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A randomised controlled trial of adjunctive triamcinolone acetonide in eyes undergoing vitreoretinal surgery for open globe trauma – the ASCOT study

David G Charteris, Suzie Cro, Edward Casswell, Rhiannon Tudor Edwards, Victory Ezeofor, Bethany Anthony, Catey Bunce, Elizabeth Robertson, Joanna Kelly, Caroline Murphy, Philip Banerjee and Victoria R Cornelius



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Abstract

A randomised controlled trial of adjunctive triamcinolone acetonide in eyes undergoing vitreoretinal surgery for open globe trauma – the ASCOT study

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Background: Eyes sustaining open globe trauma are at high risk of severe visual impairment. Proliferative vitreoretinopathy is the most common cause of retinal detachment and visual loss in eyes with open globe trauma. There is evidence from experimental studies and pilot clinical trials that the use of adjunctive steroid medication triamcinolone acetonide can reduce the incidence of proliferative vitreoretinopathy and improve outcomes of surgery for open globe trauma.

Objective: The Adjunctive Steroid Combination in Ocular Trauma or ASCOT study aimed to investigate the clinical effectiveness of adjunctive triamcinolone acetonide given at the time of vitreoretinal surgery for open globe trauma.

Design: A phase 3 multicentre double-masked randomised controlled trial randomising patients undergoing vitrectomy following open globe trauma to either adjunctive triamcinolone acetonide or standard care.

Setting: Hospital vitreoretinal surgical services dealing with open globe trauma.

Participants: Patients undergoing vitrectomy surgery who had sustained open globe trauma.

Interventions: Triamcinolone acetonide 4 mg/0.1 ml into the vitreous cavity and 40 mg/1 ml sub-Tenon's or standard vitreoretinal surgery and postoperative care.

Main outcome measures: The primary outcome was the proportion of patients with at least 10 letters of improvement in corrected visual acuity at six months. Secondary outcomes included retinal detachment secondary to proliferative vitreoretinopathy, retinal reattachment, macula reattachment, tractional retinal detachment, number of operations, hypotony, elevated intraocular pressure and quality of life. Health-related quality of life was assessed using the EuroQol Five Domain and Visual Function Questionnaire 25 questionnaires.

Results: A total of 280 patients were randomised; 129 were analysed from the control group and 130 from the treatment group. The treatment group appeared, by chance, to have more severe pathology on presentation. The primary outcome (improvement in visual acuity) and principal secondary outcome (change in visual acuity) did not demonstrate any treatment benefit for triamcinolone acetonide. The proportion of patients with improvement in visual acuity was 47% for triamcinolone acetonide and 43% for standard care (odds ratio 1.03, 95% confidence interval 0.61 to 1.75, $p = 0.908$); the baseline adjusted mean difference in the six-month change in visual acuity was -2.65 (95% confidence interval -9.22 to 3.92 , $p = 0.430$) for triamcinolone acetonide relative to control. Similarly, the secondary outcome measures failed to show any treatment benefit. For two of the secondary outcome measures, stable complete retinal reattachment and stable macular retinal reattachment, outcomes for the treatment group were significantly worse for triamcinolone acetonide at the 5% level (respectively, odds ratio 0.59, 95% confidence interval 0.36 to 0.99, $p = 0.044$ and odds ratio 0.59, 95% confidence interval 0.35 to 0.98, $p = 0.041$) compared with control in favour of control. The cost of the intervention was £132 per patient. Health economics outcome measures (Early Treatment Diabetic Retinopathy Study, Visual Function Questionnaire 25 and EuroQol Five Dimensions) did not demonstrate any significant difference in quality-adjusted life-years.

Conclusions: The use of combined intraocular and sub-Tenon's capsule triamcinolone acetonide is not recommended as an adjunct to vitrectomy surgery for intraocular trauma. Secondary outcome measures are suggestive of a negative effect of the adjunct, although the treatment group appeared to have more severe pathology on presentation.

Future work: The use of alternative adjunctive medications in cases undergoing surgery for open globe trauma should be investigated. Refinement of clinical grading and case selection will enable better trial design for future studies.

Trial registration: This trial is registered as ISRCTN 30012492, EudraCT number 2014-002193-37, REC 14/LNO/1428, IRAS 156358, Local R&D registration CHAD 1031.

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List of abbreviations

ACIOL	anterior chamber intraocular lens implant	KCTU	Kings College Clinical Trials Unit
ASCOT	Adjunctive Steroid Combination in Ocular Trauma	LMWH	low molecular weight heparin
CEAC	cost-effectiveness acceptability curve	MAR	missing-at-random
CI	confidence interval	MCMC	Markov chain Monte Carlo
CRF	case report form	MNAR	missing not at random
CSRI	client service receipt inventory	NICE	National Institute of Health and Care Excellence
CONSORT	Consolidated Standards of Reporting Trials	OGT	open globe trauma
DIRUM	Database of Instruments for Resource Use Measurement	OR	odds ratio
eCRF	electronic case report form	PIN	personal identification number
EQ-5D	EuroQol Five Dimension	PSSRU	personal social services research unit
ETDRS	Early Treatment Diabetic Retinopathy Study	PVR	proliferative vitreoretinopathy
5FU	5 fluorouracil	QALY	quality-adjusted life-year
HRQoL	health-related quality of life	RCT	randomised controlled trial
ICER	incremental cost- effectiveness ratio	RD	retinal detachment
IOFB	intraocular foreign body	SD	standard deviation
IOP	intraocular pressure	TA	triamcinolone acetonide
IQR	interquartile range	VA	visual acuity
		VFQ-25	Visual Function Questionnaire 25

Plain language summary

Despite advances in surgical techniques, eye trauma remains a leading cause of blindness and visual impairment. The main cause of trauma is a scarring process within the eye – proliferative vitreoretinopathy. There is good evidence from laboratory work and small-scale clinical studies that the addition of a steroid medication, triamcinolone acetonide, given in and around the eye at the time of surgery for eye trauma, can reduce the incidence of proliferative vitreoretinopathy scarring and improve the outcomes of surgery. The Adjunctive Steroid Combination in Ocular Trauma or ASCOT study was a multicentre clinical trial designed to test the use of triamcinolone acetonide as an addition to surgery to improve outcomes in eyes with ‘open globe’ penetrating injuries. A total of 280 patients were recruited and randomised to receive standard surgery or surgery with the additional steroid (triamcinolone acetonide). No benefit was found from the addition of the steroid medication. The addition of steroid medication was not good value for money. Secondary outcome measures suggested that triamcinolone acetonide may have had a negative effect on outcomes, although this may have been due to the presence of more severe cases amongst the patients allocated to receive the additional steroid (triamcinolone acetonide). The use of adjunctive triamcinolone acetonide in eye trauma cases undergoing surgery is therefore not recommended. Future studies with different additional medications and/or more targeted case selection are indicated to improve outcomes for eyes experiencing penetrating trauma.

Scientific summary

Background

Eyes sustaining penetrating or open globe trauma (OGT) are a group at high risk of severe visual impairment. Retinal detachment (RD) is common in these eyes and multiple surgical interventions are often necessary. Proliferative vitreoretinopathy (PVR) is the most common cause of recurrent RD and visual loss in eyes, with OGT occurring in 10–45% of cases. There is good evidence from experimental, preclinical studies and pilot clinical trials that the use of adjunctive steroid medication, in particular triamcinolone acetonide (TA), can reduce the incidence of PVR and improve outcomes of surgery for OGT.

Objective

The Adjunctive Steroid Combination in Ocular Trauma (ASCOT) study aimed to investigate the clinical effectiveness of adjunctive TA given at the time of vitreoretinal surgery for OGT. This included analysis of the economic and quality of life benefits of the adjunctive treatment. From an NHS perspective, to explore the incremental cost-effectiveness of TA and to explore the cost per quality-adjusted life-year (QALY) of adjunctive TA in vitreoretinal surgery for OGT to determine whether this falls below the National Institute of Health and Care Excellence threshold of £20,000–30,000 per QALY.

Methods

A phase 3 multicentre double-masked randomised controlled clinical trial randomising patients undergoing vitrectomy following OGT to either adjunctive TA (4 mg/0.1 ml into the vitreous cavity and 40 mg/1 ml sub-Tenon's) or standard care. Inclusion criteria were as follows:

1. adult subjects (aged 18 years or over at the time of enrolment)
2. full thickness, open globe ocular trauma undergoing vitrectomy
3. ability to give written informed consent
4. willingness to accept randomisation and attend follow-up for six months.

Patients were recruited prior to vitrectomy surgery and randomised at the completion of surgery. The primary outcome was to determine whether adjunctive intraocular and periocular steroid (TA) improves visual acuity (VA) at six months compared with standard treatment in eyes undergoing vitreoretinal surgery for OGT. This was defined as the proportion of patients with at least 10 letters of improvement in corrected VA on the Early Treatment Diabetic Retinopathy Study (ETDRS) chart at six months.

Secondary outcomes were to determine whether adjunctive intraocular and periocular steroid (TA) influences the development of scarring (PVR), RD (stable complete retinal and macular reattachment), intraocular pressure abnormalities and other complications in eyes undergoing surgery for OGT. In addition, to assess the effects of treatment on quality of life measured using the EuroQol Five Dimensions (EQ-5D) questionnaire and the Visual Function Questionnaire-25 (VFQ-25) tools.

The study sample size was calculated from previously published work and two non-randomised trials carried out by the investigators. Based on previous studies, to detect a 19% increase in the proportion of patients with clinically meaningful improvement in VA [from 55% to 74%, corresponding to an odds

ratio (OR) of 2.33], with an allowance for an estimated 7% dropout rate, the target sample size was 300 patients (150 per study arm).

The main analysis followed the intention-to-treat principle and was conducted subgroup blind (i.e. as group A vs. group B) in accordance with the prespecified ASCOT statistical analysis plan. The primary analysis model consisted of a mixed logistic model with change in VA (<10 change in 6-month ETDRS score, ≥ 10 change in 6-month ETDRS score) as the outcome and treatment arm and baseline value of the ETDRS as covariates. Treatment centre was included as a random intercept. Linear (Gaussian) mixed regression models were used for the analysis of the principle secondary outcome (change in ETDRS) and other continuous secondary outcomes. Binary secondary outcomes were analysed using mixed logistic regression models.

We conducted a primary cost-effectiveness analysis using VA (≥ 10 -letter improvement in ETDRS score) as the measure of effect, developing incremental cost-effectiveness ratios to express cost-effectiveness in Great British pounds. We conducted a secondary cost-utility analysis using the EQ-5D as the measure of utility to generate a cost per QALY and a cost-effectiveness analysis using vision specific quality of life as the measure of effect. We then compared the generic (EQ-5D) with the visual specific (VFQ-25) measure. Primary and secondary health and social care service use was recorded using a client service receipt inventory as part of a case report form collected at baseline, three and six months.

Results

There were 129 patients in the primary analysis for the standard of care surgery arm and 130 in the surgery plus TA arm. Comparing baseline parameters the treatment group appeared, by chance, to have more severe pathology on presentation – the treatment group had a higher level of previous primary repair – 77% compared with 69%, more zone 3 (posterior) injuries (31% vs. 21%), a higher rate of vitreous haemorrhage (69% vs. 63%) and retinal incarceration (27% vs. 18%) and higher rates of pre-existing RD (54% vs. 48%) and pre-existing PVR (27% vs. 21%). The primary outcome (improvement in VA) and principal secondary outcome (change in VA) did not demonstrate any treatment benefit for TA. A total of 56/129 (43.4%) participants in the standard surgery arm experienced a clinically meaningful improvement in VA (6-month change in ETDRS ≥ 10 letters) compared with 61/130 (46.9%) in the surgery plus adjunctive TA arm [unadjusted difference in proportion 3.5%, 95% confidence interval (CI) -8.6% to 15.6%]. The adjusted OR for a clinically meaningful change in VA for surgery plus adjunctive TA relative to standard surgery was 1.03 (95% CI 0.61 to 1.75, $p = 0.908$). The baseline adjusted mean difference in the month 6 change in ETDRS VA for surgery plus TA compared with standard surgery was -2.65 (95% CI -9.22 to 3.92, $p = 0.430$), with the point estimate in favour of standard surgery.

Similarly, the secondary outcome measures failed to show any treatment benefit. For two of the secondary outcome measures, stable complete retinal reattachment and stable macular retinal reattachment, outcomes for the treatment group were significantly less good than for the control group. The OR for stable complete retinal reattachment for surgery plus adjunctive TA relative to standard surgery was 0.59 (95% CI 0.36 to 0.99, $p = 0.044$) in favour of standard surgery. The OR for stable macular retinal reattachment for surgery plus adjunctive TA relative to standard surgery was 0.59 (95% CI 0.35 to 0.98, $p = 0.041$) in favour of standard surgery.

For the economic analysis, sample sizes of the intervention arm and control group were 130 and 129, respectively. The cost of the intervention per patient was estimated at £132. The proportion of participants with an ETDRS ≥ 10 -letter improvement was 0.47 for the intervention group, with a mean cost of £4,908, while the control group had a mean cost of £4,794 and an effect of 0.43.

Conclusions

The use of combined intraocular and sub-Tenon's capsule TA is not recommended as an adjunct to vitrectomy surgery for intraocular trauma. Secondary outcome measures suggested a negative effect of the adjunct. The baseline characteristics of the treatment and control groups may provide an explanation for the less good outcomes in the treatment group – the treatment group appeared to have more severe pathology on presentation. A negative treatment effect of the adjunct cannot, however, be discounted.

This is a low-cost intervention; however, it did not produce a significant clinical outcome of effect, and outcome measures did not indicate that it was cost-effective. What is methodologically interesting is that the measurement of preference and non-preference-based outcomes in ophthalmic surgery and VA correlates with generic health-related quality of life measures used for QALY calculation.

Future work

The use of alternative adjunctive medications in cases undergoing surgery for OGT should be investigated. Refinement of clinical grading and case selection will enable better trial design for future studies.

Trial registration

This trial is registered as ISRCTN 30012492, EudraCT number 2014-002193-37, REC 14/LNO/1428, IRAS 156358, Local R&D registration CHAD 1031.

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Introduction

Background

Trauma is an important cause of visual impairment and blindness worldwide and a leading cause of blindness in young adult males.¹ Globally, it has been estimated that 1.6 million people are blind as a result of ocular trauma, with 2.3 million suffering bilateral low vision.² Ocular trauma is the most common cause of unilateral blindness in the world today, with up to 19 million with unilateral blindness or low vision.² It is estimated that almost one million people in the United States live with trauma-related visual impairment.³ Ocular trauma has extensive socioeconomic costs; patients with open globe injuries lose a mean of 70 days of work.⁴ In the United States, work-related eye injuries cost over \$300 million per year (www.preventblindness.org), which equates to an annual cost to the UK economy (for which no comparable data exist) of £37.5 million.

In the UK, it is estimated that 5000 patients per year sustain eye injuries serious enough to require hospital admission and, of these, 250 will be permanently blinded in the injured eye.⁵ Recent European studies document incidences of 2.4 and 3.2 per 100,000 per year^{6,7} for open globe injuries, which suggests an annual incidence for the UK of between 1500 and 2000.

Ocular injuries that result in visual loss invariably affect the posterior segment of the eye, and prevention of visual loss involves posterior segment (vitreoretinal) surgery. It is clear from recent published data that although vitreoretinal surgical techniques have improved, outcomes remain unsatisfactory, and that development of the intraocular scarring response proliferative vitreoretinopathy (PVR) is the leading cause.^{8–11}

Proliferative vitreoretinopathy

Eyes sustaining penetrating or open globe trauma (OGT) are a group at high risk of severe visual impairment. Retinal detachment (RD) is common in these eyes and multiple surgical interventions are often necessary. PVR is the most common cause of recurrent RD and visual loss in eyes with OGT. It is documented to occur in 10–45% of all OGT,^{8–11} its incidence varying with the nature of the penetrating injury.⁸

Proliferative vitreoretinopathy is a process of fibrocellular scar tissue formation, which complicates 5–12% of cases of primary RD, 16–41% of giant retinal tears and 10–45% of cases of posterior segment trauma.¹² PVR represents a difficult vitreoretinal surgical challenge and although final retinal attachment may now be achieved in many cases, multiple surgeries are often needed and visual results are frequently very poor.^{12,13} Binocular vision outcomes are notably unsatisfactory in PVR.¹⁴ PVR management is costly in patient time and healthcare resources.¹³

Preclinical data

Clinical observation and laboratory investigations undertaken on eyes with PVR and surgical specimens have identified potential targets for pharmacological adjuncts to its surgical management.¹⁴ The cellular components of PVR peri-retinal membranes (retinal pigment epithelium, glial, inflammatory and fibroblastic cells) proliferate and may also be contractile and are thus targets for antiproliferative agents. There is a notable inflammatory component to the PVR process, with marked blood–retinal barrier breakdown and a greater tendency to intraocular fibrin formation.¹⁵ Macrophages and T lymphocytes have been identified in PVR membranes¹⁴ and, although relatively small in number, they may play an

important role in membrane development and contraction through growth factor production. Thus, both cellular proliferation and the intraocular inflammatory response are realistic targets for adjunctive treatments in PVR.

Steroid treatment has the potential to influence both the inflammatory and proliferative components of the pathological process of PVR. Previous experimental work has suggested that triamcinolone acetonide (TA) can reduce the severity of PVR.¹⁶ It has also been demonstrated that periocular corticosteroids can reduce the severity of experimental PVR.¹⁷ Laboratory work has indicated that TA appears to have no significant retinal toxicity,¹⁸ although in vitro it downregulates the proliferation of retinal cells.

Clinical data

Intravitreal TA has been used extensively clinically to treat macular oedema, intraocular inflammation and subretinal neovascularisation without demonstrable retinal toxicity but with a raised incidence of elevated intraocular pressure (IOP) and cataract. Previous small-scale clinical studies of PVR have suggested that systemic prednisolone,¹⁹ infused dexamethasone²⁰ and intravitreal TA²¹⁻²³ can reduce the severity of PVR, although none of these studies was of sufficient power to provide a definitive answer.

Rationale and risks/benefits

The Adjunctive Steroid Combination in Ocular Trauma (ASCOT) project was a phase 3, multicentre, randomised clinical trial to test the hypothesis that adjunctive steroid (TA) given locally at the time of surgery can improve the outcome of vitreoretinal surgery for OGT (both visually and anatomically). Open globe ocular trauma complicated by intraocular scarring (PVR) is a relatively rare, blinding, but potentially treatable, condition for which surgery is often unsatisfactory and visual results frequently poor. To date, no pharmacological adjuncts to surgery have been proven to be effective. Analysis of the costs and economic effectiveness of the trial intervention were also undertaken.

Assessment and management of risk

For the purposes of this study, TA was used outside the terms of its licence.

Risk-benefit analysis

We classified this trial as type A (no higher than the risk of standard medical care in the ADAMON project classification) based on the following analysis.

Triamcinolone acetonide has been used off-label in clinical ophthalmic practice for many years. Ophthalmologists have experience of its periocular administration for over 50 years, with administration via the intraocular route being adopted for over 30 years. It has been used to treat a variety of posterior segment ocular inflammatory pathology.²⁴⁻²⁷ Its use as an intraocular surgical adjunctive tool for visualisation of the posterior hyaloid during pars plana vitrectomy has been well established.²⁸ Additionally, intraocular TA has been found to reduce postoperative inflammation following vitrectomy surgery.²⁹ It has been investigated specifically to determine its effect on vitreoretinal scarring (PVR), with varying success.²¹⁻²³

Triamcinolone acetonide has an extremely well documented safety profile with the most common significant adverse effect recorded as elevated IOP.³⁰ Data from the pilot study³¹ performed at the

principal site found a similar incidence of elevated IOP 35% ($n = 7$) in patients who received intravenous TA compared with 25% ($n = 5$) in those patients who received standard care.

An audit of study sites revealed that over half (54%) of sites have used intraocular TA in vitrectomy surgery following OGT, with 25% of sites using it routinely in this patient population. The investigators concluded that there is extensive clinical experience with the product and had no reason to suspect a different safety profile in the trial population.

Objectives

The aim of ASCOT trial was to investigate the clinical effectiveness of adjunctive steroid medication in eyes undergoing surgery for OGT.

The objectives were:

Primary: To determine whether adjunctive intraocular and periocular steroid (TA) improves visual acuity (VA) at six months compared with standard treatment in eyes undergoing vitreoretinal surgery for OGT.

Secondary: To determine whether adjunctive intraocular and periocular steroid (TA) influences the development of scarring (PVR), RD, IOP abnormalities and other complications in eyes undergoing surgery for OGT, and in addition to assess the effects of treatment on quality of life measured using the EuroQol Five Dimensions (EQ-5D) and Visual Function Questionnaire-25 (VFQ-25) tools.

Methods

Trial design

The ASCOT study was a multicentre, prospective, individually randomised, patient and outcome assessor masked controlled trial that tested the superiority of the intervention at six months. The trial design was formulated in consultation with the accredited clinical trials unit at King's College London, two trial statisticians, a methodologist at the Research Design Service and in partnership with patients who have suffered severe ocular trauma.³² A total of 28 vitreoretinal surgery centres throughout the UK agreed to take part. Some 300 adult patients with OGT were scheduled to be randomised one to one to receive adjunctive intraocular and periocular steroid (TA) versus standard care (surgery without adjunctive treatment). Operating surgeons were masked until the end of surgery (when the adjunct is given). Patients and primary outcome observers were masked throughout.

The primary outcome was the proportion of participants with a clinically meaningful improvement in corrected VA in the study eye, defined as having a change in 10 letters or more in Early Treatment Diabetic Retinopathy Study (ETDRS) score (measured using validated ETDRS vision charts at a starting distance of 4 m) between baseline and at six months. The sample size was based on detecting a 19% increase (55–74%) in the proportion of participants who have a meaningful minimum improvement in VA the primary outcome. Secondary outcomes were the change in ETDRS score at six months after surgery, the development of scarring (PVR), RD, IOP abnormalities and other complications in the study eye between initial surgery and six months after initial surgery. Quality of life assessments were undertaken using the EQ-5D and VFQ-25 tools. Using these data, cost effectiveness and cost-consequence analyses to investigate the impact of injury and recovery were carried out. Data collection was undertaken at baseline (prior to initial study surgery), three months after initial surgery and six months after initial surgery.

Timetable

The project was projected to run for 48 months, with time allocated as follows: months 0–5 project set-up, 6–40 patient recruitment (6–11 stage 1 internal pilot, 12–18 stage 2 internal pilot, 19–40 phase III), 41–45 final follow-up, 46–48 write up and results dissemination.

Owing to slow patient recruitment, a 33-month extension to the recruitment phase was granted.

Selection of patients

Patients with an open globe injury undergoing vitrectomy either as a primary or secondary procedure were investigated. OGT was classified as one of the following: a full thickness eyewall injury in the form of a rupture caused by a blunt object, laceration caused by a sharp object or an intraocular foreign body (IOFB). Patients were recruited at vitreoretinal outpatient or emergency clinics at 28 participating vitreoretinal surgical centres throughout the UK. The worse injured eye in patients with bilateral eye injuries was selected as the study eye for randomisation and the better eye received standard treatment. As binocular trauma is a rare occurrence, the study was not stratified by binocularity.

Inclusion criteria

1. Adult subjects (aged 18 years or over at the time of enrolment).
2. Full thickness, open globe ocular trauma undergoing vitrectomy.

3. Ability to give written informed consent.
4. Willingness to accept randomization and attend follow-up for six months.

Exclusion criteria

1. Children (<18 years of age at time of enrolment).
2. Pre-existing uncontrolled uveitis.
3. Definitive diagnosis of previous steroid induced glaucoma – these patients were considered to be at risk of steroid related pressure rise and will be excluded (this did not include patients in whom a query of previous steroid-induced raised IOP has been postulated).
4. Pregnant or breastfeeding women. Women of childbearing potential were advised to use an effective method of contraception (hormonal or barrier method of birth control; true abstinence) from the time consent was signed until six weeks after their completion of the trial. Women of childbearing potential had to have a negative urinary pregnancy test within seven days prior to being registered for trial treatment (patients were considered not of childbearing potential if they were permanently sterile; i.e. they had undergone a hysterectomy, bilateral tubal occlusion or bilateral salpingectomy) or they were postmenopausal.
5. Allergy or previous known adverse reaction to TA.
6. Inability to attend regular follow-up.
7. Inability to give written informed consent.
8. Current or planned systemic corticosteroid use of a dose above physiological levels (e.g. >10 mg prednisolone).

Recruitment

The study was a multicentre trial involving 28 UK sites. Ethically approved trial specific adverts were distributed to all sites for display. Recruitment was monitored closely at regular intervals.

Study procedures and schedule of assessments

Informed consent procedure

Informed consent was taken by a suitably qualified and experienced individual who has been delegated this duty by the chief or principal investigator on the delegation log. Rarely, eligible patients presented for emergency surgery out of hours or on occasions where the site principal investigator or delegated individual was not on site. In this situation, informed consent was taken by individuals who are Good Clinical Practice aware and familiar with key aspects of the study.

Informed consent was obtained before any trial-specific procedures were completed; that is those that are outside routine clinical care. Clinical findings documented during an ocular assessment performed as part of routine clinical care populated the baseline case report form (CRF) provided that the assessment was performed within 14 days of the study intervention.

It was the responsibility of the principal investigator or a person delegated by the investigator to obtain written informed consent from each subject prior to participation in the trial, following adequate explanation of the aims, methods, anticipated benefits and potential hazards of the study. The date when the patient information sheet was given to the patient was recorded.

On occasions where emergency surgery was planned within 24 hours of patient identification, the investigator or designee ensured that the patient was happy that they had been given adequate time to consider their decision.

The investigator or designee explained that patients were under no obligation to enter the trial and that they could withdraw at any time during the trial without having to give a reason. A copy of the signed informed consent form was given to the participant. The original signed form was retained at the study site and a copy placed in the medical notes.

Randomisation procedures

Randomisation was conducted via a telephone service to the eSMS Global service, who accessed the Kings Clinical Trials Unit randomisation service, intraoperatively. All randomised patients were first registered on the study electronic CRF (eCRF) system.

Appropriate study site staff were delegated by the site principal investigator to access the eCRF system and submit patient details to acquire a study personal identification number (PIN), and enter patient baseline and outcome data.

Participants with ocular trauma were randomised one to one at the level of the individual using randomised permuted blocks of varying sizes with stratification by trial site. Randomisation and subsequent treatment allocation were performed intraoperatively once the operating surgeon had confirmed that the retinal status was satisfactory.

Randomisation followed the sequence below:

1. The patient was consented for study participation.
2. Preoperative eligibility criteria were satisfied (including a negative urinary pregnancy test where relevant) and baseline assessments were performed, including ETDRS vision.
3. The patient was registered on InferMed MACRO eCRF to receive a PIN.
4. A staff member in theatre was identified who was responsible for making a telephone call to obtain treatment allocation, who has previously confirmed that they were familiar with eSMS telephone number and had patient identifiers, Kings College Clinical Trials Unit (KCTU) eCRF system (InferMed MACRO) PIN, stratification information and other details that were communicated to the eSMS service.
5. Theatre staff member located two vials of the study investigational medicinal product (TA 40 mg/ml) to ensure availability for use depending on treatment allocation and to provide details to eSMS at randomisation; theatre stock was used so there was no delay for dispensing by a clinical trials pharmacist post randomisation.

Intraoperative randomisation

1. The operating surgeon confirmed retina satisfactory (i.e. final confirmation of eligibility).
2. The surgeon completed the surgical procedure and confirmed that they were ready to randomise.
3. A theatre staff member telephoned eSMS global and communicated the patient information.
4. Treatment allocation was revealed and communicated to the operating surgeon such that patient was unaware and remained masked (i.e. if under local anaesthesia).
5. The surgeon administered the investigational medicinal product according to protocol, depending on treatment allocation.

If a participant was eligible for the study out of hours (overnight or at weekends) when their condition required urgent treatment and a member of the research team is unavailable, the site principal investigator or operating surgeon followed the above procedure for randomisation.

Masking

Masking

Participants and primary outcome assessors were masked to treatment allocation. The outcome assessors were technicians, nursing staff or healthcare assistants who were familiar with measuring VA using the ETDRS chart and followed a standard operating procedure. The operating surgeon was masked until the end of the study operation at the point of randomisation.

Emergency unmasking

Emergency unmasking enabled the study code to be broken for valid medical or safety reasons where it was necessary for the investigator or treating healthcare professional to know which treatment the patient was receiving before the participant could be treated.

Assessments

Baseline assessments

Baseline assessments were performed within 14 days prior to the study vitrectomy. Data collected as part of routine clinical care were used to populate the baseline CRF prior to informed consent but the patient was not registered on the eCRF and no data were entered on to the eCRF system until the patient had signed a consent form.

The following baseline assessments were recorded: demographics (including sex, date of birth, ethnicity), laterality (left or right eye being the study eye), date of ocular injury, date of primary repair, best corrected ETDRS VA in both eyes, injury classification, location of wound, IOFB status, presence of relative afferent pupillary defect, anterior segment status, IOP, lens status, vitreous cavity haemorrhage, retinal attachment status, and the presence and grade of PVR. Data were collected through a combination of medical history, applanation tonometry, slit-lamp biomicroscopy or indirect ophthalmoscopy and intraoperative findings. Quality of life data were collected using the ED-5Q and VFQ-25 tools and a Client Service Receipt Inventory (CSRI) questionnaire.

Subsequent assessments

Participants follow-up mirrored the schedule of standard NHS care. Data entry time points were: (1) baseline, (2) study vitrectomy, (3) month 3, and (4) month 6. At months 3 and 6, the following data were collected: ETDRS VA, biomicroscopic ocular examination, IOP, health questionnaires (VFQ-25, EQ-5D, CSRI), full eCRF documentation as for baseline. Additional surgical procedures and adverse event eCRFs were recorded until six months post vitrectomy.

Investigational treatment

The following medications were administered to participants allocated to the treatment arm of the study:

1. 4 mg/0.1 ml TA administered into the vitreous cavity by the operating surgeon at end of procedure.
2. 40 mg/1 ml of TA administered into the sub-Tenon's space at the end of the procedure.

Usual care/control arm

Patients underwent standard vitreoretinal surgery and postoperative care appropriate to their ocular trauma without the addition of adjunctive medication.

Adverse events

Adverse events were recorded with clinical symptoms and accompanied with a simple, brief description of the event, including dates as appropriate. Adverse events were reported on the eCRF. Serious adverse events were reported in an expedited manner to the sponsor for each participant for their duration in the trial.

Data management and quality assurance

Confidentiality

All data were handled in accordance with the UK Data Protection Act 1998. The eCRFs did not bear the subject's name or other personal identifiable data. The subject's initials, date of birth and PIN was used for identification. Source data worksheets were completed for each participant but were not removed from the recruiting study sites. Signed consent forms were filed in the investigator site file. Study data were initially recorded on a source data worksheet and then transcribed to InferMed MACRO.

Data handling and analysis

InferMed MACRO eCRF version 4 was used to record the study data. Staff were allocated data entry or monitor roles to access the system by KCTU. At the end of the trial, after queries were resolved and all data fields completed, the database was locked for analysis. All study documents are to be retained for a period of five years following conclusion of the study. Data query reports were generated monthly by the data management team at KCTU and passed to the trial manager to be actioned. The trial manager raised data queries with sites via the eCRF during or between site monitoring visits.

Outcomes

Primary objective

The primary objective was to test the hypothesis that adjunctive TA, given at the time of surgery, can improve the outcome of vitreoretinal surgery for open globe ocular trauma.

The primary outcome is the proportion of participants with a clinically meaningful improvement in VA in the study eye, defined as having a 10-letters or more difference between the ETDRS score measured at six months after initial surgery and at baseline.

The principal secondary outcome is the change in ETDRS at six months from baseline, measured on a continuous scale.

Secondary objectives

To determine whether adjunctive TA, given at the time of surgery, influences the following secondary outcomes:

1. RD with PVR at any timepoint within six months of the study vitrectomy
2. stable complete retinal reattachment (without internal tamponade present) at six months post study vitrectomy
3. stable macular retinal reattachment (without internal tamponade present) at six months post study vitrectomy
4. tractional RD at any timepoint within six months of the study vitrectomy
5. the number of operations to achieve stable retinal reattachment (either complete or macula) at six months after the study vitrectomy

6. hypotony (<6 mmHg) at any timepoint within six months of the study vitrectomy
7. raised IOP (>25 mmHg) any timepoint within six months of the study vitrectomy
8. macular pucker by three and six months and/or require macular pucker surgery at any timepoint within six months of the study vitrectomy
9. quality of life measured using the VFQ-25
10. other complications in eyes undergoing surgery for OGT.

In addition, the effects of adjunctive TA on quality of life based on (1) Client Service Receipt Inventory (CSRI), and (2) the EQ-5D questionnaire were also be assessed.

Health economics objectives

The aim of the economic analysis of the ASCOT trial was to establish the incremental cost-effectiveness of adjunctive intraocular and periocular steroid (TA) treatment compared with standard treatment (no adjunctive treatment) in vitreoretinal surgery for OGT in terms of improved VA.

From an NHS perspective, to explore the incremental cost-effectiveness of adjunctive intraocular and periocular steroid (TA) treatment compared with standard treatment (no adjunctive treatment) in vitreoretinal surgery for OGT in terms of improved VA.

To explore the cost per quality-adjusted life-year (QALY) of adjunctive intraocular and periocular steroid (TA) treatment compared with standard treatment (no adjunctive treatment) in vitreoretinal surgery for OGT to determine whether this falls below the National Institute of Health and Care Excellence (NICE) threshold of £20,000–30,000 per QALY.

Sample size calculation

Published and pilot data indicate that VA, defined as ETDRS letter score at six months is skewed in this population and that the shape of the distribution of compared with VA differs between treatment arms. In a pilot randomised controlled trial (RCT), we observed small difference in mean VA between treatment arms but a sizable difference in proportion of patients (80% vs. 55%) with a meaningful improvement in VA (a change of ETDRS letter score of at least 10, which is widely accepted to be clinically meaningful in research studies of eye disease).^{13,15,19–22} We defined the primary outcome as the proportion of patients with a meaningful improvement in VA. With 140 participants per group and a statistical significance of 5%, there is at least 90% power to detect a 19% increase (55–74% corresponding to an OR of 2.33) in participants who have a meaningful minimum improvement in VA of at least 10 letters. We therefore allowed for a 7% dropout rate and the target sample size for ASCOT was 300 participants (150 per arm).

Following slower than anticipated recruitment, the recruitment period was extended to 75 months. Over the full recruitment period, 280 eligible patients were recruited and are included within this analysis. Based on the original sample size parameters outlined above, it was established that the trial would still be adequately powered with a sample size of 280. A sample size of 280, assuming loss to follow-up of 7%; that is, 260 completers at 6 months provided 89.7% power to detect a 19% increase (55–74%) in meaningful improvement in VA (≥ 10 letters).

Statistical methods

General statistical principles

Analysis was conducted subgroup blind (i.e. as group A vs. group B) in accordance with the prespecified ASCOT statistical analysis plan. The main analysis was based on the intention-to-treat principle (i.e. all eligible participants were analysed in the group to which they were randomised regardless of

subsequent treatment received). All regression analyses included centre. This was because adjustment for stratification factors in the randomisation process maintains the correct type I error rates.

Additionally, for continuous outcomes, the outcome measured at baseline was included in regression analysis. Estimates are presented with 95% CIs and *p*-values. All statistical analysis was performed using Stata/IC version 15.2 (StataCorp, College Station, TX) and throughout a two-sided $p < 0.05$ was considered statistically significant.

Descriptive analysis

A Consolidated Standards of Reporting Trials (CONSORT) flowchart³³ was constructed to summarise the flow of participants through the study. Baseline characteristics were summarised by randomised group to examine balance between the randomised groups at baseline. Continuous variables were reported as mean (standard deviation, SD) and median (interquartile range, IQR). Categorical variables were presented using frequencies and proportions (as a percentage). These summaries were based on observations only and the number of missing observations was reported.

The number withdrawing from the trial, including those lost to follow-up, was reported by treatment arm and time point of withdrawal, together with reasons for withdrawal. The proportions of participants missing ETDRS values (primary outcome) were summarised in each arm and at each time point the measurement was planned.

Descriptive statistics were presented for all outcome measures by treatment arm. For each primary and secondary outcome that is recorded at multiple time points, a single table summarises the outcome by visit and treatment arm. Only participants with a completely recorded outcome were used to calculate the summary measures.

Analysis of the primary outcome

The primary analysis model consisted of a mixed logistic model with change in VA (<10 change in six-month ETDRS score, ≥10 change in six-month ETDRS score) as the outcome and treatment arm and baseline value of the ETDRS as covariates. Centre was included as a random intercept as it was anticipated that there would be many sites with a small number of participants. The estimated treatment effect was reported as a subject-specific odds ratio (OR) (conditional on centre and baseline ETDRS) with a 95% confidence interval (CI) and corresponding *p*-value.

The population-averaged probability of clinically meaningful improvement in VA was also presented by treatment group and the unadjusted difference in proportion of participants with an improvement in VA score of 10 or greater between treatment arms, with a two-sided 95% CI.

All missing response values were assumed to be missing-at-random (MAR; i.e. the probability that the response is missing does not depend on the value of the response after controlling for the observed variables of treatment and baseline vision). Sensitivity analyses were conducted to assess the impact of alternative missing data assumptions (see below) Results of the primary outcome analysis were verified by an independent statistician.

Planned sensitivity analyses for the primary outcome were performed. These included:

Analysis to assess the impact of missing outcome data:

- Use of imputation to explore the optimistic (meaningful change in treatment arm – no change in surgery-only arm) or pessimistic (no change in treatment arm – meaningful change in surgery-only arm) scenario for participants with missing outcome data. The primary analysis model was retained for use in the sensitivity analysis, following imputation.

- A mean score approach was employed to explore a range of more plausible missing-not-at-random (MNAR) scenarios. Within this analysis, the primary outcome was analysed under increasing departures from the primary MAR assumption, by assuming a gradual increase in the odds of the outcome (meaningful change in ETDRS) for those with missing data, from 0 (representing MAR) to 1 for (1) participants in the surgery arm only, (2) participants in the treatment arm only, and (3) for participants in both arms.

Analysis to assess the impact of out of window outcome data:

- The visit window for the three- and six-month follow-up is ± 4 weeks. In line with the prespecified statistical analysis plan, data collected outside these recommended periods were included in the primary analysis. A sensitivity analysis was conducted where data collected outside the visit windows were excluded. The analysis model was the same as for the primary analysis.
- An additional sensitivity analysis where data collected outside the visit windows were included, also using the primary analysis model, but where patients with data outside the visit windows were weighted by one-half was performed. Patients with data within the allowed visit window had a weight of one. This sensitivity analysis downweighted the data of those with data out of the visit windows such that the data of patients collected outside the allowed windows were considered half as trustworthy.

Analysis of secondary outcomes

Linear (Gaussian) mixed regression models were used for the analysis of the principle secondary outcome (change in ETDRS) and other continuous secondary outcomes (VFQ-25). Binary secondary outcomes were analysed using mixed logistic regression models. For count outcomes, a mixed-effect negative binomial model was fitted, which allowed for overdispersion. Similar to the primary analysis model, the models for secondary outcomes included centre as a random intercept and a fixed effect for treatment group. For continuous secondary outcomes, models additionally included a fixed effect for the baseline value of the outcome.

The continuous change in the six-month ETDRS (principle secondary outcome) was also analysed using a Bayesian linear mixed regression model analysis, fitted using Markov chain Monte Carlo (MCMC) methods. Similar to the main frequentist analysis for this principle secondary outcome, the model included fixed effects for baseline ETDRS, treatment group and a random intercept for centre. Uninformative priors were used for each model parameter, specifically for each fixed regression parameter Normal (0, 100) and igamma (0.01, 0.01) for the variance parameters. A burn in of 2500 and 10,000 MCMC iterations were used. Convergence of model parameters was assessed visually using diagnostic plots. From the model, we present the estimated average treatment effect for the six-month change in ETDRS with an accompanying 95% credible interval, together with posterior probabilities for the change in ETDRS being greater than 0–50, by treatment group and for the treatment group difference.

Adverse events were collected by means of spontaneous reports from participants, clinical observation and clinical examinations and blood tests. Data on safety outcomes are summarised for all randomised participants who underwent surgery. Adverse events were summarised by type: adverse events, adverse reactions (a subset of the adverse events), unexpected adverse reactions (a subset of the adverse reactions), serious adverse events, serious adverse reactions (a subset of the serious adverse events) and unexpected serious adverse reactions (a subset of the serious adverse reactions) and by treatment arm. Adverse events were tabulated by treatment group for both the number of events and the number of participants with the type of event. Adverse events were also summarised by event term and intensity (subjectively assessed by local clinical investigators as mild/moderate/severe). To identify the events with the strongest evidence for between-arm differences, adverse events were summarised visually in a

dot plot, which display the proportions of individuals experiencing each type of event by treatment arm and the relative risk difference with 95% CI.

Subgroup analysis

Preplanned subgroup analysis investigated whether the treatment effect on the primary outcome differed by:

- RD: attached
- RD: tractional
- RD: rhegmatogenous
- fovea involvement: yes
- fovea involvement: no
- fovea involvement: splitting
- presence of PVR: yes
- presence of PVR: no
- presence of retinal incarceration: yes
- presence of retinal incarceration: no
- lens status at baseline: clear (phakic)
- lens status at baseline: cataract (phakic)
- lens status at baseline: anterior chamber intraocular lens implant (ACIOL) and posterior chamber intraocular lens implant (pseudophakic)
- lens status at baseline: aphakic.

Each subgroup analysis was performed by adding the relevant treatment-by-subgroup interaction term to the same analysis model as for the primary outcome; *p*-values for each interaction term were presented. No adjustment for multiple tests was made and the results were hypothesis generating only. The consistency of estimates was depicted visually by means of a forest plot.

Health economics analyses

The ASCOT study took an NHS perspective in terms of the identification, measurement and valuation of costs and outcomes.

Health economics outcomes

Quality-adjusted life-years

Quality-adjusted life-years are a generic measure of disease burden which incorporates both the quantity and quality of life lived. QALYs are used as a measure of health utility calculated by 'weighting' each period of follow-up time by the value corresponding to the HRQoL during that period.

Health-related quality of life

Health-related quality of life (HRQoL) was assessed using the EQ-5D and VFQ-25 questionnaires.³⁴⁻³⁶

The EQ-5D is a generic preference-based HRQoL measure. It consists of two parts: a five-item questionnaire comprising five items covering mobility, self-care, usual activities, pain, anxiety and depression, each with three levels of severity (no problems, some problems, a lot of problems) and a visual analogue scale (EQ-VAS). The EQ-5D questionnaire is scored between -0.59 and 1, with 1 meaning full HRQoL. The EQ-VAS is a thermometer scored between 0 (worst possible health) and 100 (best possible health), with respondents asked to mark their current HRQoL level.

Resource use

In the ASCOT trial, all resource use, and hence costs, were measured from an NHS perspective. Resource use and cost information were collected on secondary care and prescribing. Secondary care and prescribing information were collected from hospital held patient records by researchers at each ASCOT trial centre. The CSRI as part of the CRF was informed by the DIRUM (Database of Instruments for Resource Use Measurement), including examples of CSRI used in previous studies at Bangor University. Data collected from all centres were investigated for equivalence at baseline for both groups in terms of resource use and cost for any statistically significant differences between groups. No discount was applied to either cost or effect as the analysis was conducted for the time horizon of six months.

Unit costs

Unit costs for community services were sourced from the Personal Social Services Research Unit (PSSRU) unit costs of health and social care and were reported in Great British pounds (£) and inflated to cost year 2018/19 where necessary using the PSSRU guide for inflation of hospital resources.³⁷ Drug costs were obtained from the Prescription Cost Analysis.³⁸ Costs relating to ophthalmology surgeries and procedures were obtained from NHS national reference costs.³⁹

Source of steroid costs as main intervention

The additional cost accrued for the intervention and the components that make up the intervention cost are shown in the results section. The costs are composed of costs from PSSRU and consultation with experts in the field where these costs are not clearly referenced in the NHS reference costs.³⁹

Costs of ophthalmic surgical procedures following initial trauma repair

The cost of the surgical procedure is a standard cost across the NHS; thus, both groups (intervention and control) incurred this cost. To avoid double counting, the health economics analysis assumes this as a zero cost for every patient. Other costs incurred post surgery were captured by CSRI, including hospital-based costs, community-based costs and medication use (see *Results*).

Handling missing data

The primary analysis included all patients with baseline and six-month ETDRS follow-up. As the intervention was a one-off treatment at the time of randomisation and the two follow-up appointments followed usual clinical care, we anticipated a low percentage of missing primary outcome data. It was therefore anticipated that missing data would be MAR. The primary analysis method employed the hot-deck method of estimation and was thus efficient for handling missing outcome data.⁴⁰

A sensitivity analysis of the primary outcome was undertaken to assess the impact of participants with missing VA scores at the six-month follow-up. The number, pattern and timing of missing data were examined by treatment arm, together with the reasons for withdrawal or reason for missing data. Potential bias due to missing data was investigated initially by comparing the baseline characteristics (using descriptive comparisons). A missing indicator variable (yes/no) was generated for data at six months and the relationship between study variables and missingness was examined using the K-nearest neighbours cluster analysis.

Sensitivity analysis

We undertook both deterministic and probabilistic sensitivity analysis to test uncertainty of findings. Sensitivity analysis is used in economic evaluations to test how sensitive the findings are to basic assumptions used in the economic evaluation model. For instance, the cost of an

intervention is to some extent based on assumptions about unit costs and staff time; likewise, effects are subject to uncertainty between individuals. By varying these assumptions, the stability of findings can be tested and uncertainty can be accounted for. The sensitivity analysis offered optimistic and pessimistic scenarios for patients in both treatment arms which are represented using the tornado plot. A sensitivity analysis considered the impact of a variation from the intervention cost for patients with ETDRS of 10 or above. Deterministic sensitivity analysis can be either univariate or multivariate, whereby single or multiple parameters may be individually adjusted (within a given range of uncertainty) to test findings of the model. For example, we varied the potential cost of adjunctive steroids, which may be incrementally increased or decreased (within given confidence limits) to examine the impact on cost-effectiveness or costs per QALY outcomes. Probabilistic sensitivity analysis assigns a distribution of point estimates to each parameter and randomly selects a single value for each model calculation. By running a number of replications (e.g. 5000) an incremental cost-effectiveness ratio (ICER) plane can be generated to illustrate the potential variation in cost-effectiveness based on altering basic assumptions about effectiveness and costs.

We used bootstrapping to produce cost-effectiveness acceptability curves (CEACs) and ICER planes to examine uncertainty. Furthermore, we used deterministic sensitivity analysis, in the form of best-worst scaling, to test the impact of basic economic model assumptions.⁴¹

Disease-specific health-related quality of life outcome measure

The VFQ-25 questionnaire measures vision related quality of life (appendix questions were not included in this study). Guidelines to scoring the VFQ-25 are provided by the National Eye Institute (NEI)³⁶ and it is a two-step process; first, original numeric values are recoded following the scoring rules outlined in NEI 2000. The VFQ-25 measures vision-related quality of life. Items are converted into a score between 0–100, where 100 represents full capability then the subscales are averaged to produce the composite score.

Health economics analyses

We analysed the incremental cost-effectiveness of the trial intervention (intraocular and periocular steroid) in eyes undergoing vitreoretinal surgery for ocular trauma compared with surgery alone in terms of changes in VA. To enable this analysis, from an NHS perspective, we:

1. fully costed the vitreoretinal surgery and follow up
2. recorded study participant primary and secondary care health service use and social care use over the six-month follow-up period (using a research nurse interviewer administered CSRI, costed using national unit costs) and making use of routine hospital data on surgical and postoperative care as part of the CRF
3. conducted a primary cost effectiveness analysis (using the trial primary outcome measure of VA, ≥ 10 -letter improvement in ETDRS score, as our measure of effectiveness)
4. conducted a secondary cost utility analysis to explore the cost per QALY of adjunctive steroid intraocular and periocular steroid (TA) as compared with usual treatment relative to the £20,000–30,000 NICE payer threshold. NICE currently uses this threshold to determine whether the health benefits provided from a new drug or healthcare intervention is greater than the health likely to be lost as services are displaced to accommodate for the new intervention
5. through bootstrapping, generated CEACs to communicate to policy makers the probability that the intervention is cost-effective.

Patient and public contribution to study development

The trial was initially conceived with a primary outcome of anatomical success (retinal attachment without PVR). This was the approach used in previous clinical trial undertaken by the same investigators.^{31,42-44} The investigator team undertook a series of meetings with patients who had suffered RD, ocular trauma and PVR, as well as patient groups affected by ocular trauma (Blind Veterans UK). There was a clear preference among patients and patient groups for a visual measure as the primary outcome. The primary outcome and the calculation of sample size for the study was therefore based on a measure of visual outcome (VA).

Results

Data description

Recruitment and participant flow

Between December 2014 and March 2020, a total of 792 patients were screened; 317 were assessed for eligibility and 280 eligible participants across 27 sites in England and Scotland were randomly allocated to standard surgery (137 participants) or surgery plus adjunctive TA (143 participants, [Table 1](#)). One site failed to recruit. [Figure 1](#) is the CONSORT flowchart for the trial, which summarises the flow of participants through the trial. One participant in each arm received the alternative treatment. The three-month follow-up was obtained for 269 participants (standard surgery $n = 135$ and surgery plus adjunctive TA $n = 134$) and for 259 at the six-month follow-up (standard surgery $n = 129$ and surgery plus adjunctive TA $n = 130$).

Baseline characteristics

[Table 2](#) summarises baseline characteristics by randomised arm. The median age of the participants was 43 years (IQR 30–55), 88% were male and 83% of white ethnicity. Most participants (75%) had a score of zero on the ETDRS chart indicating very low vision of counting fingers or worse at baseline.

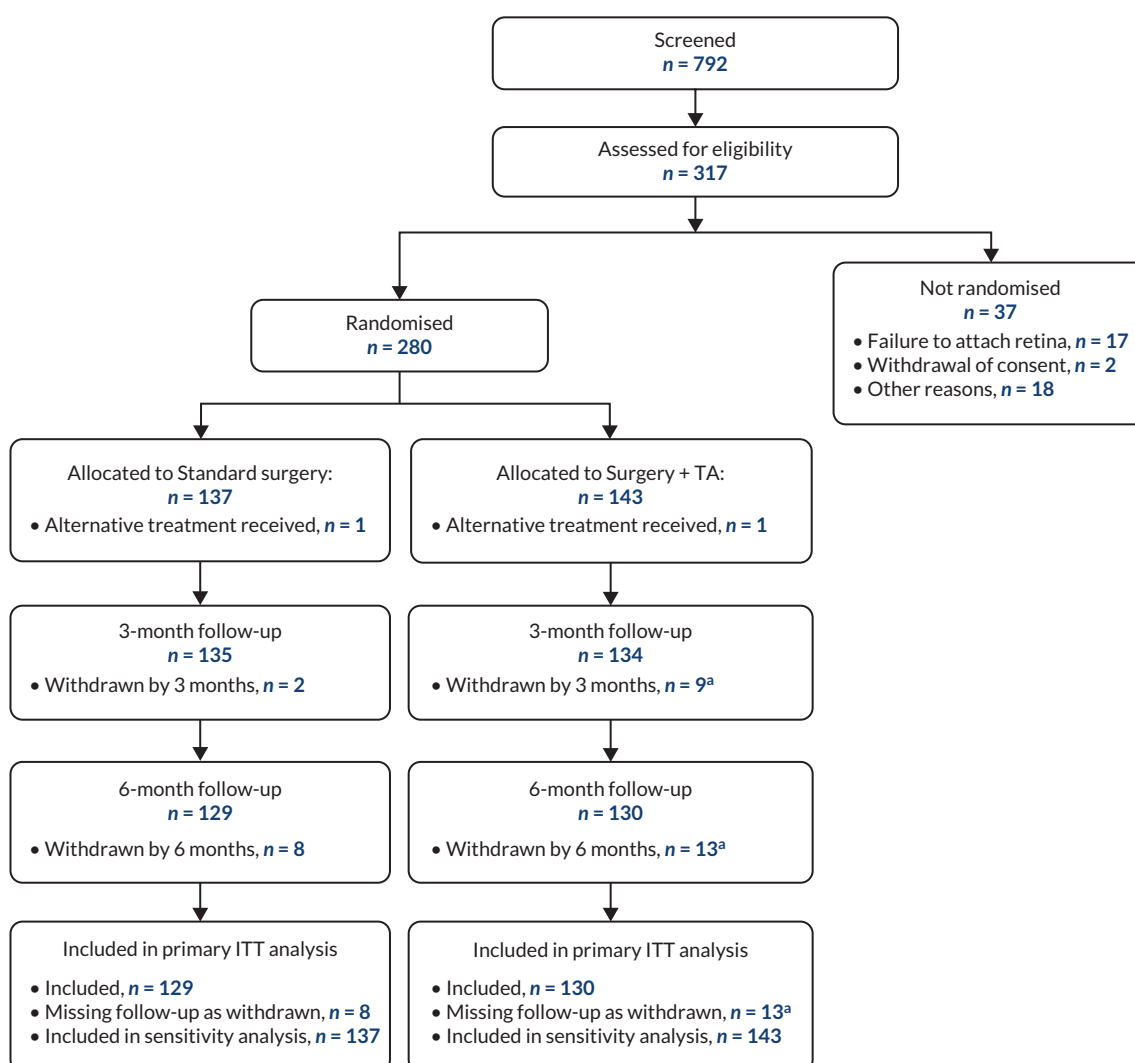
TABLE 1 Randomisation by centre and treatment arm

Study centre	Standard surgery, N (%)	Surgery + TA, N (%)	Total, N (%)
Total randomised	137 (49)	143 (51)	280
Birmingham	5 (4)	4 (3)	9 (3)
Bristol	8 (6)	7 (5)	15 (5)
Cambridge	1 (1)	1 (1)	2 (1)
Canterbury William Harvey Hospital	2 (1)	1 (1)	3 (1)
Derby	2 (1)	3 (2)	5 (2)
Edinburgh	1 (1)	1 (1)	2 (1)
Frimley Park	2 (1)	0 (0)	2 (1)
Glasgow	2 (1)	3 (2)	5 (2)
Hull	2 (1)	2 (1)	4 (1)
King's College London	2 (1)	3 (2)	5 (2)
Liverpool	1 (1)	0 (0)	1 (0)
Maidstone	7 (5)	8 (6)	15 (5)
Manchester	1 (1)	2 (1)	3 (1)
Moorfields	56 (41)	57 (40)	113 (40)
Newcastle	6 (4)	6 (4)	12 (4)
Oxford	0 (0)	1 (1)	1 (0)
Plymouth	1 (1)	1 (1)	2 (1)
Portsmouth	4 (3)	5 (3)	9 (3)
Sheffield	0 (0)	1 (1)	1 (0)

continued

TABLE 1 Randomisation by centre and treatment arm (*continued*)

Study centre	Standard surgery, N (%)	Surgery + TA, N (%)	Total, N (%)
South Tees	7 (5)	7 (5)	14 (5)
Southend	3 (2)	2 (1)	5 (2)
St Thomas' London	2 (1)	3 (2)	5 (2)
Stoke Mandeville Stoke Mandeville Hospital	1 (1)	2 (1)	3 (1)
Sunderland	5 (4)	6 (4)	11 (4)
Western Eye London	12 (9)	11 (8)	23 (8)
Whipps Cross London	0 (0)	2 (1)	2 (1)
Wolverhampton	4 (3)	4 (3)	8 (3)



Note: ^aIncludes 4 participants who were randomised in error as ineligible and immediately withdrawn on date of randomisation. Numbers withdrawn are cumulative. See Table 3 and Table 4 for more details on withdrawals.

FIGURE 1 Consort flow diagram. (a) Includes four participants who were randomised in error but were ineligible and immediately withdrawn on date of randomisation. Numbers withdrawn are cumulative. See Tables 3 and 4 for more details on withdrawals.

TABLE 2 Baseline demographics by treatment arm

Baseline characteristic	N standard/N TA	Standard surgery (N = 137) n (%)	Surgery + TA (N = 143) n (%)	Total (N = 280) n (%)
Sex (male)	137/143	123 (90)	123 (86)	246 (88)
Ethnicity:	137/143			
White		113 (82)	120 (84)	233 (83)
Black		11 (8)	9 (6)	20 (7)
Asian		7 (5)	11 (8)	18 (6)
Other		3 (2)	3 (2)	6 (2)
Mixed		3 (2)	0 (0)	3 (1)
Current smoker	133/140	55 (41)	51 (36)	106 (39)
Eye injured:				
Right	137/143	67 (49)	70 (49)	137 (49)
Left		66 (48)	72 (50)	138 (49)
Both		4 (3)	1 (1)	5 (2)
Glaucoma	136/143	2 (1)	2 (1)	4 (1)
Previous eye surgery	137/143	67 (49)	82 (57)	149 (53)
Macular disease	136/143	0 (0)	1 (1)	1 (0)
How the eye was injured:				
Workplace incident	137/143	40 (29)	48 (34)	88 (31)
Road traffic accident		5 (4)	6 (4)	11 (4)
Interpersonal violence		33 (24)	33 (23)	66 (24)
Sports injury		5 (4)	5 (3)	10 (4)
Other injury		16 (12)	21 (15)	37 (13)
Other domestic		11 (8)	10 (7)	21 (8)
Domestic gardening		5 (4)	3 (2)	8 (3)
Domestic DIY		10 (7)	3 (2)	13 (5)
Iatrogenic		0 (0)	3 (2)	3 (1)
Fall		12 (9)	11 (8)	23 (8)
Previous primary repair	137/142	95 (69)	110 (77)	205 (73)
Severity of trauma:	137/145			
Rupture		53 (39)	60 (42)	113 (40)
Penetrating		51 (37)	52 (36)	103 (37)
Perforating		4 (3)	7 (5)	11 (4)
IOFB		29 (21)	24 (17)	53 (19)
Severity of trauma:	135/142			
Zone 1: cornea		51 (38)	44 (31)	95 (34)

continued

RESULTS

TABLE 2 Baseline characteristics (continued)

Baseline characteristic	N standard/N TA	Standard surgery (N = 137) n (%)	Surgery + TA (N = 143) n (%)	Total (N = 280) n (%)
Zone 2: scleral anterior to muscle insertion		56 (41)	54 (38)	110 (40)
Zone 3: scleral posterior to muscle insertion		28 (21)	44 (31)	72 (26)
RAPD present	66/72	17 (26)	26 (36)	43 (31)
Visual axis corneal scar	137/143	32 (23)	40 (28)	72 (26)
Uveitis	137/143	26 (19)	26 (18)	52 (19)
Hyphaemia:				
No	137/143	96 (70)	90 (63)	186 (66)
<50%		24 (18)	26 (18)	50 (18)
>50%		17 (12)	27 (19)	44 (16)
Iris	135/141			
Normal		52 (39)	59 (42)	111 (40)
Incomplete		63 (47)	72 (51)	135 (49)
Incarcerated		20 (15)	10 (7)	30 (11)
Lens	136/141			
Clear		37 (27)	33 (24)	70 (25)
Cataract		46 (34)	50 (36)	96 (35)
Apiol		0 (0)	2 (1)	2 (1)
PCIOL		12 (9)	8 (6)	20 (7)
Aphakic		41 (30)	48 (34)	89 (32)
Vitreous haemorrhage	135/141	85 (63)	97 (69)	182 (66)
Endophthalmitis	136/143	2 (1)	3 (2)	5 (2)
RD:	137/143			
Attached		71 (52)	66 (46)	137 (49)
Tractional		17 (12)	21 (15)	38 (14)
Rhegmatogenous		49 (36)	56 (39)	105 (38)
Fovea off (macular involvement in RD)?	66/77			
No		25 (38)	32 (42)	57 (40)
Yes		41 (62)	44 (57)	85 (59)
Splitting		0 (0)	1 (1)	1 (1)
Retinal incarceration	137/143	25 (18)	38 (27)	63 (23)
PVR	137/142	29 (21)	38 (27)	67 (24)
Age in years (median, IQR)	137/143	43.0 (29.2–53.1)	45.6 (32.2–57.1)	43.5 (30.9–55.8)
ETDRS in study eye:	137/143			

continued

TABLE 2 Baseline characteristics (continued)

Baseline characteristic	N standard/N TA	Standard surgery (N = 137) n (%)	Surgery + TA (N = 143) n (%)	Total (N = 280) n (%)
Median, IQR		0 (0–11)	0 (0–0)	0 (0–1)
Minimum, maximum		(0, 99)	(0, 100)	(0, 100)
Zero/very low		98 (72)	111 (78)	209 (75)
Where zero/very low, vision:	98/111			
Counting finger		10 (10)	9 (8)	19 (9)
Hand movement		60 (61)	54 (49)	114 (55)
Perception light		26 (27)	45 (41)	71 (34)
No perception light		2 (2)	3 (3)	5 (2)
Where ETDRS > 0:	39/32			
Median, IQR		64 (45–83)	48 (21–66)	58 (24–80)
Minimum, maximum		(1, 99)	(1, 100)	(1, 100)
IOP in study eye	123/131			
Median, IQR		11 (8–17)	10 (8–15)	11 (8–15)
Minimum, maximum		(0, 43)	(0, 33)	(0, 43)
Low <6		92 (75)	103 (79)	195 (77)
Normal ≥6, ≤22		21 (17)	18 (14)	39 (15)
High >22		10 (8)	10 (8)	20 (8)

PCIOL, posterior chamber intraocular lens implant; RAPD, relative afferent pupillary defect.

TABLE 3 Withdrawals from trial by treatment arm

Point of withdrawal	Treatment arm		Total, n (%)
	Standard surgery, n (%)	Surgery + TA, n (%)	
Date of randomisation	0 (0)	4 (31) ^a	4 (19)
By month 3 visit	2 (25)	5 (38)	7 (33)
By month 6 visit	6 (75)	4 (31)	10 (48)
Total	8	13	21

a Participants randomised in error – ineligible.

The baseline characteristics were generally well matched between the treatment arms, including demographic and ocular history. However, by chance, the surgery plus TA arm had slightly more severe pathology on presentation including a higher number of previous primary repair (77% vs. 69% standard surgery), more zone 3 (posterior) injuries (31% vs. 21% standard surgery), a higher rate of vitreous haemorrhage (69% vs. 63% standard surgery), retinal incarceration (27% vs. 18%), pre-existing RD (tractional and rhegmatogenous 54% vs. 48% standard surgery) and pre-existing PVR (27% vs. 21% standard surgery).

Withdrawals and missing data

There were a total of 21 withdrawals from the trial (7.5% of participants), which included 8 from the standard surgery arm and 13 from the surgery plus adjunctive TA arm (Table 3). Reasons for

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withdrawal are summarised in [Table 4](#). The primary endpoint (six-month change in ETDRS) was missing for 21/280 (7.5%) participants, which was in line with the 7% factored into the sample size calculation ([Table 5](#)). This meant that a total of 259 participants provided six-month ETDRS (primary outcome) data. [Table 6](#) summarises selected baseline characteristics by completeness of the primary outcome.

TABLE 4 Reasons for withdrawal by treatment arm

Reason for withdrawal	Treatment arm		Total, n (%)
	Standard surgery, n (%)	Surgery + TA, n (%)	
Lost to follow-up	7 (88)	7 (54)	14 (67)
No longer wishes to take part	1 (12)	2 (15)	3 (14)
Participant ineligible – randomised in error ^a	0 (0)	4 (31)	4 (19)
Total, n	8	13	21

a Includes two participants on steroids, one failure to attach retina and one ineligible at surgery.

TABLE 5 Missing data for ETDRS

Missing	Standard surgery (N = 137), n (%)	Surgery + TA (N = 143) n, (%)	Total (N = 280), n (%)
Baseline	0 (0)	0 (0)	0 (0)
3 month visit	5 (4)	17 (12)	22 (8)
6 month visit	8 (6)	13 (9)	21 (8)
Measurement closest to 6 month post-surgery ^a	8 (6)	13 (9)	21 (8)
Change to 6 month post-surgery	8 (6)	13 (9)	21 (8)

a For 7 participants with follow-up, the 3-month visit was closest to 6 months post surgery. Percentages have been rounded to 0 dp for presentation; to 1 dp 21/280 = 7.5%.

TABLE 6 Selected baseline characteristics by completeness for primary outcome

Baseline characteristic		Primary outcome at 6 months observed	Primary outcome at 6 months missing	Total	
Sex, n (%)	Female	33 (13)	1 (5)	34	12
	Male	226 (87)	20 (95)	246	88
Ethnicity, n (%)	White	218 (84)	15 (71)	233	83
	Black	18 (7)	2 (10)	20	7
	Asian	15 (6)	3 (14)	18	6
	Other	5 (2)	1 (5)	6	2
	Mixed	3 (1)	0 (0)	3	1
	Current smoker	No	157 (62)	10 (48)	167
	Yes	95 (38)	11 (52)	106	39
Age (years)	Median, IQR	44.1 (31.1–56.3)	40.1 (29.4–48.5)	43.5 (30.9–55.8)	

TABLE 6 Selected baseline characteristics by completeness for primary outcome (*continued*)

Baseline characteristic		Primary outcome at 6 months observed	Primary outcome at 6 months missing	Total
ETDRS in study eye (total score)	Median, IQR	0.0 (0.0–1.0)	0.0 (0.0–9.0)	0.0 (0.0–1.0)
	Min, Max	(0.0, 100.0)	(0.0, 90.0)	(0.0, 100.0)
Zero/very low ETDRS in study eye, n (%)	Zero/very low	194 (75)	15 (71)	209 (75)
	>0	65 (25)	6 (29)	71 (25)
ETDRS in study eye (total score) where >0, n (%)	Median, IQR	58.0 (27.0–80.0)	55.5 (11.0–87.0)	58.0 (24.0–80.0)
	Minimum, maximum	(1.0, 100.0)	(9.0, 90.0)	(1.0, 100.0)
IOP in study eye, n (%)	Median, IQR	11.0 (8.0–16.0)	12.5 (7.0–14.0)	11.0 (8.0–15.0)
	Min, Max	(0.0, 37.0)	(2.0, 43.0)	(0.0, 43.0)
IOP in study eye, n (%)	Low <6	35 (15)	4 (20)	39 (15)
	Normal ≤6, <22	180 (77)	15 (75)	195 (77)
	Low <6	19 (8)	1 (5)	20 (8)

Primary outcome: meaningful improvement (≥ 10) in the Early Treatment Diabetic Retinopathy Study at six months

Descriptive statistics for primary outcome

Table 7 summarises the ETDRS by time point and treatment arm, with unadjusted mean treatment group differences. In both treatment groups, the mean ETDRS improved at six months. The unadjusted mean treatment arm difference in the six-month change in ETDRS was 0.6 (95% CI –6.8 to 7.9), where the point estimate was marginally in favour of surgery plus TA.

Primary outcome analysis

A total of 259 participants (standard surgery, $n = 129$; surgery plus adjunctive TA, $n = 130$) who had a six-month follow-up were included in the inferential analysis of the primary outcome. A total of 56 (43.4%) participants in the standard surgery arm experienced a clinically meaningful improvement in VA (6 month change in ETDRS ≥ 10) compared with 61 (46.9%) in the surgery plus adjunctive TA arm (unadjusted difference in proportion 3.5%, 95% CI –8.6% to 15.6%; *Figure 2*). The adjusted OR for a clinically meaningful change in VA for surgery plus adjunctive TA relative to standard surgery was 1.03 (95% CI 0.61 to 1.75, $p = 0.908$), indicating no difference between the treatment arms. The population averaged marginal probability of clinically meaningful improvement in VA was 41.8% in the standard surgery arm compared with 44.2% in the surgery plus adjunctive TA arm.

Missing data sensitivity analysis

Sensitivity analysis was conducted to explore the impact of the missing data on the primary ETDRS outcome. *Table 5* summarises the missing data by treatment arm. Sensitivity analysis initially explored the robustness of the primary analysis results to two extreme MNAR assumptions (*Table 8*):

RESULTS

Scenario 1: Participants in the standard surgery arm have meaningful change; participants in surgery plus adjunctive TA arm do not.

Scenario 2: Participants in the standard surgery group do not have meaningful change; participants in surgery plus adjunctive TA arm do have meaningful change.

In comparison with the primary treatment effect (OR 1.03, 95% CI 0.61 to 1.75), in scenario 1, the point estimate was more in favour of standard surgery (0.74, 95% CI 0.45 to 1.23) and in scenario 2, the point estimate was more in favour of surgery plus adjunctive TA (1.46, 95% CI 0.89 to 2.40). However, in both sensitivity analyses, inferences remained consistent with the primary analysis and did not identify a significant between treatment group difference.

TABLE 7 ETDRS over time by treatment arm

Time	Treatment arm						Total N	Unadjusted mean difference (95% CI)
	Standard surgery			Surgery + TA				
	N	Mean (SD)	Median (IQR)	N	Mean (SD)	Median (IQR)		
Baseline	137	16.6 (30.5)	0 (0–11)	143	10.4 (23.6)	0 (0–0)	280	N/A
3-month visit	132	33.6 (31.7)	29 (0–62)	126	28.3 (29.4)	20 (0–55)	258	-5.3 (-12.8 to 2.2)
6-month visit	129	35.2 (34.4)	29 (0–71)	130	29.9 (29.1)	28.5(0–56)	259	-5.3 (-13.1 to 2.5)
Measurement closest to 6 months post surgery	129	35.3 (34.6)	29 (0–71)	130	29.8 (29.3)	23.5 (0–57)	259	-5.5 (-13.3 to 2.3)
Change to 6 months post surgery	129	18.9 (29.2)	5.0 (0–41)	130	19.4 (30.8)	5 (0–43)	259	0.6 (-6.8 to 7.9)
		(N)	(%)	(N)	(%)			Unadjusted difference in proportions ^a (%) (95% CI)
Meaningful improvement	129	56	43	130	61	47	259	3.5 (-8.6 to 15.6)

a Surgery plus TA - standard surgery.

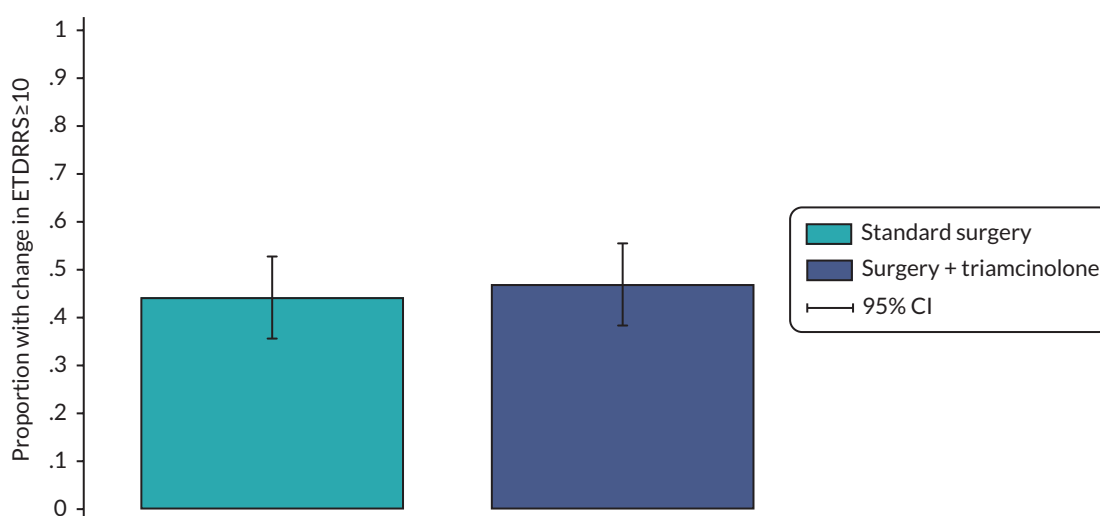


FIGURE 2 Clinically meaningful improvement (≥ 10) in ETDRS at six months.

TABLE 8 Sensitivity analysis exploring the impact of missing data

Analysis	Treatment arm OR (95% CI)	p-value
Primary analysis (N = 259)		
MAR	1.03 (0.61 to 1.75)	0.908
MNAR sensitivity analysis (N = 280)		
Scenario 1	0.74 (0.45 to 1.23)	0.245
Scenario 2	1.46 (0.89 to 2.40)	0.135

a OR for surgery plus adjunctive TA arm versus standard surgery.

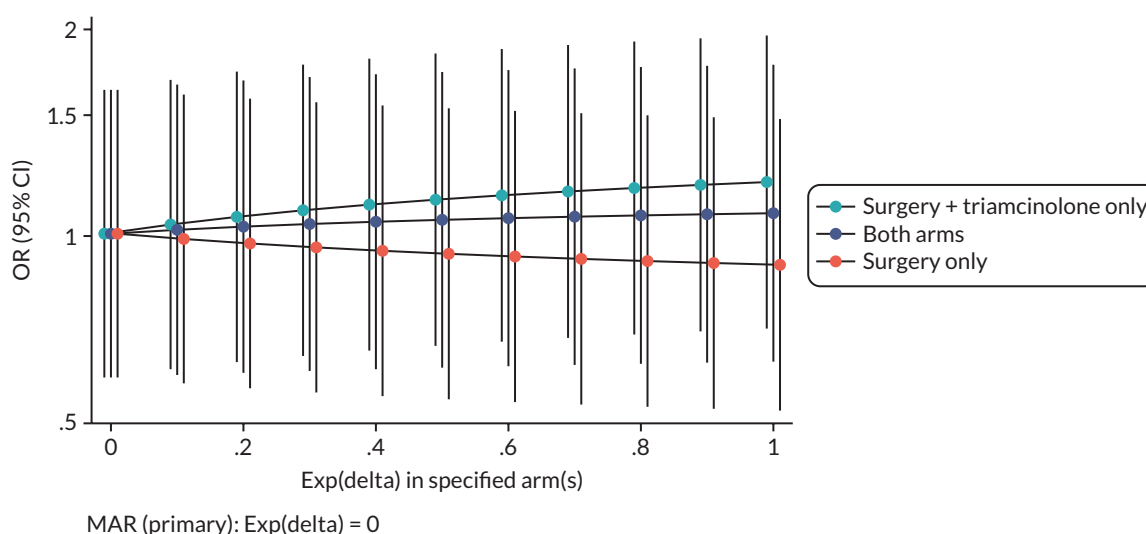


FIGURE 3 Sensitivity analysis exploring the impact of data MNAR. (OR >1 indicates higher event rate in the adjunct arm).

Further MNAR scenarios were explored using a range of plausible assumptions of the odds of clinically meaningful improvement among those with missing data being 0 to 1 times the odds of clinically meaningful improvement amongst the observed (Figure 3). In all additional MNAR analyses, the treatment effect (OR) remained close to 1 and the 95% CIs continued to contain OR = 1, indicating that in all evaluated scenarios there was no evidence of a significant treatment effect, consistent with the primary inference.

Out of visit window sensitivity analysis

Sensitivity analysis excluded data collected outside the visit window (6 months \pm 4 weeks). An additional sensitivity analysis where data collected outside the four-week window was included, but where patients with data outside the four-week window were weighted by one-half was also performed; Participants with data within the allowed visit window had a weight of one. In both sensitivity analyses, results were consistent with the primary analysis (Table 9).

Subgroup analysis

Subgroup analysis was performed for the primary outcome to explore the uniformity of the treatment effect found overall.

RESULTS

The *p*-values provide a test for whether there is any evidence to suggest that there is a difference in the overall treatment effect by the subgroups of interest. Subgroup effects should be interpreted with caution and viewed as exploratory, as the trial was not powered to detect subgroup effects; This is reflected by the large widths of the CIs for each subgroup effect depicted in [Figure 4](#). For this reason, emphasis should be placed on the consistency of the treatment effect across the subgroups and not the individual effect within each subgroup. While there is some variation in the point estimates for individual subgroups, for all explored subgroup effects, the 95% CI overlap and the tests for interaction are not significant (*p* = 0.106 or larger).

TABLE 9 Sensitivity analysis exploring the impact of visit windows

Analysis	Treatment arm OR (95% CI)	<i>p</i> -value
Primary analysis:		
Including out-of-window data (N = 259)	1.03 (0.61 to 1.75)	0.908
Sensitivity analysis:		
Excluding out-of-window data (N = 176)	1.07 (0.56 to 2.07)	0.833
Weighting out-of-window data (N = 259)	1.06 (0.60 to 1.88)	0.847

a OR for surgery plus adjunctive TA arm versus standard surgery.

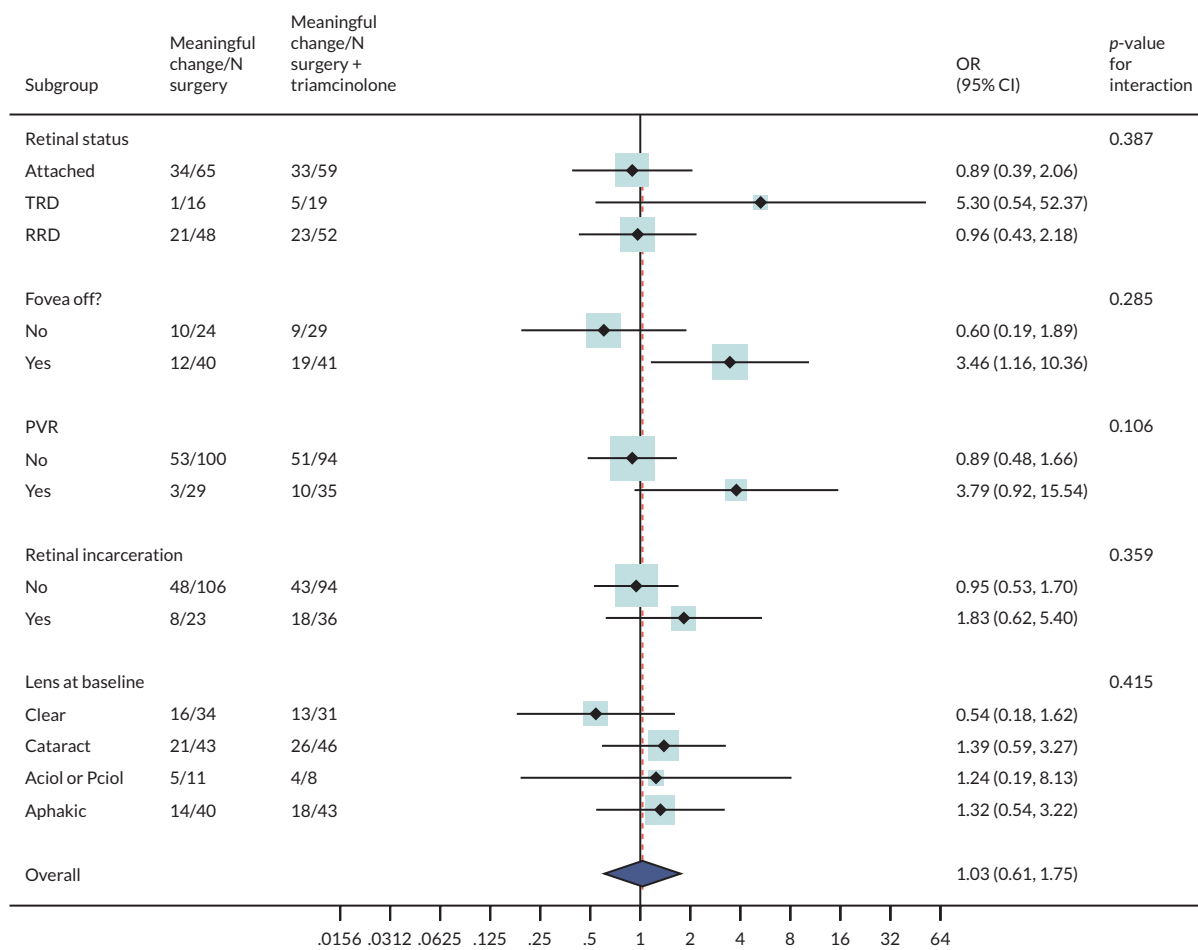


FIGURE 4 Forest plot showing the effect on meaningful change in ETDRS at six months of adding TA within subgroups. (a) Subgroup effects are not estimable for fovea involvement – splitting as only one participant observed in surgery plus TA arm to have splitting (no meaningful change). OR represents the baseline ETDRS adjusted odds of meaningful change for surgery plus TA relative to standard surgery for the associated subgroup. OR >1 means a higher event rate in the adjunct arm.

Principal secondary outcome

A total of 259 participants (standard surgery $n = 129$; surgery plus TA $n = 130$) were included in the analysis of the change in ETDRS at six months as a continuous outcome. The baseline adjusted mean difference in the month 6 change in ETDRS for surgery plus TA compared with standard surgery was -2.65 (95% CI -9.22 to 3.92 , $p = 0.430$), with the point estimate in favour of standard surgery.

Bayesian analysis

In secondary Bayesian analysis using non-informative priors, the baseline adjusted mean difference in the month 6 change in ETDRS for surgery plus adjunctive TA compared with standard surgery was -1.01 (95% CI -7.13 to 5.75), with the point estimate in favour of standard surgery. The estimated probabilities of the six-month change in ETDRS and treatment group differences being greater than or equal to 0 to 50 letters are shown in [Table 10](#). The probability of the six-month change in ETDRS being greater for surgery plus adjunctive TA relative to standard surgery was 0.372; this is a fairly low probability. The probability of the treatment group difference in six-month change in ETDRS being greater or equal than 10 points was very small, at 0.0001.

There was high autocorrelation in the Bayesian MCMC sample, resulting in low precision for some model estimates. It was identified that the random centre effect was contributing to the high autocorrelation. Therefore, post hoc, the analysis model was simplified and a single-level linear regression model including fixed effects for baseline ETDRS and treatment group was also fitted with uninformative priors. In the simplified model, the adjusted mean difference in the month 6 change in ETDRS for surgery plus adjunctive TA compared with standard surgery was -0.19 (95% CI -6.34 to 5.94), with the point estimate in favour of standard surgery. Comparable to the results of the more complex Bayesian model, there was a low probability (0.495) of the six-month change in ETDRS being greater for surgery plus adjunctive TA compared with standard surgery and the probability of the treatment group difference in six-month change in ETDRS being greater or equal to 10 points higher for surgery plus adjunctive TA compared with standard surgery was very small, at 0.0001 ([Table 11](#)).

TABLE 10 Bayesian analysis of change in ETDRS using multilevel model

Threshold change ETDRS	Posterior probability		
	Standard surgery	Surgery + TA	Treatment group difference
≥0	0.999	0.999	0.372
≥10	0.999	0.999	0.0001
≥20	0.830	0.741	0.000
30	0.018	0.007	0.000
40	0.000	0.000	0.000
50	0.000	0.000	0.000

a Probability of the change in ETDRS being greater than or equal to the specified threshold for standard surgery (e.g. the probability of the six-month change in ETDRS being greater than 10 letters in the for standard surgery arm was 0.999).

b Probability of the change in ETRDS being greater than or equal to the specified threshold for surgery plus TA (e.g. the probability of the six-month change in ETDRS being greater than 10 letters for the surgery plus TA arm was 0.999).

c Probability of the treatment group difference in the change in ETRDS being greater than or equal to the specified threshold for surgery plus TA relative to standard surgery (e.g. the probability of the treatment group difference in the six-month change in ETDRS being greater than or equal to 10 letters for surgery plus TA relative to standard surgery was 0.0001).

TABLE 11 Bayesian analysis of change in ETDRS using single level linear regression model

Threshold change ETDRS	Posterior probability		
	Standard surgery ^a	Surgery + TA	Treatment group difference ^c
≥0	0.999	0.999	0.495
≥10	0.999	0.999	0.0001
≥20	0.952	0.940	0.000
≥30	0.008	0.003	0.000
≥40	0.000	0.000	0.000
≥50	0.000	0.000	0.000

- a Probability of the change in ETDRS being greater than or equal to the specified threshold for standard surgery (e.g. the probability of the six-month change in ETDRS being greater than 10 letters in the for standard surgery arm was 0.999).
b Probability of the change in ETRDS being greater than or equal to the specified threshold for surgery plus triamcinolone (e.g. the probability of the six-month change in ETDRS being greater than 10 letters for the surgery plus TA arm was 0.999).
c Probability of the treatment group difference in the change in ETRDS being greater than or equal to the specified threshold for surgery plus triamcinolone relative to standard surgery (e.g. the probability of the treatment group difference in the six-month change in ETDRS being greater than or equal to 10 letters for surgery plus triamcinolone relative to standard surgery was 0.0001).

Note

centre is excluded from this analysis.

TABLE 12 Retinal detachment with PVR within six months of vitrectomy by treatment arm

	Treatment arm				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 124)		Surgery + TA (N = 124)		
	N	%	N	%	
RD with PVR	35	28.2	42	33.9	5.6 (-5.9 to 17.1)

a Surgery plus TA – standard surgery.

Secondary outcomes

Retinal detachment with PVR at any time point within six months of the study vitrectomy

A total of 35/124 participants in the standard surgery arm experienced RD with PVR compared with 42/124 in the surgery plus adjunctive TA arm (28.2% vs. 33.9%). The OR for RD with PVR for surgery plus adjunctive TA, relative to standard surgery was 1.31 (95% CI 0.76 to 2.27, $p = 0.327$), with the point estimate in favour of standard surgery ([Table 12](#)).

Stable complete retinal reattachment (without internal tamponade present) at six months post study vitrectomy

A total of 79/123 participants in the standard surgery arm experienced stable complete retinal reattachment (without internal tamponade present) at six months post study vitrectomy compared with 65/126 in the surgery plus adjunctive TA arm (64.2% vs. 51.6%). The OR for stable complete retinal reattachment for surgery plus adjunctive TA, relative to standard surgery, was 0.59 (95% CI 0.36 to 0.99, $p = 0.044$), in favour of standard surgery ([Table 13](#)).

Stable macular retinal reattachment (without internal tamponade present) at six months post study vitrectomy

A total of 82/123 participants in the standard surgery arm experienced stable macular retinal reattachment (without internal tamponade present) at six months post study vitrectomy compared

TABLE 13 Stable complete retinal reattachment at six months by treatment arm

	Treatment group				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 123)		Surgery + TA (N = 126)		
	N	%	N	%	
Stable complete retinal reattachment	79	64.2	65	51.6	-12.6 (-24.8 to -0.5)

a Surgery plus TA – standard surgery.

TABLE 14 Stable macular retinal reattachment at six months by treatment arm

	Treatment group				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 123)		Surgery + TA (N = 126)		
	N	%	N	%	
Stable macular retinal reattachment	82	66.7	68	54.0	-12.7 (-24.7 to -0.7)

a Surgery plus TA – standard surgery.

TABLE 15 Tractional RD within six months of post study vitrectomy by treatment arm

	Treatment group				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 123)		Surgery + TA (N = 124)		
	N	%	N	%	
Tractional RD within 6 months	30	24.4	35	28.2	4.5 (-6.7 to 15.6)

a Surgery plus TA – standard surgery.

with 68/126 in the surgery plus adjunctive TA arm (66.7% vs. 54.0%). The OR for stable macular retinal reattachment for surgery plus adjunctive TA, relative to standard surgery was 0.59 (95% CI 0.35 to 0.98, $p = 0.041$), in favour of standard surgery ([Table 14](#)).

Tractional retinal detachment within six months post study vitrectomy

A total of 30/123 participants in the standard surgery arm developed tractional RD within six months of the study vitrectomy compared with 35/124 in the surgery plus adjunctive TA arm (24.4% vs. 28.2%). The OR for tractional RD for surgery plus adjunctive TA relative to standard surgery was 1.22 (95% CI 0.69 to 2.15, $p = 0.494$), with the point estimate in favour of standard surgery ([Table 15](#)).

The number of additional operations to achieve stable retinal reattachment (either complete or macula) at six months after the study vitrectomy

The median number of additional operations to achieve stable retinal reattachment at six months after the study vitrectomy in the standard surgery arm was 0 (IQR 0–0), with minimum 0 and maximum 6 ($N = 109$). In the surgery plus adjunctive TA arm, the median number of operations was 0 (IQR = 0–1), with minimum 0 and maximum 4 ($N = 114$; [Table 16](#)). The incidence rate of operations over six months in

TABLE 16 Number of operations to achieve stable retinal reattachment

Number of operations	Standard surgery (N = 109)		Surgery + TA (N = 114)	
	N	%	N	%
0	86	79	76	67
1	16	15	30	26
2	3	3	7	6
3	2	2	0	0
4	0	0	1	1
5	0	0	0	0
6	2	2	0	0

TABLE 17 Hypotony within six months post study vitrectomy by treatment arm

	Treatment arm				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 124)		Surgery + TA (N = 123)		
	N	%	N	%	
Hypotony	26	21.0	25	20.3	-0.6 (-10.7 to 9.5)

a Surgery plus TA – standard surgery.

the standard surgery arm was 0.37 (95% CI 0.27 to 0.50) and 0.42 (95% CI 0.32 to 0.56) in the surgery plus adjunctive TA arm. The unadjusted incident rate ratio for the number of operations by month 6 for the surgery plus adjunctive TA arm compared with the standard surgery arm was 1.15 (95% CI 0.75 to 1.75, $p = 0.521$). The adjusted incident rate ratio for the surgery plus adjunctive TA arm compared with the standard surgery arm was 1.15 (95% CI 0.68 to 1.94, $p = 0.608$) with the point estimate in favour of standard surgery.

Hypotony at any time point within six months of the study vitrectomy

A total of 26/124 participants in the standard surgery arm had hypotony within six months of the study vitrectomy compared with 25/123 in the surgery plus adjunctive TA arm (21.0% vs. 20.3%; [Table 17](#)). The adjusted OR of hypotony for surgery plus adjunctive TA relative to standard surgery was 0.96 (95% CI 0.52 to 1.78, $p = 0.901$), with the point estimate in favour of surgery plus TA.

Post hoc sensitivity analysis

In accordance with the ASCOT statistical analysis plan, the above analysis of hypotony included data recorded on the secondary outcome forms at three and six months. Additional adverse events of hypotony (<6 mmHg) were recorded for eight participants (two standard surgery and six surgery plus adjunctive TA) on the adverse event form that were not captured on the three- or six-month secondary outcome form. This group of eight participants included one participant who later withdrew from the surgery plus adjunctive TA arm.

A post hoc analysis combined the data on hypotony across the two data sources. A total of 28/124 participants in the standard surgery arm had hypotony within six months of the study vitrectomy compared with 31/125 in the surgery plus adjunctive TA arm (22.6% vs. 24.8%) as recorded on the secondary outcome forms at three or six months or on the adverse event form ([Table 18](#)). The OR of hypotony for surgery plus adjunctive TA relative to standard surgery was 1.13 (95% CI 0.63 to

TABLE 18 Hypotony within six months post study vitrectomy by treatment arm (recorded on secondary outcome or adverse event form)

	Treatment arm				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 124)		Surgery + TA (N = 125)		
	N	%	N	%	
Hypotony	28	22.6	31	24.8	2.2 (-8.3 to 12.8)

a Surgery plus TA – standard surgery.

TABLE 19 Raised IOP within six months post study vitrectomy by treatment arm

	Treatment arm				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 126)		Surgery + TA (N = 124)		
	N	%	N	%	
Raised IOP	35	27.8	56	45.2	17.4 (5.6 to 29.1)

a Surgery plus TA – standard surgery.

2.03, $p = 0.680$), which was comparable with the main analysis of hypotony with the point estimate in favour of surgery plus TA.

Raised intraocular pressure at any time point within six months of the study vitrectomy

A total of 35/126 participants in the standard surgery arm experienced raised IOP within six months of the study vitrectomy compared with 56/124 in the surgery plus adjunctive TA arm (27.8% vs. 45.2%) as recorded on the secondary outcome forms at three or six months. The OR of raised IOP for surgery plus adjunctive TA relative to standard surgery was 2.14 (95% CI 1.26 to 3.62, $p = 0.005$) in favour of standard surgery ([Table 19](#)).

Post hoc sensitivity analysis

In accordance with the ASCOT statistical analysis plan, the analysis of raised IOP included data recorded on the secondary outcome forms at three and six months. Additional adverse events of raised IOP (>25 mmHg) were recorded for seven participants (five standard surgery and two surgery plus adjunctive TA) on the adverse event form that were not captured on the three- or six-month secondary outcome form. This group of seven participants included two participants who withdrew from the trial sometime after the recording of IOP (one from each arm).

A post hoc analysis combined the data on IOP across the two data sources. A total of 40/127 participants in the standard surgery arm experienced raised IOP within six months of the study vitrectomy compared with 58/125 in the surgery plus adjunctive TA arm (31.5% vs. 46.4%) as recorded on the secondary outcome forms at three or six months or on the adverse event form. The OR of raised IOP for surgery plus adjunctive TA relative to standard surgery was 1.88 (95% CI 1.13 to 3.15, $p = 0.016$), which was comparable with the main analysis of raised IOP in favour of standard surgery ([Table 20](#)).

Development of macular pucker by three and six months or macular pucker surgery at any time point within six months of study vitrectomy

A total of 25/122 participants in the standard surgery arm developed macular pucker within six months of the study vitrectomy or underwent macular pucker surgery compared with 37/124 in the surgery

RESULTS

TABLE 20 Raised IOP within six months post study vitrectomy by treatment arm (recorded on secondary outcome or adverse event form)

	Treatment arm				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 127)		Surgery + TA (N = 125)		
	N	%	N	%	
Raised IOP	40	31.5	58	46.4	14.9 (3.0 to 26.8)

a Surgery plus TA – standard surgery.

TABLE 21 Macular pucker or macular pucker surgery within six months by treatment arm

	Treatment group				Unadjusted difference in proportions (95% CI)
	Standard surgery (N = 122)		Surgery + TA (N = 124)		
	N	%	N	%	
Macular pucker	25	20.5	37	29.8	9.3 (-1.4 to 20.1)

a Surgery plus TA – standard surgery.

TABLE 22 VFQ-25 by treatment arm

Time	Treatment arm						Total N	Unadjusted mean difference (95% CI)
	Standard surgery			Surgery + TA				
	N	Mean	SD	N	Mean	SD		
Baseline	137	66.5	19.4	143	64.3	21.4	280	-
Month 3	109	71.8	18.4	109	70.1	17.7	218	-1.6 (-6.5 to 3.2)
Month 6	108	71.9	20.9	113	72.0	20.1	221	0.1 (-5.3 to 5.5)

a Surgery plus TA – standard surgery.

plus adjunctive TA arm (20.5% vs. 29.8%). The OR for surgery plus adjunctive TA relative to standard surgery was 1.65 (95% CI 0.92 to 2.96, $p = 0.093$) with the point estimate in favour of standard surgery ([Table 21](#)).

Visual Function Questionnaire-25

A total of 213 participants (standard surgery $n = 105$; surgery plus adjunctive TA $n = 108$) had baseline and six-month VFQ-25 available and were included in the analysis of the VFQ-25. The adjusted mean difference in the month 6 VFQ-25 for surgery plus adjunctive TA relative to standard surgery was 0.78 (95% CI -3.53 to 5.10, $p = 0.723$), with the point estimate in favour of surgery plus TA ([Table 22](#)).

Safety event reporting

Data on safety outcomes are summarised for all randomised participants who underwent surgery ($n = 280$) by type of adverse event and ophthalmic category in [Tables 23](#) and [24](#) and [Figures 5](#) and [6](#). The majority of adverse events were not serious. There were more non-serious adverse events in the surgery plus TA arm (171 events in 80 participants) in comparison with the surgery only arm (142 events in 66

TABLE 23 Summary of safety events by type and treatment arm

Event	Treatment arm				Total	
	Standard surgery		Surgery + TA			
	Patients (n)	Events (n)	Patients (n)	Events (n)	Patients (n)	Events (n)
Non-serious AE	66	142	80	171	146	313
AE	54	115	59	124	113	239
AR	17	24	28	43	45	67
Unclassified	3	3 ^a	4	4 ^b	7	7
SAE	0	0	2	2	2	2
SAR	0	0	3	3	3	3
Total	66	142	81	176	147	318

AE, adverse events; AR, adverse reaction; IMP, investigational medicinal product; SAE, serious adverse event; SAR, serious adverse reaction.

a Unclassified events in standard surgery arm as relatedness to IMP not completed: hypotony, raised IOP 26 mmHg and corneal oedema.

b Unclassified events in surgery plus TA arm as relatedness to IMP not completed: hypotony (×2), elevated eye pressure and RD.

TABLE 24 Adverse events summary by treatment arm and ophthalmic category

Ophthalmology	Treatment arm			
	Number of events		Number of patients	
	Standard surgery	Surgery + TA	Standard surgery	Surgery + TA
Elevated IOP (>25 mmHg)	45	58	35	40
Hypotony (IOP <6 mmHg)	35	29	25	25
RD	21	28	17	24
Further ocular surgery	25	30	18	25
Endophthalmitis, scleritis	0	2	0	2
Uveitis	2	6	2	6
Rubeosis	1	0	1	0
Other	13 ^a	23 ^b	13	10
Total	142	176	66	81

a In group A, other adverse events were conjunctivitis, graft opacification, swollen disc, vitreous haemorrhage, pupillary membrane, macular oedema, diplopia, total funnel inoperable RD, focal keratitis, corneal graft failure, corneal oedema, irritation and cystoid macula oedema.

b In group B, other adverse events were corneal abrasion, oil in the anterior chamber, epiretinal membrane, epiretinal membrane, central macular subretinal bleed, cystoid macular oedema, PVR, cataract formation, macular hole, irritation (×2), macular oedema, photophobia (×2), foreign body sensation (×2), pain (×2), watering eye (×2), keratitis at central cornea, intermittent headaches and loose corneal suture.

participants). [Tables 25](#) and [26](#) summarise the non-serious and serious adverse events by treatment arm, relatedness and intensity. There were a total of five serious adverse events, which all occurred in the surgery plus TA arm (see [Table 26](#) for details).

RESULTS

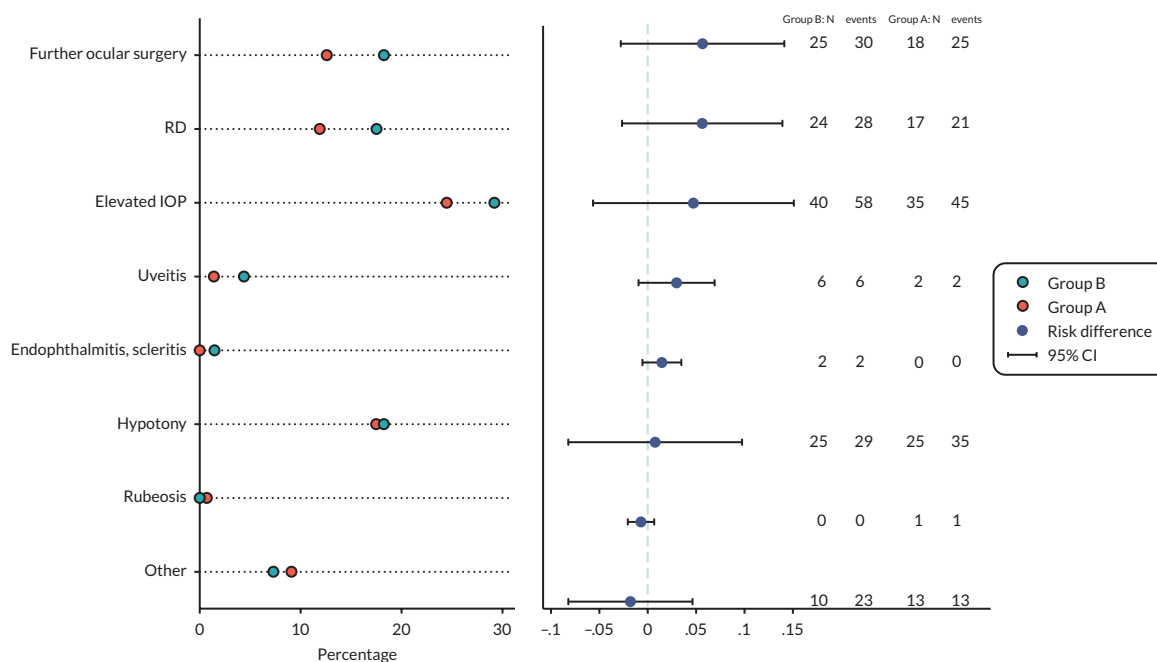


FIGURE 5 Dot plot of adverse events. This figure plots displays the proportions of individuals experiencing each type of event by treatment group in the left-hand panel, the risk difference with 95% CI in the middle panel and numbers of participants experiencing each event and event totals in right-hand panel. Additional cases of raised IOP (>25 mmHg) were recorded on the secondary outcome forms at 3 and 6 months ($n = 23$ participants; 5 in group A and 18 in group B) that were not also captured on the adverse event form due to poor reporting (see [Tables 19](#) and [20](#)); 7 participants who had raised IOP recorded on an adverse event form did not have raised IOP recorded on the secondary outcome form (5 group A and 2 group B). Additional cases of hypotony (<6 mmHg) were also recorded on the secondary outcome forms at 3 and 6 months ($n = 9$; 3 in group A and 6 in group B) that were not also captured on the adverse event form due to poor reporting (see [Tables 17](#) and [18](#)); 8 participants who had hypotony recorded on an adverse event form did not have hypotony recorded on the secondary outcome form (2 group A and 6 group B). The participants experiencing hypotony or raised IOP on secondary outcomes but not included in the adverse events are included in [Figure 6](#).

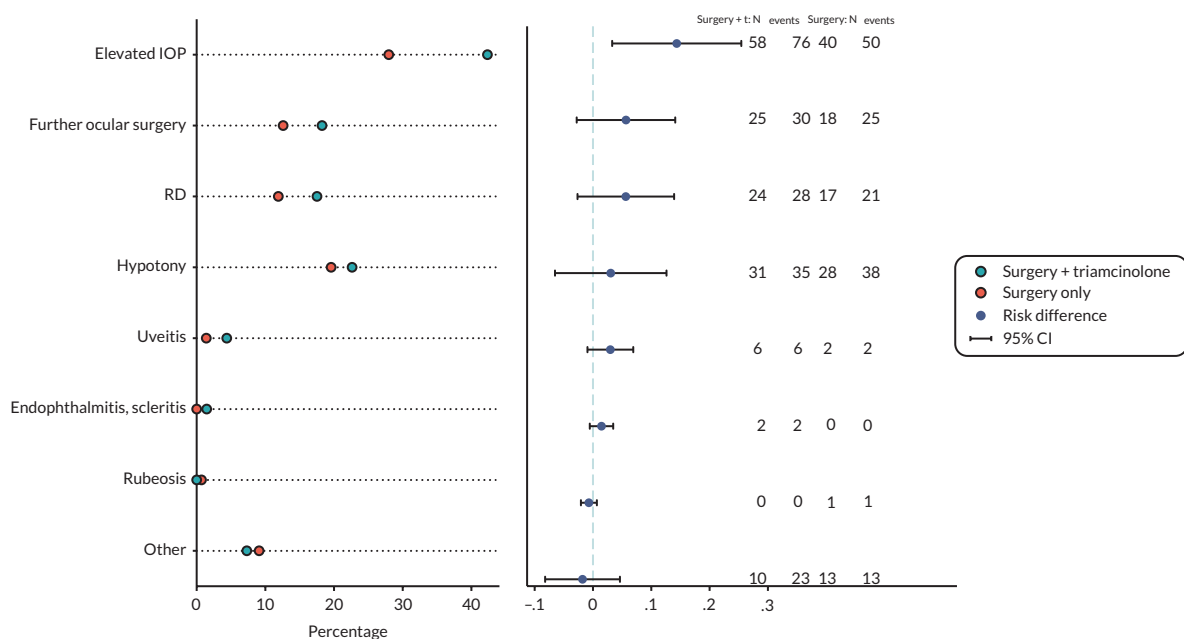


FIGURE 6 Dot plot of adverse events including raised IOP and hypotony events from secondary outcomes. This figure plots displays the proportions of individuals experiencing each type of event by treatment arm in the left-hand panel, the risk difference with 95% CI in the middle panel and numbers of participants experiencing each event and event totals in the right-hand panel.

TABLE 25 Non-serious adverse events by treatment arm, relatedness and intensity

Event	Standard surgery					Surgery + TA				
	Intensity					Intensity				
	Mild	Moderate	Severe	Unknown	Total	Mild	Moderate	Severe	Unknown	Total
Adverse event										
Elevated IOP (>5 mmHg)	13	7	1	0	21	13	4	0		17
Hypotony (IOP <6 mmHg)	23	7	4	0	34	16	9	2		27
RD	1	12	8	0	21	0	14	13		27
Further ocular surgery	5	12	8	0	25	0	8	19		27
Uveitis	1	0	0	0	1	4	2	0		6
Rubeosis	0	1	0	0	1	0	0	0		0
Other	6 ^a	3 ^b	3 ^c	0	12	10 ^d	7 ^e	3 ^f		20
Total	49	42	24	0	115	43	44	37		124
Adverse reaction										
Elevated IOP (>25 mmHg)	12	10	1		23	19	18	1	–	38
Further ocular surgery	0	0	0	0	0	0	2	0	–	2
Uveitis	1	0	0	0	1	0	0	0	–	0
Endophthalmitis, scleritis	0	0	0	0	0	0	0	1	–	1
Other	0	0	0	0	0	2 ^g	0	0	–	2
Total	13	10	1	0	24	21	20	2	–	43
Unclassified										
Elevated IOP (>25 mmHg)	1	0	0	0	1	1	0	0	0	1
Hypotony (IOP <6 mmHg)	0	1	0	0	1	0	0	0	2	2
RD	0	0	0	0	0	0	0	1	0	1
Other	0	1 ^h	0	0	1	0	0	0	0	0
Total	1	2	0	0	3	1	0	1	2	4

Intensity was categorised locally at each site as mild, moderate or severe.

Mild: The adverse event does not interfere with the participant's daily routine and does not require intervention; it causes slight discomfort.

Moderate: The adverse event interferes with some aspects of the volunteer's routine or requires intervention but is not damaging to health; it causes moderate discomfort.

Severe: The adverse event results in alteration, discomfort or disability that is clearly damaging to health.

Adverse events are those where the event has been assessed to either have no relationship or remote chance of relationship to the intervention. Adverse reactions are events that have been assessed as having a possible, probable or definite relationship with the intervention. These events have been classified by site investigators who are masked to the intervention.

In standard surgery arm, other mild adverse events included conjunctivitis, swollen disc, pupillary membrane, vitreous haemorrhage, focal keratitis and macular oedema. Other moderate adverse events included diplopia, macula oedema and irritation. Other severe adverse events included corneal graft opacification, total funnel inoperable RD and corneal graft failure. Other moderate unclassified events included corneal oedema.

In surgery plus TA arm, other mild adverse events included oil in anterior chamber, corneal abrasion, epiretinal membrane, irritation (x2), pain, photophobia, loose corneal suture, foreign body sensation and watering eye. Other moderate adverse events included epiretinal membrane, macular oedema, foreign body sensation, pain, keratitis at the central cornea, intermittent headaches and macular hole. Other severe adverse events included PVR, photophobia and watering eye. Other mild adverse reactions were cataract formation and cystoid macular oedema.

TABLE 26 Details of serious adverse events and reactions by treatment arm

Ophthalmology	Study eye	Onset	Resolved	Intensity	Relates to drug?	Outcome
Central subretinal bleed	Yes	9 June 2016	9 June 16	Moderate	Unlikely	Resolved
Evisceration and implant (Further ocular surgery)	Yes	29 March 2016	29 March 2016	Severe	Not related	Resolved with sequelae
Elevated IOP (> 25 mmHg)	Yes	6 May 2015	7 May 2015	Severe	Possible	Resolved
Endophthalmitis, scleritis	Yes	10 November 2015	28 November 2015	Severe	Possible	Resolved
Eye pain and vomiting/ elevated IOP (> 25 mmHg)	Yes	9 February 2020	10 February 2020	Moderate	Possible	Resolved

a A serious adverse event is defined as any adverse event, adverse reaction or unexpected adverse reaction, respectively, that results in death, is life-threatening, requires hospitalisation or prolongation of existing hospitalisation, results in persistent or significant disability or incapacity, or consists of a congenital anomaly or birth defect.

Intensity was categorised locally at each site as mild, moderate or severe.

Mild: The adverse event does not interfere with the participant's daily routine and does not require intervention; it causes slight discomfort.

Moderate: The adverse event interferes with some aspects of the volunteer's routine or requires intervention but is not damaging to health; it causes moderate discomfort.

Severe: The adverse event results in alteration, discomfort or disability that is clearly damaging to health.

Health economics results

Analysis of baseline data

For the health economic evaluation, a baseline test was conducted using the Mann–Whitney *U* test. [Table 27](#) details the outcomes (ETDRS, EQ-5D, VFQ and cost), which showed that there was no significant difference in the data at baseline.

The ICER value of the primary outcome was £8,362.32 per 10 or more letter improvement, as shown in [Table 28](#). We have no payer threshold against which to compare this value. [Figure 7](#) shows the ICER plot of the ETDRS ≥ 10 -letter improvement. The mean effect of the ASCOT cohort for the EQ-5D was slightly lower, with a value of 0.003 QALY, but for the VFQ value, the standard care had a lower mean effect of 0.03. The ICER values for the EQ-5D show that the ASCOT intervention was dominated by the control while the VFQ was £10,897.20 per vision improvement quality of life.

It is worth noting that the outcome ETDRS and VFQ-25 are not preference-based measures, thus cannot be compared with respect to the NICE threshold of £20,000–30,000 per QALY gained.

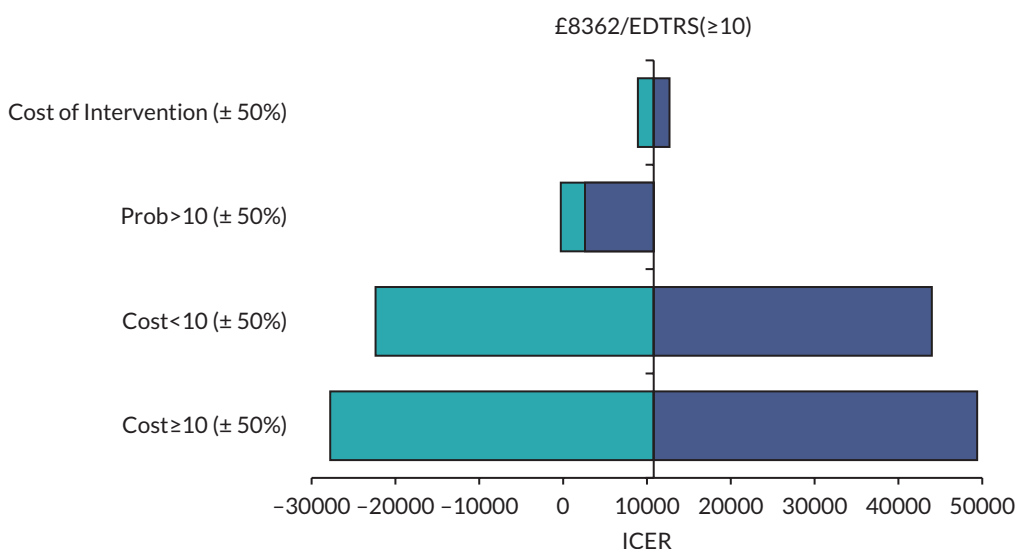
The EQ-5D is a preference-based measure; see [Figure 7](#) for the ICER scatter plot, which shows a slightly denser plot in the northwest quadrant. This result is further emphasised in the CEAC curve ([Figure 8](#)).

The CEAC plot shows the intervention has a very low probability of cost-effectiveness; approximately 30% at a willingness to pay of £0–30,000 per QALY gained compared with the control intervention.

A deterministic sensitivity analysis was conducted, and the results are shown in the tornado plot in [Figure 7](#). The tornado plot shows changes in the value of the ICER when variables influencing the outcome of the health economic evaluation are varied. In the tornado plot, we have varied each variable (cost of intervention, probability of EDTRS ≥ 10 , mean cost in the intervention arm of participant with ETDRS ≥ 10 and mean cost in the intervention arm of participant with ETDRS < 10), by $\pm 50\%$ of its

TABLE 27 Baseline equivalence of test of the ETDRS, EQ-5D, VFQ and cost using the Mann-Whitney *U* test

Standard surgery	Standard surgery		Intervention		<i>p</i> -value
	Mean	SD	Mean	SD	
ETDRS	16.92	30.87	10.09	22.24	0.35
EQ-5D	0.68	0.27	0.68	0.30	0.63
VFQ	67.52	21.51	65.30	23.02	0.52
Cost (£)	2534.97	4332.49	2840.37	3767.76	0.25

**FIGURE 7** A deterministic sensitivity analysis of the ICER: tornado diagram for a multiple one-way sensitivity analysis.**TABLE 28** Cost-effectiveness results for the ASCOT intervention compared with standard care

Outcome	Standard surgery		Intervention		Incremental change		
	Mean cost (£)	Mean effect	Mean cost (£)	Mean effect	Cost	Effect	ICER
ETDRS:					£324.12		8362.32
≥10	4767.10	0.43	5774.92	0.47			
<10	4501.67	0.57	4192.96	0.53		0.04	
EQ-5D:							Dominated
≥10	4767.10	0.37	5774.92	0.36			
<10	4501.67	0.36	4192.96	0.37		-0.00	
VFQ:							10,897.20
≥10	4767.10	34.83	5774.92	34.03			
<10	4501.67	34.16	4192.96	34.90		0.03	

RESULTS

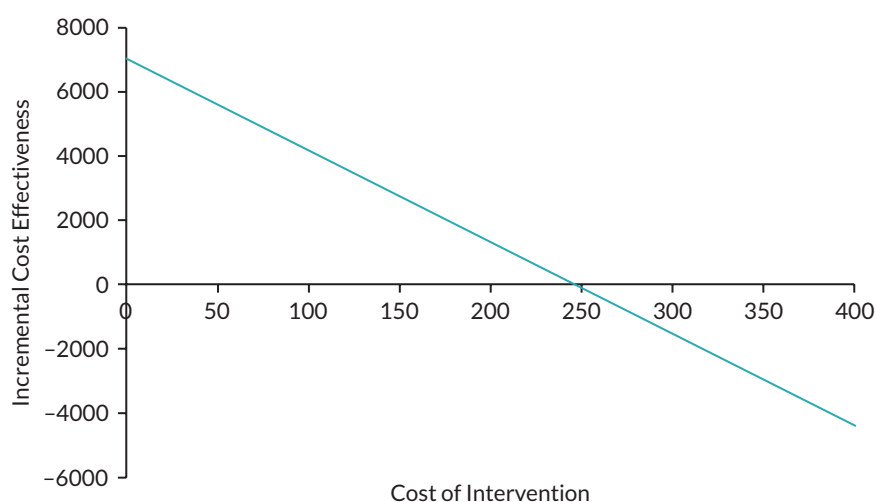


FIGURE 8 A comparison of the incremental cost-effectiveness with respect to changes in the intervention cost, a one-way sensitivity analysis. Each variable is analysed for a $\pm 50\%$ variability.

original value to investigate the change in ICER value. We see that the greatest influencers of the ICER value are the mean costs incurred during the follow-up phase. A reduction of the cost of intervention by 50% gives a lower ICER of £6653 per EDTRS ≥ 10 while an increase of 50% gives an ICER value of £10,071 per EDTRS ≥ 10 .

Further investigation into the intervention cost showed that there is a positive linear correlation between the intervention cost and the ICER values (*Figure 8*). An extrapolation at no intervention cost gives an ICER of £4944 per EDTRS ≥ 10 , while an intervention cost of £500 gives an ICER value of £17,844 per EDTRS ≥ 10 .

Other secondary effects, EQ-5D and VFQ, were also investigated. The correlation between the VFQ and the EQ-5D for the two arms of the intervention and the combined data is very high, for the ASCOT intervention is 0.81, for the control is 0.77 and for the combined dataset it is 0.79.

Table 28 demonstrates that using the secondary results of the EQ-5D from the ASCOT intervention is dominated by the minimal negative change in QALY value of 0.003 and a positive change in the cost in comparison to the control/standard arm. A probability sensitivity analysis of the QALY result is shown in the scatter plot (*Figure 9*).

The scatter plot is distributed in the four quadrants but the north-west is the more densely populated region, hence we proceed to the CEAC curve, as shown in *Figure 10*. The CEAC curve shows lower probability, ranging around 0.3 for the ASCOT intervention in comparison with the control/standard care (see *Figure 10*). This confirms the results previously obtained that there is little or no advantage of implementing the ASCOT intervention over and above standard care.

Tables 29 and *30* detail the costs of standard care and the ASCOT intervention.

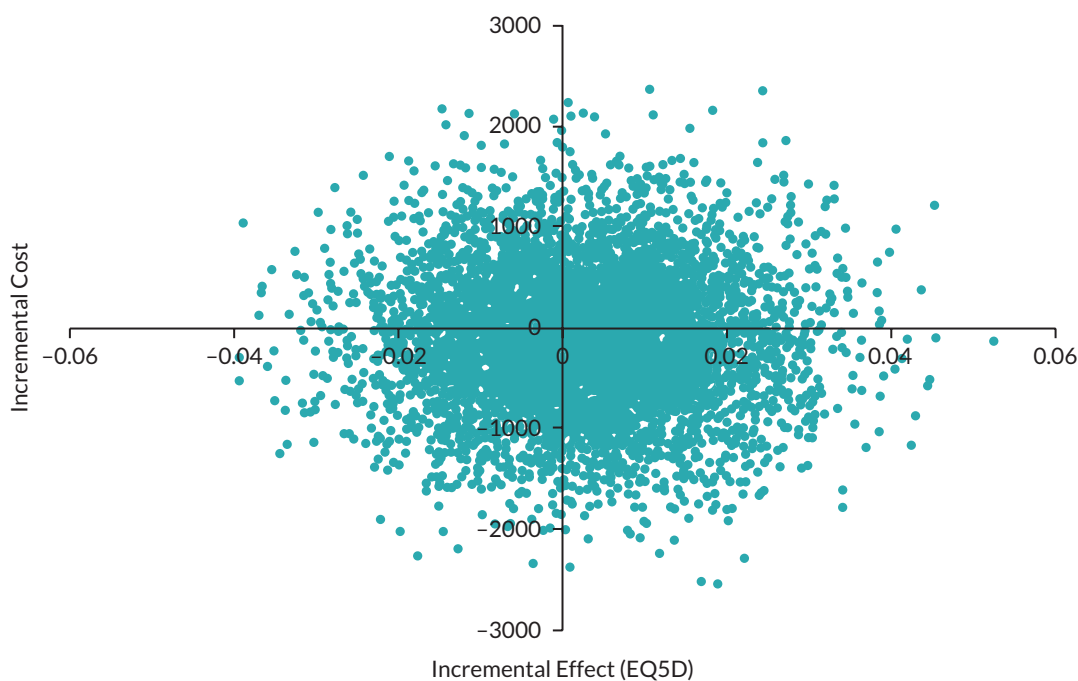


FIGURE 9 Scatter plot for probabilistic sensitivity analysis showing the cost-effectiveness of intervention (TA included) compared with the control (TA not included).

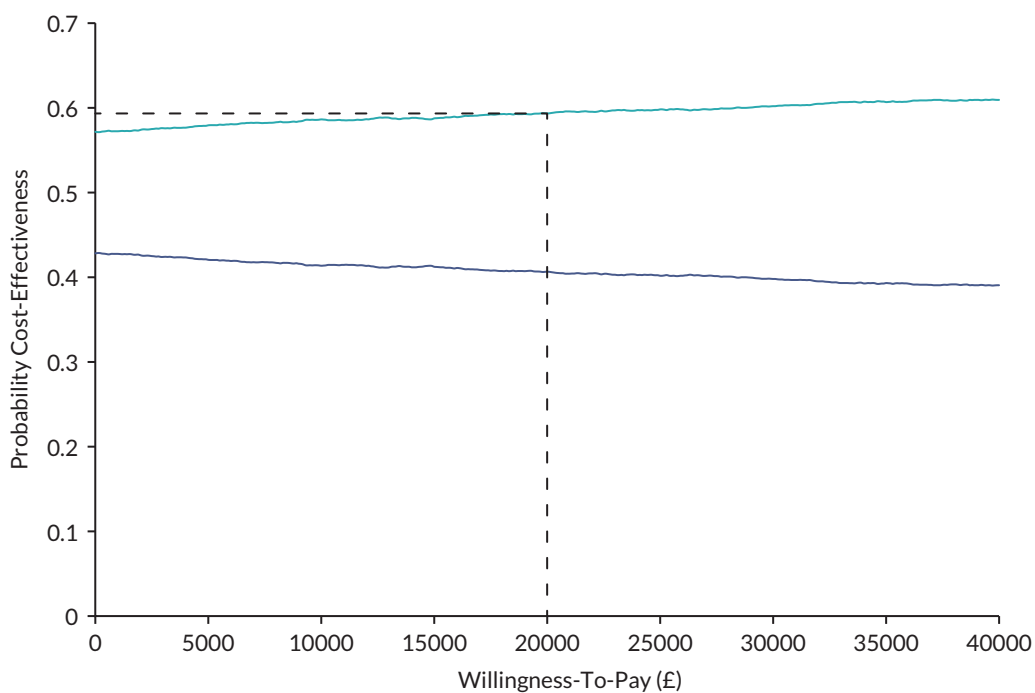


FIGURE 10 Cost-effectiveness acceptability curves for the intervention (top) vs. standard/control group (bottom).

RESULTS

TABLE 29 Summary table of hospital-based service use mean (SD) costs by patients (N = 259) in the ASCOT trial over three time points (baseline, three months and six months).

Department	Standard care (£)(n = 129)			ASCOT intervention (£)(n = 130)		
	Baseline	3 months	6 months	Baseline	3 months	6 months
Accident and emergency	164.16 (133.51)	31.27 (110.66)	20.85 (72.77)	166.73 (134.72)	36.21 (100.28)	16.81 (58.61)
Ophthalmology inpatient	389.06 (1024.06)	28.84 (165.00)	31.71 (154.31)	511.84 (846.10)	97.22 (332.29)	25.77 (105.41)
Other inpatient ward	37.07 (334.16)	0.00 (0.00)	8.64 (98.17)	32.06 (327.09)	0.01 (0.09)	0.02 (0.18)
Ophthalmology outpatient	151.37 (361.28)	180.35 (564.90)	65.12 (111.95)	164.93 (344.77)	173.39 (280.86)	116.67 (341.87)
Other outpatient	43.58 (149.46)	44.49 (429.39)	27.98 (227.85)	31.81 (74.62)	15.18 (97.82)	19.44 (145.52)
Other hospital-based services	106.17 (21.96)	3.56 (31.87)	0.00 (0.00)	80.96 (16.82)	4.06 (34.98)	32.05 (327.26)

TABLE 30 Summary table of community-based service use mean (SD) costs by patients (n = 259) in the ASCOT trial over three time points (baseline, three months and six -months)

Community-based service	Standard care (n = 129)			ASCOT intervention (n = 130)		
	Baseline	3 months	6 months	Baseline	3 months	6 months
GP visit	42.83 (77.29)	49.38 (102.06)	38.30 (76.05)	51.46 (95.31)	49.38 (113.26)	53.39 (146.80)
Practice nurse	22.64 (91.17)	15.12 (53.35)	20.35 (87.32)	17.31 (54.08)	15.00 (61.52)	15.00 (145.09)
District nurse	0.66 (7.48)	5.19 (46.96)	1.24 (14.89)	37.69 (400.40)	17.23 (196.46)	26.73 (233.29)
Social worker	0.00 (0.00)	5.11 (50.74)	0.00 (0.00)	20.39 (216.80)	2.08 (23.68)	1.39 (11.12)
Counsellor	10.58 (96.31)	17.91 (126.66)	27.52 (145.82)	4.00 (37.80)	15.31 (107.07)	12.85 (62.48)
Dietician	0.89 (10.13)	6.24 (54.38)	0.00 (0.00)	0.89 (10.09)	5.31 (60.52)	0.00 (0.00)
Optician	13.53 (41.94)	15.50 (44.22)	31.98 (97.93)	20.15 (59.82)	15.38 (53.95)	80.73 (724.01)
Dentist	24.03 (59.47)	17.79 (63.99)	29.30 (80.86)	34.19 (67.78)	10.38 (46.39)	16.62 (47.59)
Physiotherapist	9.88 (83.02)	8.99 (78.49)	7.87 (55.30)	16.34 (126.75)	4.46 (30.95)	15.62 (85.16)
Occupational health therapist	6.01 (35.74)	6.01 (30.04)	3.61 (23.45)	12.85 (101.21)	14.31 (138.43)	5.96 (44.87)
Alternative therapist	0.00 (0.00)	0.00 (0.00)	0.00 (0.00)	0.00 (0.00)	0.00 (0.00)	0.00 (0.00)
Other community-based services	15.12 (148.40)	5.58 (52.28)	10.43 (72.59)	5.98 (31.69)	7.65 (45.53)	17.11 (120.13)

Discussion

The ASCOT study is the first large-scale RCT to investigate the use of adjunctive medication to prevent PVR following surgery for penetrating ocular trauma. The adjunctive medication, TA was chosen on the basis of preclinical evidence of its efficacy⁴⁵ and promising results from a pilot clinical trial.³¹ The primary outcome (improvement in VA) and principal secondary outcome (change in VA) did not demonstrate any treatment benefit for TA. Similarly, the secondary outcome measures failed to show any treatment benefit. The use of combined intraocular and sub-Tenon's capsule TA is therefore not recommended as an adjunct to vitrectomy surgery for intraocular trauma.

In the treatment of penetrating ocular trauma adjunctive intraocular and periocular steroid (TA) was minimally cost-effective for the ETDRS and VFQ but was not cost-effective for the EQ-5D measure, which was dominated by the standard care. This is a low-cost intervention, at the NICE threshold of £20,000 per QALY the ASCOT intervention had a probability of 0.30 of being cost-effective. The cost of the intervention per patient was estimated at £132. In the primary health economics analysis, the proportion of participants with an ETDRS ≥ 10 -letter improvement was 0.47 for the intervention group, with a mean cost of £5774, while the control group had a mean cost of £4767 and a proportion of 0.43 participants with an ETDRS ≥ 10 -letter improvement. In the secondary health economics analysis, there was little or no improvement in the utility measures. In the control arm, an insignificant increase of 0.003 QALYs was obtained from EQ-5D scores. The control arm had a visual function score that was 0.03 lower than the intervention arm derived from the VFQ-25. All outcome measures (ETDRS, VFQ-25 and EQ-5D) showed high positive linear correlation but the results were slightly different, hence more investigation is needed before a conclusion can be made on whether or not the intervention is cost-effective.

It is notable that for two of the secondary outcome measures, stable complete retinal reattachment (without internal tamponade present) at six months and stable macular retinal reattachment (without internal tamponade present) at six months, outcomes for the treatment group were significantly less good than controls. These two outcomes are related and are clearly of clinical importance. The baseline characteristics of the treatment and control groups may provide an explanation for the less good outcomes in the treatment group. Over a range of baseline parameters, the treatment group appeared, by chance, to have more severe pathology on presentation. The treatment group had a higher level of previous primary repair – 77% compared with 69% (and previous eye surgery 57% vs. 49%), more zone 3 (posterior) injuries – 31 compared with 21%, a higher rate of vitreous haemorrhage (69% vs. 63%) and retinal incarceration (27% vs. 18%) and higher rates of pre-existing RD (tractional and rhegmatogenous) 54% compared with 48% and pre-existing PVR 27% compared with 21%. Although none of these parameters demonstrate a very marked difference, taken together they may account for a difference in outcome between the groups. Nevertheless, a negative effect of TA as an adjunct to vitrectomy surgery for ocular trauma cannot be discounted, although the pathobiological mechanism of this is unclear. These findings support the conclusion that TA should not be routinely recommended as an adjunct in ocular trauma cases.

Experimental studies have demonstrated that TA has the potential to downregulate the retinal response to injury and reduce the incidence of PVR.⁴⁵ The potential for TA to produce a beneficial clinical effect on PVR is supported by pilot and small-scale clinical studies suggesting a reduction in the inflammatory response and PVR in RD and trauma cases.^{21–23,31} The reasons for the failure of TA to produce a treatment effect in the ASCOT study therefore need to be considered. It is possible that in intraocular trauma cases where there is extensive blood–ocular barrier breakdown and a markedly upregulated drive towards PVR the pharmacological effect of TA (at the dosage used in the study) is insufficient to influence the PVR process. In this context, it is notable that a recent uncontrolled study using mitomycin C at the time of vitrectomy in severe IOFB cases appeared to reduce the incidence of PVR.⁴² The application of a stronger antiproliferative agent directly to the retina could

potentially produce a more marked therapeutic effect on the PVR response in these cases. Timing of drug delivery may also play a role. Most patients in the ASCOT study had already undergone primary repair of the penetrating injury (69% and 77% of control and adjunct patients) and vitrectomy surgery and TA delivery was at a median of 20 (control) 21 (adjunct) days following the original injury. We recorded that a mean of 24% of patients had already developed grade-C PVR by the time of study vitrectomy and it is therefore likely that inflammatory and proliferative components responsible for PVR have already been induced in trauma cases with and without overt PVR by this stage. The use of an adjunctive agent three weeks after the original trauma may simply be too late to influence the six-month outcome. Delivery of a therapeutic adjunct at the time of injury, potentially combined with sustained delivery, may produce a greater effect in modifying intraocular scarring and the PVR process in ocular trauma. It is notable, however, that the use of sustained delivery dexamethasone did not improve anatomical outcomes in non-traumatic PVR⁴³ and sustained drug delivery in itself may not be sufficient to modify PVR development.

Case selection is an additional factor which may have influenced our results. The ASCOT study recruited a broad spectrum of open globe intraocular trauma cases. Overall, 40% of cases had a globe rupture, 37% penetrating injury, 19% had IOFBs and 4% a perforating (through and through) injury. Cardillo and co-workers documented that these varied injury types have differing incidences of PVR:⁸ perforating injuries had a 43% incidence, globe rupture 21%, penetrating injuries 15% and intraocular foreign bodies 11%. It is likely that the injury subtypes will also have differing responses to therapeutic agents and in future studies more focused case selection, potentially limited to only one injury subtype, could result in a positive therapeutic response.

The results of surgery for PVR, both following ocular trauma and nontraumatic RD, have remained unsatisfactory with often poor visual outcomes and a need for multiple procedures. This has led to both preclinical and clinical studies to identify adjunctive agents to modify the disease process and improve surgical results.^{12,14} To date, no adjunctive agents have gained widespread acceptance and PVR remains a surgical disease.⁴⁵ Intraocular daunomycin⁴⁴ and the combination of 5 fluorouracil (5FU) and low molecular weight heparin (LMWH)⁴⁶⁻⁴⁸ have been studied in a series of RCTs. Although these produced promising initial findings (daunomycin reduced reoperations and the 5FU/LMWH combination reduced PVR in high-risk cases), these studies have not resulted in the drugs having widespread use. Likewise, a Moorfields study of slow-release dexamethasone in established PVR failed to improve anatomical results although macular oedema was reduced and there was a trend to improved VA.⁴³ A previous study on TA in nontraumatic PVR also failed to show a benefit.²³ The reasons for the failure of these treatments to improve outcomes for PVR surgery over an extended period appear to relate to: (1) a lack of understanding of the PVR disease process, and (2) inadequate case selection and appropriate surgery. Initial concepts of PVR pathogenesis centred on the role of retinal pigment epithelium cells resulting in adjunctive approaches aimed at a transient exposure to free floating cells within the vitreous cavity. More recently, the role of the retinal response to detachment and injury in PVR has been emphasised.⁴⁹⁻⁵¹ The upregulation of the retinal glial response and its potential to produce PVR appears to be a better target for adjunctive intervention.

The ASCOT study was designed to investigate the potential of TA as an adjunct to vitreoretinal surgery in ocular trauma cases. It provided a clear answer in that there was no benefit from TA in a broad mix of OGT cases. It also adds to the evidence on the use of adjunctive treatments for vitreoretinal surgery for PVR. Generally, large-scale clinical studies have had disappointing results and this raises questions on the future direction of clinical research on PVR. Other therapeutic agents may hold potential but future clinical trials will need to be designed to allow the optimal conditions to test specific treatments. For example, a more direct approach with an anti-proliferative agent such as in the mitomycin C pilot study⁴⁶ could result in a more positive finding. Related to this it is essential to target the clinical situation where any given agent may be successful – for example limited to severe IOFB. Differing agents with alternate modes of delivery may be more appropriate for other trauma subtypes, such as globe ruptures which have a high rate of PVR.⁸ Timing may be of central importance here – early intervention with

surgery and/or therapeutic adjuncts is likely to give the best chance of success and of actually testing the adjunct in trials. Trial designs will therefore need to have a clear focus on disease categories and surgical protocols will need to be tightly drawn up, both in relation to surgical technique and the timing of intervention. These observations should also be applied to PVR research in non-traumatic cases. Disease classification in PVR may need to be revised with greater focus on disease stage, pathological activity and potential outcome to allow clinical trials to adequately investigate adjuncts to vitreoretinal surgery.

Contributions of authors

David G Charteris (<https://orcid.org/0000-0001-6267-4180>) (Professor of Ophthalmology, Consultant Ophthalmologist) Devised the investigation, coordinated study development, wrote research application and protocol and acted as chief investigator for the study.

Philp Banerjee (<https://orcid.org/0000-0002-6113-1173>) (Consultant Ophthalmologist) Supported study development and protocol writing.

Edward Casswell (<https://orcid.org/0000-0002-8837-9910>) (Research Fellow, Ophthalmology) Coordinated patient recruitment and publication writing.

Rhiannon Tudor Edwards (<https://orcid.org/0000-00003-4748-5730>) (Professor of Health Economics) Initiated and oversaw health economics analysis.

Victory Ezeofor (<https://orcid.org/0000-0002-4211-8942>) Health economics analysis.

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Suzie Cro (<https://orcid.org/0000-0002-0935-3713>) (Trial Statistician) Carried out statistical analysis throughout the trial and final statistical analysis.

Victoria R Cornelius (<https://orcid.org/0000-0002-2880-2086>) (Trial Statistician) Provided statistical analysis plan and oversaw statistical analysis at all stages of the trial.

Catey Bunce (<https://orcid.org/0000-0002-4389-5284>) (Trial Statistician) Provided statistical analysis plan and oversaw statistical analysis at all stages of the trial.

Elizabeth Robertson (<https://orcid.org/0000-0001-7547-8998>) (Trial Manager) Managed all aspects of the study day-to day.

Joanna Kelly (<https://orcid.org/0000-0001-6687-426X>) Provide trial coordination support.

Caroline Murphy (<https://orcid.org/0000-0002-0080-1065>) Oversaw all aspects of trial conduct and safety.

Patient data

This work uses data provided by patients and collected by the NHS as part of their care and support. Using patient data is vital to improve health and care for everyone. There is huge potential to make better use of information from people's patient records to understand more about disease, develop new treatments, monitor safety, and plan NHS services. Patient data should be kept safe and secure to protect everyone's privacy and it is important that there are safeguards to make sure that they are stored and used responsibly. Everyone should be able to find out about how patient data is

used. #datasaveslives. You can find out more about the background to this citation here: <https://understandingpatientdata.org.uk/data-citation>.

Confidentiality/anonymity

All data requests should be submitted to the corresponding author for consideration. Access to anonymised data may be granted following review.

Publications

Banerjee PJ, Cornelius VR, Phillips R, Lo JW, Bunce C, Kelly J, *et al*. Adjunctive intraocular and peri-ocular steroid (triamcinolone acetonide) versus standard treatment in eyes undergoing vitreoretinal surgery for open globe trauma (ASCOT): study protocol for a phase III, multi-centre, double-masked randomised controlled trial. *Trials* 2016;**17**(1):339.

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