Exercise- and strategy-based physiotherapy-delivered intervention for preventing repeat falls in people with Parkinson's: the PDSAFE RCT

Ann Ashburn, 1* Ruth Pickering, 2 Emma McIntosh, 3
Sophia Hulbert, 1 Lynn Rochester, 4 Helen C Roberts, 2
Alice Nieuwboer, 5 Dorit Kunkel, 1 Victoria A Goodwin, 6
Sarah E Lamb, 7 Claire Ballinger 8 and
Kim Chivers Seymour 1 on behalf of the PDSAFE
Collaborative Group

¹Faculty of Health Science, University of Southampton, Southampton, UK

²Faculty of Medicine, University of Southampton, Southampton, UK

³Health Economics and Health Technology Assessment, Institute of Health & Wellbeing, University of Glasgow, Glasgow, UK

⁴Institute of Neuroscience, Newcastle University, Newcastle upon Tyne, UK

⁵Department of Rehabilitation Sciences, Katholieke Universiteit Leuven, Leuven, Belgium

⁶Medical School, University of Exeter, Exeter, UK

⁷Oxford Clinical Trials Research Unit, University of Oxford Medical Sciences Division, Oxford, UK

⁸Wessex Public Involvement Network (PIN), University of Southampton, Southampton General Hospital, Southampton, UK

Declared competing interests of authors: Lynn Rochester reports grants from Newcastle University during the conduct of the study and grants from Parkinson's UK, the European Union Marie Curie Training Network, the Medical Research Council, the Engineering and Physical Sciences Research Council, the Wellcome Trust and the Stroke Association, outside the submitted work. Claire Ballinger is a member of the Primary Care Community and Preventive Interventions Health Technology Assessment (HTA) group and the associated Methods group. Victoria A Goodwin reports grants from the National Institute for Health Research (NIHR) during the conduct of the study (as a co-applicant), that is the NIHR HTA-funded trial (15/43/07) home-based exercise intervention for older people with frailty as extended rehabilitation following acute illness or injury, (ISRCTN13927531). Sarah E Lamb reports grants from the NIHR HTA programme during the conduct of this study. Furthermore, she was a member of the HTA Additional Capacity Funding Board, HTA End of Life Care and Add-on Studies, HTA Prioritisation Group and HTA Trauma Board during this study.

Disclaimer: This report contains transcripts of interviews conducted in the course of the research and contains language that may offend some readers.

^{*}Corresponding author a.m.ashburn@soton.ac.uk

Published July 2019 DOI: 10.3310/hta23360

Scientific summary

The PDSAFE RCT

Health Technology Assessment 2019; Vol. 23: No. 36

DOI: 10.3310/hta23360

NIHR Journals Library www.journalslibrary.nihr.ac.uk

Scientific summary

Background

People with Parkinson's disease are twice as likely to fall as the healthy older population. In those with Parkinson's disease, falling is associated with a host of risk factors, including disease severity, duration of disease, self-reported disability and impaired mobility. The strongest predictor of falling, identified from meta-analysis, is having had a previous fall. Evidence suggests that an exercise-based intervention might reduce fall risk, although published research findings are inconclusive.

Objective

The primary aim was to examine the clinical effectiveness and cost-effectiveness of an exercise- and strategy-based intervention (known as PDSAFE) for fall reduction.

Method

This two-group multicentre, single-blinded, randomised (50:50) controlled clinical trial of people with Parkinson's disease at risk of falls also comprised a 3-month pre-randomisation monitoring of falls, an economic evaluation and a nested qualitative study of the views of participants.

Participants

Eligibility criteria were as follows: a consultant's diagnosis of Parkinson's disease; living in their own home; independently mobile; experienced at least one fall in the previous 12 months; scored \geq 24 on the Mini-Mental State Examination (MMSE); had the cognitive ability to give informed consent; able to understand and follow commands; and able to complete a guided personalised exercise and strategy programme.

Outcomes

The primary outcome was risk of repeat falling between 0 and 6 months post randomisation with the fall rate ratio during the same period as a secondary analysis. Data on falls were collected via monthly self-completed diaries. A fall was defined as an event that resulted in a person coming to rest unintentionally on the ground or lower level; a near-fall was an event in which the person would have landed on the ground or lower level if saving reactions, such as stepping or reaching, had not taken place.

Secondary outcomes were balance [measured by the Mini-Balance Evaluation Systems Test (Mini-BESTest)]; functional strength (measured by the Chair Stand Test); falls efficacy [measured by the Falls Efficacy Scale – International (FES-I)]; near-falls, an event in which the person would have landed on the ground or lower level if saving reactions, such as stepping or reaching, had not taken place; freezing of gait (FoG) (measured by the new freezing of gait questionnaire); and the results of the Geriatric Depression Scale (GDS) (15-question version); the 39-item Parkinson's Disease Questionnaire (PDQ-39), a quality-of-life measure designed specifically for people with Parkinson's disease; the EuroQol-5 Dimensions (EQ-5D), a measure of health-related quality of life; and the Physical Activity Scale for the Elderly (PASE). Disease severity was recorded using the International Parkinson and Movement Disorder Society – Unified Parkinson's Disease Rating Scale (MDS-UPDRS) and cognitive ability was measured using the Montreal

Cognitive Assessment (MoCA). Outcomes were assessed before randomisation and at 6 and 12 months after randomisation. Owing to restricted funding, the last 132 participants recruited were followed to 6 months (primary outcome only).

Pre-stated analysis

The primary outcome was risk of repeat falling between 0 and 6 months post randomisation using self-completed monthly falls diaries with falls rate ratio (incidence rate of falls in time period) and prespecified subgroups as secondary analysis plus secondary outcomes. Outcomes were assessed before randomisation and at 6 and 12 months post randomisation.

Results

A total of 541 people with Parkinson's disease were screened for eligibility and pre-randomisation fall monitoring by a trial assessor in their own home. Of these, 67 were excluded at this time [reasons for not being randomised included being medically unfit (n = 24), inadequate completion of falls diaries (n = 6), no longer met eligibility criteria (n = 12), or changed their mind or did not like the sound of intervention (n = 18)]. The remaining 474 participants completed a baseline assessment and were randomised into one of two groups: control (n = 236) or PDSAFE intervention (n = 238). Males constituted 56% of the trial participants, the mean age was 72 years and the Hoehn and Yahr scale ranges were 1–4 stage.

Loss to follow-up

Sixty-six participants did not engage with the intervention for a number of reasons, such as admission to a care home, deteriorating health, a change of mind about participation, reluctance to commit to the therapy or assessment procedures, and death. Some participants did not provide a reason for non-engagement. A further 20 participants withdrew from the control group.

Therapy content

The PDSAFE intervention, delivered by a physiotherapist, was individually tailored and structured around fall avoidance strategies and balance and strengthening exercises, selected from a menu with six levels of progression. The median number of therapy sessions was 12 (interquartile range 11–12 sessions) and the mean was 11 sessions (standard deviation 2.4 sessions).

Effectiveness

No difference in repeat falling within 6 months of randomisation was found [PDSAFE to control odds ratio (OR) 1.21, 95% confidence interval (CI) 0.74 to 1.98; p = 0.447]. Analysis of secondary outcomes demonstrated better balance (Mini-BESTest: mean difference 0.95, 95% CI 0.24 to 1.67; p = 0.009) and falls efficacy (FES-I: mean difference -1.6, 95% CI -3.0 to -0.19; p = 0.026), with near-falling significantly reduced with PDSAFE (OR 0.67, 95% CI 0.53 to 0.86; p = 0.001) at 6 months. Prespecified subgroup analysis (disease severity and FoG) revealed a PDSAFE differing effect. A decrease in falling among those in the moderate group classified by disease (interaction p = 0.009) and retrospective falling at entry to the trial (interaction p = 0.050). Increased repeat falling following PDSAFE between 0 and 6 months was found among those at the severe end of the disease spectrum and FoG (interaction p = 0.025) with a trend of increasing falls among those with cognitive impairment (interaction p = 0.088).

Participant views

Most people enjoyed participating in the therapy and reported benefits, although they had mixed views about equipment. The biggest barriers were time and motivation, whereas social support facilitated participation. In order to optimise the benefits of the therapy, people with Parkinson's disease need help for them to mobilise sustained support and encouragement from social support networks.

Economic evaluation

The results showed that the PDSAFE intervention was not likely to be cost-effective for the overall Parkinson's disease population for the NHS perspective over the 6-month time horizon. Compared with the control group, the PDSAFE intervention group had an incremental cost of £925 (95% CI £428 to £1422) and an incremental quality-adjusted life-year (QALY) gain of 0.008 (95% CI 0.006 to 0.021), generating an incremental cost-effectiveness ratio (ICER) of £120,659 per QALY. Although this ICER would not be deemed to be within the realms of what is considered cost-effective, sensitivity analyses reveal cost-effective scenarios.

Conclusions

The physiotherapy programme PDSAFE was not effective in reducing repeat falling across a heterogeneous sample of people with Parkinson's disease. However, fall risk, balance, functional strength, self-efficacy and near-falls improved. Secondary analysis also showed diverse responses to PDSAFE falls management according to FoG and disease severity. A negative effect was found among those participants at the worse end of the spectrum and a positive effect was found among those participants with moderate disease.

Trial registration

This trial is registered as ISRCTN48152791.

Funding

Funding for this study was provided by the Health Technology Assessment programme of the National Institute for Health Research (NIHR). Sarah E Lamb is funded by the NIHR Collaboration for Leadership in Applied Health Research and Care at Oxford Health NHS Foundation Trust and the NIHR Oxford Biomedical Research Centre at the Oxford University Hospitals NHS Foundation Trust. Victoria A Goodwin is supported by the NIHR Collaborations for Leadership in Applied Health Research and Care in the South West Peninsula (PenCLAHRC). Lynn Rochester is supported by the NIHR Newcastle Biomedical Research Centre based at Newcastle upon Tyne Hospitals NHS Foundation Trust and Newcastle University. The research was also supported by the NIHR Newcastle Clinical Research Facility Infrastructure funding. Helen C Roberts is supported by CLAHRC Wessex and Sarah E Lamb by CLAHRC Oxford.

HTA/HTA TAR

Health Technology Assessment

ISSN 1366-5278 (Print)

ISSN 2046-4924 (Online)

Impact factor: 3.819

Health Technology Assessment is indexed in MEDLINE, CINAHL, EMBASE, The Cochrane Library and the Clarivate Analytics Science Citation Index

This journal is a member of and subscribes to the principles of the Committee on Publication Ethics (COPE) (www.publicationethics.org/).

Editorial contact: journals.library@nihr.ac.uk

The full HTA archive is freely available to view online at www.journalslibrary.nihr.ac.uk/hta. Print-on-demand copies can be purchased from the report pages of the NIHR Journals Library website: www.journalslibrary.nihr.ac.uk

Criteria for inclusion in the Health Technology Assessment journal

Reports are published in *Health Technology Assessment* (HTA) if (1) they have resulted from work for the HTA programme, and (2) they are of a sufficiently high scientific quality as assessed by the reviewers and editors.

Reviews in *Health Technology Assessment* are termed 'systematic' when the account of the search appraisal and synthesis methods (to minimise biases and random errors) would, in theory, permit the replication of the review by others.

HTA programme

The HTA programme, part of the National Institute for Health Research (NIHR), was set up in 1993. It produces high-quality research information on the effectiveness, costs and broader impact of health technologies for those who use, manage and provide care in the NHS. 'Health technologies' are broadly defined as all interventions used to promote health, prevent and treat disease, and improve rehabilitation and long-term care.

The journal is indexed in NHS Evidence via its abstracts included in MEDLINE and its Technology Assessment Reports inform National Institute for Health and Care Excellence (NICE) guidance. HTA research is also an important source of evidence for National Screening Committee (NSC) policy decisions.

For more information about the HTA programme please visit the website: http://www.nets.nihr.ac.uk/programmes/hta

This report

The research reported in this issue of the journal was funded by the HTA programme as project number 10/57/21. The contractual start date was in May 2013. The draft report began editorial review in November 2017 and was accepted for publication in September 2018. The authors have been wholly responsible for all data collection, analysis and interpretation, and for writing up their work. The HTA editors and publisher have tried to ensure the accuracy of the authors' report and would like to thank the reviewers for their constructive comments on the draft document. However, they do not accept liability for damages or losses arising from material published in this report.

This report presents independent research funded by the National Institute for Health Research (NIHR). The views and opinions expressed by authors in this publication are those of the authors and do not necessarily reflect those of the NHS, the NIHR, NETSCC, the HTA programme or the Department of Health and Social Care. If there are verbatim quotations included in this publication the views and opinions expressed by the interviewees are those of the interviewees and do not necessarily reflect those of the authors, those of the NHS, the NIHR, NETSCC, the HTA programme or the Department of Health and Social Care.

© Queen's Printer and Controller of HMSO 2019. This work was produced by Ashburn et al. under the terms of a commissioning contract issued by the Secretary of State for Health and Social Care. This issue may be freely reproduced for the purposes of private research and study and extracts (or indeed, the full report) may be included in professional journals provided that suitable acknowledgement is made and the reproduction is not associated with any form of advertising. Applications for commercial reproduction should be addressed to: NIHR Journals Library, National Institute for Health Research, Evaluation, Trials and Studies Coordinating Centre, Alpha House, University of Southampton Science Park, Southampton SO16 7NS, UK.

Published by the NIHR Journals Library (www.journalslibrary.nihr.ac.uk), produced by Prepress Projects Ltd, Perth, Scotland (www.prepress-projects.co.uk).

NIHR Journals Library Editor-in-Chief

Professor Ken Stein Professor of Public Health, University of Exeter Medical School, UK

NIHR Journals Library Editors

Professor John Powell Chair of HTA and EME Editorial Board and Editor-in-Chief of HTA and EME journals. Consultant Clinical Adviser, National Institute for Health and Care Excellence (NICE), UK, and Honorary Professor, University of Manchester, and Senior Clinical Researcher and Associate Professor, Nuffield Department of Primary Care Health Sciences, University of Oxford, UK

Professor Andrée Le May Chair of NIHR Journals Library Editorial Group (HS&DR, PGfAR, PHR journals) and Editor-in-Chief of HS&DR, PGfAR, PHR journals

Professor Matthias Beck Professor of Management, Cork University Business School, Department of Management and Marketing, University College Cork, Ireland

Dr Tessa Crilly Director, Crystal Blue Consulting Ltd, UK

Dr Eugenia Cronin Senior Scientific Advisor, Wessex Institute, UK

Dr Peter Davidson Consultant Advisor, Wessex Institute, University of Southampton, UK

Ms Tara Lamont Director, NIHR Dissemination Centre, UK

Dr Catriona McDaid Senior Research Fellow, York Trials Unit, Department of Health Sciences, University of York, UK

Professor William McGuire Professor of Child Health, Hull York Medical School, University of York, UK

Professor Geoffrey Meads Professor of Wellbeing Research, University of Winchester, UK

Professor John Norrie Chair in Medical Statistics, University of Edinburgh, UK

Professor James Raftery Professor of Health Technology Assessment, Wessex Institute, Faculty of Medicine, University of Southampton, UK

Dr Rob Riemsma Reviews Manager, Kleijnen Systematic Reviews Ltd, UK

Professor Helen Roberts Professor of Child Health Research, UCL Great Ormond Street Institute of Child Health, UK

Professor Jonathan Ross Professor of Sexual Health and HIV, University Hospital Birmingham, UK

Professor Helen Snooks Professor of Health Services Research, Institute of Life Science, College of Medicine, Swansea University, UK

Professor Ken Stein Professor of Public Health, University of Exeter Medical School, UK

Professor Jim Thornton Professor of Obstetrics and Gynaecology, Faculty of Medicine and Health Sciences, University of Nottingham, UK

Professor Martin Underwood Warwick Clinical Trials Unit, Warwick Medical School, University of Warwick, UK

Please visit the website for a list of editors: www.journalslibrary.nihr.ac.uk/about/editors

Editorial contact: journals.library@nihr.ac.uk