# Outcome report data extraction and risk of bias tools

## **Data extraction - outcome evaluations**

Consider if study has reported following. Provide justifications for your response wherever possible.

Intervention Details
Intervention name
Intervention location
Details (country; area(s) of country)
Not stated
Description of intervention  Details (overall aim(s) of intervention; key components of intervention; content and activities; who delivered intervention; deviations from original protocol; etc.)
Not stated
Timing and duration of intervention  Details
Not stated
Target population Details (specific characteristics; how y were recruited)
Not stated
Technology Details
Not stated
Provider organisation description Details
Not stated
How intervention was developed Details
Not stated

Intervention theory of change Details
Not stated
Intervention offered to control group Not applicable
Details
Not stated
Evaluation Details
Research question(s) or hypotheses Details
Not stated
Overall design within which evaluation is part RCT
Quasi-experimental comparison
Other (please state):
Not stated
Timing of outcome evaluation
Details
Not stated
Sampling strategy
Details
Not stated
Sample size, overall response rates at baseline
Details (intervention group)
Details (control group)
Not stated
Sample size, overall response rates at follow-up
Details (intervention group)
Details (control group)

Not stated

Not appropriate

Sociodemographic characteristics at baseline/follow-up Details
Not stated
Were baseline equivalence/differences between arms reported?  Details
Not stated
How were baseline differences between intervention and comparison groups controlled?  Details
Not stated
For each outcome measure, report following:
Description of outcome measure provided  Details
Not stated
Outcomes stated (in protocol, from outset)
Primary outcomes
Secondary outcomes
Not stated
Post-hoc outcomes reported
Description
Not stated
Evidence of reliability  Description
Description
Not stated
Data collection methods
Description
Not stated

Baseline response rate
Details (intervention group)
Details (control group)
Not stated
Follow-up response rate
Data the first and a second a second and a second a second and a second a second and a second an
Details (intervention group)
Details (control group)
NI-A -A-A-A
Not stated
Effect sizes
Overall
By sexuality
by sexuality
By gender identity
by gender identity
By socioeconomic status
By ethnic sub-group
No effect size
Study analysis was intention to treat?
Yes
No
Not stated
Study analysis appropriately accounted for any clustering?
Yes
No
Not stated
Study analysis adjusted for confounders?
Yes
No
Not stated

If study is randomised controlled trial:
Unit of allocation
Individual
Other (please indicate)
Not stated
Generation of allocation sequence (e.g. What was random component of sequence generation process—this may include minimisation?)  Details
Not stated
Concealment of allocation
Details
Not stated
Blinding
Details
Not stated
If study involved non-randomised control group(s):
Unit of comparison
Individual
Other (please indicate)
Not stated
How controls were identified (e.g. matching, restriction)
Details
Not stated
How confounding was minimised (e.g. matching, adjustment etc.)
Details
Not stated
If includes economic evaluations
Perspective taken for direct and indirect costs
Details

Not stated

Evaluation framework
Details
Not stated
Source of effectiveness estimates
Details
Not stated
Not stated
Critical assumptions
Details
Not stated
Discount rates
Details
Not stated
Not stated
Approach to cost-effectiveness in form of incremental cost-effectiveness ratios or net (health)
benefits
Details
Not stated
Quality assessment tool – randomised controlled trials
Consider if study has reported following. Provide justifications for your response wherever possible.
Adequate generation (random) of allocation sequence Yes

Not clear

Not stated

Concealed allocation

Yes

No

Not clear

Not stated

Blinding of participants/personnel

Yes

No

Not clear

Not stated

Blinding of outcome assessors

No	
Not clear	
Not stated	
Complete outcome data (i.e. low attrition) (were complete data for each outcome not, were reasons given for incomplete reporting?)	e reported, and if
Yes	
No	
Not clear	
Not stated	
Reporting complete, not selective by measure	
Yes	
No	
Not clear	
Not stated	
Controlled for confounding	
Yes	
No	
Not clear	
Not stated	
Accounted for clustering	
Yes	
No	
Not clear	
Not stated	
Aimed to reduce other forms of bias that may have entered study	
Yes	
No	
Not clear	
Not stated	
Quality assessment tool – quasi-experimental	
ROBINS-I tool (Stage I): At protocol stage	
Specify question:-	
Participants -	
Participants=	
Intervention=	
Control=	
Outcomes=	
List confounding domains relevant to all/most studies= List co-interventions which could be different between groups which could impa	ct outcomes=
ROBINS-I tool (Stage II): For each study	

Yes

#### Specify target RCT specific to study

Design= Individual randomised / Cluster randomised / Matched

Participants=

Intervention =

Control=

Is study aim ...?

- -to assess effect of assignment to intervention □
- -to assess effect of starting & adhering to intervention  $\square$

## Specify the outcome

Specify which outcome being assessed for risk of bias (generally from among those earmarked for Summary of Findings table). Specify whether this is proposed intervention benefit or harm.

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### Specify numerical result being assessed

In case of multiple alternative analyses, specify numeric result (e.g. RR = 1.51 (95% CI 0.82 to 2.78) and/or reference (e.g. to table/figure/paragraph) which defines result being assessed.

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#### **Preliminary consideration of confounders**

Complete row for each key confounding domain i) listed in review protocol; & ii) relevant to study setting or which study authors identify as possibly important.

"Important" domains are those for which, in this study context, adjustment is expected to produce important change in estimated effect of intervention. "Validity" refers to whether variable(s) fully measure confounding domain, while "reliability" refers to precision of measurement (more error means less reliability).

(i) Confounding domains listed in review protocol				
Confounding domain	Measure variable(s)	Evidence that controlling for this variable not necessary?*	Is confounding domain validly & reliably measured by this variable(s)?	OPTIONAL: Is failure to adjust for this variable alone expected to favour intervention or control?
			Yes / No / No info	Favour intervention / Favour control / No info

(ii) Additional confounding domains relevant to study setting or which study authors identified as important				
Confounding domain	Measured variable(s)	Evidence that controlling for variable not necessary?*	Is confounding domain validly & reliably measured by variable(s)?  Yes / No / No info	OPTIONAL: Is failure to adjust for variable alone expected to favour intervention or control?  Favour intervention / Favour control / No info

<sup>\*</sup> In context of particular study, variables may be demonstrated not to be confounders so not included in analysis: a) if they are not predictive of outcome; b) if they are not predictive of intervention; or c) because adjustment makes no/minimal difference to estimated effect of primary parameter. N.B. "no statistically significant association" is not same as "not predictive".

## **Preliminary consideration of co-interventions**

Complete row for each important co-intervention i) listed in review protocol; & ii) relevant to study setting or which study authors identify as important.

"Important" co-interventions are those for which in study context adjustment is expected to lead to important change in estimated effect of intervention.

ii) Additional co-interventions relevant to study setting, or which study authors identified as important

i) Co-interventions listed in the review protocol			
Co-intervention	Is there evidence that controlling for this co-intervention was not necessary (e.g. because it was not administered)?	Is presence of this co-intervention likely to favour outcomes in intervention or control	
		Favour intervention / Favour control / No info	
		Favour intervention / Favour control / No info	
		Favour intervention / Favour control / No info	

(ii) Additional co-interventions relevant to study setting, or which study authors identified as important			
Co-intervention	Is there evidence that controlling	Is presence of this co-intervention	
	for this co-intervention	likely to favour	
	was not necessary (e.g. because it	outcomes in intervention or	
	was not	control	
	administered)?		
		Favour intervention / Favour	
		control / No	
		info	
		Favour intervention / Favour	
		control / No	
		info	
		Favour intervention / Favour	
		control / No	
		info	

## Risk of bias assessment

Responses underlined in green are potential indicators for low risk of bias, & responses in red are potential indicators for risk of bias. Where questions relate only to sign posts to other questions, no formatting is used.

Bias domain	Signalling questions	Elaboration	Response options
Bias due to confo	ounding		
343 446 10 601116	1.1 Is there potential for confounding of effect of intervention in this study?  If N/PN to 1.1: study can be considered to be at low risk of bias due to confounding & no further signalling	In rare situations, such as when studying harms which are very unlikely to be related to factors that influence treatment decisions, no confounding is expected & study can be considered to be at low risk of bias due to confounding, equivalent to fully randomised trial. There is no NI (No info) option for this	Y/PY/PN/N
	questions need be considered  If Y/PY to 1.1: determine whether there is need to assess time-varying confounding:	signalling question.	
	1.2. Was analysis based on splitting participants' follow up time according to intervention received?  If N/PN, answer questions relating to baseline confounding (1.4 to 1.6)  If Y/PY, go to question 1.3.	If participants could switch between intervention groups then associations between intervention & outcome may be biased by time-varying confounding. This occurs when prognostic factors influence switches between intended interventions.	NA/Y/PY/PN/N/NI
	1.3. Were intervention discontinuations or switches likely to be related to factors which are prognostic for outcome? If N/PN, answer questions relating to baseline confounding (1.4 to 1.6) If Y/PY, answer questions relating to both baseline & timevarying confounding (1.7 & 1.8)	If intervention switches are unrelated to outcome, for example when outcome is unexpected harm, then time-varying confounding will not be present & only control for baseline confounding is required.	NA / Y / PY / PN / N / NI
		aseline confounding only	
	1.4. Did authors use appropriate	Appropriate methods to control for measured confounders include	NA/Y/PY/PN/N/ NI

	Т		
	analysis method	stratification, regression, matching,	
	which controlled for	standardization, & inverse	
	all important	probability weighting. They may	
	confounding	control for individual variables or	
	domains?	for estimated propensity score.	
		Inverse probability weighting is	
		based on function of propensity	
		score. Each method depends on	
		assumption that there is no	
		unmeasured or residual	
	4.5.16.7/57/1.4.4	confounding.	210 / 24 / 224 / 24 / 24
	1.5. If Y/PY to 1.4:	Appropriate control of	NA/Y/PY/PN/N/NI
	Were confounding	confounding requires that	
	domains which were	variables adjusted for	
	controlled for	are valid & reliable measures of	
	measured validly &	confounding domains. For some	
	reliably by variables available in this	topics, list of valid & reliable	
	study?	measures of confounding domains will be specified in review protocol	
	Study:	but for others such a list may not	
		be	
		available. Study authors may cite	
		references to support use of a	
		particular measure. If authors	
		control for confounding variables	
		with no	
		indication of validity or reliability	
		pay attention to subjectivity of	
		measure. Subjective measures (e.g.	
		based on self-report) may have	
		lower validity & reliability than	
		objective measures such as lab	
		findings.	
	1.6. Did authors	Controlling for post-intervention	NA / Y / PY / PN / N / NI
	control for any post	variables which are affected by	,,,,
	intervention variables	intervention is not appropriate.	
	which could have	Controlling for mediating variables	
	been affected by	estimates direct effect of	
	intervention?	intervention & may introduce bias.	
		Controlling for common effects of	
		intervention & outcome introduces	
		bias.	
	Questions relating to b	aseline & time-varying confounding	
	1.7. Did authors use	Adjustment for time-varying	NA/Y/PY/PN/N/NI
	appropriate	confounding is necessary to	
	analysis method	estimate effect of starting &	
	which controlled for	adhering to intervention, in both	
	all important	randomised trials & NRSI.	
	confounding domains	Appropriate methods include those	
	& for	based on inverse probability	
	time-varying	weighting. Standard regression	
	confounding?	models which include time-	
		updated confounders may be	
		problematic if time-varying	
		confounding is present.	
<del></del>	·		<del></del>

1.8. If Y/PY to 1.7:	See 1.5 above.	NA/Y/PY/PN/N/NI
Were confounding	Jee 1.5 above.	INC. / I / FI / FIV / IV / IVI
domains which were		
controlled for		
measured validly &		
reliably by variables		
available in this		
study?		
Risk of bias	See Table B	Low / Moderate / Serious
judgement		/ Critical / NI
Optional: What is	Can true effect estimate be	Favours
predicted direction	predicted to be greater or less than	intervention /
of bias due to	estimated effect in study because	Favours control
confounding?	one or more of important	/ Unpredictable
	confounding domains was not	
	controlled for? Answering this	
	question will be based on expert	
	knowledge & results in other	
	studies & therefore can only be	
	completed after all of studies in	
	body of evidence have been	
	reviewed. Consider potential effect	
	of each of unmeasured domains &	
	whether all important confounding	
	domains not controlled for in	
	analysis would be likely to change	
	estimate in same direction,	
	or if one important confounding	
	domain which was not controlled	
	for in analysis is likely to have	
	dominant impact.	
	dominant impact.	
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Bias in selection of	of participants into study		
	2.1. Was selection of	This domain is concerned only with	Y/PY/PN/N/NI
	participants into	selection into study based on	
	study (or into	participant characteristics observed	
	analysis) based on	after start of intervention.	
	participant	Selection based on characteristics	
	characteristics	observed before start of	
	observed after	intervention can be addressed by	
	start of intervention?	controlling for imbalances between	
	If N/PN to 2.1: go to	intervention & control groups in	
	2.4	baseline characteristics which are	
		prognostic for outcome (baseline	
		confounding).	
	2.2. If Y/PY to 2.1:	Selection bias occurs when	NA / Y / PY / PN / N / NI
	Were post	selection is related to effect of	
	intervention	either	
	variables which	intervention or cause of	
	influenced	intervention & effect of either	
	selection likely to be	outcome	
	associated with	or cause of outcome. Therefore,	
	intervention?	result is at risk of selection bias if	
		selection into study is related to	
		both intervention & outcome.	
	2.3 If Y/PY to 2.2:		NA / Y / PY / PN / N / NI
	Were post		
	intervention		
	variables which		
	influenced selection		
	likely to be influenced		
	by outcome or cause		
	of outcome?		
	2.4. Do start of	If participants are not followed	Y/PY/PN/N/NI
	follow-up & start of	from start of intervention then a	
	intervention coincide	period of follow up has been	
	for most	excluded, & individuals who	
	participants?	experienced outcome soon after	
		intervention will be missing from	
		analyses. This problem may occur	
		when prevalent, rather than new	
		(incident), users of intervention are included in analyses.	
	2.5. If Y/PY to 2.2 &	It is in principle possible to correct	NA/Y/PY/PN/N/NI
	2.3, or N/PN to 2.4:	for selection biases, for example by	INA/I/FI/FIN/IN/INI
	Were adjustment	using	
	techniques used	inverse probability weights to	
	which are likely to	create pseudo-population in which	
	correct for presence	selection bias has been removed,	
	of selection biases?	or by modelling distributions of	
	2. 33.336.011 2.03631	missing participants or follow up	
		times & outcome events &	
		including	
		them using missing data	
		methodology. However such	
		methods are rarely	
		methods are rarely	

	used & answer to usually be "No".	this question will
Risk of bia	See Table B	Low / Moderate / Serious
judgemen	t	/ Critical / NI
Optional: '	What is If likely direction of	of bias can be Favours
predicted	direction predicted, it is he	pful to state this. intervention /
of bias due	e to Direction might be	e characterized Favours control
selection o	of either as being to	wards (or away / Towards null /Away
participan	ts into from) null, or as b	eing in favour of from null /
study?	one of intervention	ns. Unpredictable

Bias in classifica	tion of interventions		
	3.1 Were	A pre-requisite for appropriate	Y / PY / PN / N / NI
	intervention groups	comparison of interventions is	, , ,
	clearly defined?	that interventions are well	
	,	defined. Ambiguity in definition	
		may lead to bias in classification	
		of participants. For individual-	
		level interventions, criteria for	
		considering individuals to have	
		received each intervention	
		should be clear & explicit,	
		covering issues such as type,	
		setting, dose, frequency,	
		intensity and/or timing of	
		intervention. For population-	
		level interventions (e.g.	
		measures to control air	
		pollution), question relates to	
		whether population is clearly	
		defined, & answer is likely to be	
		'Yes'.	
	3.2 Was information	In general, if info about	Y/PY/PN/N/NI
	used to define	interventions received is	
	intervention groups	available from sources which	
	recorded at start of	could not have been affected by	
	intervention?	subsequent outcomes, then	
		differential misclassification of	
		intervention status is unlikely.	
		Collection of	
		info at time of intervention	
		makes it easier to avoid such	
		misclassification. For population-	
		level interventions (e.g.	
		measures to control air	
		pollution), answer to this	
		question is likely to be 'Yes'.	
	3.3 Could	Collection of info at time of	Y / PY / PN / N / NI
	classification of	intervention may not be	
	intervention	sufficient to avoid bias. Way in	
	status have been	which data are collected for	
	affected by	purposes of NRSI should also	
	knowledge	avoid misclassification.	
	of outcome or risk		
	of outcome?	Con Table B	1/84-1/
	Risk of bias	See Table B	Low / Moderate / Serious
	judgement	If the hading attended to	/ Critical / NI
	Optional: What is	If likely direction of bias can be	Favours
	predicted direction	predicted, it is helpful to state	intervention /
	of bias due to	this. Direction might be	Favours control
	measurement of	characterized either as being	/ Towards null /Away
	outcomes or	towards (or away from) null, or	from null /
	interventions?	as being in favour of one of	Unpredictable
		interventions.	

bias due to de	eviations from intended inte		T
	If your aim for this stud	dy is to assess effect of assignment	
	to intervention, answe	r questions 4.1 & 4.2	
	4.1. Were there deviations from	Deviations which happen in usual practice following intervention (for	Y/PY/PN/N/NI
	intended intervention	example, cessation of drug	
	beyond what would	intervention because of acute	
	be expected in usual	toxicity) are part of intended	
	practice?	intervention & therefore do not	
		lead to bias in ffect of assignment	
		to intervention.	
		Deviations may arise due to	
		expectations of difference between	
		intervention & control (for example	
		because participants feel unlucky	
		to have been assigned to control	
		group & therefore seek active	
		intervention, or components of it,	
		or other interventions). Such	
		deviations are not part of usual	
		practice, so may lead to biased	
		effect estimates. However these	
		are not expected in observational	
		studies of individuals in routine	
		care.	
	4.2. If Y/PY to 4.1:	Deviations from intended	NA / Y / PY / PN / N / NI
	Were these	interventions which do not reflect	
	deviations	usual practice will be important if	
	from intended	they affect outcome, but not	
	intervention	otherwise. Furthermore, bias will	
	unbalanced	arise only if there is imbalance in	
	between groups &	deviations across two groups.	
	likely to have		
	affected outcome?		
	<b>/</b>	dy is to assess the effect of starting	
	4.3. Were important	Risk of bias will be higher if	Y/PY/PN/N/NI
	co-interventions	unplanned co-interventions were	1 / F
	balanced across	implemented	
	intervention groups?		
	intervention groups?	in way that would bias estimated effect of intervention. Co-	
		interventions	
		will be important if they affect	
		outcome, but not otherwise. Bias	
		will arise only if there is imbalance	
		in such co-interventions between	
		intervention groups. Consider co-	
		interventions, including any pre-	
		specified co-interventions, that are	
		likely to affect outcome &	
		to have been administered in this	
		study. Consider whether these co-	
		interventions are balanced	
		between intervention groups.	İ

T		
4.4. Was intervention	Risk of bias will be higher if	Y/PY/PN/N/NI
implemented	intervention was not implemented	
successfully for most	as	
participants?	intended by, for example, health	
	care professionals delivering care	
	during trial. Consider whether	
	implementation of intervention	
	was successful for most	
	participants.	
4.5. Did study	Risk of bias will be higher if	Y/PY/PN/N/NI
participants adhere	participants did not adhere to	
to the assigned	intervention as intended. Lack of	
intervention	adherence includes imperfect	
regimen?	compliance, cessation of	
	intervention, crossovers to control	
	intervention and switches to	
	another active intervention.	
	Consider available info on	
	proportion of study participants	
	who continued with their assigned	
	intervention throughout follow up,	
	& answer 'No' or 'Probably No' if	
	this	
	proportion is high enough to raise	
	concerns. Answer 'Yes' for studies	
	of	
	interventions which are	
	administered once, so that	
	imperfect adherence is not	
	possible.	
	possible.	
	We distinguish between analyses	
	where follow-up time after	
	interventions switches (including	
	cessation of intervention) is	
	-	
	assigned to 1) new intervention or	
	2) original intervention. 1) is	
	addressed under time varying	
	confounding, & should not be	
4.6.16.11/2011	considered further here.	NA IV I DV I DV I DV I DV
4.6. If N/PN to 4.3,	It is possible to conduct analysis	NA/Y/PY/PN/N/NI
4.4 or 4.5: Was an	which corrects for some types of	
appropriate analysis	deviation from intended	
used to estimate	intervention. Examples of	
effect of starting &	appropriate analysis strategies	
adhering to	include inverse probability	
intervention?	weighting or instrumental variable	
	estimation. It is possible that paper	
	reports such analysis without	
	reporting info on deviations from	
	intended intervention, but it would	
	be hard to judge such analysis to be	
	appropriate in absence of such info.	
	Specialist advice may be needed to	
	assess studies which used these	
	approaches.	
		<u> </u>

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		If everyone in one group received	
		co-intervention, adjustments	
		cannot be	
	D: 1 (1)	made to overcome this.	
	Risk of bias judgement	See Table C	Low / Moderate / Serious / Critical / NI
	Optional: What is	If likely direction of bias can be	
	predicted direction	predicted, it is helpful to state this.	
	of bias due to	Direction might be characterized	
	deviations from	either as being towards (or away	
	intended	from) null, or as being in favour of	
	interventions?	one of interventions.	
Bias due to mis	sing data		
	5.1 Were outcome	"Nearly all" should be interpreted	Y/PY/PN/N/NI
	data available for all,	as "enough to be confident of	
	or nearly all,	findings", & suitable proportion	
	participants?	depends on context. In some	
		situations, availability of data from	
		95% (or possibly 90%) of	
		participants may be sufficient,	
		providing that events of interest	
		are reasonably common in both	
		intervention groups. One aspect of	
		this is that review authors would	
		ideally try & locate analysis plan for	
		study.	
	5.2 Were participants	Missing intervention status may be	Y/PY/PN/N/NI
	excluded due to	problem. This requires that	
	missing data on	intended study sample is clear,	
	intervention status?	which it may not be in practice.	
	5.3 Were participants	This question relates particularly to	Y/PY/PN/N/NI
	excluded due to	participants excluded from analysis	
	missing data on other	because of missing info on	
	variables needed	confounders which were controlled	
	for analysis?	for in analysis.	
	5.4 If PN/N to 5.1, or	This aims to elicit whether either i)	NA/Y/PY/PN/N/NI
	Y/PY to 5.2 or 5.3:	differential proportion of missing	
	Are proportion of	observations or ii) differences in	
	participants &	reasons for missing observations	
	reasons for missing	could	
	data similar across	substantially impact on our ability	
	interventions?	to answer question being	
		addressed.	
		"Similar" includes some minor	
		degree of discrepancy across	
		intervention	
		groups as expected by chance.	
	5.5 If PN/N to 5.1, or	Evidence for robustness may come	NA/Y/PY/PN/N/NI
	Y/PY to 5.2 or 5.3: Is	from how missing data were	
	there evidence that	handled in analysis & whether	
	results were robust	sensitivity analyses were	
	to	performed by investigators, or	
	presence of missing	occasionally from additional	
	data?	analyses performed by systematic	
		reviewers. It is important to assess	
		whether assumptions	

	employed in analyses are clear & plausible. Both content knowledge & statistical expertise will often be required for this. For instance, use of a statistical method such as multiple imputation does not guarantee an appropriate answer. Review authors should seek naïve (complete-case) analyses for comparison, & clear differences between complete-case & multiple imputation-based findings should lead to careful assessment of validity of methods	
Risk of bias	used. See Table C	Low / Moderate / Serious
judgement	See Table C	/ Critical / NI
Optional: What is	If the likely direction of bias can be	Favours
predicted direction	predicted, it is helpful to state this.	intervention /
of bias due to missing	Direction might be characterized	Favours control
data?	either as being towards (or away	/ Towards null /Away
	from) null, or as being in favour of	from null /
	one of interventions.	Unpredictable

Bias in measurement of outcomes		
6.1 Could outcome	Some outcome measures involve	Y/PY/PN/N/NI
measure have	negligible assessor judgment, e.g.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
been influenced by	all-cause mortality or non-	
knowledge of	repeatable automated laboratory	
intervention	assessments. Risk of bias due to	
received?	measurement of these outcomes	
	would be expected to be low.	
6.2 Were outcome	If outcome assessors were blinded	Y/PY/PN/N/NI
assessors aware of	to intervention status, answer to	, , ,
intervention received	this	
by study	question would be 'No'. In other	
participants?	situations, outcome assessors may	
	be	
	unaware of interventions being received by participants despite	
	there	
	being no active blinding by study investigators; answer this question	
	would then also be 'No'. In studies	
	where participants report their	
	outcomes themselves, for example	
	in questionnaire, outcome assessor	
	is study participant. In observational	
	study, answer to this	
	question will usually be 'Yes' when	
	participants report their outcomes	
	themselves.	
6.3 Were methods of	Comparable assessment methods	Y/PY/PN/N/NI
outcome	(i.e. data collection) would involve	.,,,
assessment	same outcome detection methods	
comparable across	& thresholds, same time point,	
intervention groups?	same	
miles remain Breaker	definition, & same measurements.	
6.4 Were any	This question refers to differential	Y/PY/PN/N/NI
systematic errors in	misclassification of outcomes.	.,,,
measurement of	Systematic errors in measuring	
outcome related to	outcome, if present, could cause	
intervention	bias if they are related to	
received?	intervention or to confounder of	
	intervention-outcome relationship.	
	This will usually be due either to	
	outcome assessors being aware of	
	intervention received or to non-	
	comparability of outcome	
	assessment methods, but there are	
	examples of differential	
	misclassification arising despite	
	these controls being in place.	
Risk of bias judgement	See Table C	Low / Moderate / Serious / Critical / NI
Optional: What is	If likely direction of bias can be	Favours
predicted direction	predicted, it is helpful to state this.	intervention /
of bias due to	Direction might be characterized	Favours control
measurement of	either as being towards (or away	/ Towards null /Away

	outcomes?	from) null, or as being in favour of	from null /
		one of interventions.	Unpredictable
Bias in selection	of reported result		
	Is reported effect estimate likely to be selected, on basis of results, from		
	7.1 multiple outcome measurements within outcome domain?	For specified outcome domain, it is possible to generate multiple effect estimates for different measurements. If multiple measurements were made, but only one or subset is reported, there is risk of selective reporting on basis of results.	Y/PY/PN/N/NI
	7.2 multiple analyses of intervention-outcome relationship?	Because of limitations of using data from non-randomised studies for analyses of effectiveness (need to control confounding, substantial missing data, etc), analysts may implement different analytic methods to address these limitations. Examples include unadjusted & adjusted models; use of final value vs change from baseline vs analysis of covariance; different transformations of variables; continuously scaled outcome converted to categorical data with different cut-points; different sets of covariates used for adjustment; & different analytic strategies for dealing with missing data. Application of such methods generates multiple estimates of effect of intervention versus control on the outcome. If analyst does not pre-specify methods to be applied, & multiple estimates are generated but only one or subset is reported, there is risk of selective reporting	Y/PY/PN/N/NI
	7.3 different subgroups?	on basis of results.  Particularly with large cohorts often available from routine data sources, it is possible to generate multiple effect estimates for different subgroups or simply to omit varying proportions of original cohort. If multiple estimates are generated but only one or subset is reported, there is risk of selective reporting on basis of results.	Y/PY/PN/N/NI
	Risk of bias judgement	See Table C	Low / Moderate / Serious / Critical / NI

Optional: What is	If likely direction of bias can be	Favours
predicted direction	predicted, it is helpful to state this.	intervention /
of bias due to	Direction might be characterized	Favours control
selection of reporte	d either as being towards (or away	/ Towards null /Away
result?	from) null, or as being in favour of	from null /
	one of interventions.	Unpredictable

Overall bias					
	Risk of bias	See	Low / Moderate / Serious		
	judgement	Table D	/ Critical / NI		
	Optional: What is		Favours		
	overall predicted		intervention /		
	direction of bias for		Favours control		
	this outcome?		/ Towards null /Away		
			from null /		
			Unpredictable		

Table B. Reaching risk of bias judgements in ROBINS-I: pre-intervention & at-intervention domains

Judgement	Bias due to confounding	Bias in selection of	Bias in classification of
		participants into study	interventions
Low risk of bias (study is comparable to a well-performed randomised trial with regard to this domain)	No confounding expected.	i) All participants who would have been eligible for target trial were included in study; & ii) For each participant, start of follow up & start of intervention coincided.	(i) Intervention status is well defined; & ii) Intervention definition is based solely on info collected at time of intervention.
Moderate risk of bias (study is sound for non randomised study with regard to this domain but cannot be considered comparable to well-performed randomised trial)	i) Confounding expected, all known important confounding domains appropriately measured & controlled for; & ii) Reliability & validity of measurement of important domains were sufficient, such that we do not expect serious residual confounding.	i) Selection into study may have been related to intervention & outcome; & Authors used appropriate methods to adjust for selection bias; or ii) Start of follow-up & start of intervention do not coincide for all participants; & a) proportion of participants for which this was case was too low to induce important bias; or b) authors used appropriate methods to adjust for selection bias; or c) review authors are confident that rate (hazard) ratio for effect of intervention remains constant over time.	i) Intervention status is well defined; ⅈ) Some aspects of assignments of intervention status were determined retrospectively.
Serious risk of bias (study has some important problems)	i) At least one known important domain was not appropriately measured, or not controlled for; or ii) Reliability or validity of measurement of important domain was low enough that we expect serious residual confounding.	i) Selection into study was related (but not very strongly) to intervention & outcome; & This could not be adjusted for in analyses; or ii) Start of follow up & start of intervention do not coincide; & A potentially important amount of follow-up time is missing from analyses;	i) Intervention status is not well defined; or ii) Major aspects of assignments of intervention status were determined in way that could have been affected by knowledge of outcome.

		& Rate ratio is not constant over time.	
Critical risk of bias (study is too problematic to provide any useful evidence on effects of intervention)	i) Confounding inherently not controllable or ii) Use of negative controls strongly suggests unmeasured confounding.	i) Selection into study was very strongly related to intervention & outcome; & This could not be adjusted for in analyses; or ii) Substantial amount of follow-up time is likely to be missing from analyses; & Rate ratio is not constant over time.	(Unusual) Extremely high amount of misclassification of intervention status, e.g. because of unusually strong recall biases.
No information on which to base a judgement about risk of bias for this domain	No information on whether confounding might be present.	No info is reported about selection of participants into study or whether start of follow up & start of intervention coincide.	No definition of intervention or no explanation of source of info about intervention status is reported.

Table C. Reaching risk of bias judgements in ROBINS-I: post-intervention domains

Judgement	Bias due to deviations from intended intervention	Bias due to missing data	Bias in measurement of outcomes	Bias in selection of reported result
Low risk of bias (study is comparable to a well-performed randomised trial with regard to this domain)	Effect of assignment to intervention: i) Any deviations from intended intervention reflected usual practice; or ii) Any deviations from usual practice were unlikely to impact on outcome.	i) Data were reasonably complete; or ii) Proportions of & reasons for missing participants were similar across intervention groups; or iii) Analysis addressed missing data & is likely to have removed any risk of bias.	i) Methods of outcome assessment were comparable across intervention groups; & ii) Outcome measure was unlikely to be influenced by knowledge of intervention received by study participants (i.e. is objective) or outcome assessors were unaware of intervention received by study participants; & iii) Any error in measuring outcome is unrelated to intervention status.	There is clear evidence (usually through examination of pre-registered protocol or statistical analysis plan) that all reported results correspond to all intended outcomes, analyses & subcohorts.
Moderate risk of bias (study is sound for non randomised study with regard to this domain but cannot be considered comparable to a well-performed randomised trial)	Effect of assignment to intervention: There were deviations from usual practice, but their impact on outcome is expected to be slight.  Effect of starting & adhering to intervention: i) There were deviations from	i) Proportions of & reasons for missing participants differ slightly across intervention groups; & ii) Analysis is unlikely to have removed risk of bias arising from missing data.	i) Methods of outcome assessment were comparable across intervention groups; & ii) Outcome measure is only minimally influenced by knowledge of intervention received by study participants; &	i) Outcome measurements & analyses are consistent with a priori plan; or are clearly defined & both internally & externally consistent; & ii) There is no indication of selection of reported analysis from among multiple analyses;

	intended		iii) Any error in	&
	intervention, but		measuring	iii) There is no
	their impact on		outcome is only	indication of
	outcome is		•	selection of
	expected		minimally related to	cohort or
	•		intervention	subgroups for
	to be slight.		status.	
			Status.	analysis &
	ii) Important co-			reporting on basis of results.
	interventions were not balanced			or results.
	across			
	intervention			
	groups, or there			
	were deviations			
	from intended			
	interventions (in terms of			
	implementation			
	and/or			
	adherence) which were likely			
	to impact on			
	outcome; &			
	Analysis was			
	-			
	appropriate to estimate effect of			
	starting &			
	adhering to			
	intervention,			
	allowing for			
	deviations (in			
	terms of			
	implementation,			
	adherence &			
	co-intervention) which were likely			
	to			
	impact on outcome.			
Serious risk of	Effect of	i) Proportions of	i) Methods of	i) Outcomes are
bias (study	assignment to	missing	outcome	defined in
has some	intervention:	participants differ	assessment were	different ways in
important	There were	substantially	not	methods
problems)	deviations from	across	comparable	& results sections,
productilist	usual practice	interventions;	across	or in
	which were	or	intervention	different
	unbalanced	Reasons for	groups;	publications of
	between	missingness differ	or	study;
	intervention	substantially	ii) Outcome	or
	groups & likely to	across	measure was	ii) There is igh risk
	have affected	interventions;	subjective (i.e.	of
	outcome.	&	vulnerable to	selective
		ii) Analysis is	influence by	reporting from
	Effect of starting	unlikely to have	knowledge of	among multiple
	& adhering to	removed risk of	intervention	analyses;
	intervention:		received by study	or
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	i) Important co-	bias arising from	participants);	iii) Cohort or
	interventions	missing data;	&	subgroup is
	were not balanced	or	Outcome was	selected from
	across	Missing data were	assessed by	larger study
	intervention	addressed	assessors	for analysis &
	groups, or there	inappropriately	aware of	appears to be
	were	in analysis;	intervention	reported on basis
	deviations from intended	or	received by study participants;	of results.
	interided interventions (in	nature of missing data means that	or	
	terms of	risk of bias cannot	(iii) Error in	
	implementation	be removed	measuring	
	and/or	through	outcome was	
	adherence)	appropriate	related to	
	which were likely	analysis.	intervention	
	to impact on		status.	
	outcome;			
	&			
	(ii) Analysis was			
	not appropriate			
	to estimate effect			
	of starting &			
	adhering to intervention,			
	allowing			
	for deviations (in			
	terms of			
	implementation,			
	adherence & co-			
	intervention)			
	which were likely			
	to			
	impact on			
Critical risk of	outcome.  Effect of	i) /Unusual) There	Methods of	i) There is
bias (study is		i) (Unusual) There were	outcome	evidence or
too problematic	assignment to intervention:	critical differences	assessment were	strong
to provide any	There were	between	so different	suspicion of
useful evidence	substantial	interventions in	that they cannot	selective
on effects of	deviations from	participants	reasonably	reporting of
intervention)	usual practice that	with missing data;	be compared	results;
	were unbalanced	&	across	&
	between	ii) Missing data	intervention	ii) Unreported
	intervention	were not, or	groups.	results are
	groups & likely to	could not, be		likely to be
	have affected	addressed		substantially
	outcome.	through appropriate		different from reported
	Effect of starting	analysis.		results.
	& adhering to	, 5.5.		
	intervention:			
	(i) There were			
	substantial			
	imbalances in			
	important co-			
	interventions			

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	across			
	intervention			
	groups, or there			
	were substantial			
	deviations from			
	intended			
	interventions (in			
	terms of			
	implementation			
	and/or			
	adherence)			
	which were likely			
	to impact on			
	outcome;			
	&			
	ii) Analysis was			
	not appropriate			
	to estimate effect			
	of starting &			
	adhering to			
	intervention,			
	allowing for			
	deviations (in			
	terms of			
	implementation,			
	adherence & co-			
	intervention)			
	which were likely			
	to			
	impact on			
	outcome.			
No info	No info is	No info is	No info is	There is too little
on which to base	reported on	reported about	reported about	info to make
a judgement	whether there is	missing data or	methods of	judgement (for
about risk of bias	deviation from	potential for data	outcome	example, if only
for this domain	intended	to be missing.	assessment.	abstract is
	intervention.			available for
				study).
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Table D. Interpretation of domain-level & overall risk of bias judgements in ROBINS-I\*

Judgement	Within each domain	Across domains	Criterion
Low risk of bias	Study is comparable to	Study is comparable to	Study is judged to be at
	well-performed	well performed	low risk of bias for all
	randomised trial with	randomised trial.	domains.
	regard to this domain.		
Moderate risk of bias	Study is sound for non-	Study provides sound	Study is judged to be at
	randomised	evidence for a	low or moderate risk
	study with regard	non-randomised study	of bias for all domains.
	to this domain but	but cannot be	
	cannot be considered	considered comparable	
	comparable to a	to well-performed	
	well-performed	randomised trial.	
	randomised trial.		
Serious risk of bias	Study has some	Study has some	Study is judged to be at
	important problems in	important problems.	serious risk of bias in
	this domain.		at least one domain, but
			not at critical risk of bias
			in any domain.
Critical risk of bias	Study is too problematic	Study is too problematic	Study is judged to be at
	in	to provide	critical risk of bias in
	this domain to provide	any useful evidence &	at least one domain.
	any useful evidence on	should not be included	
	effects of intervention.	in any synthesis.	
No info No info on which	No info on which to base	No infon on which to	There is no clear
to	judgement about risk of	base judgement about	indication that study is
	bias for this domain.	risk of bias.	at serious or critical risk
			of bias & there is lack of
			information in one or
			more key domains of
			bias (a
			judgement is required
			for this).

<sup>\*</sup>Also saved as table 2 in main article.