

The VIOLET Study
PATIENT DETAILS

A1

VIOLET Trial ID:

Patient Name: _____

_____ - _____

PATIENT DETAILS (ELIGIBLE & CONSENTED PATIENTS ONLY)

Patient's title (tick one): Dr Miss Ms Mrs Mr

Patient's name: _____

Please complete the patient address below or apply addressograph.

Patient address: _____ NHS Number: _____

_____ Patient's Sex: _____
 _____ Male Female

Patient post code: _____

PATIENT CONTACT DETAILS (ELIGIBLE & CONSENTED PATIENTS ONLY)

Patient's home phone number: _____

Patient's mobile phone number: _____

Can answer machine messages be left? Yes No

Patient's email address: _____

Can the patient be contacted by:

Post	Phone	Text	Email
Yes <input type="checkbox"/> No <input type="checkbox"/>			

Patients preferred method of completing follow-up questionnaires (please tick):

Post Online

Would the patient like to receive a summary of trial results? Yes No

GP CONTACT DETAILS (ELIGIBLE & CONSENTED PATIENTS ONLY)

GP name _____ GP Address _____

GP Practice _____

GP Postcode: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

BASELINE CLINICAL DETAILS

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

BASELINE CLINICAL MEASURES

Height	_____ cm	Weight	_____ kg	ECOG status (0-5)	_____
Haemoglobin	_____ g/dl	Platelets	_____ $\times 10^9/l$	White cell count	_____ $\times 10^9/l$
Neutrophils	_____ $\times 10^9/l$	Lymphocytes	_____ $\times 10^9/l$	CRP	_____ Mg/L
Creatinine	_____ $\mu\text{mol/l}$	Urea	_____ mmol/l		

SPIROMETRY

Was spirometry performed? Yes No If YES, provide date: d d / m m / y y y y

If YES, provide values below:

FEV1: . . L FVC: . . L DLCO: . . Mmol/min/kPa or . . %

If NO, record the main reason:

1: Patient refused. 2: Patient unwell, 3: Patient upset, 4: Admin Failure, 5: Other

If OTHER, specify: _____

SMOKING STATUS

Has the patient ever smoked? Yes No If YES, specify the average number of cigarettes smoked per day . .

Provide the age that the patient started smoking: . . Provide the age that the patient ceased smoking, (if still smoking provide current age) . .

MEDICAL HISTORY

Family history of lung cancer	Yes <input type="checkbox"/> No <input type="checkbox"/> CVA / TIAs	Yes <input type="checkbox"/> No <input type="checkbox"/>
Respiratory comorbidity*	Yes <input type="checkbox"/> No <input type="checkbox"/> Cardiovascular comorbidity	Yes <input type="checkbox"/> No <input type="checkbox"/>
Neurological dysfunction [†]	Yes <input type="checkbox"/> No <input type="checkbox"/> Chronic pain syndrome*	Yes <input type="checkbox"/> No <input type="checkbox"/>
Diabetes mellitus	Yes <input type="checkbox"/> No <input type="checkbox"/> Deep Vein Thrombosis (DVT)	Yes <input type="checkbox"/> No <input type="checkbox"/>
Alcoholism [#]	Yes <input type="checkbox"/> No <input type="checkbox"/> Previously treated malignancy (other than squamous skin cancer)	Yes <input type="checkbox"/> No <input type="checkbox"/>
Any previous lung surgery	Yes <input type="checkbox"/> No <input type="checkbox"/> If YES, give date of diagnosis: <u> d d / m m / y y y y</u>	
If YES, specify date: <u> d d / m m / y y y y</u>	Specify, malignancy: _____	
Type of surgery: _____		

*Respiratory comorbidity: Any history of treated chronic obstructive pulmonary disease, asthma, interstitial lung disease or bronchiectasis

[†]CV comorbidity: Any history of treated angina, myocardial infarction, heart failure, heart valve disease, hypertension, pulmonary embolism, peripheral vascular disease.

[#]Neurological dysfunction: Any history of persistent disease of the central or peripheral nervous system diagnosed by a medical practitioner.

^{*}Chronic pain syndrome: As defined by chronic pain experienced >6 months after the onset of the initial acute injury or illness.

[#]Alcoholism: As defined by the daily consumption of >10 units for men & >5 units for women.

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy) _____ / _____ / _____

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BASELINE CLINICAL DETAILS

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

PRE-OPERATIVE IMAGING

What pre-operative imaging has been performed?

CT	YES <input type="checkbox"/> NO <input type="checkbox"/>	If YES , date performed: <u> </u> / <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> <u> </u>
PET-CT	<input type="checkbox"/> <input type="checkbox"/>	If YES , date performed: <u> </u> / <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> / <u> </u> <u> </u> <u> </u>

CURRENT MALIGNANCY—LOCATION

Please specify the location of the **primary tumour** within the lung:

Left Upper Lobe	YES <input type="checkbox"/> NO <input type="checkbox"/>	Right Upper Lobe	YES <input type="checkbox"/> NO <input type="checkbox"/>	Other	YES <input type="checkbox"/> NO <input type="checkbox"/>
Left Lower Lobe	<input type="checkbox"/> <input type="checkbox"/>	Right Middle Lobe	<input type="checkbox"/> <input type="checkbox"/>	If OTHER , please specify: _____	
		Right Lower Lobe	<input type="checkbox"/> <input type="checkbox"/>		

DETAILS OF THE PLANNED RESECTION

Please identify the lobe(s) of the lung that will be resected during the procedure:

Left Upper Lobe	YES <input type="checkbox"/> NO <input type="checkbox"/>	Right Upper Lobe	YES <input type="checkbox"/> NO <input type="checkbox"/>	Other	YES <input type="checkbox"/> NO <input type="checkbox"/>
Left Lower Lobe	<input type="checkbox"/> <input type="checkbox"/>	Right Middle Lobe	<input type="checkbox"/> <input type="checkbox"/>	If OTHER , please specify: _____	
		Right Lower Lobe	<input type="checkbox"/> <input type="checkbox"/>		

BIOLOGICAL SUB-STUDY—BASELINE BLOODS (only complete if patient has consented to sub-study)

Baseline blood sample taken: Yes No If **YES**, date and time taken: / / / /
 : :
(24 hr clock)

Please stick a barcode label from blood kit box in this box

If **NO**, provide reason: _____FedEx Tracking Number: : : Has the blood sample box been collected by the courier?: Yes If **YES**, date collected: / / / /
 No If **NO**, provide reason: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): / / / / Name of person entering data* (capitals) Date data entered (dd/mm/yyyy) / / / /

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BASELINE MEDICATIONS

VIOLET Trial ID:

Patient Name: _____

_____ - _____

MEDICATIONS

Is the patient taking any medications at baseline? Yes No If YES, please specify below

Name of drug (Generic names only)	Dose	Units (please circle)	Frequency		If OTHER, please specify
			If OTHER, please specify	(please circle)	
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____
		mg / g / mL / other	_____	OD / BD / TD QD / PRN / OTH	_____

Multiple copies of this CRF can be completed if required

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

____ / ____ / ____

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The VIOLET Study
SOCIO-DEMOGRAPHIC & LOGISTICAL INFORMATION

B5

VIOLET Trial ID:

Patient Name: _____

_____ - _____

ETHNICITY

White or Caucasian

Black / Black British

Mixed / multiple ethnic groups

Asian / Asian British

Other ethnic group

If **OTHER**,
please specify: _____

BASELINE QUESTIONNAIRES

	QLQ-C30	QLQ-LC13	EQ-5D
Questionnaire completed in clinic?	Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/>
If YES , identify the help given by research nurse (code):	<p><i>1= Patient completed unaided, 2=Completed by nurse on patients behalf, 3=Other</i></p> <p>If OTHER, please specify: _____ <input type="checkbox"/></p> <p>If OTHER, please specify: _____ <input type="checkbox"/></p> <p>If OTHER, please specify: _____ <input type="checkbox"/></p>		
If questionnaires were NOT completed in clinic provide reason (code):	<p><i>1= Taken home to complete, 2= Other</i></p> <p>If OTHER, please specify: _____ <input type="checkbox"/></p> <p>If OTHER, please specify: _____ <input type="checkbox"/></p> <p>If OTHER, please specify: _____ <input type="checkbox"/></p>		

BASELINE PAIN SCORE

TO BE COMPLETED BY STAFF ON BEHALF OF THE PATIENT BEFORE SURGERY

Was the patients pain score recorded at baseline?

Yes No

If **NO**, provide reason:

1: Patient refused, 2: Patient unwell, 3: Patient upset, 4: Inconvenient, 5: Administrative failure, 6: Other

If **YES**, provide complete the following:

Date of assessment: / / / / / /

Time:

 : :

(24 hr clock)

Please ask the patient to choose a number that reflects their current pain, where 0 = no pain and 10 = worst pain possible (please circle):

0 1 2 3 4 5 6 7 8 9 10

NO PAIN

WORST PAIN POSSIBLE

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

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The VIOLET Study
RANDOMISATION DETAILS

B6

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

PROCEDURE DETAILS

Planned procedure date

dd / mm / yy yy yy

Surgeon initials (forename, surname)

Procedure type:

Frozen section biopsy with the option to proceed to lobectomy / bilobectomy

Lobectomy / bilobectomy

RANDOMISATION DETAILS

Has the patient completed their baseline health questionnaire booklet?

Yes No

If **NO**, please ensure that the patient completes these prior to their operation

RANDOMISATION OUTCOME

Date of randomisation

dd / mm / yy yy yy

Randomisation number

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

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OPERATION DETAILS

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

ADMISSION DETAILS

Date patient admitted (pre-procedure): / / / / / / /

Where was the patient admitted from:

Home Ward / referring hospital Nursing home Residential home Other

If OTHER, specify: _____

BASIC OPERATION DETAILS

Operation date: / / / / / / / /Consultant initials: First operator classification: Consultant surgeon Trainee surgeon Operation start time (knife to skin): :
(24 hr clock)Finish time: :
(24 hr clock)Was a prophylactic mini-tracheostomy tube used? Yes No

OPERATIVE STRATEGY

Was a frozen section biopsy **PLANNED?**Yes No

*Diagnostic refers to a result where a specific aetiology (benign or malignant) has been identified.

If **YES**, was a frozen section biopsy **ATTEMPTED?**Yes No If **NO**, provide reason: _____If **YES**, was the frozen section **DIAGNOSTIC***?Yes No If **NO**, provide reason: _____If **YES**, was malignancy confirmed?Yes No

INTRA-OPERATIVE ANALGESIA

Analgesia type	Given Yes <input type="checkbox"/> No <input type="checkbox"/>	Specify drug	Concen- tration (%)	Total Dose Given	Units (mg / g / ml)
Single-shot Paravertebral block	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Epidural	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Paravertebral catheter	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Intercostal block	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Other, specify	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Other, specify	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Other, specify	<input type="checkbox"/> <input type="checkbox"/>			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

____ / ____ / ____

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OPERATION DETAILS

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

DETAILS OF THE RESECTION

Provide details of the type / extent of surgery: Yes No Open & Close (benign disease on frozen section)

If YES, skip remaining 'C' forms

If YES, specify biopsy type: Needle only Wedge only Open & Close (inoperable /extensive malignancy)

If YES, skip remaining 'C' forms

Resection of airway without the removal of lung parenchyma Pneumonectomy* Lobectomy / Bilobectomy If yes, specify lobe (s): &

1= Right upper lobe

2= Right middle lobe

3= Right lower lobe

4= Left upper lobe

5= Left lower lobe

Segmentectomy* If yes, specify lobe (s): & Wedge resection* If yes, specify lobe (s): &

*If a PNEUMONECTOMY, SEGMENTECTOMY OR WEDGE RESECTION was performed, please state reason:

ALLOCATION ADHERENCE

Was the patients surgery performed in accordance with their random allocation?

Yes No

Did any of the following difficulties / complications occur?

Technical problems

Equipment malfunction Yes No Failure to progress Yes No Poor visualisation

Anatomical problems

Diffuse pleural adhesion Failure to progress Yes No Requirement for sleeve resection Chest wall invasion Yes No Absent or thick fissure Yes No

Oncological conditions

Discovery of N2 tumours Calcified peri-arterial nodes Yes No Margin extension Invasion of the parietal pleura Yes No Invasion of the artery Yes No

If VATS surgery was allocated to the patient, was conversion to open surgery necessitated?

Yes No N/A

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) _____ Date data entered (dd/mm/yyyy) _____

____ / ____ / ____

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OPERATION DETAILS

VIOLET Trial ID:

Patient Name: _____

_____ - _____

LYMPH NODE MANAGEMENT

Please identify the locations from which lymph nodes sampled were sampled:

LEFTZONERIGHT

#1	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Supraclavicular Zone	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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Upper Mediastinal Zone

#2L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Upper Paratracheal	#2R	Yes <input type="checkbox"/>	No <input type="checkbox"/>
#3aL	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Pre-vascular	#3aR	Yes <input type="checkbox"/>	No <input type="checkbox"/>
#4L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Retrotracheal #3p Yes <input type="checkbox"/> No <input type="checkbox"/>	#4R	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Aorta-Pulmonary Zone

Sub-aortic #5	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Para-aortic #6	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Subcarinal Zone

Subcarinal #7	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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Lower Mediastinal Zone

#8L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Paraoesophageal	#8R	Yes <input type="checkbox"/>	No <input type="checkbox"/>
#9L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Pulmonary ligament nodes	#9R	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Hilar / Interlobar Zone

#10L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Hilar	#10R	Yes <input type="checkbox"/>	No <input type="checkbox"/>
#11L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Interlobar	#11R	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Peripheral Zone

#12L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Lobar	#12R	Yes <input type="checkbox"/>	No <input type="checkbox"/>
#13L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Segmental	#13R	Yes <input type="checkbox"/>	No <input type="checkbox"/>
#14L	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Subsegmental	#14R	Yes <input type="checkbox"/>	No <input type="checkbox"/>

INTRA-OPERATIVE COMPLICATIONS (COMPLETE FOR ALL PATIENTS—VATS & OPEN SURGERY)

	Yes <input type="checkbox"/>	No <input type="checkbox"/>	CTCAE GRADE v4
Bronchus injury	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bleeding from vascular injury	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

For CTCAE grade descriptions see v4:
 Bronchus injury: page 96
 Bleeding from vascular injury: page 94

If YES, specify bleed site: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy) _____ / _____ / _____

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OPERATION DETAILS

VIOLET Trial ID:

Patient Name: _____

_____ - _____

OPERATION DETAILS

Please provide details of the thoracotomy performed:

	Yes	No	N/A
Anterior thoracotomy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Postero-lateral thoracotomy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was a muscle sparing approach used?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

N/A if VATS was performed

If YES, specify:

Serratus muscle 'spared' Yes No Latissimus muscle 'spared' Yes No

INCISIONS / PORT / STAPLE DETAILS

Specify the number of ports / incisions used: If >4 ports were used, give reason: _____Was rib-spreading performed? Yes No Was rib-resection performed? Yes No

Specify the number of staples used during the procedure (if none enter 0):

Bronchus Lung Blood vessels

Specify the brand of staples used (tick one):

J & J / Ethicon Medtronic/Covidien Other

If OTHER, specify: _____

DRAIN LOCATIONS

Specify the number of drains inserted: Were all drains located at the port / incision Yes No

BLINDING

Did the patient remain blinded pre-operatively? Yes No

If NO, provide reason for unblinding? _____

Have adhesive dressings been applied to cover ALL REAL AND POTENTIAL thoracotomy incision (s) / port locations? Yes No

If NO, provide reason why the dressings were not applied: _____

PROCEDURAL OUTCOME

Did the patient die in theatre? Yes No If YES, complete an SAE form.

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy) _____ / _____ / _____

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POST OPERATIVE DETAILS

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

IN HOSPITAL COMPLICATIONS

The following events are all 'expected' and therefore **do not** require an SAE form to be completed. Please report events according to the CTCAE criteria (v4) and provide the details of the worst grade experienced during the patients in-hospital stay

PULMONARY COMPLICATIONS

	YES	NO		CTCAE GRADE v5	SAE YES	SAE NO
Acute respiratory failure	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pulmonary collapse (requiring intervention -CPAP)	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Empyema ^o	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Surgical emphysema (requiring intervention)	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bronchopleural fistula	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Post-drain pneumothorax requiring intervention*	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Chylothorax	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ARDS [#]	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Acute lung injury (ALI) [#]	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pulmonary embolus	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Insertion of a mini-tracheostomy tube	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bronchoscopy	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
^{d d m m y y y y}						

If YES, please specify reason:

	YES	NO	YES	NO	If OTHER, please specify:
Pulmonary collapse	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
Pleural effusion	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>
Prolonged air leak	<input type="checkbox"/>	<input type="checkbox"/>	If yes, give date of drain removal: _____ / _____ / _____	<input type="checkbox"/>	<input type="checkbox"/>

^oDefined as the requirement for antibiotics or drainage[#]Other post drain pneumothorax requiring intervention

* ARDS: Acute onset of respiratory failure, defined by bilateral infiltrates on chest radiography, hypoxia defined by a PaO₂ / FiO₂ ratio $\leq 200\text{mmHg}$ (26.66kPa) and no evidence of left atrial hypertension or a pulmonary capillary pressure $<18\text{mmHg}$ (2.4kPa) to rule out cardiogenic oedema

#Acute Lung Injury (ALI), defined as above but a 200 $<\text{PaO}_2 / \text{FiO}_2 \leq 300\text{mmHg}$ (40kPa)

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

_____ / _____ / _____

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IN-HOSPITAL COMPLICATIONS

VIOLET Trial ID:

Patient Name: _____

A diagram illustrating a subtraction problem. It consists of two horizontal rows of boxes. The top row contains three empty boxes separated by vertical lines. To its right is a minus sign (-). Below the minus sign is a horizontal line. To the right of the line is another horizontal row containing four empty boxes, also separated by vertical lines.

The following events are all 'expected' and therefore do not require an SAE form to be completed.

Please report events according to the CTCAE (v4) criteria and provide the details of the worst grade experienced during the patients in-hospital stay

CARDIAC COMPLICATIONS			CTCAE GRADE v5	SAE
Myocardial infarction	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
Arrhythmia (requirement treatment)	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
RENAL COMPLICATIONS			CTCAE GRADE v5	SAE
Acute Kidney Injury	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
Haemofiltration	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
<i>Acute Kidney Injury is defined by a rise in serum creatinine >50% preoperative value to any rise above the reference range in previously normal values</i>				
GASTRO-INTESTINAL COMPLICATIONS			CTCAE GRADE v5	SAE
Peptic ulcer/ GI bleed / perforation	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
Pancreatitis	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
Other gastrointestinal complication	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
If OTHER gastrointestinal complication, please specify: _____				
INFECTIVE COMPLICATIONS			CTCAE GRADE v5	SAE
Infection*	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
If YES, specify the site / extent of infection:				
Pneumonia / Chest infection*	YES <input type="checkbox"/>	NO <input type="checkbox"/>	Wound infection* <input type="checkbox"/> <input type="checkbox"/>	
Other infection*	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If OTHER, please specify: _____	
<i>*Defined as the requirement for antibiotic treatment for suspected infection</i>				
NEUROLOGICAL COMPLICATIONS			CTCAE GRADE v5	SAE
Transient ischaemic attack (TIA)	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
Stroke	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>
Acute psychosis	YES <input type="checkbox"/>	NO <input type="checkbox"/>	If yes, give date: <u> / / </u> <u>d d m m y y y y</u>	<input type="checkbox"/> <input type="checkbox"/>

Name of person completing form* (capitals):

Signature of person completing form:

Date completed (dd/mm/yyyy): ____ / ____ / ____ -

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

Date data entered (dd/mm/yyyy)

Version 4.0 15/05/2018

* Names must appear on the site signature & delegation log

IN-HOSPITAL COMPLICATIONS

VIOLET Trial ID:

Patient Name: _____

_____ - _____

*The following events are all 'expected' and therefore **do not** require an SAE form to be completed.**Please report events according to the CTCAE criteria and provide the details of the worst grade experienced during the patients in-hospital stay*

OTHER COMPLICATIONS

CTCAE GRADE v5 SAE

Wound dehiscence requiring treatment	YES <input type="checkbox"/> NO <input type="checkbox"/>	If yes, give date: _____ / _____ / _____ d d m m y y y y	YES <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
If YES, specify treatment:	YES <input type="checkbox"/> NO <input type="checkbox"/>	Vacuum assisted closure (VAC)	YES <input type="checkbox"/> NO <input type="checkbox"/>	
Suture / Staple	<input type="checkbox"/> <input type="checkbox"/>		<input type="checkbox"/> <input type="checkbox"/>	
Other	<input type="checkbox"/> <input type="checkbox"/>	If OTHER, please specify: _____		
Laryngeal nerve damage	<input type="checkbox"/> <input type="checkbox"/>	If yes, give date: _____ / _____ / _____ d d m m y y y y	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
Deep vein thrombosis	<input type="checkbox"/> <input type="checkbox"/>	If yes, give date: _____ / _____ / _____ d d m m y y y y	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
Haematoma	<input type="checkbox"/> <input type="checkbox"/>	If yes, give _____ / _____ / _____ d d m m y y y y	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

REOPERATION

CTCAE GRADE v5 SAE

Re-operation	YES <input type="checkbox"/> NO <input type="checkbox"/>	If yes, give date: _____ / _____ / _____ d d m m y y y y	YES <input type="checkbox"/>	YES <input type="checkbox"/> NO <input type="checkbox"/>
If YES, please identify the reason for the reoperation:				
Bleeding	YES <input type="checkbox"/> NO <input type="checkbox"/>	Prolonged air leak	YES <input type="checkbox"/> NO <input type="checkbox"/>	
Other	<input type="checkbox"/> <input type="checkbox"/>	If OTHER, please specify: _____		

UNEXPECTED COMPLICATIONS

*Any other events not listed on CRFs D1-D3 are 'unexpected' and therefore **DO** require an SAE form to be completed, if they meet the SAE criteria**Did the patient experience any OTHER events **NOT** listed on CRFs D1-D3 that meet the SAE criteria? Yes No

If YES, complete an SAE form (CRF S1-S2) for each event

**SAE criteria: i) Increased length of hospital admission, ii) life threatening, iii) persistent or significant disability, iv) caused death, v) Other serious (important medical event)*

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy) _____ / _____ / _____

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DISCHARGE SUITABILITY

D4

VIOLET Trial ID:

Patient Name: _____

_____ - _____

DISCHARGE SUITABILITY (TO BE COMPLETED DAILY DURING THE PATIENTS POST-OP STAY)

To be completed daily during the patients post-op recovery, starting the day **after** surgery (day of surgery +1):

Day	Date	Satisfactory mobility?	Pain under control with oral analgesia?	Satisfactory Hb & electrolytes?		Satisfactory chest X-ray?	Free from complications requiring treatment?
				YES	NO		
1.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9.	— / — / — — —	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10.	— / — / — — — <small>dd mm yy</small>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Hb & electrolytes are considered **satisfactory** *if no interventions are required*.
 *Chest X-ray not done / not repeated

BANG BLINDING INDEX (BBI)

2 days post-op (Day of surgery + 2 days) YES NO
 Did the patient complete the BBI? If NO, provide reason code _____

Day of discharge YES NO
 Did the patient complete the BBI? If NO, provide reason code _____

If OTHER,
please specify: _____

If OTHER,
please specify: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

/ / /

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* Names must appear on the site signature & delegation log

IN HOSPITAL SUMMARY

VIOLET Trial ID:

Patient Name: _____

_____ - _____

CLAVIEN-DINDO CLASSIFICATION

Please give an **overall assessment** of patient recovery based on Clavien-Dindo classification criteria:

None	<input type="checkbox"/>	Normal recovery (no complications)
Grade I	<input type="checkbox"/>	Any deviation from the normal postoperative course without the need for pharmacological treatment or surgical, endoscopic and radiological interventions.
Grade II	<input type="checkbox"/>	Requiring pharmacological treatment with drugs other than such allowed for grade I complications. Blood transfusions and total parenteral nutrition are also included.
Grade III a	<input type="checkbox"/>	Requiring surgical, endoscopic or radiological intervention. Intervention not under general anaesthesia
Grade III b	<input type="checkbox"/>	Requiring surgical, endoscopic or radiological intervention. Intervention under general anaesthesia
Grade IV a	<input type="checkbox"/>	Life-threatening complication (including CNS complications) requiring IC/ICU-management . Single organ dysfunction (including dialysis)
Grade IV b	<input type="checkbox"/>	Life-threatening complication (including CNS complications) requiring IC/ICU-management . Multi organ dysfunction.
Grade V	<input type="checkbox"/>	Death of a patient.

WARD MOVEMENTS

Please provide any ward movements or changes in level of care, after return from theatre until the patient is discharged.

Transfer date & time (e.g. date & time of change in level of care/ward, discharge etc.) If the exact time is unknown, complete to the nearest hour		New level of care/ward/discharge (use code)
1	— / — / — : — (24 hr clock)	Code <input type="checkbox"/>
2	— / — / — : — (24 hr clock)	Code <input type="checkbox"/>
3	— / — / — : — (24 hr clock)	Code <input type="checkbox"/>
4	— / — / — : — (24 hr clock)	Code <input type="checkbox"/>
5	— / — / — : — (24 hr clock)	Code <input type="checkbox"/>

New level of care/ward codes: 1= Level 0 / 1 (eg. General ward), 2= Level 2, usually 2:1 nursing ratio (eg. HDU) 3= Level 3, usually 1:1 nursing ratio (eg. ICU), 4= Hospital discharge home, 5=Hospital discharge to another hospital, 6=Other hospital discharge (e.g. nursing home) 7= Patient died

DISCHARGE DETAILS

Were all drains removed prior to discharge?

YES NO

If YES, specify date last drain removed:

— / — / —

Discharge destination

^If patient died, complete SAE form

Home

Nursing home

Residential home

Patient died^

Other hospital* Other ward
within hospital*

Other*

*Name of ward/hospital/other:

Date of discharge/death: — / — / —
d d m m y y y y

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): — / — / —

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

— / — / —

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

POST-OP TO DISCHARGE ANALGESIA

VIOLET Trial ID:

Patient Name: _____

 - - - -

IN-HOSPITAL (POST-OPERATIVE) ANALGESIA

Please identify the analgesia that the patient has received throughout the **duration of their post-operative stay** (i.e from the return of patient from theatre and until discharge)

Analgesia type / name	Given		Specify drug (generic name)	Route of Ad- ministration (circle)	Total Dose	Units		Treatment duration (days)
	YES	NO				(circle)	If OTHER, specify:	
Paravertebral block	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Epidural	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Paravertebral catheter	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Intercostal block	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Patient controlled analge- sia (PCA)	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Tramadol	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Dihydrocodeine	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Paracetamol	<input type="checkbox"/>	<input type="checkbox"/>		IV / ORAL		mg / g / ml / OTHER		
Cocodamol	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Oxynorm	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Oxycontin	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Ibuprofen	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Diclofenac	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Oromorph	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Gabapentin	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Pregabalin	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Lidocaine patches	<input type="checkbox"/>	<input type="checkbox"/>				mg / g / ml / OTHER		
Other, specify	<input type="checkbox"/>	<input type="checkbox"/>		IV / ORAL / SUB -CUT / OTHER		mg / g / ml / OTHER		
Other, specify	<input type="checkbox"/>	<input type="checkbox"/>		IV / ORAL / SUB -CUT / OTHER		mg / g / ml / OTHER		
Other, specify	<input type="checkbox"/>	<input type="checkbox"/>		IV / ORAL / SUB -CUT / OTHER		mg / g / ml / OTHER		

Multiple copies of this CRF can be completed if required

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) _____ Date data entered (dd/mm/yyyy) _____ / _____ / _____

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ANALGESIA PRESCRIBED AT DISCHARGE

VIOLET Trial ID:

Patient Name: _____

_____ - _____

ANALGESIA PRESCRIBED AT DISCHARGE		Has the patient been discharged with any analgesia ?		Yes	No	If YES, please specify below	
Medication at discharge	Given?	Dose	Units (circle)	If OTHER, specify:		Frequency	
Name	If OTHER, specify			(circle)		If PRN or OTHER, specify frequency:	
Tramadol	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Dihydrocodeine	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Paracetamol	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Cocodamol	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Oxynorm	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Oxycontin	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Ibuprofen	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Diclofenac	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Oromorph	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Gabapentin	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Pregabalin	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Lidocaine patches	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Other	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Other	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Other	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	
Other	<input type="checkbox"/>	<input type="checkbox"/>	mg / g / ml / OTHER	(circle)		OD / BD / TD / QD / PRN / OTH	

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

/ / /

* Names must appear on the site signature & delegation log

The VIOLET Study
PATHOLOGY / HISTOLOGY

D9

VIOLET Trial ID:

Patient Name: _____

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SAMPLE DETAILS

Has a sample of **primary tumour** been taken for analyses? Yes No *If NO, please complete following question only.
If YES, please complete the entire form.*

If NO, please specify the reason why:

Tumour not excised (e.g. Open / close) Other If OTHER, please specify: _____

If YES, has the primary tumour been Formalin Fixed & Paraffin Embedded (FFPE)? Yes No

TUMOUR STAGE & TYPE

Please classify the pTNM stage of the **primary tumour** by post-surgical / pathological findings:

<input type="checkbox"/> T (a/b/c)	<input type="checkbox"/> N	<input type="checkbox"/> M (a/b/c)
------------------------------------	----------------------------	------------------------------------

No cancer / benign disease

Specify the size of the primary tumour size (*longest dimension*): · cm

Please identify the tumour type of the **primary tumour**:

NSCLC	<input type="checkbox"/> Yes <input type="checkbox"/> No	SCLC	<input type="checkbox"/> Yes <input type="checkbox"/> No	Carcinoid	<input type="checkbox"/> Yes <input type="checkbox"/> No
-------	--	------	--	-----------	--

Other	<input type="checkbox"/> <input type="checkbox"/>	If OTHER, please specify: _____
-------	---	---------------------------------

If **NSCLC**, specify type (*tick all that apply*):

Squamous cell carcinoma	<input type="checkbox"/> Yes <input type="checkbox"/> No	Adenocarcinoma	<input type="checkbox"/> Yes <input type="checkbox"/> No	Large cell carcinoma	<input type="checkbox"/> Yes <input type="checkbox"/> No
-------------------------	--	----------------	--	----------------------	--

Other	<input type="checkbox"/> <input type="checkbox"/>	If OTHER, please specify: _____
-------	---	---------------------------------

RESECTION COMPLETENESS

Please provide details of the resection completeness below (*tick one*):

R0 (No residual tumour) R1 (microscopic residual tumour) R2 (Macroscopic residual tumour)

R1 (Other than microscopic residual tumour) R2 (Macroscopic residual tumour)

Completeness of resection unknown

If **R1**, please specify the location of the residual margin (*tick one*):

Bronchial margin Lung tissue margin

Vascular margin Other Peripheral (e.g. chest wall, mediastinum or diaphragm)

No data

If **R2 (macroscopic residual tumour)**, please specify the location of the macroscopic residual: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy) _____ / _____ / _____

* Names must appear on the site signature & delegation log

Version 4.0, 15/05/2018

Patient Name: _____

_____-_____

LYMPH NODE INVOLVEMENT

Please provide details of the lymph nodes sampled (tick all that apply):

+ve = positive lymph node, -ve = negative lymph node, ND = Not done (not sampled)

<u>Left</u>		<u>ZONE</u>		<u>Right</u>				
#1	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Supraclavicular Zone	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	
Upper Mediastinal Zone								
#2L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Upper Paratracheal	#2R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
#3aL	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Prevascular	#3aR	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
			Retrotracheal	#3p	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	
#4L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Lower paratracheal	#4R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
Aorta-Pulmonary Zone								
		Sub-aortic #5	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>			
		Para-aortic #6	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>			
Subcarinal Zone								
		Subcarinal #7	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>			
Lower Mediastinal Zone								
#8L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Paraoesophageal	#8R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
#9L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Pulmonary ligament nodes	#9R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
Hilar / Interlobar Zone								
#10L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Hilar	#10R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
#11L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Interlobar	#11R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
Peripheral Zone								
#12L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Lobar	#12R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
#13L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Segmental	#13R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>
#14L	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>	Subsegmental	#14R	+ve <input type="checkbox"/>	-ve <input type="checkbox"/>	ND <input type="checkbox"/>

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

The VIOLET Study
VISIT DETAILS [VISIT NAME]

E1

VIOLET Trial ID:

Patient Name: _____

_____ - _____

VISIT / CALL DETAILS

For information, the date of the patient's previous visit/discharge from hospital was dd/mm/yyyy

Attended visit in person? Yes No If NO, provide reason¹:

1: Planned telephone follow-up (3 & 6 month only), 2: Other telephone consultation, 3: Patient died (complete SAE form), 4: Patient withdrawn, 5: Medical reason, 6: Unable to contact, 7: Other

If OTHER, please specify: _____

If patient attended visit or was followed up by telephone, provide date of visit/call:

— / — / —
d d m m y y y y

DISCHARGE DESTINATION—5 WEEK VISIT ONLY

After discharge from **insert name of hospital where lobectomy was performed** on dd/mm/yyyy, the patient was transferred to **insert name of ward/ hospital/ other (D5)**.

How long did the patient stay at this hospital? days

RESOURCE USE SUMMARY

Has the patient had any of the following since their last VIOLET follow-up / discharge:

	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If YES, how many times? <input type="checkbox"/> <input type="checkbox"/>	
Hospital admissions? ^o	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	Provide further details on E5
Other hospital visits? ^y	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	Provide further details on E6
Care in the community? [#]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>	Provide further details on E7

^oHospital admissions are defined as overnight stays in hospital. Include both planned and unplanned admissions

^yOther hospital visits include any other visits to hospital that do not result in admission (overnight stays). Include both planned and unplanned hospital visits

[#]Care in community includes all consultations (telephone and face-to-face) with medical practitioners such as GPs, nurses, and staff at NHS walk-in centres. Include both planned and unplanned care.

DO NOT INCLUDE VISITS PREVIOUS DOCUMENTED ON THE 'RESOURCE USE REPORT'

BIOLOGICAL SUB-STUDY—5 WEEK & 12 MONTH VISIT ONLY (only complete If patient has consented to sub-study)

Blood sample taken: Yes No Please indicate timepoint: 5wk 12mth

If YES, date and time taken: — / — / —
d d m m y y y y :
(24 hr clock)

Please stick a barcode label from blood kit box in this box

If NO, provide reason: _____

FedEx Tracking Number:

Has the blood sample box been collected by the courier?: Yes If YES, date collected: — / — / —
d d m m y y y y
No If NO, provide reason: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) _____ Date data entered (dd/mm/yyyy) _____

* Names must appear on the site signature & delegation log

Version 4.0, 15/05/2018

The VIOLET Study
RESOURCE USE REPORT [VISIT NAME]

VIOLET Trial ID:

Patient Name: _____

--	--	--	--	--	--	--

PREVIOUSLY REPORTED HOSPITAL ADMISSIONS (OVERNIGHT STAYS)						
<p><i>Listed below are all hospital admissions (overnight stays) that have been reported in the study to date</i></p> <p>Database will produce a list as follows: Name of hospital Date of admission Length of admission Reason for admission Associated AE's (codes)</p>						
PREVIOUSLY REPORTED HOSPITAL VISITS						
<p><i>Listed below are all hospital visits that have been reported in the study to date</i></p> <p>Database will produce a list as follows: Name of hospital Date of visit Type of visit Reason for visit</p>						
PREVIOUSLY REPORTED HEALTHCARE IN THE COMMUNITY						
<p><i>Listed below are the community healthcare services that the patient has reported using in the study to date</i></p> <p>Database will produce a list as follows: Type of service used Date of visit Reason for visit</p>						

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)
____ / ____ / ____

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

Patient Name: _____

A subtraction diagram consisting of two horizontal rows of boxes. The top row, labeled 'Minuend', contains three empty boxes. The bottom row, labeled 'Subtrahend', contains four empty boxes. A minus sign is positioned between the two rows.

PREVIOUSLY REPORTED / ONGOING ANALGESA

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

Version 4.0 15/05/2018

* Names must appear on the site signature & delegation log

Patient Name: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

Version 4.0 15/05/2018

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Multiple copies of this CRF can be completed if required

The VIOLET Study
RESOURCE USE IN FOLLOW-UP PERIOD [VISIT NAME]

E5

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

ADMISSION NO: _____

Please specify the route of admission:

Via A & E

Via Other

If OTHER, specify _____

Please specify the hospital to which the patient was admitted: _____

Please specify the date of admission: _____ / _____ / _____

— d — / — m — / — y — y — y

Please specify the length of admission (days)

_____ / _____

Please specify the number of days spent in ICU (enter 0 if none)

_____ / _____

Please specify how the patient arrived at hospital:

Ambulance

Hospital Transport

Other

Briefly describe the reason for the admission: _____

FOR RESEARCH NURSE USE ONLY:

If the patient **IS** having adjuvant therapy, please report any SAEs that the patient has experienced since their last follow-up from the list of **EXPECTED POST-OP EVENTS SECTION AND EXPECTED CHEMO / RADIO EVENTS**

If the patient **IS NOT** having adjuvant therapy, please report any SAEs that the patient has experienced since their last follow-up from the list of **EXPECTED POST-OP EVENTS ONLY**

Event code	Specify (if required)	Onset / start date	CTCAE grade (v4)	Relatedness*
_____ / _____	_____	— d — / — m — / — y — y — y	<input type="checkbox"/>	<input type="checkbox"/>
_____ / _____	_____	— d — / — m — / — y — y — y	<input type="checkbox"/>	<input type="checkbox"/>
_____ / _____	_____	— d — / — m — / — y — y — y	<input type="checkbox"/>	<input type="checkbox"/>

*Relatedness to the intervention (surgery) should be determined by the Principal Investigator and graded as:

1) Not related, 2) Unlikely to be related, 3) Possibly related, 4) Probably related, 5) Definitely related

EXPECTED POST-OP EVENTS

1) Atelectasis / pulmonary collapse, 2) Bronchopleural fistula, 3) Empyema, 4) Prolonged air leak or other post-drain pneumothorax, 5) Pleural effusion, 6) ARDS, 7) Acute Lung Injury, 8) Chylothorax, 9) Bleeding, 10) Haematoma, 11) Sepsis, 12) Infection [chest]/ pneumonia, 13) Infection [wound], 14) Infection [other, specify], 15) Transient ischaemic attack, 16) Stroke, 17) Haemofiltration, 18) Wound dehiscence [requiring staple / suture], 19) Wound dehiscence [requiring vacuum assisted closure], 20) Wound dehiscence [requiring other treatment, specify], 21) Bronchoscopy [for pulmonary collapse], 22) Bronchoscopy [for other reason, specify], 23) Recurrence / progression, 24) New cancer [primary or secondary], 25) DVT, 26) Venous thromboembolism (VTE), 27) Pulmonary embolism, 28) Reoperation [specify reason]

EXPECTED CHEMO / RADIO EVENTS

51) Anaemia, 52) Thrombocytopenia, 53) Neutropenia / Febrile neutropenia, 54) Myelosuppression, 55) Nausea, 56) Vomiting, 57) Diarrhoea, 58) Constipation, 59) Peripheral sensory neuropathy, 60) Peripheral motor neuropathy, 61) Headaches, 62) Insomnia 63) Anaphylaxis / hypersensitivity reaction, 64) Athralgia, 65) Myalgia, 66) Leukopenia, 67) Elevated ALT / AST, 68) Elevated alkaline phosphatase

Has the patient experienced any OTHER events **NOT** listed above that met the criteria for an SAE[#]? Yes No

If YES, complete an SAE form, (CRF S1-S2) for each event

[#] Defined as an event that resulted in: i) hospital admission or increased length of hospital admission, ii) life threatening, iii) persistent or significant disability, iv) caused death, v) Other serious (important medical event)

A copy of this CRF should be completed for each admission.

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy) _____ / _____ / _____

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The VIOLET Study
RESOURCE USE IN FOLLOW-UP PERIOD [VISIT NAME]

E6

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

NEW HOSPITAL VISIT NO: _____

Please specify the type of visit (*tick one*):

Outpatients appointment A & E visit Other If **OTHER**, specify _____

Please specify the hospital that the patient attended: _____

Please specify how the patient arrived at hospital (*tick one*):

Ambulance Hospital Transport Other

Specify the date of visit: / / / / / /

Specify the reason for visit:

Yes No

If a CT scan has been performed
please complete form I1

Drain removal / check

Chemo or radiotherapy

Diagnostic imaging (scans)

If YES, specify scan type: CT scan Other

Other reason

If YES specify: _____

NEW HOSPITAL VISIT NO: _____

Please specify the type of visit (*tick one*):

Outpatients appointment A & E visit Other If **OTHER**, specify _____

Please specify the hospital that the patient attended: _____

Please specify how the patient arrived at hospital (*tick one*):

Ambulance Hospital Transport Other

Specify the date of visit: / / / / / /

Specify the reason for visit:

Yes No

If a CT scan has been performed
please complete form I1

Drain removal / check

Chemo or radiotherapy

Diagnostic imaging (scans)

If YES, specify scan type: CT scan Other

Other reason

If YES specify: _____

Multiple copies of this form can be completed as required

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

The VIOLET Study
IMAGING: 12 MONTH CT SCAN

F1

VIOLET Trial ID:

Patient Name: _____

_____ - _____

DETAILS OF IMAGING PERFORMED

Has the 12 month CT scan been performed?

Yes No

If **YES**, please complete PART A
 If **NO**, please complete PART B.

PART A: THE 12 MONTH CT WAS PERFORMED

Please provide scan date:

— / — / —
 d d m m y y y y

Was the scan performed in accordance with the protocol (1 year +/- 4 weeks)?

Yes No

If the scan was performed but was **not within** 4 weeks of the above date, please provide the main reason (tick one):

Logistical issues

Oversight / Error

Other

If **OTHER**, please specify: _____

TO BE COMPLETED BY A TRIAL SURGEON OR RADIOLOGIST

SCAN RESULTS:

Please identify the category that best describes the results of the scan (*select imaging classification below*)

Imaging classification

1: No unequivocal evidence of progression –normal post lobectomy CT appearances

2: No unequivocal evidence of progression –however new CT findings warrant surveillance (e.g. indeterminate or inflammatory appearing lung nodules)

3: Disease status unknown –indeterminate CT findings require immediate work-up (e.g. new pleural effusion, new soft tissue at the surgical resection site)

4: Unequivocal radiological evidence of progression (e.g. new lymphadenopathy, distant metastasis, lymphangitis)

5: Unequivocal evidence of progression—pathologically proven

If the imaging has been classified as **4 or 5**, please complete the Recurrence form (G1)

Name of radiologist*: _____

PART B: THE 12 MONTH CT WAS NOT PERFORMED

If the 12 month CT scan was **NOT PERFORMED**, specify reason (s):

Yes No

Logistical issues

Oversight / Error

Yes No

Recurrence confirmed °
 (scan not required)

Scan recently performed so 12 month scan not
 appropriate/ required

Other

If **OTHER**, please specify: _____

°If recurrence has been confirmed, please complete the Recurrence form (G1)
 If a CT scan has recently been performed, please provide details on the I1 CRF

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

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* Names must appear on the site signature & delegation log

The VIOLET Study
ADDITIONAL IMAGING

VIOLET Trial ID:

1

Patient Name: _____

			-				
--	--	--	---	--	--	--	--

DETAILS OF IMAGING PERFORMED

Provide date of the CT scan: d d / m m / y y y y

Identify the main reason why the additional imaging was performed (*tick one*):

Suspicion of recurrence prior to 12 month scan Scan indicated by unrelated disorder / condition

Assessment of a post-procedural complication Other

If **OTHER**, please specify: _____

TO BE COMPLETED BY A TRIAL SURGEON OR RADIOLOGIST

SCAN RESULTS:

Please identify the category that best describes the results of the scan (*select imaging classification below*)

Imaging classification

1: No unequivocal evidence of progression –normal post lobectomy CT appearances
2: No unequivocal evidence of progression –however new CT findings warrant surveillance (e.g. indeterminate or inflammatory appearing lung nodules)
3: Disease status unknown –indeterminate CT findings require immediate work-up (e.g. new pleural effusion, new soft tissue at the surgical resection site)
4: Unequivocal radiological evidence of progression (e.g. new lymphadenopathy, distant metastasis, lymphangitis)
5: Unequivocal evidence of progression—pathologically proven

If the imaging has been classified as **4 or 5**, please complete the Recurrence form (G1)

Name of radiologist*: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

The VIOLET Study
ACCESS TO MEDICAL RECORDS & FOLLOW-UP

MR₁

VIOLET Trial ID:

Patient Name: _____

_____ - _____ - _____

BASELINE QUESTIONNAIRES

Has the patient completed the baseline health questionnaire booklet? Yes No

If **NO**, please ensure that the patient completes this prior to the their operation

TREATMENT DETAILS

Date of procedure / cycle / treatment: d d / m m / y y y y y y

What treatment did the patient undergo?

VATS Lobectomy

Lobectomy via Open Surgery

Other

If **OTHER**,
please specify: _____

SURVIVAL STATUS AT 1 YEAR POST-OP

Please identify the patients survival status 1 year after their operation:

Alive Lost to follow-up

Dead

If **DEAD**, please provide the date of death: d d / m m / y y y y y y

If **DEAD**, please specify the cause of death: _____

*NB: An SAE form is **NOT** required for patients participating in Access to Medical Records & FU only*

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

____ / ____ / ____

* Names must appear on the site signature & delegation log

Version 4.0, 15/05/2018

NOTE TO FILE

VIOLET Trial ID:

Patient Name: _____

			-			
--	--	--	---	--	--	--

Please use this form to record details of important events for formal documentation in the database.
Please also use this form to document breaches of GCP.

Does this note relate to a page in the CRFs? Yes No If YES, give page number (e.g. C1)

If E1-E7, please specify which follow-up visit to which the note to file relates):

5 weeks visit

3 month telephone call

6 month telephone call

12 months visit

Date and time of event (where applicable, or record NA):

— / — / — — : — —
d d m m y y y y (24 hr clock)

File note (include all relevant details of event)

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

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* Names must appear on the site signature & delegation log

The VIOLET Study
RECURRENCE / PROGRESSION / NEW CANCER

VIOLET Trial ID:

G1

Patient Name: _____

_____ - _____

DETAILS OF THE DISEASE RECURRENCE / PROGRESSION

Please complete this form for each instance of disease recurrence / progression experienced during the follow-up period.

Please specify the type of recurrence / progression / new cancer:

	Yes	No		Yes	No
Local recurrence	<input type="checkbox"/>	<input type="checkbox"/>	Distant recurrence	<input type="checkbox"/>	<input type="checkbox"/>
Regional recurrence	<input type="checkbox"/>	<input type="checkbox"/>	New primary cancer	<input type="checkbox"/>	<input type="checkbox"/>
New secondary cancer	<input type="checkbox"/>	<input type="checkbox"/>			

Specify location of the recurrence / new cancer / metastases: _____

Please provide the date that recurrence was reported: / / / / / /

How was the recurrence / progression diagnosed (*tick all that apply*)

	Yes	No		Yes	No
CT scan [‡]	<input type="checkbox"/>	<input type="checkbox"/>	PET-CT	<input type="checkbox"/>	<input type="checkbox"/>
MRI	<input type="checkbox"/>	<input type="checkbox"/>	X-ray	<input type="checkbox"/>	<input type="checkbox"/>
Patient symptomatic (clinical progression)	<input type="checkbox"/>	<input type="checkbox"/>	Pathologically diagnosed recurrence	<input type="checkbox"/>	<input type="checkbox"/>
Post-mortem results	<input type="checkbox"/>	<input type="checkbox"/>	Other	<input type="checkbox"/>	<input type="checkbox"/>

If **OTHER**, please specify: _____

**Details of the scan which identified recurrence / progression at 12 months (+/- 4 weeks) from the date randomisation should be reported on F1. Details of any scan which identified recurrence / progression at any other time after randomisation should be reported on I1.*

Has the MDT ratified the diagnosis of recurrence?

Yes No

Has treatment been initiated for the recurrence / progression?

Yes No

If **YES**, specify start date:

 / / / / / /

If treatment has been started, please specify treatment type:

	Yes	No		Yes	No
Further surgery	<input type="checkbox"/>	<input type="checkbox"/>	Radiotherapy	<input type="checkbox"/>	<input type="checkbox"/>
Chemotherapy	<input type="checkbox"/>	<input type="checkbox"/>	Palliative care	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	If OTHER , specify: _____		

If **FURTHER SURGERY**, please specify the extent of the surgery:

	Yes	No		Yes	No
Biopsy	<input type="checkbox"/>	<input type="checkbox"/>	Wedge resection	<input type="checkbox"/>	<input type="checkbox"/>
Lobectomy	<input type="checkbox"/>	<input type="checkbox"/>	Completion pneumonectomy	<input type="checkbox"/>	<input type="checkbox"/>
Open & Close (inoperable / extensive malignancy)	<input type="checkbox"/>	<input type="checkbox"/>	Other	<input type="checkbox"/>	<input type="checkbox"/>

If **OTHER**, specify: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals) _____ Date data entered (dd/mm/yyyy) _____ / _____ / _____

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

AUDIO RECORDINGS

VIOLET Trial ID:

Patient Name: _____

_____ - _____

RECORDING 1

Was an audio-recording taken? Yes No

If YES, please complete Part A.

If NO, please complete Part B.

PART A: AUDIO-RECORDING MADEProvide the date the recording was taken: ____ / ____ / ____
d d m m y y y y

Provide the staff ID's of those present:

VIOLET staff ID (1): - VIOLET staff ID (2): - Was the patient accompanied? Yes No

If YES, state their relationship to the patient (e.g. partner, friend etc.): _____

When was the recording taken?

At the surgical consultation Other time point If OTHER, please specify: _____**PART B: AUDIO-RECORDING NOT MADE**

If the patient consented but the audio-recording was not taken, please specify the reasons:

Equipment malfunction

Yes No

Patient changed their mind

Yes No

Staff member had not consented

Yes No

Logistical issues

Yes No

Other

Yes No

If OTHER, specify: _____

RECORDING 2

Was an audio-recording taken? Yes No

If YES, please complete Part A.

If NO, please complete Part B.

PART A: AUDIO-RECORDING MADEProvide the date the recording was taken: ____ / ____ / ____
d d m m y y y y

Provide the staff ID's of those present:

VIOLET staff ID (1): - VIOLET staff ID (2): - Was the patient accompanied? Yes No

If YES, state their relationship to the patient (e.g. partner, friend etc.): _____

When was the recording taken?

At the surgical consultation Other time point If OTHER, please specify: _____**PART B: AUDIO-RECORDING NOT MADE**

If the patient consented but the audio-recording was not taken, please specify the reasons:

Equipment malfunction

Yes No

Patient changed their mind

Yes No

Staff member had not consented

Yes No

Logistical issues

Yes No

Other

Yes No

If OTHER, specify: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

Study Details

Sponsor Ref: 2014LS004B

REC Ref: 14/LO/2129

**The VIOLET Study
SAE MASTER FORM****VIOLET Trial ID:**

--	--	--	--	--	--	--

SAE ref: _____

SAE report page _____ of _____

An SAE report should be completed for each event that fulfils the following criteria and that is not listed as expected on CRFs D1-D3 or E5.

i) increases length of hospital admission, ii) causes hospitalisation, iii) is life-threatening, iv) results in persistent of significant disability, v) results in death

For each event that meets the above criteria, please complete a line in the below table. An initial report (S1 & S2) should be completed for each event and follow-up forms should be completed every five days until the event is considered as resolved (if initially reported as ongoing) or until the patient has died.

Please ensure all SAE reports are identified with the correct SAE reference, which is derived by the table below.

SAE Ref	Brief description of the event	Onset date	Date of initial report	Date of follow-up 1	Date of follow-up 2	Date of follow-up 3	Event resolved? (Tick)
1.							
2.							
3.							
4.							
5.							
6.							
7.							
8.							

Use the space below to provide details of any further SAE follow-ups and be sure to annotate with the SAE reference number:

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): _____ / _____ / _____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

/ / / / / / / /

Version 4.0, 15/05/2018

Study Details

Sponsor Ref: 2014LS004B

REC Ref: 14/LO/2129

SAE INITIAL REPORT FORM

SAE ref: _____

SAE report page _____ of _____

VIOLET Trial ID:

			-			
--	--	--	---	--	--	--

5. DETAILS OF RESEARCH INTERVENTIONDate of intervention: ____ / ____ / ____
d d m m y y y y

Patient treated according to allocation

Yes

No

6. ACTION TAKEN AND FURTHER INFORMATIONPlease describe action taken e.g. *treatment stopped, rescue therapy, any interventions performed*):Provide any other relevant information (e.g. *medical history, test results*):**7. WITHDRAWAL**Has the patient been withdrawn? Yes No If YES date withdrawn ____ / ____ / ____
*d d m m y y y y***8. RELATEDNESS**

In the opinion of the PI or delegated doctor, was the event related to the study intervention

Not related Unlikely to be related Possibly related* Probably related* Definitely related*

*If possibly, probably or definitely related to the study intervention, please provide a justification:

9. DETAILS OF PRINCIPAL INVESTIGATOR, OR DELEGATED DOCTORThe completed SAE form must be signed off by the **PI or other delegated doctor** prior to faxing to the sponsor***I confirm that the contents of this form (pages S1 and S2) are accurate and complete***Name _____ Signature _____ Date ____ / ____ / ____
*d d m m y y y y***FOR CTEU USE ONLY**Does the event require reporting to REC? Yes No If NO, reason: _____Does the Chief Investigator **disagree** with the assessment of relatedness? Yes No

If YES, reason: _____

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals) Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

SAE FOLLOW-UP REPORT FORM

Study Details

Sponsor Ref: 2014LS004B

REC Ref: 14/LO/2129

SAE ref: _____

VIOLET Trial ID: _____

SAE report page _____ of _____

			-				
--	--	--	---	--	--	--	--

1. PARTICIPANT DETAILS

Patient initials Sex Male Female Date of Birth / /
d d m m y y y y

2. SAE DETAILS

Date of onset / /
d d m m y y yTime of onset :
(24 hr clock)

3. FURTHER DETAILS OF EVENT

Maximum intensity of event (up until time of follow-up report)

Specify the adverse event term and CTCAE grade:

Adverse Event term:

CTCAE grade:

E.g. Atrial fibrillation

 4Adverse Event term: CTCAE grade: 1. 3. 2. 4. 5.

Additional actions / further information since initial report (e.g. medical history, test results etc)

4. OUTCOME OF EVENT

Resolved, Resolved, with sequelae *Ongoing * (complete follow-up form within 5 days, unless otherwise agreed by sponsor) Died * (give cause and PM details or Death Certificate)

If RESOLVED, please specify end date & time:

 / /
d d m m y y y y :
(24 hr clock)

*If Resolved with sequelae, ongoing or died, please give details:

If a long term SAE that is possibly/probably/definitely related to the intervention and a new follow-up schedule has been agreed with the Sponsor, give date of next follow-up / /
d d m m y y y y

5. WITHDRAWAL

Has the patient been withdrawn from the study Yes No If YES date withdrawn / /
d d m m y y y y

6. DETAILS OF PRINCIPAL INVESTIGATOR OR DELEGATED DOCTOR

The completed SAE form must be signed off by the PI or other delegated doctor prior to faxing to the sponsor
I confirm that the contents of this form are accurate and complete

Name _____

Signature _____

Date / /
d d m m y y y y

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): / / / / /

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

 / / / / /

Version 4.0, 15/05/2018

Study Details

Sponsor Ref: 2014LS004B

REC Ref: 14/LO/2129

SAE ADDITIONAL INFORMATION FORM**VIOLET Trial ID:**

SAE ref: _____

SAE report page _____ of _____

			-			
--	--	--	---	--	--	--

ADDITIONAL INFORMATION

Section No	Further Information

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

BANG BLINDING INDEX-PATIENT

VIOLET Trial ID: _____

Patient Name: _____

_____ - _____

TO BE COMPLETED BY STAFF ON BEHALF OF THE PATIENT:
To be completed two days after surgery & on the date of discharge

(NB: Day of surgery is Day 0)

Today's date:

____ / ____ / ____
d m y y y*Read the following to the patient:*

We would like to ask you some brief questions to find out more about your experience of your operation. There is no right or wrong answer and the information will be confidential.

1) What type of surgery do you think that you received (*tick one*)?

VATS

Open Surgery

Don't know

2) Why do you think this?

3) If you answered "Don't know", please guess which one you think you received: (*tick one*)

VATS

Open Surgery

Don't know

Bang H, Ni L, Davis CE. Assessment of blinding in clinical trials. *Controlled Clinical Trials* 2004; 25: 143-56.

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log

BANG BLINDING INDEX-NURSE

VIOLET Trial ID:

Patient Name: _____

			-				
--	--	--	---	--	--	--	--

BANG BLINDING INDEX—NURSE

**TO BE COMPLETED BY THE RESEARCH NURSE
RESPONSIBLE FOR DATA COLLECTION:**

- At patient discharge**
- Immediately after the patients 5 week visit**
- Immediately after the patients 12 month visit**

Today's date:

— — / — — / — —
 d d m m y y y y

You have just had a follow-up appointment with a patient participating in VIOLET. Please complete the following questions so that we can assess the efficacy of blinding the research nurse responsible for data collection. There are no right or wrong answers and the information will be confidential.

1) What type of surgery do you think that the patient received (*tick one*)?

VATS

Open Surgery

Don't know

2) Why do you think this?

3) If you answered "Don't know", please guess which one you think the patient received: (*tick one*)

VATS

Open Surgery

Don't know

Bang H, Ni L, Davis CE. Assessment of blinding in clinical trials. *Controlled Clinical Trials* 2004; 25: 143-56.

Name of person completing form* (capitals): _____

Signature of person completing form: _____ Date completed (dd/mm/yyyy): ____ / ____ / ____

Name of person entering data* (capitals)

Date data entered (dd/mm/yyyy)

____ / ____ / ____

Version 4.0, 15/05/2018

* Names must appear on the site signature & delegation log