



APPENDIX 11 to WHITE Platform Master Protocol

World Hip Trauma Evaluation 11

Fix or Replace Undisplaced Intracapsular fractures Trial of Interventions (FRUITI)

This appendix must be read with the accompanying WHiTE Platform Master Protocol. This appendix describes <u>only the additional details</u> relevant to the conduct of this particular randomised comparison within the context of the overarching master protocol.



This comparison is funded by the National Institute for Health Research (NIHR) Health technology Assessment Programme (128399). The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care.

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 1 of 27

Appendix 11 : World Hip Trauma Evaluation – FRUITI: Fix or Replace Undisplaced Intracapsular fractures Trial of Interventions

Short title: WHITE 11- FRUITI

NIHR HTA Ref: 128399

Date and Version No: V3.0 28Apr2021

Lead Investigator:	Xavier L Griffin
	NDORMS, University of Oxford
Investigators:	Juul Achten, University of Oxford
	Matthew Costa, University of Oxford
	David Keene, University of Oxford
	Duncan Appelbe, University of Oxford
	Richard Grant, Public and Patient Involvement
	Tim Chesser, North Bristol NHS Trust
	Antony Johansen, Cardiff and Vale University Health Board
	Susan Dutton, University of Oxford
	Elsa Marques, University of Bristol
	Rafael Pinedo-Villanueva, University of Oxford
Funder:	National Institute for Health Research (NIHR) Health Technology Assessment Programme

We declare no conflicts of interest.

TABLE OF CONTENTS

1	APPENDIX AMENDMENT HISTORY				
2	KEY CONTACTS				
3	LAY SUMMARY7				
4	FRU	ΙΤΙ SY	NOPSIS	8	
5	ABB	REVI	ATIONS	11	
6	BAC	KGRC	DUND AND RATIONALE	13	
	6.1	Wha	at is the clinical problem being addressed?	13	
	6.2	How	v does the existing literature support this proposal?	13	
	6.3	Nee	d for this comparison	13	
7	OBJI	ΕϹΤΙν	/ES AND OUTCOME MEASURES	14	
	7.1	Prim	nary objective	14	
	7.2	Seco	ondary objectives	14	
	7.3	Lon	g-term objective	14	
	7.4	Out	come Measures	14	
	7.4.3	1	Primary	14	
	7.4.2	2	Secondary	14	
8	DES	IGN		15	
	8.1	Con	cept	15	
	8.1.3	1	Internal Pilot	15	
	8.1.2	2	Main phase	16	
	8.1.3	3	Long-term follow-up	16	
9	STU	DY PF	ROCEDURES	16	
	9.1	PAR	TICIPANT IDENTIFICATION	17	
	9.1.3	1	Comparison participants	17	
	9.1.2	2	Inclusion criteria	17	
	9.1.3	3	Exclusion criteria	17	
	9.2	Con	sent	17	
	9.3	Ran	domisation	17	
	9.4	Bline	ding	17	
	9.5	Dese	cription of the randomised treatments	17	
	9.5.3	1	Preoperative assessments	18	
	9.5.2	2	Anaesthetic technique	18	
	9.5.3	3	Surgical treatment	18	
	9.5.4	4	Early post-operative care	18	
©		ght: Th	h Protocol Template version 15.0 CONFIDENTIAL ne University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019		

9.6	Ass	sessments	19		
9.6	5.1	Schedule of assessments	19		
9.6	5.2	Visits and Contacts	21		
9.7	Def	finition of End of Study	21		
10 SA	FETY I	REPORTING	21		
10.1	Rela	ated and expected Serious Adverse Events	21		
11 ST/	ATISTI	ICS & ANALYSES	21		
11.1	San	nple Size Determination	21		
11.2	Ana	alysis Populations	22		
11.3	The	e Level of Statistical Significance	22		
11.4	Lon	ng-term analyses	22		
11.5	Dec	cision Points	23		
12 DIS	SSEMI	INATION POLICY	24		
13 RE	FEREN	NCES	25		
14 AN	4 ANNEX A: FLOW CHART				

1 APPENDIX AMENDMENT HISTORY

Amendment No.	Protocol Version No.	Date issued	Author(s) of changes	Details of Changes made
Initial submission	2.0	08Jan2021	Stephanie Wallis	Clarification that protocol appendix must be used with Master Protocol.
			Elsa Marques	Addition of Health Economics analysis
AM 02	3.0	28Apr2021	Susan Dutton	Clarification of the sample size for the
				comparison

2 KEY CONTACTS

Lead Investigator	Professor Xavier Griffin		
	Xavier.griffin@ndorms.ox.ac.uk		
	01865 223116		
	Kadoorie Centre, NDORMS, University of Oxford, John Radcliffe Hospital,		
	Headley Way, Oxford, OX3 9DU		
Comparison Manager	Stephanie Wallis		
	white11-fruiti@ndorms.ox.ac.uk		
	01865 223111		
	Kadoorie Centre, NDORMS, University of Oxford, John Radcliffe Hospital,		
	Headley Way, Oxford, OX3 9DU		
Funder(s)	National Institute for Health Research, NIHR Evaluation, Trials and		
	Studies Coordinating Centre (NETSCC), University of Southampton,		
	Alpha House, Enterprise Road, Southampton SO16 7NS, 023 8059 5710		
Senior Statistician	Mrs Susan Dutton, susan.dutton@csm.ox.ac.uk		
Committees	Comparison Management Crown		
Committees	Comparison Management Group		
	Xavier Griffin		
	Matthew Costa		
	Juul Achten		
	Duncan Appelbe		
	Stephanie Wallis Mae Chester-Jones		
	Heather O'Connor		
	Susan Dutton		
	Amrita Athwal		
	David Keene		
	Richard Grant		
	Tim Chesser		
	Antony Johansen		
	Elsa Marques		
	Rafael Pinedo-Villanueva		

3 LAY SUMMARY

Every year around 70,000 people in the UK break their hip. Hip fractures are a common and very serious injury in older patients, similar in impact to a major stroke. We will investigate two treatments for one specific type of hip fracture. Currently, surgeons either repair the fracture with screws or remove and replace the broken piece of bone, but we do not know which is better for patients.

We will examine whether either fixing the broken bone or replacing the hip joint gives a better result for people 60 years and over with hip fractures from at least 40 hospitals across the UK. We want to look at how well people feel and how active they are following their fracture. We will also work out the cost of the two treatments – for the individual, for the health service and in terms of social support in the year following the fracture.

A pilot study in a smaller group of hospitals will look closely at our approach to this work – to check that enough people will want to take part in the full study. This will allow us to improve the study processes of the larger study before we get started. All the information from this pilot study will be included in the main study.

To compare the two treatments properly we need 878 people to take part. Over a third of people with a broken hip have memory problems, and as they can struggle to recover from this injury, we plan to include them in this study. If people agree to take part, they will be allocated using a process called randomisation which makes sure that the groups are similar and the comparison between the two treatments is fair. Before and after their operation all the patients will have the usual ward care, rehabilitation and follow up that is standard practice at their hospital.

We will ask patients about their health, pain, walking ability and other daily activities, as well as any complications and specific costs. Their answers will be collected at the outset, and at 6 weeks, 4 months and 1 year after confirmed diagnosis of their hip fracture, and the results from the two groups compared. A few questions will be asked each year for five years to find out about any longer-term effects. We will also ask people for their permission to use de-identified information, which means that it is unlikely that we can identify them from the records received, from national databases that are already being routinely collected.

This study falls under the WHITE Platform framework (see master protocol) and has been developed by a team of patient representatives, clinical experts in trauma orthopaedics, study management specialists, experienced statisticians and health economists. The Oxford Clinical Trials Research Unit, based at the University of Oxford, will assure the quality of the study. A monitoring committee of patient representatives and independent experts will oversee the progress and conduct of the study.

4 FRUITI SYNOPSIS

Comparison title	World Hip Trauma Evaluation – FRUITI: Fix or Replace Undisplaced Intracapsular fractures Trial of Interventions			
Short title	WHITE 11 - FRUITI			
Registration	The study has been registered with the current controlled trials database under reference number; NIHR CRN Portfolio: TBC.			
Funder of FRUITI comparison	Department of Health – NII	HR HTA Programme		
Design	Pragmatic, multicentre, two parallel economic analyses will be achieved using patie data at 5 years.	follow-up to one year. L	onger term follow-up	
Participants	Adults aged 60 years and on the treating surgeon may b specific FRUITI eligibility cri	enefit from surgical trea	-	
Sample Size	878			
Comparison Duration	Total length of project: 107 months; set-up 5 months, 36 months recruitment, 60 months follow-up, 6 months analysis and report writing. Participants will be initially followed up for 12 months post-treatment. Long-term follow up will consist of annual participant follow-up and linkage to routinely collected healthcare databases for a further 4 years.			
Recruitment period	Mar 2021 – Apr 2024			
FRUITI Outcomes (additional to the common outcome set specified in the master protocol)	FRUITI Objectives	Instruments	Timepoint(s)	
Primary	To quantify differences in health-related quality-of- life (HRQoL) at 4 months post-diagnosis between the treatment groups (internal fixation versus hip replacement)	EuroQol 5 Dimensions 5 levels (EQ-5D-5L)	4 months post- diagnosis of hip fracture	
Secondary	1) To quantify differences in HRQoL at 6 weeks and 12 months post-diagnosis between the treatment groups, and to derive a one-year quality-adjusted life year (QALY) for patients in both arms and estimate the differences between the treatment groups	EuroQol 5 Dimensions 5 levels (EQ-5D-5L)	6 weeks and 12 months post- diagnosis of hip fracture Event-based (complication) reporting	
	2) To quantify differences in objective mobility at 6 weeks post-diagnosis between the treatment groups	Short Physical Performance Battery (SPPB)	6 weeks post- diagnosis of hip fracture	

	 3) To quantify differences in pain at 6 weeks, 4 months and 12 months post-diagnosis between the treatment groups 4) To quantify differences in mortality between the treatment groups within the first 12 months post- diagnosis between the treatment groups 5) To quantify differences in subjective walking performance at 6 weeks, 4 months and 12 months post-diagnosis between 	Pain verbal rating scale (VRS) Death notification CRF NHFD - mobility questions	Baseline, 6 weeks, 4 and 12 months post- diagnosis of hip fracture As required Baseline, 6 weeks, 4 and 12 months post- diagnosis of hip fracture
	the treatment groups 6) To quantify differences in residential status at 6 weeks, 4 months and 12 months post-diagnosis between the treatment	NHFD – residential status questions	Baseline, 6 weeks, 4 and 12 months post- diagnosis of hip fracture
	groups 7) To investigate the risk of complications between the treatment groups in the first 12 months post- diagnosis between the treatment groups	Complications CRF, medical records check	Patient: 6 weeks, 4 and 12 months post- diagnosis of hip fracture Medical record: discharge and 12 months post- diagnosis of hip fracture
	8) To quantify the differences in costs and incremental cost- effectiveness between the treatment groups over the first 12 months post- diagnosis between the treatment groups	Review of hospital medical notes complemented by patient-completed resource use questionnaire	Patient: Baseline, 4 and 12 months post- diagnosis Medical record: Hospital discharge, and 12 months post- diagnosis
Long-term (to be reported separately)	 9) To investigate the difference in event risks for mortality and complications, as well as associated costs and health utilities between the treatment groups over 5 years. 	EQ-5D, complications CRF Routinely collected data (RCD)	Patient: Yearly, up to 5 years post- diagnosis RCD: 5 years post- diagnosis
Treatment	Arthroplasty: Hemiarthropla surgical approach, implant a operating surgeon.	• • • •	• •

Comparator	Internal fixation: Sliding hip screw or cannulated screws. Fixation will be
	achieved using a technique and implant chosen by the operating surgeon.

5 ABBREVIATIONS

AE	Adverse Event
АТОСР	Association of Trauma and Orthopaedic Chartered Physiotherapists
воа	British Orthopaedic Association
СІ	Chief Investigator
CLAHRC	Collaborations for Leadership in Applied Health Research and Care
CRF	Case Report Form
СТ	Clinical Trials
СТА	Clinical Trials Administrator
CTRG	Clinical Trials and Research Governance
DC	Data Clerk
DSMC	Data and Safety Monitoring Committee
EAS	Episode-based Activity Statistics
eCRF	Electronic Case Report Form
ED	Emergency Department
EFORT	European Federation of National Associations of Orthopaedics and Traumatology
elSF	Electronic Investigator Site File
EQ-5D-5L	EuroQol 5 Dimension 5 Level
GCP	Good Clinical Practice
GDPR	General Data Protection Regulation
GP	General Practitioner
НЕАР	Health Economics Analysis Plan
HES	Hospital Episode Statistics
HRA	Health Research Authority
HRQoL	Health Related Quality of Life
НТА	Health Technology Assessment
ICD	International Statistical Classification of Diseases and Related Health Problems
ICF	Informed Consent Form
ICH	International Conference on Harmonisation
ITT	Intention to Treat
INMB	Incremental Net Monetary Benefit
MCAR	Missing completely at random
MCID	Minimal Clinically Important Difference
NDORMS	Nuffield Department of Orthopaedics, Rheumatology and Musculoskeletal Sciences

NHFD	National Hip Fracture Database	
NHS	National Health Service	
NICE	National Institute for Health and Care Excellence	
NIHR	National Institute for Health Research	
NIHR BRC	NIHR Biomedical Research Centre	
NIHR HTA	NIHR Health and Technology Assessment	
OA	Osteoarthrosis	
OCTRU	Oxford Clinical Trials Research Unit	
OPCS	Office of Population Censuses and Surveys	
ΟΤΑ	Orthopaedic Trauma Association	
OTS	Orthopaedic Trauma Society	
PEDW	Patient Episode Database for Wales	
PI	Principal Investigator	
PIL	Participant/ Patient Information Leaflet	
QALY	Quality Adjusted Life Year	
R&D	NHS Trust R&D Department	
RCD	Routinely collected data	
REC	Research Ethics Committee	
SAE	Serious Adverse Event	
SAP	Statistical Analysis Plan	
SDV	Source Data Verification	
SMF	Study Master File	
SMG	Study Management Group	
SOP	Standard Operating Procedure	
SPPB	Short Physical Performance Battery	
SSC	Study Steering Committee	
VRS	Verbal Rating Scale	
WHITE	World Hip Trauma Evaluation	

6 BACKGROUND AND RATIONALE

6.1 What is the clinical problem being addressed?

Undisplaced, or minimally displaced intracapsular fractures represent approximately ten to fifteen per cent of all hip fractures.¹ In these fractures, the proximal femur is broken at the level of the neck, but the fracture fragments have remained anatomically aligned. Conventional treatment to stabilise the fracture with internal fixation is a quick procedure with minimal blood loss and has the key advantage of preserving the person's own hip joint.²⁻⁴ However, healing can be unsuccessful in many patients, requiring revision surgery in up to 30% of cases.^{3 4} For this reason hip replacement, where the fractured part of the bone is removed, may be preferred as the primary treatment. Hip replacement surgery eliminates the risk of fixation failure as the femoral neck is replaced. However, it is a more complex operation than internal fixation with very significant complications of its own, including a greater risk of infection, dislocation and periprosthetic fracture.²

6.2 How does the existing literature support this proposal?

Recent international cohort and registry observational studies have demonstrated that clinical practice remains variable worldwide for minimally displaced intracapsular fractures. These studies also suggest that adverse event risks, such as the need for further surgery, are higher amongst people treated with internal fixation. One small, underpowered, randomised controlled trial from China comparing the two interventions reported a four-fold difference in reoperation risks (hazard ratio 4.7, 95%Cls 1.0-21, p=0.049).⁵ There was no evidence of a difference in patient-reported hip function at final follow-up but there was evidence of significant benefit of hip replacement in the early post-operative period. A similar small study conducted in Norway found similar risk ratios for reoperation but also a possible survival benefit in favour of hip replacement (control risk 36%, risk ratio 0.4 95%Cls -0.1-1.1).⁶

Overall, there is evidence of a trend for a difference in outcomes between the two interventions but no definitive trial which is generalisable to NHS practice from which to draw conclusions.

6.3 Need for this comparison

NICE updated the guidance for hip fracture management in May 2017.⁷ The committee were unable to offer a recommendation for minimally displaced intracapsular hip fractures of either internal fixation or hip replacement due to the lack of sufficient evidence. A research recommendation exploring the clinical and cost-effectiveness of treatments for minimally displaced fractures was prioritised by the committee.

The Orthopaedic Trauma Society and Research Committee of the British Orthopaedic Association has also identified this research area as a priority. A working group at the Orthopaedic Trauma Society 2017 Conference (Annual Meeting, Warwick 2017) reached consensus for a definition of minimally displaced hip fractures for which true equipoise was identified across the Society which has been carried forward into this design.

With this substantial burden of disease, and uncertainty in the clinical and cost-effectiveness of the technologies, there is a need to definitively test if there is a difference in outcomes for adults aged 60 years and older with a minimally displaced intracapsular hip fracture treated with internal fixation or hip replacement.

7 OBJECTIVES AND OUTCOME MEASURES

7.1 Primary objective

To quantify differences in health-related quality-of-life (HRQoL) at 4 months post-diagnosis of a hip fracture between the treatment groups.

7.2 Secondary objectives

- 1. To quantify differences in HRQoL at 6 weeks and 12 months post-diagnosis between the treatment groups.
- 2. To quantify differences in objective mobility at 6 weeks post-diagnosis between the treatment groups.
- 3. To quantify differences in pain at 6 weeks, 4 months and 12 months post-diagnosis between the treatment groups.
- 4. To quantify differences in mortality within the first 12 months post-diagnosis between the treatment groups.
- 5. To quantify differences in subjective walking performance at 6 weeks, 4 months and 12 months post-diagnosis between the treatment groups.
- 6. To quantify differences in residential status at 6 weeks, 4 months and 12 months post-diagnosis between the treatment groups.
- 7. To investigate the risk of complications in the first 12 months post-diagnosis between the treatment groups.
- 8. To quantify the differences in costs and incremental cost-effectiveness over the first 12 months post-diagnosis between the treatment groups.

7.3 Long-term objective

To investigate the difference in event risks for mortality, all-cause revision surgery (including conversion of fixation into replacement, operations for dislocation and infection) as well as associated costs and utilities for each treatment group over the first 5 years post-diagnosis.

This objective will be reported separately from the main objectives to allow for primary outcomes to be disseminated in a timely manner.

7.4 Outcome Measures

The common outcome data described in the Master Protocol will be collected and augmented with additional data collection at 6 weeks, 4 and 12 months post-diagnosis. Health-related quality of life, complications and RCD will be collected annually for a further four years.

7.4.1 Primary

Health-related quality-of-life. The primary outcome measure is the EuroQol EQ-5D-5L⁸ index at 4 months post hip fracture diagnosis.

7.4.2 Secondary

In addition to the common outcome instruments, described in the master protocol, objective mobility and pain will also be collected:

Objective mobility: The Short Physical Performance Battery (SPPB) at 6 weeks will provide an objective measure of mobility and physical performance.

The SPPB is a multi-component test instrument for assessing mobility in older adults, scoring ability in chair rise speed, walking speed, and timed balance tests.⁹ Each test performance is given a 0-4 ordinal score, based on extensively validated cut-points. A zero score indicates the participant tried but was unable, or the assessor or participant deemed it unsafe to attempt it, or the participant was unable for other health reasons. This means that, unlike most physical capacity tests, even the frailest study participants, for example those with cognitive impairment, can still register a meaningful score. Scores from the three tests are summed, resulting in SPPB scores from 0 to 12. The SPPB developers have produced detailed guidance on the testing procedures, enabling reliable application in the hospital, homes and other care settings. The SPPB will be administered by a member of the research team.

In order to check the fidelity of the administration of this instrument, sites will be required to submit two video recordings of the SPPB. The first recording will be of a practice session, during site training, and feedback will be given to the team following this session. The second recording will be of the first assessment with a participant. Verbal consent for this video recording will be obtained from the participant at the beginning of the recording. The trial team will assess the fidelity of the SPPB using an agreed checklist defined within a trial specific instruction.

Pain: Participants or their proxy will report the pain verbal rating scale (VRS), a five responses ordinal scale (1-5) measuring pain;¹⁰ responses are labelled "no pain," "slight pain," "moderate pain," "severe pain," and "unbearable pain" where 1 indicates "no pain" and 5 "unbearable pain". It has been validated in patients with hip fracture with good response rates, including from patients with cognitive impairment; it is reliable and sensitive in this patient group.

8 DESIGN

8.1 Concept

This is a randomised comparison embedded within the overarching WHITE Platform testing clinical superiority between the treatment groups with a parallel economic analysis. The analyses are split into those reporting participant follow-up through to one year and long-term follow-up at five years post-diagnosis. The long-term analysis will depend upon routinely collected data and annually collected health-related quality of life data and will be reported separately. The primary outcome is the EQ-5D-5L⁸ at four months post-diagnosis. Participants will be allocated using a 1:1 random allocation, stratified by recruitment centre.

This will be a three-phased study. Phase 1 (internal pilot) will confirm the expected rate of recruitment in 15 UK hospitals. Phase 2 (main phase) will extend the randomised comparison to a minimum of 40 UK hospitals. Phase 3 (long-term follow-up) will assess outcomes and costs for consenting participants via linkage to routine NHS datasets and by annual questionnaires.

8.1.1 Internal Pilot

The pilot will take place at 15 recruitment centres over a period of nine months. The aim of this initial phase will be to determine the number of eligible and recruited patients in the recruitment centres over the course of nine months.

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 15 of 27 Screening logs will be kept at each recruitment centre to determine the number of patients assessed for eligibility and reasons for any exclusion. The number of eligible and recruited patients, and the number of patients who decline consent or withdraw will be recorded. The Data and Safety Monitoring (DSMC) and Platform Oversight Committees (POC) will closely monitor recruitment during the feasibility phase and review the assumptions regarding the distribution of the primary outcome data in order to make a recommendation regarding continued progress of the comparison against the specified stop/go criteria (see section 11.5). If the trial is stopped after the pilot phase, then all trial participants will be followed up as per protocol. If the trial continues into the main phase, participants from the internal pilot will be included in the final analysis.

8.1.2 Main phase

During the main comparison phase, patients will be recruited for a further 29 months from a minimum of 25 additional centres, bringing the minimum number of recruitment centres to 40 across the UK.

Participants will be allocated on a 1:1 basis to either fixation or hip replacement treatments. Both of these treatments are routinely used within the NHS. Clinical teams across the NHS are very familiar with both treatments.

Assessments will include all those described in the Master protocol, augmented with additional data relevant to this specific randomised comparison. In summary:

Baseline demographic data will be collected as per the requirements in the master protocol (see section 12.2). When the patient is discharged from hospital, the local research team will check the participant medical records for any early complications.

At 6 weeks post-diagnosis, the majority of patients with this injury are routinely followed up in clinic (this may be face-to-face or virtual). Participants will be asked to complete the EQ-5D-5L⁸ and answer questions about their mobility, pain and residential status. At this time the SPPB test⁹ will be performed by a member of the local research team. For those patients unable to attend hospital, this assessment will be made at the participant's (care) home.

In addition to the data being collected at 4 months post-diagnosis to satisfy the platform outcomes (see master protocol), a pain verbal rating scale (VRS) will be collected. This same combination of questionnaires will be collected at 12-months post-diagnosis. Additionally, at 12 months a second review of medical notes will allow for the collection of further complications and contacts with the treating hospital. Routinely obtained radiographs relating to the hip fracture up until 12 months post-diagnosis will be collected.

8.1.3 Long-term follow-up

Linkages to routinely collected health care databases, national audits and registries of deaths will allow the collection of late adverse events and secondary care costs over a lifetime horizon and will initially be reported at five years following index treatment. Annual EQ-5D-5L and patient-reported complication data will be collected. This long-term follow-up will be reported separately to the main report.

9 STUDY PROCEDURES

A study flow chart is shown in Annex A.

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 16 of 27

9.1 PARTICIPANT IDENTIFICATION

9.1.1 Comparison participants

A subset of participants in the overarching WHiTE platform will be eligible for this randomised comparison.

9.1.2 Inclusion criteria

• A minimally displaced intracapsular hip fracture, that in the opinion of the treating surgeon may benefit from surgical treatment.

9.1.3 Exclusion criteria

The participant is not eligible if ANY of the following apply:

- The fracture is only apparent on cross-sectional imaging.
- In the opinion of the treating surgeon the fracture cannot be fixed without a reduction manoeuvre.
- The fracture is complicated by local tumour deposits.
- There is clinically relevant pre-existing osteoarthrosis (OA) of the ipsilateral hip joint.

The incidence of severe OA in patients sustaining minimally displaced fractures is extremely rare (less than 5%).¹³ Patients will be assessed by the treating surgeon to determine if there is clinically significant preexisting functional pain that limits their mobility.

9.2 Consent

Patients will be presumed to have capacity unless established otherwise and the default will be to seek prospective individual consent from every patient. Where patients do not have capacity, those procedures laid down in Section 11.3 of the Master Protocol will apply.

With regards to these provisions, the randomised comparison described in this appendix is **not** a clinical trial of an investigational medicinal product.

9.3 Randomisation

Randomisation will be as per section 11.5 of the platform protocol. Randomisation will be on a 1:1 basis to arthroplasty or internal fixation, stratified by recruitment centre. The allocation sequence will be generated by the trial statistician. Full details will be stored in a separate randomisation and blinding plan stored in the confidential statistics section of the trial master file.

Randomisation will be performed as close to the start of surgery as possible to avoid the risk of postponement of surgery or moving to a different theatre list.

9.4 Blinding

This will be a pragmatic study so that the treating clinical team cannot be blinded to the treatment allocation. The outcome data will be collected from participants and entered onto the study central database by a research assistant in the study central office to reduce the risk of assessment bias. The participants in this comparison will not be informed which of the two treatments they have received. No formal assessment of the success or otherwise of the blinding will be made.

9.5 Description of the randomised treatments

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 17 of 27

9.5.1 Preoperative assessments

Participants will usually be assessed in the Emergency Department. Diagnosis of a hip fracture will be confirmed by a plain radiograph, as per routine clinical care. Supplementary imaging will be at the discretion of the treating clinical team. Routine investigations, anaesthetic assessment, antibiotic and venous thromboembolic prophylaxis will be used as per local policy.

9.5.2 Anaesthetic technique

A regional or general anaesthesia technique will be used for every participant as per routine clinical care. Intra-operative analgesia may be achieved by combining a local anaesthetic nerve block using either a nerve stimulator or ultrasound-guided technique, IV paracetamol 1g intravenous infusion and opiate analgesia as clinically indicated. Details of the anaesthetic technique will be recorded in the study CRF.

9.5.3 Surgical treatment

All participants will receive perioperative prophylactic antibiotics in accordance with current protocols agreed at each centre. Appropriate preparation, positioning and anaesthetic technique will be left to the discretion of the clinical team as per their normal clinical practice. Resources related to delivering treatment in both arms will be collected in study case report forms, including type of intervention received, admission and discharge date, complications and further treatments required. Participants will be randomly allocated to one of the treatment arms:

INTERNAL FIXATION

Internal fixation is usually performed using either a sliding hip screw or cannulated screws, with a recent trial showing no difference in outcome.¹⁴ We will take a pragmatic approach, allowing surgeons to follow their usual practice for internal fixation.

Intraoperative fluoroscopy will be used to confirm that the fracture has not significantly displaced. The fracture will be fixed in-situ with no attempt to manipulate the fracture by closed or open means. Fixation will be achieved using a technique and implant chosen by the operating surgeon.

HIP REPLACEMENT

One of two types of hip replacement may be offered to people with hip fractures - hemiarthroplasty and total hip arthroplasty (THR). Patient position, surgical approach, implant and surgical technique will be chosen by the operating surgeon.

Details of the operation will be recorded in the study CRF.

9.5.4 Early post-operative care

All participants will be under the care of a multi-disciplinary team with input from a physician with an interest in hip fracture.

After surgery, key aspects of initial rehabilitation will be standardised; all participants will:

- 1. be encouraged to fully weight bear, and
- 2. attempt mobilisation on the day of, or first day after, surgery with a therapist.^{7 15}

The local multi-disciplinary team will be responsible for delivering rehabilitation and managing onward referral and discharge planning as per usual practice, according to local care pathways.

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 18 of 27 The intensity and content of rehabilitation sessions provided within the acute hospital and in other inpatient settings or the community will be according to individual needs of the participant, within local resources. Details of this rehabilitation will be recorded in the study CRF.

9.6 Assessments

9.6.1 Schedule of assessments

The overall schedule of assessments, including the common outcome set and the additional outcomes measured for this comparison, and methods for data collection are described in the table below:

Time Point	Data	Source	Setting
Baseline	 i) Demographics ii) Relevant medical history iii) Injury details <i>Pre-injury:</i> iv) EQ-5D v) Residential status vi) Subjective mobility status vii) Resource use 	Participant or proxy & medical record	Acute inpatient - face to face; medical record review
Up to point of discharge	 i) Treatment details including: a) Initial mobilisation details* b) Rehabilitation details* ii) Resource provision iii) Early complications 	Medical records & therapist	Acute inpatient; Medical record review
6 weeks post- diagnosis*	i) EQ-5D ii) SPPB iii) Pain VRS iv) Complications v) Residential status vi) Subjective mobility status	Participant or proxy	Outpatient clinic OR domiciliary visit - face to face
4 months post-diagnosis	 i) EQ-5D ii) Pain VRS* iii) Complications iv) Residential status v) Subjective mobility status vi) Resource use 	Participant or proxy & medical record	Telephone, online or postal
12 months post- diagnosis*	 i) EQ-5D ii) Pain VRS iii) Complications iv) Residential status v) Subjective mobility status vi) Resource use vii) Routinely collected radiographs 	Participant or proxy & medical record and radiographs	Telephone, online or postal; medical record review
Yearly, up to 5 years post- diagnosis*	i) Mortality ii) Revision surgery iii) EQ-5D iv) Complications	i) & ii) Routinely collected hospital administrative databases (inpatient & emergency care); civil registers of deaths	i) & ii) NHS Digital, NHS Wales Informatics Service, Dept of Health (Northern Ireland) iii) Telephone, online or postal

Clinical Research Protocol Template version 15.0 CONFIDENTIAL

© Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 19 of 27

		iii) Participant or proxy	
Event driven – reporting of a related admission to hospital*	i) EQ-5D	Participant or proxy	Telephone, online or postal

Table 1: Assessment schedule, instruments and means of collection.

*Key: *indicates measurement timepoint or data collected is in addition to the Platform Common Dataset specified in the master protocol*

9.6.2 Visits and Contacts

Contact 1: Details of the baseline contact are described in the Master Platform Protocol.

Contact 2: All participants will be invited for face to face or remote follow-up for a routine clinical checkup at approximately 6 weeks post-surgery. It is expected that approximately 60-70% of patients will be able to attend this appointment, with the remaining patients unable to as they are either still in a hospital setting or due to cognitive impairment and/or mobility. For those patients attending hospital a member of the local research team will perform the SPPB test with the patient and ask them to complete the required questionnaires. A 'mobile' research associate will visit those patients unable to attend, at their current place of residence to complete the SPPB test and questionnaires.

Contacts 3-8: Further follow-ups at 4, 12, 24, 36, 48 and 60 months post-diagnosis, will be completed with the participant or a proxy either via telephone interviews by a member of the central research team, or through electronic means depending on choice expressed by the participant or proxy at the time of consent/declaration. All de-identified routinely acquired radiographs up until 12 months will be collected and transferred to the central study team.

Event-driven contact: Reporting of an expected and related, local SAE (a related local complication - as defined in Section 10.1 of this appendix) by either the site staff, participant or proxy, will trigger collection of additional EQ-5D assessments. For participants yet to complete the first 4 months of data collection this will be a single additional EQ-5D report recorded by the site staff or participant/proxy as soon as possible after the event has been reported. For participants who have completed 4 months of data collection, this will be the index EQ-5D report (at the time of the event report) and two subsequent reports 4 and 8 weeks later.

9.7 Definition of End of Study

The end of comparison is the point at which the follow up of the last participant has been completed, all the data has been entered and all queries have been resolved. The last direct data collection will be at five years. The Sponsor and main Research Ethics Committee will be notified in writing within 15 days if the comparison has been concluded or terminated early.

10 SAFETY REPORTING

Safety reporting for each participant will begin from the time of consent and will end when the participant has reached their final follow up time point, at 5 years post-diagnosis. Investigators should follow up serious adverse events until resolved or the participant reaches 5 years post-diagnosis.

All unexpected serious adverse events (SAEs) are to be reported according to the guidelines specified in section 15 of the Master Protocol.

10.1 Related and expected Serious Adverse Events

These will be as per the master protocol.

11 STATISTICS & ANALYSES

11.1 Sample size determination

The sample size for this study will be 878 participants.

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 21 of 27 Evidence on EQ-5D-3L utility values in similar patients is available from trials performed within the WHITE cohort and WHITE trials.^{17 18} These data suggest that the standard deviation for EQ5D-3L at 4 months postdiagnosis is approximately 0.3. The best evidence we have for an appropriate minimum clinically important difference (MCID) is for EQ-5D-3; published estimates for MCID are in the range 0.05 to 0.085,¹⁹ so an upper limit for the standardised effect size in hip fracture of approximately 2.8 or a 'small to moderate effect' based on Cohen's criteria.²⁰

Assuming that the EQ-5D-5L at 4 months post-diagnosis has an approximate normal distribution, which is reasonable,^{17 18 21} and a 1:1 allocation ratio, then if the true difference between the mean EQ-5D-5L in the two groups is 0.085, and the standard deviation is 0.3, we will need to recruit 263 participants in each group to be able to reject the null hypothesis that the population means are equal with 90% power and 5% (2-sided) significance. In a similar population from the WHiTE 3¹⁸ and WHiTE 4²² trials loss to follow-up was considerable, with 10% due to death prior to the four months timepoint, 20% for other types of loss to follow-up and 20% post-randomisation withdrawals (due to participants declining consent on the recovery of capacity – see paragraph 9.2). As deaths can be incorporated into the utility scores, with a score of 0, we would assume that 60% of recruited study participants will provide the primary outcome. In summary, a minimum of 526 participants with primary outcome data are required to allow us to detect a difference of 0.085 assuming a standard deviation of 0.3 with 90% power and a 5% 2-sided significance. To ensure this while allowing for 40% loss to follow-up gives an anticipated target of 878 randomised participants (439 per arm).^{18 22}

11.2 Analysis populations

The primary analysis population will be intention to treat (ITT); that is all participants will be analysed as randomised. Sensitivity analyses will be undertaken on the per-protocol population for the primary outcome and key secondary outcomes.

The ITT population includes all randomised participants including:

- 1. Participants who are randomised but do not undergo surgery (such as those who died or were found to be ineligible after randomisation but before surgery).
- 2. Participants who are randomised and die after surgery with a consultee declaration signed but before post-diagnosis consent has been confirmed.
- 3. Participants who are randomised and found to be ineligible during or after surgery.

Note: participants who withdraw from the comparison between randomisation and 5 years will provide data up to the point of withdrawal.

The per protocol population will be the ITT population excluding participants as described in 1 and 3 above and other major deviations from the protocol which will be fully described in the Statistical Analysis Plan.

11.3 The level of statistical significance

The statistical significance will be assessed at 5% for two-sided tests and reported for p-values less than 5% (p values of less than 0.05). All p-values will be reported to 3 decimal places. 95% confidence intervals will be reported throughout.

11.4 Health economic analysis

A fully detailed economic evaluation analysis plan (HEAP) will be drafted early in the trial and finalised after review by the DSMC and TSC. The economic evaluation will determine cost-effectiveness in relation

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 22 of 27 to quality-adjusted life years (QALYs) from an NHS and personal social services perspective at 12 months post intervention. Fractures in this elderly population may burden their carers and it is possible that different treatment pathways will have different consequences on their families and friends. As such, we will report separately private expenses, informal care, and productivity losses incurred in both arms.

Any missing QALYs and costs will be jointly imputed using multiple imputation chained equations. Cost and QALY estimates will be bootstrapped and adjusted for trial stratification variables (centre) and other potential variables as per the statistical analysis plan, such as age, gender and cognitive impairment, in secondary analyses. "All available" and "imputed" cost categories and QALY data, will be reported by trial arm in a cost-consequences framework. The cost-effectiveness parameter will be the bootstrapped incremental net monetary benefit statistic (INMB) derived using the UK societal willingness to pay thresholds of £20,000 and £30,000 per QALY. The INMB estimates if society is willing to pay more for the health benefit (QALY gained) than the incremental cost of the intervention. Positive values indicate the intervention is cost-effective. In a cost-effectiveness acceptability curve, we will depict the probability of the interventions being cost-effective at a range of willingness to pay thresholds to illustrate the uncertainty around the adoption decision. In one-way and sensitivity analyses we will vary methodological assumptions to gauge robustness of results.

In a secondary analysis, for comparable results with the planned 5 years economic evaluation, we will reestimate the cost-effectiveness parameter at 12 months using secondary care inpatient and emergency department cost data only.

11.5 Long-term analyses

The first long-term analysis will be reported when each living participant has reached five years of followup.

Hospital data will be received at episode level (period of time a patient is under the care of a consultant), from which spells of continuous care will be built. For each treatment group, statistical models will be estimated to investigate the association between treatment and death and re-operations. Operations for infections and dislocations will be identified by a combination of corresponding International Classification of Diseases (ICD; diagnostic) and Office of Population Censuses and Surveys (OPCS; procedure) codes, whilst all-cause reoperations will be identified by OPCS codes only. Similar work has been undertaken before by Pinedo–Villanueva as well as other researchers, with relevant codes readily available.²³⁻²⁵ Reoperations will include joint manipulations under anaesthesia or open reductions, debridements for infection, fixation for periprosthetic fracture and revisions for dislocation, infection or fracture (all in hip replacement arm); debridements for infection, revision fixation and revision to hip replacement (all in fixation arm). As dislocations are limited to those with a hip replacement, we will compare the rate of dislocation between those originally undergoing primary hip replacement and those receiving replacement after a failed fixation.

For consistency between the short and long-term economic analyses (the latter based on routinely collected data), we will compare the number of fracture-related inpatient stays and emergency department (ED) visits reported in the RCD datasets at 1 year, with the short-term, 12 months participant data on hospitalisations and ED attendances for each treatment group. This will help provide context for interpretation of the long-term follow-up.

11.6 Decision points

Clinical Research Protocol Template version 15.0 CONFIDENTIAL © Copyright: The University of Oxford and Oxford University Hospitals NHS Foundation Trust 2019 Page 23 of 27 A total of 878 participants will be randomised across a minimum of 40 recruitment centres. We will exploit the efficiencies available from nesting this within the Platform. This Platform has been built based upon the experiences of the TMG which has successfully delivered three hip fracture trials^{17 18 26} and three further trials are currently underway (ISRCTN92825709, 18393176, 15606075). The comparison processes are streamlined and harmonised with those of the Platform so that we should be able to achieve 65% recruitment of eligible patients and 90% follow-up of available participants (those alive and not withdrawn) at the primary outcome time-point.

During the 9 months internal pilot phase, we expect to recruit 80 patients from the 15 pilot recruitment centres. The DSMC and POC will closely monitor recruitment during the feasibility phase and make a recommendation to the funder regarding continued progress of the comparison against the specified stop/go criteria. If recruitment is below 60 participants, we will consider stopping the comparison for feasibility reasons; if between 60 and 80 participants we will review the recruitment processes and implement the committees' recommendations. In the event that recruitment is lower than anticipated we have a network of 120 hospitals in addition to these 40 that have previously worked with us on multicentre trials.

If the comparison is stopped, then all comparison participants will be followed up as per protocol. If the comparison continues into the main phase, participants from the internal pilot will be included in the final analysis.

Following the pilot phase, a minimum of a further 25 recruitment centres will be involved with recruitment, which will be completed within a total of 29 months. Those patients recruited during the pilot phase will be included in the final sample.

12 DISSEMINATION POLICY

The main outputs for FRUITI will be released within 12 months of the end of the main follow-up data collection time-point at 1-year post-diagnosis of a hip fracture. Outputs for the long-term analysis will be released within 12 months of the end of the final data collection time-point at 5 years post-diagnosis.

Trial slide-decks will be provided to clinicians through the network of WHiTE investigators and presented at local and regional multidisciplinary meetings. In addition, we will produce:

- Plain English outputs, led by the UK Musculoskeletal Trauma PPI group and distributed via paper, web and blog media
- Major international free-to-access publications including the protocol and Statistical Analysis Plan, as well as the main trial results
- National presentations Orthopaedic Trauma Society, Age Anaesthesia & British Geriatrics Society
- International presentations Global Fragility Fracture Network Congress, Orthopaedic Trauma Association Congress.

13 REFERENCES

- 1. National Hip Fracture Database annual report 2017. 2017 doi: papers3://publication/uuid/55495838-D9ED-43DA-BB91-CD8CCAA0E1BC
- 2. Parker MJ, Gurusamy K. Internal fixation versus arthroplasty for intracapsular proximal femoral fractures in adults. *Cochrane Database Syst Rev* 2006(4):CD001708. doi: papers3://publication/doi/10.1002/14651858.CD001708.pub2
- 3. Parker MJ, Raghavan R, Gurusamy K. Incidence of fracture-healing complications after femoral neck fractures. *Clin Orthop Relat Res* 2007;458:175-79. doi: papers3://publication/doi/10.1097/BLO.0b013e3180325a42
- 4. Conn KS, Parker MJ. Undisplaced intracapsular hip fractures: results of internal fixation in 375 patients. *Clin Orthop Relat Res* 2004(421):249-54. doi: papers3://publication/uuid/3B703CEA-96FF-47A0-83A7-C458BE30C850
- 5. Lu Q, Tang G, Zhao X, et al. Hemiarthroplasty versus internal fixation in super-aged patients with undisplaced femoral neck fractures: a 5-year follow-up of randomized controlled trial. *Arch Orthop Trauma Surg* 2016;137(1):27-35. doi: papers3://publication/doi/10.1007/s00402-016-2591-9
- 6. Dolatowski FC, Frihagen F, Bartels S, et al. Screw Fixation Versus Hemiarthroplasty for Nondisplaced Femoral Neck Fractures in Elderly Patients. *The Journal of Bone and Joint Surgery American volume* 2019;101(2):136-44. doi: papers3://publication/doi/10.2106/JBJS.18.00316
- 7. Hip Fracture: management. London: National Clinical Guideline Centre 2017.
- 8. Herdman M, Gudex C, Lloyd A, et al. Development and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). *Qual Life Res* 2011;20(10):1727-36. doi: papers3://publication/doi/10.1007/s11136-011-9903-x
- 9. Guralnik JM, Simonsick EM, Ferrucci L, et al. A short physical performance battery assessing lower extremity function: association with self-reported disability and prediction of mortality and nursing home admission. J Gerontol 1994;49(2):M85-94. doi: papers3://publication/uuid/B312A249-74D5-456D-85D9-6C65B2C2A49C
- Bech RD, Lauritsen J, Ovesen O, et al. The Verbal Rating Scale Is Reliable for Assessment of Postoperative Pain in Hip Fracture Patients. *Pain Res Treat* 2015;2015(3):676212-7. doi: papers3://publication/doi/10.1155/2015/676212
- 11. Hounsome N, Orrell M, Edwards RT. EQ-5D as a Quality of Life Measure in People with Dementia and Their Carers: Evidence and Key Issues. *JVAL* 2011;14(2):390-99. doi: papers3://publication/doi/10.1016/j.jval.2010.08.002
- 12. Costa ML, Griffin XL, Achten J, et al. World Hip Trauma Evaluation (WHiTE): framework for embedded comprehensive cohort studies. *BMJ Open* 2016;6(10):e011679. doi: papers3://publication/doi/10.1136/bmjopen-2016-011679
- 13. Franklin J, Englund M, Ingvarsson T, et al. The association between hip fracture and hip osteoarthritis: A case-control study. *BMC Musculoskelet Disord* 2010;11(1):274. doi: papers3://publication/doi/10.1186/1471-2474-11-274
- 14. Nauth A, Creek AT, Zellar A, et al. Fracture fixation in the operative management of hip fractures (FAITH): an international, multicentre, randomised controlled trial. *The Lancet* 2017;389(10078):1519-27. doi: papers3://publication/doi/10.1016/S0140-6736(17)30066-1
- 15. Hip fracture rehabilitation in physiotherapy practice: From hospital to home. *Setting CSP standards for high quality rehabilitation after hip fracture to help transform lives and maximising independence* 2018:1-14. doi: papers3://publication/uuid/E7899C59-C7E5-4CA6-9B8F-E49329905B84
- 16. Horan TC, Andrus M, Dudeck MA. CDC/NHSN surveillance definition of health care–associated infection and criteria for specific types of infections in the acute care setting. *American journal of infection control* 2008;36(5):309-32.
- Griffin XL, Parsons N, McArthur J, et al. The Warwick Hip Trauma Evaluation One: a randomised pilot trial comparing the X-Bolt Dynamic Hip Plating System with sliding hip screw fixation in complex extracapsular hip fractures: WHITE (One). *Bone Joint J* 2016;98-B(5):686-89. doi: papers3://publication/doi/10.1302/0301-620X.98B5.37350

- Sims AL, Parsons N, Achten J, et al. A randomized controlled trial comparing the Thompson hemiarthroplasty with the Exeter polished tapered stem and Unitrax modular head in the treatment of displaced intracapsular fractures of the hip. *Bone & Joint Journal* 2018;100-B(3):352-60. doi: 10.1302/0301-620X.100B3.BJJ-2017-0872.R2papers3://publication/doi/10.1302/0301-620X.100B3.BJJ-2017-0872.R2
- 19. Walters SJ, Brazier JE. Comparison of the minimally important difference for two health state utility measures: EQ-5D and SF-6D. *Qual Life Res* 2005;14(6):1523-32. doi: papers3://publication/uuid/EEDFBA20-8B45-4688-8566-CC8875E1679B
- 20. Cohen J. Statistical Power Analysis for the Behavioral Sciences: Lawrence Erlbaum Associates 1988.
- 21. Griffin XL, Parsons N, Achten J, et al. Recovery of health-related quality of life in a United Kingdom hip fracture population: the Warwick Hip Trauma Evaluation a prospective cohort study. *Bone Joint J* 2015;97-B(3):372-82. doi: papers3://publication/doi/10.1302/0301-620X.97B3.35738
- 22. Griffin XL, Achten J, Sones W, et al. Randomised controlled trial of the sliding hip screw versus X-Bolt Dynamic Hip Plating System for the fixation of trochanteric fractures of the hip in adults: a protocol study for WHITE 4 (WHITE4). *BMJ Open* 2018;8(1):e019944. doi: papers3://publication/doi/10.1136/bmjopen-2017-019944
- 23. Burn E, Edwards CJ, Murray DW, et al. The impact of rheumatoid arthritis on the risk of adverse events following joint replacement: a real-world cohort study. *Clin Epidemiol* 2018;10:697-704. doi: papers3://publication/doi/10.2147/CLEP.S160347
- 24. Jameson SS, Lees D, James P, et al. Lower rates of dislocation with increased femoral head size after primary total hip replacement: a five-year analysis of NHS patients in England. *The Journal of bone and joint surgery British volume* 2011;93(7):876-80. doi: papers3://publication/doi/10.1302/0301-620X.93B7.26657
- 25. Graves N, Wloch C, Wilson J, et al. A cost-effectiveness modelling study of strategies to reduce risk of infection following primary hip replacement based on a systematic review. *Health Technol Assess* 2016;20(54):1-144. doi: papers3://publication/doi/10.3310/hta20540
- 26. Griffin XL, Parsons N, Achten J, et al. A randomised feasibility study comparing total hip arthroplasty with and without dual mobility acetabular component in the treatment of displaced intracapsular fractures of the proximal femur : The Warwick Hip Trauma Evaluation Two : WHITE Two. *Bone Joint J* 2016;98-B(11):1431-35. doi: papers3://publication/doi/10.1302/0301-620X.98B11.BJJ-2016-0478.R1

14 ANNEX A: FLOW CHART

