for publication according to the publication policy.

The total duration of the project is estimated to be 38 months:

Pre-trial:Obtain Research Ethics Committee approval; establish TSC and DMC; recruit trial staff.Months 1-11:Obtain R&D approvals and set-up study sites; train local personnel in trial procedures.Months 12-21:9 months internal pilot study.Month 22-31:Main trial (post successful pilot study), recruitment in study sites and data collection.Month 32:Completion of follow-up.Months 33-38:Analysis, reporting and dissemination of results.

8. PARTICIPANT IDENTIFICATION

8.1. Trial Participants

Women who have undergone operative vaginal delivery at 36⁺⁰ weeks or greater gestation, with no indication for ongoing prescription of antibiotics in the postpartum period and no contra-indications to prophylactic co-amoxiclav.

8.2. Inclusion Criteria

- Women aged 16 years or above, willing and able to give informed consent.
- Women who have had an operative vaginal delivery at 36⁺⁰ weeks or greater gestation.

8.3. Exclusion Criteria

Women may not enter the trial if ANY of the following apply:

- Clinical indication for ongoing antibiotic administration post-delivery e.g. due to confirmed antenatal infection, 3rd or 4th degree tears. Note that receiving antenatal antibiotics e.g. for maternal Group B Streptococcal carriage or prolonged rupture of membranes, is not a reason for exclusion if there is no indication for ongoing antibiotic prescription post-delivery.
- Known allergy to penicillin or to any of the components of co-amoxiclav, as documented in hospital notes.
- History of anaphylaxis (a severe hypersensitivity reaction) to another β-lactam agent (e.g. cephalosporin, carbapenem or monobactam), as documented in hospital notes.

9. TRIAL PROCEDURES

9.1. Trial Assessments

Procedure	Eligibility screening	Trial Entry and drug administration (day 1)	Up to 6 hours after trial drug was administered	6 weeks of post-delivery
Demography		1		
Confirmation of Eligibility	1			
Consent		✓		
Randomisation		✓		
Co-amoxiclav/ Placebo Dosing ¹		✓		
SAEs		1	1	
Concomitant Medication ²		4	1	
6 week telephone interview				*
6 week Mother's Questionnaire				1

1

Initial trial drug administrations to be given as soon as possible after randomisation. Concomitant medications to be recorded only in relation to SAEs. In the event of an SAE all concomitant 2 medication, from admission to labour ward to time of event, must be recorded on the SAE form.





9.2. Recruitment

Information about the trial will be widely available throughout the maternity unit and community clinics in the form of posters and leaflets (with QR codes to the trial website). All women at participating centres will be provided with written information about the trial during their pregnancy, for example, at their antenatal booking visit, as part of their hand-held notes or at their 19–21 week scan visit (centre-dependent).

On admission, all women in labour or admitted for induction will be reminded about the trial by their healthcare professional. Information about the trial will be provided if not previously seen. After the clinical decision for operative vaginal delivery is made and the woman or her representative has given consent for operative vaginal delivery, the woman will be approached by her midwife, obstetrician or anaesthetist as described in section 9.3.

9.3. Informed Consent

Written and verbal versions of the Participant Information and Informed Consent will be prepared detailing no less than: the exact nature of the trial; what it will involve for the participant; the implications and constraints of the protocol; the known side effects and any risks involved in taking part. It will be clearly stated that the participant is free to withdraw from the trial at any time for any reason without prejudice to future care, and with no obligation to give the reason for withdrawal.

The following approaches will be used by the woman's midwife, obstetrician or anaesthetist to obtain informed consent, depending on the clinical circumstances (figure 1):

- 1. Where there is no time constraint (e.g. in cases of operative vaginal delivery for delayed second stage progress), the healthcare professional will discuss the trial with the woman and provide her with the Participant Information leaflet. If she is happy to join the trial informed written consent will be obtained.
- 2. Where there is a time or other constraint (e.g. in cases of operative vaginal delivery for suspected fetal compromise or delivery is already completed), women will be approached to give verbal consent. It is possible that urgent deliveries are associated with a lower standard of asepsis, and so it is particularly important that these women are able to participate in the trial. Information about the trial will have been available to all women prior to having been admitted to hospital in labour or for induction. If the attending obstetrician or midwife feels it is appropriate, the woman will be provided with verbal information about the trial and asked if she is willing to participate, in principle; if she agrees, she will be randomised. If she does not give verbal consent, she will not be recruited into the trial. Verbal consent will be documented by the clinician recruiting the woman and countersigned by a witness. All women enrolled under this procedure will be approached before discharge by study midwives to give full written consent for inclusion of their data in the trial and for participation in the planned follow-up.

The woman must personally sign and date the latest approved version of the Informed Consent form.

Where there are no time constraints the woman will have the opportunity to ask questions of the care team and other independent parties to decide whether she participates in the trial. Written Informed Consent will be obtained by means of participant dated signature and dated signature of the person who presented and obtained the Informed Consent. The person who obtained the consent must be suitably qualified and experienced, and have been authorised to do so by the Chief/Principal Investigator. A copy of the signed Informed Consent will be given to the woman, a copy placed in her medical records and a copy retained at the trial site. The original signed form will be sent to the coordinating centre.

9.4. Screening and Eligibility Assessment

Women will be assessed for eligibility before and after the operative vaginal delivery. The screening procedure will include assessment of gestational age (obtained from clinical records) and medical history to assess eligibility.

9.5. Randomisation, blinding and code-breaking

A randomisation list will be generated by the Senior Trials Statistician at the NPEU-CTU using permuted blocks of variable size to ensure balance and unpredictability overall. Pack numbers will be added by the Senior Trials Programmer at the NPEU-CTU, who will liaise directly with the packaging and distribution company. The Senior Trial Programmer will be custodian of the complete randomisation schedule and monitor the implementation of the allocations. Centres will be supplied with sealed sequentially numbered indistinguishable packs containing active drug or placebo (saline solution), as designated. Women will be randomised by the allocation of the next sequentially numbered pack once consent and eligibility are established.

An emergency code-breaking procedure will not be required; as only a single dose of co-amoxiclav will be administered there is no need to code-break if further antibiotics are required. If a woman was to have an anaphylactic reaction she would be treated as if she has been given the active drug.

9.6. Baseline Assessments

For eligible women, clinical details will be collected at trial entry (randomisation). This will include details to confirm eligibility including the woman's age, gestational age, mode of delivery and confirmation of written or verbal consent.

9.7. Definitions

Primary outcome:

Confirmed or suspected maternal infection within 6 weeks of delivery, as defined by one of:

- A new prescription of antibiotics
- Confirmed systemic infection on culture
- Endometritis as defined by the US Centers for Disease Control and Prevention (Centers for Disease Control and Prevention 2013)

An episode of endometritis requires meeting at least one of the following criteria:

1. Organisms are cultured from fluid (including amniotic fluid) or tissue from endometrium obtained during an invasive procedure or biopsy.

2. Woman exhibits at least two of the following signs or symptoms: fever (>38°C), abdominal pain*, uterine tenderness*, or purulent drainage from uterus*.

* With no other recognised cause

Secondary outcomes (within 6 weeks of delivery):

Systemic sepsis: defined according to modified SIRS criteria for pregnancy used in previous populationbased surveillance studies (Waterstone, Bewley et al. 2001, Acosta, Kurinczuk et al. 2013), namely 1. Any woman dying from infection or suspected infection

2. Any woman requiring level 2 or level 3 critical care (or obstetric HDU type care) due to severe sepsis or suspected severe sepsis

3. A clinical diagnosis of severe sepsis (two or more of the following):

a. Temperature >38°C or <36°C measured on two occasions at least four hours apart

b. Heart rate >100 beats/minute measured on two occasions at least four hours apart

c. Respiratory rate >20/minute measured on two occasions at least four hours apart

d. White cell count >17x10⁹/L or <4x10⁹/L or with >10% immature band forms, measured on two separate occasions.

Perineal wound infection: defined according to the Public Health England Surveillance definition of surgical site infection (SSI) (Public Health England (Health Protection Agency) 2013), namely

Superficial Incisional Infection: SSI that occurs within 30 days of surgery, involves only the skin or subcutaneous tissue of the incision and meets <u>at least one</u> of the following criteria:

- 1. Purulent drainage from superficial incision
- 2. Culture of organisms and pus cells present in: fluid/tissue from superficial incision or wound swab from superficial incision
- 3. At least two symptoms of inflammation: pain, tenderness, localised swelling, redness, heat

AND EITHER: 1) incision deliberately opened to manage infection

OR 2) clinician's diagnosis of superficial SSI

Deep Incisional Infection: SSI involving the deep tissues (i.e. fascial & muscle layers), within 30 days of surgery (or 1 year if an implant is in place) and the infection appears to be related to the surgical procedure and meets <u>at least one</u> of the following criteria:

- 1. Purulent drainage from deep incision (not organ space)
- 2. Organisms from culture and pus cells present in: fluid/tissue from deep incision or wound swab from deep incision
- 3. Deep incision dehisces or deliberately opened and patient has at least one symptom of: fever or localised pain/tenderness
- 4. Abscess or other evidence of infection in deep incision: re-operation / histopathology / radiology
- 5. Clinician's diagnosis of deep incisional SSI

Organ/space Infection: SSI involving the organ/space (other than the incision) opened or manipulated during the surgical procedure, that occurs within 30 days of surgery and the infection appears to be related to the surgical procedure & meets <u>at least one</u> of the following criteria:

- 1. Purulent drainage from drain (through stab wound) into organ space
- 2. Organisms from culture and pus cells present in: fluid or tissue from organ/space or swab from organ/space
- 3. Abscess or other evidence of infection in organ/space: re-operation I histopathology I radiology
- 4. Clinician's diagnosis of organ/space infection

9.8. Follow-up Assessments and Data Collection

Data will be collected at:

- 1. Hospital discharge after delivery by extraction of information from the woman's clinical records by the research midwife.
- 2. 6 weeks post-delivery by telephone interview with a research midwife to obtain information on the primary outcome, following which each woman will be sent a postal or online questionnaire (as preferred by each woman) for collection of data on secondary outcomes.

Any concerns arising from the responses on the follow-up questionnaire will be referred to the CI and actioned appropriately. Text reminders for completion will be sent as appropriate, with the option for telephone completion in the event of a delayed response to ensure a high response rate. Information about any hospital readmissions will be collected from hospital records by the research midwife.

Data on the primary outcome will be collected through specific tailored questionnaires. In addition, case records of participating women will be flagged to allow for additional capture of data relevant to the primary outcome at readmission to hospital, if this occurs. Basic demographic, medical and obstetric details will be collected on all women, including details of any antibiotic treatment in the seven days before delivery.

Data on maternal anaphylaxis will be collected up until hospital discharge. Data on other secondary outcomes will be collected at 6 weeks post-delivery using standard instruments where possible as detailed below.

Surgical Site infection (perineal): Identified using the items included in the Public health England "surgical wound healing post discharge questionnaire" (Public Health England (Health Protection Agency) 2013).

Perineal pain/use of pain relief/dyspareunia/ability to sit comfortably to feed the baby/need for additional perineal care/breast feeding: Identified using standard questions developed for the HOOP study (McCandlish, Bowler et al. 1998) and the PREVIEW study (Ishmail, personal communication).

Maternal general health: As elicited by the EQ-5D-5L (Herdman, Gudex et al. 2011).

Hospital bed stay/Hospital and GP visits/Wound breakdown/antibiotic side effects: Identified through specific questions included in the maternal questionnaire, to include medications prescribed, critical care admission, hospital inpatient admissions, outpatient visits, and midwife and practice nurse visits. All side effects of the IMP will be recorded. Known side effects of the IMP examined will be as follows:

Common side effects (affecting more than 1 in every 100 women): thrush (candida), diarrhoea.

Uncommon side effects (affecting more than 1 in every 1,000 women): urticarial rash, itching, nausea, vomiting, indigestion, dizziness, headache, altered liver enzymes.

Rare side effects (affecting more than 1 in every 10,000 women): erythema multiforme, thrombophlebitis at the injection site, thrombocytopenia, leucopenia.

Frequency unknown: Anaphylaxis.

In order to capture any additional related health outcomes after the 6-weeks post-delivery, we would like to extract hospital inpatients, critical care, outpatients, and A&E information from Hospital Episode Statistics (HES) or NHS Wales Informatics Service up to 1-year follow-up for all trial participants. Explicit consent for this will be sought.

9.9. Discontinuation/Withdrawal of Participants from Trial Treatment

Each woman has the right to withdraw from the trial follow-up at any time following their single dose of antibiotic. Unless we obtain consent to continue to use data at withdrawal, the data for women withdrawing from the trial will be excluded from future analyses, with the exception of safety analyses (i.e. antibiotic side-effects).

The reason for withdrawal will be recorded in the eCRF, if a reason is given.

9.10. Definition of End of Trial

The end of trial is the date when the database is locked.

10. INVESTIGATIONAL MEDICINAL PRODUCT (IMP)

This trial is classified as a type A Clinical Trial of an IMP.

10.1. IMP Description

Trial treatment: a single dose of intravenous co-amoxiclav (1g amoxicillin/200mg clavulanic acid) Placebo: a single dose of intravenous sterile saline.

Co-amoxiclav 1,000 mg/200 mg powder for solution for injection is supplied as bottles of sterile powder for making up as an injection reconstituted with sterile water for injection, also supplied.

Placebo (0.9% saline) will be supplied as 20ml single use vials of clear liquid. Reconstitution is not required.

10.2. Storage of IMP

A stock of packs will be stored centrally on the delivery suite at room temperature for immediate use. Normal hospital policy with regards to monitoring of storage of co-amoxiclav will apply.

10.3. Accountability of the Trial Intervention

Drug packs will be allocated by selecting the lowest sequentially numbered indistinguishable box available within the recruiting centre. Pack use will be recorded by the recruiting site and reviewed by NPEU CTU. The trial intervention consists of a single intravenous dose of antibiotic or placebo. A record of individual administrations will be kept and the timing of administering the trial intervention will be recorded in the eCRF.

10.4. Concomitant Medication

There are no contra-indicated concomitant medications. Current clinical protocols regarding drug administration will not be altered by this trial (apart from the additional trial medication). All concomitant medications will be recorded in the event of an immediately reportable Serious Adverse Event.

10.5. Post-trial Treatment

There will be no provision of the antibiotic beyond the trial period as it is given as a single dose only.

11. SAFETY REPORTING

11.1. Definitions Adverse Event Any untoward medical occurrence in a participant to whom a medicinal product has (AE) been administered, including occurrences which are not necessarily caused by or related to that product. Adverse Reaction An untoward and unintended response in a participant to an investigational medicinal (AR) product which is related to any dose administered to that participant. The phrase "response to an investigational medicinal product" means that a causal relationship between a trial medication and an AE is at least a reasonable possibility, i.e. the relationship cannot be ruled out. All cases judged by either the reporting medically qualified professional or the Sponsor as having a reasonable suspected causal relationship to the trial medication qualify as adverse reactions. Serious Adverse A serious adverse event is any untoward medical occurrence that: Event (SAE) results in death • is life-threatening • requires inpatient hospitalisation or prolongation of existing hospitalisation • results in persistent or significant disability/incapacity consists of a congenital anomaly or birth defect. Other 'important medical events' may also be considered serious if they jeopardise the participant or require an intervention to prevent one of the above consequences. NOTE: The term "life-threatening" in the definition of "serious" refers to an event in which the participant was at risk of death at the time of the event; it does not refer to an event which hypothetically might have caused death if it were more severe. Serious Adverse An adverse event that is both serious and, in the opinion of the reporting Investigator, believed with reasonable probability to be due to one of the trial treatments, based Reaction (SAR) on the information provided. Suspected A serious adverse reaction, the nature and severity of which is not consistent with the information about the medicinal product in question set out: Unexpected Serious Adverse • in the case of a product with a marketing authorisation, in the summary of Reaction (SUSAR) product characteristics (SmPC) for that product in the case of any other investigational medicinal product, in the investigator's brochure (IB) relating to the trial in question.

NB: to avoid confusion or misunderstanding of the difference between the terms "serious" and "severe", the following note of clarification is provided: "Severe" is often used to describe intensity of a specific event, which <u>may</u> be of relatively minor medical significance. "Seriousness" is the regulatory definition supplied above.

11.2. Causality

The relationship of each adverse event to the trial medication must be determined by a medically qualified individual according to the following definitions:

Unrelated – where an event is not considered to be related to the IMP

Possibly – although a relationship to the IMP cannot be completely ruled out, the nature of the event, the underlying disease, concomitant medication or temporal relationship make other explanations possible.

Probably – the temporal relationship and absence of a more likely explanation suggest the event could be related to the IMP.

Definitely – the known effects of the IMP, its therapeutic class or based on challenge testing suggest that the IMP is the most likely cause.

All AEs (SAEs) labelled possibly, probably or definitely will be considered as related to the IMP.

The final decision relating to causality must be made by a medically qualified Investigator who is a member of the study team.

11.3. Procedures for Recording Adverse Events and Reporting Serious Adverse Events

The safety reporting window for this trial will be from administration of intervention to 6 hours post administration or discharge (whichever is sooner). All trials run by the NPEU Clinical Trials Unit (NPEU CTU) follow the unit's safety reporting Standard Operating Procedure (Safety Reporting in Trials using IMPs). Specific arrangements for this trial are summarised as follows:

Recording adverse events:

Non-serious adverse events will not be routinely recorded as the IMP is a licensed product which is being given at a standard dose. However adverse events which are part of the study outcomes will be recorded in the CRF.

Reporting Serious Adverse Events

All SAEs will be reported immediately, at least within 24 hours; except the following SAEs which are not considered to be causally related to the trial intervention:

- Birth defect/congenital anomaly
- Hypertensive disorder of pregnancy (e.g. pre-eclampsia/eclampsia)
- PPH with onset before the intervention

The SAEs noted above that are not considered due to the trial intervention do not require reporting because these events occurred prior to the trial intervention being administered.

Procedure for immediate reporting of Serious Adverse Events

- Site study staff will report all SAEs except those not considered to causally related to the trial intervention (listed above) to NPEU CTU immediately, at least within 24 hours of the research site becoming aware of the event.
- SAEs can be reported in one of the following ways:
 - using the Clinical Database OpenClinica©, only staff with access to OpenClinica© may report SAEs in this way, site staff will be required to print off the OpenClinica© SAE form and obtain the information and signature of the Study Clinician carrying out the causality assessment.
 - ii. by completing an SAE form which is emailed or faxed to NPEU CTU. Paper copies will be available with the trial documentation to enable anyone to report an SAE.
- Follow-up information should be reported on a new SAE form and this forwarded to the NPEU CTU by fax or email or reported using OpenClinica©.
- The Chief Investigator or safety delegate will review all SAEs and assesses the causality and expectedness of the event in relation to the Reference Safety Information for the Investigational Medicinal Product.
- Review of SAEs will be timely, taking into account the reporting time for a potential SUSAR.
- Site study staff will receive training on the safety reporting process defined in the protocol at their site initiation contact.

11.4. Expectedness

Expectedness will be determined according to the up-to-date Summary of Product Characteristics for coamoxiclav.

11.5. SUSAR Reporting

All SUSARs will be reported by NPEU CTU to the relevant Competent Authority and to the REC and other parties as applicable. For fatal and life-threatening SUSARS, this will be done no later than 7 calendar days after the Sponsor or delegate is first aware of the reaction. Any additional relevant information will be reported within 8 calendar days of the initial report. All other SUSARs will be reported within 15 calendar days.

Treatment codes will be unblinded for specific participants.

Principal Investigators will be informed of all SUSARs for the relevant IMP for all studies with the same Sponsor, whether or not the event occurred in the current trial.

11.6. Safety Monitoring Committee

The Data Monitoring Committee (see 15.6) will be responsible for safety monitoring. The DMC will conduct a review of all immediately reported SAEs at each meeting and cumulatively to evaluate the risk of the trial continuing and take appropriate action where necessary.

11.7. Development Safety Update Reports

The CI will submit (in addition to the expedited reporting above) DSURs annually throughout the trial, or on request to the Competent Authority (MHRA in the UK), Ethics Committee, Host NHS Trust and Sponsor.

12. STATISTICS

A Statistical Analysis Plan will be produced separately, prior to unblinding of data for the first interim analysis, to be approved by the TSC following review and comments from the DMC. This Statistical Analysis Plan will detail the frequency of the interim analyses for the DMC.

12.1. The Number of Participants

Existing literature suggests a conservative estimate of the background rate of maternal infection following operative delivery of 4% (Liabsuetrakul, Choobun et al. 2004). We have assumed an estimated relative risk reduction of 50% in this rate with antibiotics to 2% in the treatment arm (the single trial relating to operative delivery suggests a greater reduction than this, but this rate of reduction is based on that seen in the more robust antibiotic prophylaxis for caesarean section trials (Smaill and Gyte 2010)). To detect such a difference with 90% statistical power at the two-sided 5% level of significance requires 1,626 per group; with an estimated 5% loss to follow-up the trial would require 1,712 per group, a total of 3,424 women. The planned recruitment curve is shown in Appendix A.

12.2. Description of Statistical Methods

Demographic and clinical data will be summarised with counts and percentages for categorical variables, means (standard deviations) for normally distributed continuous variables and medians (with interquartile or simple ranges) for other continuous variables.

Women will be analysed in the groups to which they were randomly assigned, comparing the outcome of all women allocated to active treatment with all those allocated to placebo, regardless of deviation from the protocol or treatment received (referred to as the Intention to Treat (ITT) population). Comparative analyses will be performed adjusting for centre, wherever possible.

Binary outcomes will be analysed using log binomial regression models in the first instance, but if convergence is not achieved then a log Poisson model will be used with a robust variance estimator - results will be presented as adjusted risk ratios with associated confidence intervals (CI). Continuous outcomes will be analysed using linear regression models - results will be presented as adjusted mean differences with associated CI. 95% CIs will be presented for analyses of the primary outcome and 99% CIs for secondary outcomes.

Loss to follow-up is expected to be a maximum of 5% for short-term outcomes up to six weeks. A prespecified sensitivity analysis will be undertaken, examining the primary outcome restricted to women who had not received antibiotics in the seven days prior to delivery, in case any masking of a prophylactic effect is occurring by inclusion of pre-treated women.

12.3. The Level of Statistical Significance

Two sided statistical testing will be performed throughout. A 5% level of statistical significance will be used for analyses of the primary outcome, and 1% for secondary outcomes.

12.4. Early Trial Cessation

A recommendation may be made by the Data Monitoring Committee to the Trial Steering Committee to stop the trial early following review of interim analysis or evidence from other relevant studies becoming available. Guidelines for the early cessation of the trial will be agreed with the DMC and documented in the DMC Charter.

12.5. Dealing with Missing Data.

Missing data as a result of women being lost to follow-up is expected to be minimal. All reasonable efforts will be taken to minimise loss to follow-up which is expected to be no more than 5%. Women for whom no follow-up primary outcome data are received will be compared to women with data on demographic and clinical characteristics to assess any potential bias due to the impact of the missing data. As there is expected to be a link between outcome and loss to follow-up, imputation techniques will not provide any meaningful information.

12.6. Procedures for Reporting any Deviation(s) from the Original Statistical Plan

All deviations from the original statistical plan will be reported in the final report, as appropriate.

13. DATA MANAGEMENT

13.1. Source Data

Source documents are where data are first recorded, and from which participants' eCRF data are obtained, whether electronic or paper records. These include, but are not limited to, hospital records (from which medical history and previous and concurrent medication may be summarised into the eCRF), clinical and office charts, laboratory and pharmacy records, diaries, microfiches, radiographs and correspondence.

eCRF entries will be considered source data if the eCRF is the site of the original recording (e.g. there is no other written or electronic record of data). All documents will be stored safely in confidential conditions. On all trial-specific documents, other than the signed consent, the participant will be referred to by the trial participant number/code, not by name.

13.2. Access to Data

Direct access will be granted to authorised representatives from the Sponsor, host institution and the regulatory authorities to permit trial-related monitoring, audits and inspections.

13.3. Data Recording and Record Keeping

All trial data will be entered in to eCRFs. SOPs are in place for the collection and handling of data received at the NPEU CTU. The CI will take overall responsibility for ensuring that each participant's information remains confidential. All paper documents will be stored securely and kept in strict confidence in compliance with the Data Protection Act (1998). Data collected on the eCRFs will be stored in an electronic database in which the participant will be identified only by a trial specific number. The woman's name and any other identifying details will be stored in a separate database linked only by the trial number. After the trial has been completed and the reports published, the data will be archived in a secure physical or electronic location with controlled access.

Storage will be on a restricted area of a file server. The server is in a secure location and access is restricted to a few named individuals. Access to the building in which the NPEU CTU is situated is via an electronic tag and individual rooms are kept locked when unoccupied. Authorisation to access restricted areas of the NPEU network is as described in the NPEU security policies.

Data will be processed on a workstation by authorised staff. The computer workstations access the network via a login name and password (changed regularly). No data are stored on individual workstations. Backing up is done automatically overnight to an offsite storage area. The location of the back-up computer is in a separate department which has electronic tag access. Access to the room in which the back-up machine is located is via a key-pad system.

All essential documents will be retained for at least 5 years after the completion of study-related activities, or for a longer period where so required e.g. genetic studies or national laws, as specified in the NPEU archiving SOP.

14. QUALITY ASSURANCE PROCEDURES

14.1. Risk Assessment

NPEU CTU has performed a risk assessment of the trial prior to commencement that will be reviewed at regular intervals during the course of the trial. This is a trial involving a medicinal product licensed in the UK related to the licensed range of indications, dosage and form; it is proposed that the trial be considered to be of Type A (risk no higher than that of normal clinical practice).

14.2. National Registration Systems

All women recruited into ANODE will be 'flagged' after discharge to confirm status using records held and maintained by The Health and Social Care Information Centre and other central UK NHS bodies.

14.3. Site Initiation and Training

Start-up visits at each participating centre to ensure training in trial procedures will be performed either in person or remotely before recruitment of women is permitted. Regular site visits will be made by the Local Research Midwife (LRM) to ensure adherence to the protocol and to deal with any specific site issues. Study days will be undertaken to ensure that doctors and midwives involved with the study are fully apprised of issues such as informed consent, data collection, follow-up, and changing regulations.

14.4. Data Collection and Processing

All trial data will be collected using bespoke eCRFs. Data will be processed in line with the NPEU CTU Data Management SOPs, using validated data management systems to ensure consistency, viability, and quality of the data. It is then stored in line with the Data Protection Act (1998).

14.5. Central and Site Monitoring

A monitoring plan for the trial, including responsibilities, will be developed in light of any risks identified in the risk assessment, prior to the start of recruitment.

15. TRIAL GOVERNANCE

15.1. Site Research and Development Approval

Individual sites will only commence recruiting participants once they receive approval from NHS Trust Research and Development (R&D) Offices. Applications to R&D offices will be submitted through the NIHR Co-ordinated System for gaining NHS permission.

15.2. Trial Sponsor

The University of Oxford is the nominated sponsor for the trial.

15.3. Co-ordinating Centre

The trial co-ordinating centre will be at the NPEU CTU, University of Oxford where the Trial Co-ordinator will be based. The NPEU CTU will be responsible for all trial programming, randomisation and management, conducting statistical analyses, servicing both the DMC and TSC, and, in collaboration with the CI and the Trial Research Nurse, for the day-to-day running of the trial including recruitment of centres and training of staff.

15.4. Project Management Group

The trial will be supervised on a day-to-day basis by the PMG. This group reports to the TSC which is responsible to the trial sponsor. At each participating centre, a local PI will report to the PMG via the project funded staff based at the NPEU CTU.

The core PMG will consist of the CI and NPEU CTU staff including but not limited to:

- NPEU CTU Director
- Senior Trials Manager
- Trials Programmer
- Trial Coordinator
- Trial Statistician
- Administrator/Data Manager

The core PMG will meet regularly (at least monthly). Every 3–4 months the Clinical Investigators' Group, (CIG) will meet. This will comprise all co-applicants and members of the core PMG.

15.5. Trial Steering Committee

Trial Steering Committee (TSC) will include an independent chair, at least two other independent members, a PPI representative(s), and the Chief investigator, joined by observers from the NPEU CTU. The HTA programme manager will be invited to attend all TSC meetings.

15.6. Data Monitoring Committee

A DMC independent of the applicants and of the TSC will review the progress of the trial at least annually and provide advice on the conduct of the trial to the TSC and (via the TSC) to the HTA programme manager. The DMC will act according to its Charter, which will be agreed at its first meeting.

16. SERIOUS BREACHES

The Medicines for Human Use (Clinical Trials) Regulations contain a requirement for the notification of "serious breaches" to the MHRA within 7 days of the Sponsor becoming aware of the breach.

A serious breach is defined as "A breach of GCP or the trial protocol which is likely to affect to a significant degree –

- (a) the safety or physical or mental integrity of the subjects of the trial; or
- (b) the scientific value of the trial".

In the event that a serious breach is suspected the Sponsor must be contacted within 1 working day. In collaboration with the CI, the serious breach will be reviewed by the Sponsor and, if appropriate, the Sponsor will report it to the REC committee, Regulatory authority and the NHS host organisation within seven calendar days.

17. ETHICAL AND REGULATORY CONSIDERATIONS

17.1. Declaration of Helsinki

The Investigator will ensure that this trial is conducted in accordance with the principles of the Declaration of Helsinki.

17.2. Guidelines for Good Clinical Practice

The Investigator will ensure that this study is conducted in accordance with relevant regulations and with Good Clinical Practice.

17.3. Approvals

The protocol, informed consent form, participant information sheet and any proposed advertising material will be submitted to an appropriate Research Ethics Committee (REC), regulatory authorities (MHRA in the UK), the funder, and host institution(s) for written approval.

The Investigator will submit and, where necessary, obtain approval from the above parties for all substantial amendments to the original approved documents.

17.4. Reporting

The CI shall submit once a year throughout the clinical trial, or on request, Progress Reports to the REC, host organisation and Sponsor. Six monthly progress reports will be submitted to the funder. In addition, an End of Trial notification and final report will be submitted to the MHRA, the REC, host organisation, funder and Sponsor.

17.5. Participant Confidentiality

The trial staff will ensure that participant anonymity is maintained. All documents will be stored securely and only accessible by trial staff and authorised personnel. The trial will comply with the Data Protection Act, which requires data to be anonymised as soon as it is practical to do so.

17.6. Expenses and Benefits

There are no intended payments or other benefits to participants.

18. FINANCE AND INSURANCE

18.1. Funding

The National Institute for Health Research (NIHR) Health Technology Assessment (HTA) programme is funding the trial.

18.2. Insurance

The University has a specialist insurance policy in place which would operate in the event of any participant suffering harm as a result of their involvement in the research (Newline Underwriting Management Ltd, at Lloyd's of London). NHS indemnity operates in respect of the clinical treatment which is provided.

19. PUBLICATION POLICY

The success of the trial depends on a large number of midwives, obstetricians and anaesthetists. Credit for the study findings will be given to all who have collaborated and participated in the study including all local co-ordinators and collaborators, members of the trial committees, the NPEU CTU, and trial staff. Authorship at the head of the primary results paper will take the form [name], [name]...and [name] on behalf of the ANODE Collaborative Group, where named authors form part of the writing committee. The writing will be the responsibility of the writing committee which it is anticipated will include all of the investigators. Named authors will be listed in the following order: individual responsible for completing the first draft of the paper, lead analyst, all other members of the writing committee in alphabetical order, lead supervising author. All other contributors to the study will be listed at the end of the report, with their contribution to the study identified.

Those responsible for other publications reporting specific aspects of the study, such as detailed microbiological outcomes, may wish to utilise a different authorship model. Decisions about authorship of additional papers will be discussed and agreed by the trial investigators and the TSC.

Women will be sent a summary of trial publications if they wish, which will contain full references. A copy of the journal article will be available on request from the CI.

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21. APPENDIX A: PLANNED RECRUITMENT



22. APPENDIX B: AMENDMENT HISTORY

Amendment No.	Protocol Version No.	Date issued	Author(s) of changes	Details of Changes made
N/A	Version 2.0	N/A	Changes made of behalf of PMG	Subsequent to Protocol Version 1.0 being approved by REC the MHRA requested several edits to the ANODE Protocol when it was submitted as part of the initial Clinical Trials Authorisation (CTA) application. These edits were made to create Version 2.0 17 th October 2015 (see summary of changes below). Version 2.0 17 th October 2015 was approved by the MHRA and CTA has been awarded (CTA acceptance letter dated 29 th October 2015).

The following changes have been made to create Protocol Version 2.0 17th October 2015:

8.3. Exclusion Criteria (page 16)

The exclusion criterion was amended to exclude all participants who have the contraindications listed in the SmPC for Co-amoxiclav as requested by the MHRA.

Reporting Serious Adverse Events (SAEs) and Procedure for immediate reporting of Serious Adverse Events (pages 25-26):

The section was amended in response to a request made by the MHRA on reviewing the initial ANODE CTA application:

'The protocol stating some serious adverse events (SAEs) exempted from immediate reporting to the sponsor is not acceptable. According to Article 16(1) of Directive 2001/20/EC and CT-3, No. 20, the investigator must report all SAEs immediately to the sponsor within 24 hours of awareness, irrespective of causal relationship. Therefore, the exemptions must be removed.'

Edits were made to address this point; the list of SAEs which are not considered to be causally related to the trial intervention, were agreed with Professor Bhattacharya chair of the ANODE Data Monitoring Committee. The SAE reporting procedure was also amended to include the ability to report SAEs via the Clinical Database OpenClinica© on page 26.

Listed below are all edits reviewed by the MHRA prior to the CTA being granted (ANODE Protocol Version 2.0):

- 1. The Ethics Reference number was been added to page 1.
- 2. Social care visits were removed from the secondary outcomes; they had been included in error.
- 3. The spelling of amoxicillin has been made consistent throughout the document.
- 4. The duration of the study on page 16 was amended to reflect changes made to the recruitment start date (changed from the 1st September 2015 to 1st December 2015).
- 5. A reference was deleted on page 34 of the Protocol because it was duplicated in error.

1	Version 3.0	06/01/16	Changes made of	Substantial amendment 1 was
			behalf of PMG	reviewed by both REC and MHRA.

The following changes have been made to create Protocol Version 3.0 3rd December 2015:

8.3. Exclusion Criteria (page 16)

In the point 'Note that receiving antenatal or postnatal antibiotics e.g. for maternal Group B Streptococcal carriage or prolonged rupture of membranes, is not a reason for exclusion if there is no indication for ongoing antibiotic prescription post-delivery.' the words 'or postnatal' have been removed because this wording was incorrect and contradicts the previous sentence.

Reporting Serious Adverse Events (SAEs) and Procedure for immediate reporting of Serious Adverse Events (pages 25-26):

Wording amended improve consistency and to make it clear that events which commence prior to the administration of the trial Intervention do not require reporting as an SAE.

9.5. Randomisation, blinding and code-breaking (pages 20)

Text edited regarding balance and unpredictability from 'within centre' to 'overall' by Trial Statistician.

Text edited to show that an an emergency code-breaking procedure will not be required; as only a single dose of co-amoxiclav will be administered there is no need to code-break if further antibiotics are required. If a woman was to have an anaphylactic reaction she would be treated as if she has been given the active drug.

Other edits to the Protocol in Version 3.0 are listed below:

- 1. The list of Investigators has been removed from the cover page to make the Protocol clearer and to ensure that the ANODE Trial team at the Clinical Trials Unit are approached with any Protocol queries in the first instance rather than a Co-investigator. The Investigators will be listed on the ANODE website.
- 2. The confidentiality statement has been removed from page 2 as the Protocol is no longer confidential and is available publicly.

4	Verson 4.0	22/04/16	Changes made of behalf of PMG	Changes listed below

The following changes have been made to create Protocol Version 4.0 22nd April 2016:

Section 7.0 Trial Design (page 15)

Edits to this section have been made to specify exactly who will not be blinded to allocation to clarify that this also includes the person responsible for checking the intervention. The people not blinded to intervention will be the person who prepared the trial intervention and the person who checks the intervention prior to administration. Training will be provided to all unblinded staff on the importance of maintaining blinding and unblinded staff will not be involved in the collection of outcomes information.

Other edits to the ANODE Protocol

- Page 1 ISRCTN added and signature blocks for the Chief Investigator and the Statistician have been removed; they will be documented separately and filed with the Protocol in the Trial Master File.
- Global edit where Hospital Episode Statistics (HES) is referenced 'or NHS Wales Informatics Service' has been added. HES will be accessed for participants recruited in England; NHS Wales Informatics Service will be accessed for those participants recruited in Wales.
- Pages 15-16 and Appendix A duration of study edited to reflect the change in start date agreed with the HTA.
- Page 19 edited to clarify that the original signed consent forms will be sent the coordinating centre

and a copy retained at site.

- Page 20 - section 9.5 edited to clarify the roles of the Senior Trials Statistician and the Senior Trial Programmer with regard to their responsibilities regarding the randomisation schedule generation.

Protocol amendments must be submitted to the Sponsor for approval prior to submission to the REC committee or MHRA.