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Improving the understanding and management of back pain in older adults: the BOOST research programme including RCT and OPAL cohort

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Improving the understanding and management of back pain in older adults: the BOOST research programme including RCT and OPAL cohort

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Abstract

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Improving the understanding and management of back pain in older adults: the BOOST research programme including RCT and OPAL cohort

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Background: Back pain frequently affects older people. Knowledge about back pain in older people and evidence to inform clinical care was lacking, particularly for older people with neurogenic claudication due to spinal stenosis, which is a debilitating condition.

Objectives: To understand and reduce the burden of back pain on older people by increasing knowledge about back pain in older people and developing evidence-based treatment strategies.

Design: We completed six work packages. These were not undertaken chronologically as there was overlap between work packages.

- Work package 1: Refine a physiotherapy intervention for neurogenic claudication.
- Work package 2: Feasibility of the Oxford Pain Activity and Lifestyle cohort study and Better Outcomes for Older people with Spinal Trouble randomised controlled trial.
- Work package 3: Development of a prognostic tool to identify when older people are at risk of mobility decline using data from the Oxford Pain Activity and Lifestyle cohort study.
- Work package 4: A randomised controlled trial of physiotherapy for neurogenic claudication and nested longitudinal qualitative study (Better Outcomes for Older people with Spinal Trouble randomised controlled trial).
- Work package 5: Predictors of participants' response to treatment prespecified subgroup analyses.
- Work package 6: Implementation planning.

Setting: Primary care and National Health Service Community and Secondary Care Trusts.

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Participants: Community-dwelling adults over the age of 65 years and registered with primary care practices. Better Outcomes for Older people with Spinal Trouble trial participants reported back and/or leg pain consistent with neurogenic claudication.

Interventions: The Better Outcomes for Older people with Spinal Trouble programme was a physiotherapy-delivered combined physical and psychological group intervention for older people with neurogenic claudication. The comparator was a physiotherapy assessment and tailored advice (best practice advice).

Main outcome measures: The primary outcome for the Oxford Pain Activity and Lifestyle prognostic tool was mobility decline based on the EQ-5D-5L Mobility Question.

The primary outcome for the Better Outcomes for Older people with Spinal Trouble trial was the Oswestry Disability Index at 12 months. Other outcomes included the Oswestry Disability Index walking item, 6-minute walk test and falls. The economic analyses used the EuroQol EQ-5D-5L to measure quality of life.

Results: Among Oxford Pain Activity and Lifestyle participants, 34% (1786/5304) reported back pain. A further 19.5% (1035/5304) reported back pain and associated leg pain, with 11.2% (n = 594/5304) reporting symptoms consistent with neurogenic claudication. Participants with back pain had worse quality of life compared to those without back pain and reported more adverse health states such as falls, frailty, low walking confidence and mobility decline. Those with neurogenic claudication were worst affected. At 2 years' follow-up, among those reporting back pain at baseline, only 23% (489/2100) no longer reported symptoms. Recovery was lowest among participants reporting neurogenic claudication at baseline, with 90% still reporting symptoms.

At 2 years' follow-up, 18.6% of Oxford Pain Activity and Lifestyle participants reported mobility decline. Back pain with/without leg pain was not an independent predictor of mobility decline, but lower limb pain and the report of severe pain were independent predictors. Other predictors included slow walking pace, balance difficulties, low walking confidence, walking ability worse than last year, self-reported general health and comorbidity.

In the Better Outcomes for Older people with Spinal Trouble trial, there was no significant difference in Oswestry Disability Index scores between treatment groups at 12 months (adjusted mean difference –1.4, 95% confidence interval –4.03 to 1.17), but at 6 months, scores favoured the Better Outcomes for Older people with Spinal Trouble programme (adjusted mean difference –3.7, 95% confidence interval –6.27 to –1.06). The Better Outcomes for Older people with Spinal Trouble programme resulted in greater improvements in the 6-minute walk test (mean difference 21.7 m, 95% confidence interval 5.96 to 37.38 m) and walking item (mean difference –0.2, 95% confidence interval –0.45 to –0.01) and reduced falls risk (odds ratio 0.6, 95% confidence interval 0.40 to 0.98) compared to best practice advice at 12 months. The probability that the Better Outcomes for Older people with Spinal Trouble programme is cost-effective ranged between 67% and 83% (National Health Service and Personal Social Services perspective) and between 79% and 89% (societal perspective) across cost-effectiveness thresholds between £15,000 and £30,000 per quality-adjusted life-year. From the embedded qualitative study, the Better Outcomes for Older people with Spinal Trouble programme was acceptable to participants, and enjoyable.

Limitations: Many of the data collected were self-reported and thus may be subject to recall bias or may have resulted in misclassification of participants.

Conclusions: Back pain is a substantial problem for older people, with the majority reporting persistent symptoms. We have developed an effective intervention to improve mobility and reduce falls in older people with neurogenic claudication; however, more effective interventions are needed for back pain

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generally. We have identified a set of self-reported questions that predict mobility decline in older people, so clinicians and their patients and families know when intervention is needed.

Future work: Develop and evaluate treatments for older people with back pain.

Optimisation of the Better Outcomes for Older people with Spinal Trouble programme to better target pain-related disability.

External validation of the Oxford Pain Activity and Lifestyle prognostic tool.

Study registration: This trial is registered as BOOST trial ISRCTN12698674.

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List of supplementary materials

Report Supplementary Material 1 BOOST intervention delivery fidelity checklist

Report Supplementary Material 2 An example of an individual coding framework (qualitative study)

Supplementary material can be found on the NIHR Journals Library report page (https://doi. org/10.3310/LKWX3424).

Supplementary material has been provided by the authors to support the report and any files provided at submission will have been seen by peer reviewers, but not extensively reviewed. Any supplementary material provided at a later stage in the process may not have been peer reviewed.

List of abbreviations

6-minute walk test	МООС	Massive Open Online	
body mass index		Course	
Better Outcomes for	MRI	magnetic resonance imaging	
Spinal Trouble (study)	NC	neurogenic claudication	
back pain	NICE	National Institute for	
best practice advice		Health and Care Excellence	
complier-average causal effect	NIHR	National Institute for Health and Care Research	
confidence interval	ODI	Oswestry Disability Index	
health-related quality of life	OPAL	Oxford Pain Activity and Lifestyle (study)	
incremental cost- effectiveness ratio	PPI	patient and public	
Index of Multiple Deprivation	PSS	personal social services	
incremental net	QALY	quality-adjusted life-year	
monetary benefit	RCT	randomised controlled trial	
interquartile range	SD	standard deviation	
intention to treat	SPPB	Short Physical	
least absolute		Performance Battery	
shrinkage and selection operator	SSSS	Swiss Spinal Stenosis Scale	
	body mass index Better Outcomes for Older people with Spinal Trouble (study) back pain best practice advice complier-average causal effect confidence interval health-related quality of life incremental cost- effectiveness ratio Index of Multiple Deprivation incremental net monetary benefit interquartile range intention to treat least absolute shrinkage and	body mass index Better Outcomes for Older people with Spinal Trouble (study) NC back pain NICE best practice advice complier-average causal effect confidence interval ODI health-related quality of life incremental cost-effectiveness ratio Index of Multiple Deprivation incremental net monetary benefit RCT interquartile range intention to treat SPPB least absolute shrinkage and SSSS	

Plain language summary

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Dack pain is a common problem for older people. This research aimed to increase our understanding of back pain in older people and to develop treatments to reduce the burden caused by back pain. The research was arranged in six work packages, and we completed three linked studies.

Study 1: We enrolled 5409 older people in a cohort study. Participants answered questions about back pain, their health, mobility, falls and quality of life on enrolment and at 1 and 2 years' follow-up. Back pain was reported by half of the participants, and 20% also reported leg pain. Back pain with/without leg pain is associated with reduced quality of life but also with being frail, falling and having walking problems. After 2 years, 77% of participants still reported being troubled by this pain. We developed a tool to identify when older people were at risk of reduced walking ability and to understand whether back pain was important here. Back and leg pain were not specifically linked to reduced walking, but severe pain was, suggesting that regardless of the type of pain, it needs to be treated. This tool could be used by clinicians and patients to know when they are at risk of reduced walking ability and to seek treatment.

Study 2: We developed a group physiotherapy programme (the Better Outcomes for Older people with Spinal Trouble programme) for older people with a back-related condition called neurogenic claudication which results in pain spreading from the back into the legs and difficulties standing and walking. We tested the programme in a randomised controlled trial. The Better Outcomes for Older people with Spinal Trouble programme resulted in long-term improvements in walking, reduced falls, and short-term improvements in pain and disability. It is likely to be good value for the National Health Service.

Study 3: We interviewed participants taking part in the Better Outcomes for Older people with Spinal Trouble trial to understand their experiences of the trial and whether the treatments helped. The Better Outcomes for Older people with Spinal Trouble programme was acceptable to participants, and they found it enjoyable.

We are working with patient representatives and clinicians to make the Better Outcomes for Older people with Spinal Trouble programme available in routine care.

Scientific summary

Background

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Back pain (BP) is a common problem for older people but despite this, many older people either do not consult or general practitioners do not prioritise BP treatment for them due to the perception that nothing can be done. Many clinical trials exclude older people, and little attention has been paid to understanding the presentation of BP in older people and developing effective treatments.

Older adults also experience leg pain referred from the lumbar spine. A common clinical presentation of spinal-related leg pain in older adults is neurogenic claudication (NC). It presents as symptoms radiating from the spine into the buttocks and legs which are provoked by walking or standing and relieved by sitting or lumbar flexion, often accompanied by BP. NC is particularly problematic for older people as it can cause severe pain and discomfort and substantially affect an individual's confidence and ability to walk. Symptoms are thought to arise from pressure on nerves and blood vessels caused by degenerative changes narrowing the volume of the lumbar spinal canal. Narrowing may or may not be evident on imaging but when present, the condition is termed lumbar spinal stenosis. Physiotherapy is recommended prior to surgical intervention, but high-quality evidence to guide conservative care, including primary care management or physiotherapy, was lacking. Thus, we focused on NC to develop and test a physiotherapy intervention.

We aimed to conduct a series of linked studies to increase knowledge and understanding about BP in older people and reduce the burden on older people by developing evidence-based treatment strategies.

Objectives

- To describe the presentation and impact of BP in community-dwelling older adults.
- To refine a physiotherapy intervention for older people with NC and to evaluate the clinical and costeffectiveness of this intervention in a randomised controlled trial (RCT).
- To understand the trial participants' experiences, including acceptability and impact of the interventions.
- To understand the role of low BP in mobility decline through the development of a prognostic tool to predict when older people are at risk of mobility decline.
- To integrate findings into an implementation package.

Methods

We undertook three studies.

 A large population-based cohort study of community-dwelling older people recruited from primary care practices [the Oxford Pain Activity and Lifestyle (OPAL) cohort study].

We recruited older adults from primary care practices who were identified through electronic record searches and randomly selected eligible participants from two stratified age bands (65-74 and ≥ 75 years). In total, 5409 individuals (42.1% of eligible participants) from 35 general practices agreed to participate and followed up for 2 years by postal questionnaire. Participants provided data including demographics, socioeconomic factors, comorbidities, pain including low BP and its impact, other pain problems, physical activity, mobility, falls, frailty and quality of life. At baseline, we investigated the

prevalence of BP and related leg pain within the cohort and estimated the association between different back and leg pain presentations and age-related adverse health states, including falls, frailty and mobility decline, using regression analyses. At 2 years, we estimated recovery rates. We investigated the role of back and leg pain in mobility decline by developing a prediction model for mobility decline at 2 years' follow-up. Thirty-one candidate self-reported baseline predictors were prespecified. Missing data were imputed. Least Absolute Shrinkage and Selection Operator regression was used to select potential predictors. Model performance was assessed by calculating c-statistic, Brier score and decision-curve analysis. Models were internally validated using bootstrapping.

 The Better Outcomes for Older people with Spinal Trouble (BOOST) RCT of physiotherapy interventions for NC.

We evaluated the clinical and cost-effectiveness of a physical and psychological group intervention (BOOST programme) compared to physiotherapy assessment and tailored advice [best practice advice (BPA)] for older people with NC. Participants were identified from spinal clinics (community and secondary care) and general practice records, and were randomised 2:1 to the BOOST programme or BPA. The primary outcome was the Oswestry Disability Index (ODI) at 12 months. Data were also collected at 6 months. Other outcomes included ODI walking item, 6-minute walk test (6MWT) and falls. The primary analysis was intention-to-treat. Prespecified subgroup analyses were also undertaken based on magnetic resonance imaging (MRI) parameters and other baseline factors including age, sex, frailty and physical capacity. The base-case economic evaluation was an intention-to-treat analysis conducted from a UK NHS and personal social services (PSS) perspective and separately from a societal perspective. Costs (2018–19 prices) were collected prospectively over 12 months. A bivariate regression of costs and quality-adjusted life-years (QALYs), with multiple imputation of missing data, was conducted to estimate the incremental cost per QALY gained and the incremental net monetary benefit (INMB) of the BOOST programme in comparison to BPA.

• A longitudinal qualitative study embedded within the BOOST RCT.

Embedded within the BOOST RCT was a longitudinal qualitative study. Semistructured interviews with participants were undertaken at baseline, 1 month after receiving their intervention and at 12 months. We analysed 30 sets of three interviews. We undertook an inductive thematic analysis and comparatively analysed data from the three time points to explore patients' experiences with the trial interventions, including the impact on symptoms and their experiences of long-term exercise adherence.

Results

Oxford Pain Activity and Lifestyle cohort study

Among OPAL participants, 34% (1786/5304) reported BP. A further 19.5% (1035/5304) reported BP and associated leg pain, with 11.2% (*n* = 594/5304) reporting symptoms consistent with NC. Participants with BP had worse quality of life compared to those without BP. All BP presentations were significantly associated with adverse health states. Those with NC were most affected. In particular, there was greater relative risk (RR) of low walking confidence [RR 3.11, 95% confidence interval (CI) 2.56 to 3.78], frailty (RR 1.88, 95% CI 1.67 to 2.11) and mobility decline (RR 1.74, 95% CI 1.54 to 1.97) compared to no BP. Of the participants reporting BP at baseline, 2139/2859 (74.8%) returned the 2-year follow-up questionnaire, and 23% of respondents no longer reported back or leg symptoms (489/2100). Recovery was highest among those reporting only BP at baseline (27.4%) and lowest among those reporting NC at baseline (10.9%). Participants reporting NC at baseline were most affected by symptoms at follow-up, reporting BP more frequently, with the highest proportion reporting very or extremely troublesome BP. They reported the highest pain interference ratings at follow-up, with the greatest proportion reporting severe interference with activity (26%).

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At baseline, the majority of OPAL participants reported no mobility problems (60.7%; 3146/5184) and 5.4% (280/5184) reported severe mobility problems. Two-year follow-up data were provided by 4115/5184 (79.4%) participants, with 18.6% (n = 765/4115) reporting mobility decline. We examined the univariable relationship between the baseline variables and the 2-year outcome. Nearly all the selected baseline factors had a univariate relationship with the outcome. Among those with mobility decline at 2 years, there were more reports of back and leg pain and greater numbers reporting multisite pain and widespread pain at baseline. The biggest difference in pain presentation was lower limb pain at baseline, which was reported by 68% of participants with mobility decline at follow-up, compared to 56% without. Baseline pain severity was also associated with mobility decline at follow-up. The multivariable analyses found that in addition to mobility status (no problems or mild problems) at baseline, 13 variables were identified as predictors of mobility decline at 2 years in ≥ 80% of the multiple imputed data sets. BP with/without leg pain was not an independent predictor of mobility decline, but lower limb pain and the report of severe pain were independent predictors. Demographic and socioeconomic factors (older age and those who perceived their income as inadequate) were also identified. There were multiple factors related to mobility, including participants who reported a slow usual walking pace, had difficulty maintaining their balance, had low confidence to walk long distances, rated their walking as worse compared to last year and reported sometimes using a walking aid outside, which were associated with a decline in mobility after 2 years. General health-related factors associated with decline in mobility at 2 years were having a higher body mass index, greater number of health conditions, problems in daily life due to physical tiredness, and poor self-reported general health. The multivariable model revealed a median c-statistic of 0.740 (range 0.737-0.743), indicating moderately good discrimination. The median Brier score was 0.136 (range 0.135-0.137). We developed a point scoring system to facilitate use in clinical practice.

Better Outcomes for Older people with Spinal Trouble randomised controlled trial

We analysed data from 435 trial participants with an average age of 74.9 years [standard deviation (SD) 6.0 years], and 57% (246/435) were female. At baseline, participants reported moderate disability levels [mean ODI 33 (SD 13.9)]. Their walking was markedly reduced [mean 6MWT 255 m (SD 99.1 m)] compared to healthy older adults. The primary outcome was obtained for 88.0% (383/435) and 87.4% (380/435) of participants at 6 months and 12 months, respectively, with 93.0% (403/435) contributing data to the primary analysis. There was no significant difference in ODI scores between treatment groups at 12 months [adjusted mean difference (MD) -1.4, 95% CI -4.03 to 1.17)], but at 6 months, ODI scores favoured the BOOST programme (adjusted MD -3.7, 95% CI -6.27 to -1.06). At 12 months, the BOOST programme resulted in greater improvements in walking capacity (6MWT MD 21.7 m, 95% CI 5.96 to 37.38 m) and ODI walking item (MD -0.2, 95% CI -0.45 to -0.01) and reduced falls risk (odds ratio 0.6, 95% CI 0.40 to 0.98) compared to BPA. No serious adverse events were related to either treatment. The economic analyses showed that the mean NHS and PSS costs over 12 months were £19,752 [standard error (SE) £118] in the BOOST arm versus £1827 (SE £169) in the BPA arm (p = 0.474). Mean (SE) QALY estimates were 0.620 (0.009) versus 0.599 (0.006), respectively (p = 0.093). The probability that the BOOST programme is cost-effective ranged between 67% and 83% (NHS and PSS perspective) and between 79% and 89% (societal perspective) across cost-effectiveness thresholds. INMBs ranged between £145 and £464 at cost-effectiveness thresholds between £15,000 and £30,000 per QALY.

The Better Outcomes for Older people with Spinal Trouble qualitative study

Interviews from 16 men and 14 women were included in this analysis. Fourteen participants were allocated to BPA and 16 were allocated to the BOOST programme. The symptoms of NC manifested both physically and psychologically, limiting participants' ability to perform everyday activities as well their wider participation in social, leisure and recreational activities. Participants adopted coping strategies to minimise the impact of NC.

Participants in the BOOST programme appeared more satisfied with their treatment compared to those allocated to BPA. Dissatisfaction among BPA participants seemed to arise from lack of feedback and

follow-up. BOOST participants benefited from peer support and discussions. Most participants felt the exercises were appropriate and helpful, although this did not necessarily translate to improvements in pain. Dissatisfaction with the BOOST programme was mostly related to lack of pain relief. Pain remained a substantial problem for some participants. BOOST programme participants also talked about other improvements from the exercises, including improved posture, strength and confidence, highlighting the broader benefits of the BOOST programme. These types of changes were less evident in the narratives of BPA participants. BOOST participants reported benefit from the cognitive-behavioural component, including helping them to find their own solutions, manage flare-ups and understand the importance of long-term exercise. Reasons for stopping the home exercises were similar between treatment arms. These included competing priorities, lack of motivation and aggravation of pain. Adaptations allowed some BOOST participants to continue at least some of the exercises even when they were difficult. Participant narratives provided insight into ways to optimise the BOOST programme to improve longterm exercise adherence, including additional support to provide motivation for ongoing exercises (by the physiotherapist or by linking to exercise opportunities in the community), provide greater guidance on how to adapt exercises if they are painful, and to help plan integration into everyday life or transition to activities they enjoy.

We have developed a package of implementation for the BOOST programme. Feedback from interview participants, patient and public involvement representatives and clinicians who delivered the BOOST programme and ongoing analyses of BOOST data will inform further refinement of the programme to better target pain-related disability.

Conclusions

Back pain is a substantial and persistent problem for older people, associated with reduced quality of life and age-related adverse health states. The impact is greatest on those with NC, but other presentations should not be ignored due to the high proportion of participants who reported ongoing symptoms. We developed a programme that successfully improved mobility and reduced falls among older people with NC, and future iterations of the programme will aim to reduce long-term pain-related disability. As it stands, the BOOST programme has a high probability of being cost-effective. There is a need to develop other interventions for the large number of older adults affected by BP, especially for those with more severe symptoms. Back and leg pain were not independent predictors of mobility decline, but severe pain was a predictor. This highlights a broader need to manage pain better in older people regardless of the type or presentation of pain. The developed prognostic tool has the potential to help clinicians, older people and their families to identify when an older person is at risk of declining mobility and to take preventative actions. We developed a scoring system so that it could be easily implemented in clinical practice, and future research will focus on external validation of this tool.

Study registration

This trial is registered as BOOST trial ISRCTN12698674.

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Synopsis

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Research summary

Low back pain (BP) is the most common cause of disability in the world.¹ The prevalence of severe BP increases with age,² and it is associated with immobility, disability, frailty and falls.³⁻⁷ In the UK, estimates suggest that one in four older adults suffer from BP.^{2,8} However, many older people either do not consult, or health professionals do not prioritise BP treatment, presuming nothing can be done.^{2,9,10} Many clinical trials exclude older people.¹¹ Little attention has been paid to understanding the presentation of BP in older people and developing effective treatments.

Older adults may also experience leg pain referred from the lumbar spine. A common clinical presentation of spinal-related leg pain in older adults is neurogenic claudication (NC). ¹² It presents as an easily recognised clinical pattern of symptoms radiating from the spine into the buttocks and legs which are provoked by walking or standing and relieved by sitting or lumbar flexion. ¹² BP is often present, but not always. NC is particularly problematic for older people, as not only can it cause severe pain and discomfort but it substantially reduces an individual's confidence and ability to walk and their quality of life. ¹³ It is estimated that 11% of community-dwelling older adults report symptoms of NC, although there were no estimates from community-dwelling UK populations. ¹⁴ Symptoms of NC are thought to arise from pressure on nerves and blood vessels in the spinal canal caused by degenerative changes narrowing the volume of the spinal canal (referred to as spinal stenosis). NC is the most common reason that older adults undergo spinal surgery, ¹⁵ and physiotherapy is recommended prior to surgical intervention. However, there was a lack of high-quality evidence to guide conservative care of people with NC, including primary care management or physiotherapy. ^{16,17} We identified a need to better understand the presentation of BP in older people and to improve the care they receive for their BP, including conditions like NC.

Aims and objectives

The overall aim of the Better Outcomes for Older people with Spinal Trouble (BOOST) programme was to conduct a series of linked studies to understand and reduce the burden of BP on older people by increasing knowledge and developing evidence-based treatment strategies.

We set out the following objectives:

- 1. to describe the presentation and impact of BP in community-dwelling older adults (work packages 2 and 3)
- 2. to refine a physiotherapy intervention for older people with NC to be tested in a randomised controlled trial (RCT) (work package 1)
- 3. to undertake a definitive RCT evaluating the clinical and cost-effectiveness of physiotherapy interventions for NC (work packages 2, 4 and 5)
- 4. to undertake a longitudinal qualitative study embedded within the BOOST RCT to understand the participants' experiences of taking part in the trial, including acceptability and impact of the interventions, and issues related to implementation (work package 4)
- to develop a prognostic tool using the Oxford Pain Activity and Lifestyle (OPAL) cohort study data to predict when older people are at risk of mobility decline and to understand the role of BP (work packages 2 and 3)
- 6. to integrate the findings into an implementation package (work package 6).

1

Work packages

We have undertaken:

- 1. a large population-based cohort study of community-dwelling older people recruited from primary care practices (the OPAL cohort study)
- 2. An RCT of physiotherapy interventions for NC, including an economic evaluation (the BOOST RCT) and prespecified subgroup analyses
- 3. a longitudinal qualitative study embedded within the BOOST RCT.

This research was planned in six work packages. There was considerable overlap between work packages, and they were not undertaken chronologically. The original work packages as described in the funding application are described below. Overall, this proceeded as planned, with some changes to work package 3 which we describe.

Work package 1: Refinement of a physiotherapy intervention for neurogenic claudication

We planned to integrate knowledge of exercise, behavioural and pain management strategies, alongside practical issues to develop a physiotherapy-delivered group physical and psychological intervention for older people with NC.

Work package 2: Feasibility

There was a feasibility phase for the two main studies (OPAL cohort study and BOOST RCT) to establish feasibility related to site set-up, recruitment, intervention delivery and data completion.

Work package 3: Develop a prognostic tool

We planned to collect a range of factors related to participants' BP, general health and mobility that would be used to develop a prognostic tool to identify the BP presentation likely to result in a poor outcome. We set a target of 1000 people retained in the OPAL cohort study with complete data at 2 years' follow-up.

Work package 4: A pragmatic randomised controlled trial of physiotherapy for neurogenic claudication and nested longitudinal qualitative study

We aimed to recruit a minimum of 402 patients with NC from primary care and musculoskeletal hubs to test the physiotherapy intervention developed in work package 1 with a longitudinal qualitative study, process and economic evaluation running alongside.

Work package 5: Predictors of the participants' response to treatment – enhancing stratified approaches

We planned to investigate the role of magnetic resonance imaging (MRI) parameters and a small selection of other subgrouping variables to determine who will respond best to physiotherapy.

Work package 6: Project integration and preparation for implementation

We proposed to gain the perspectives of health professionals to help inform the final integration of the project outputs and, if appropriate, ongoing implementation studies and dissemination.

Changes to the programme

During the first year of this programme of research, we made refinements to the OPAL cohort study.

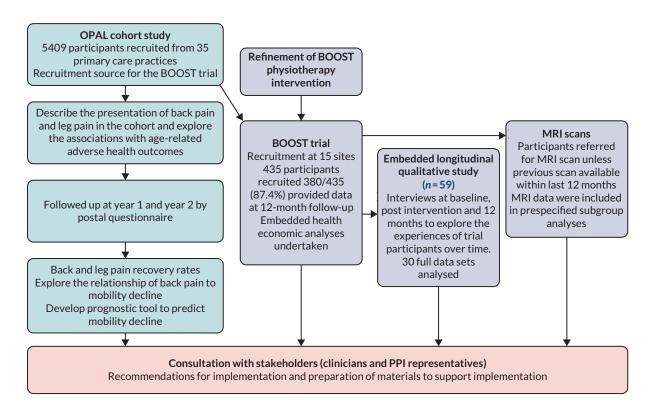
We originally planned to enrol 1000 older adults with BP in the cohort study. To identify the 1000 older adults with BP, we needed to screen a minimum of 4000 older adults who responded to the invitation

to participate in the cohort study. This was based on the most recent estimates of disabling BP in the population aged 70–90 years, with a prevalence of 25–30% for these age groups, respectively.8

We decided to enrol all invitation respondents in the cohort regardless of their BP status. In addition to the original research objective (to recognise when and what types of BP presentation were important treatment targets for older people), we would be better able to evaluate the contribution of BP to mobility decline within a broader context. This change to the original proposal was reflected in the study protocol approved by the London–Brent Research Ethics Committee (16/LO/0348) on 10 March 2016. Changes were supported by the Programme Steering Committee and reviewed and accepted by the Programme Grants Manager on 30 March 2016.

Work package 6 could not be delivered as planned due to the challenges arising from the COVID-19 pandemic. We had initially planned to run focus groups with clinicians to discuss the study results and implications. However, delays to results meant this was not completed. The OPAL cohort study was nearing completion of the 2-year follow-up mail-outs when the COVID-19 lockdown started in March 2020. Although the team managed to send out all year 2 questionnaires just prior to the lockdown, this had a major impact on the study team's ability to process the large volume of data collected from home, which was very time-consuming using remote access. Data were available 6 months late, delaying the analysis needed to develop the OPAL prognostic tool. The BOOST trial health economic analysis was delayed by 6 months as our health economist was working at home with young children throughout lockdown. This work was essential to interpretation of the trial findings and significantly delayed our plans to publish the results. Despite these challenges, we had strong engagement with clinical collaborators throughout this research and we worked as best we could to engage with clinical staff to form our dissemination plan.

Research pathway diagram



Objective 1: To describe the presentation and impact of back pain in community-dwelling older adults

Background

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We lacked a good understanding of the prevalence and impact of back and leg pain within the community-dwelling population in the UK. There were estimates for the prevalence of BP in the UK^{2,8} but not for back-related leg pain. A single UK-based study (*n* = 30) estimated the prevalence of NC among patients (50 years and over) presenting with back and leg pain to primary care to be 87%, suggesting it was the predominant cause of spinal-related leg pain when patients sought care. However, we wanted to understand the extent of the problem among community-dwelling older adults and not just those seeking care.

In addition to understanding the presentation of back and leg pain, we wanted to understand the broader impact of having back and leg pain in later life. We were interested in understanding the relationship between different presentations of back and leg pain with quality of life and age-related adverse health states including frailty, mobility decline, falls, poor sleep quality and incontinence. These health states are common in later life and associated with substantial morbidity and poor outcomes.¹⁹ Little was known about the relationship between BP and different patterns of leg pain and these age-related adverse health states.^{5-7,20,21} Back and leg pain had been associated with falls and functional difficulties, but studies were limited.²² Different patterns of back and leg pain had not been taken into consideration, and it was unknown whether certain presentations were more strongly associated with adverse health states.

There was also little information about the natural history of symptoms among community-dwelling older adults reporting back and leg pain within the UK. Cohorts of older people with BP have reported that around 50–60% of participants will continue to experience pain and disability at 12 months' follow-up.^{23,24} There were some data on the natural history of symptoms due to spinal stenosis, with the majority of people (around 55%) reporting no change in pain over a 3-year period while around one-third improved and 10–13% reported a worsening of symptoms.²⁵ It was unclear how recovery may differ among those with different back and leg pain presentations.

In this section, we will examine:

- the presentation of back and leg pain symptoms among OPAL cohort participants at baseline and the association with adverse health outcomes
- 2. for those with back and leg pain at baseline, the persistence of symptoms at 2 years' follow-up.

Methods

The OPAL protocol and a description of the participants at baseline²⁶ can be accessed here: http://doi.org/10.1136/bmjopen-2020-037516

A more detailed description of the baseline cross-sectional analyses can be accessed here: https://ora.ox.ac.uk/objects/uuid:911cf725-135f-4951-a1b2-28eb2032d9ab

Briefly, there are 5409 community-dwelling older adults enrolled in the OPAL cohort study. Participants are over 65 years of age, registered with one of 35 participating primary care practices, and were recruited using electronic record searches. Older adults living in residential care or nursing homes were ineligible. Potential participants received an information leaflet, consent form and baseline questionnaire. Those who returned a completed consent form and questionnaire to the study office were enrolled in the study. Of the individuals invited, 42% (5409/12,839) were enrolled in the study. Follow-up was by yearly postal questionnaire. A summary of the data collected is available in *Appendix 1*, *Table 5*. Participants were included in these analyses if they completed the questions about back and leg pain.

Back and leg pain presentation at baseline

Firstly, participants reported whether they had been troubled by BP and related symptoms in the last 6 weeks and, if so, supplied further information about frequency (every day, most days, some days, few days, rarely), troublesomeness (intensity) (extremely, very, moderately, slightly, not at all)²⁷ and spread into the legs over the last 6 weeks. They were asked about the age when they were first troubled by BP (onset) and its pattern since onset (getting better, getting worse, fairly constant, comes and goes over time).

We categorised participants into four mutually exclusive back and leg pain presentations.

- 1. no BP (reference category)
- 2. BP only
- 3. BP and NC
- 4. BP and leg pain that is not NC (non-NC).

We used questions commonly used in clinical practice with high sensitivity and specificity when identifying people with symptomatic spinal stenosis.²⁸ NC was defined as the presence of BP or other symptoms that travel from the back into the buttocks or legs and are worse when standing and/or walking and better when sitting and/or bending.²⁸

Baseline adverse health states

We collected the following:

- frailty (Tilburg Frailty Indicator)^{29,30}
- mobility decline over the last year
- confidence to walk half a mile using a question from the Modified Gait Self-efficacy Scale³¹
- falls in the last year³²
- incontinence, reported using the urinary incontinence item from the Barthel Index^{33,34}
- sleep quality during the past month, using the sleep quality overall rating from the Pittsburgh Sleep Quality Index.³⁵

We also measured health-related quality of life (HRQoL) measured by the EQ-5D-5L³⁶ and the clock drawing test to screen for cognitive impairment.³⁷

Back pain and leg pain at 2 years' follow-up

To examine recovery rates among participants who reported BP and related symptoms at baseline, we used data from the year 2 questionnaire to see how many had been troubled by BP and related symptoms in the last 6 weeks; those who had were asked about frequency and troublesomeness.

Participants rated how much their BP interfered with their daily activities (0 = no interference, 10 = unable to carry out the activities). This question was based on the Von Korff Pain Scale.³⁸ Severely interfering BP was defined as a report of $\geq 7/10$ based on the cut point used in previous cohorts.^{39,40} If a participant was no longer reporting BP at 2 years, then they were allocated a score of 0/10.

Analysis

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Descriptive statistics were used to summarise the characteristics of participants at baseline. Modified Poisson and ordered logistic regression analyses were conducted to assess the relationship between BP groups (independent variable) and adverse health states (dependent variables) at baseline. Models were adjusted for age, sex, education, body mass index (BMI), socioeconomic status [Index of Multiple Deprivation (IMD)], and adverse health states (dependent variables) at baseline. Models were adjusted for age, sex, education, body mass index (BMI), socioeconomic status [Index of Multiple Deprivation (IMD)], and summarize the characteristics of participants at baseline. Modified Poisson and ordered logistic regression analyses were conducted to assess the relationship between BP groups (independent variables) at baseline. Models were adjusted for age, sex, education, body mass index (BMI), socioeconomic status [Index of Multiple Deprivation (IMD)], and adverse health states (dependent variables) at baseline. Models were adjusted for age, sex, education, body mass index (BMI), socioeconomic status [Index of Multiple Deprivation (IMD)], and adverse health states (dependent variables) at baseline. Models were adjusted for age, sex, education, body mass index (BMI), socioeconomic status [Index of Multiple Deprivation (IMD)], and adverse health states (dependent variables) at baseline. Models were adjusted for age, sex, education, body mass index (BMI), socioeconomic status [Index of Multiple Deprivation (IMD)], and adverse health states (dependent variables) at baseline.

Descriptive statistics were used to summarise the BP outcomes at 2 years' follow-up, and we stratified by baseline BP presentation.

Results

Baseline presentation

The mean age of participants included in these analyses was 75 ± 6.8 years. Over half of the participants reported BP, with 33.7% (1786/5304) reporting BP only, 11.2% (594/5304) reporting BP and NC, and 8.3% (441/5304) reporting BP and non-NC leg pain. Only the prevalence of BP and NC increased with age. BP was more common in females and those reporting more comorbidities. Those with leg pain reported poorer health behaviours (smoked more, higher BMI), lived in more deprived areas and reported lower education levels. Participants with BP had lower quality of life compared to participants without BP. Quality of life was poorest in those with NC. The age of onset was similar across BP groups. Around 30% of participants reported that their BP started 25 years ago or more. Those with leg pain reported more frequent BP. A report of pain on most days/every day was most common among those with NC. Participants with NC reported the highest ratings of pain troublesomeness. Very few reported improving symptoms since onset (3.7%) and, most commonly, symptoms fluctuated over time (75%). The proportion of participants reporting persistent pain or pain getting worse since onset was highest in those with NC (75%). See *Appendix 1*, *Table 6* for a full description.

Just over half of the participants (55%; 2937/5304) reported at least one adverse health state. The most common adverse health states reported were a fall in the last year (29%; 1534/5304) and being frail (27%; 433/5304) (Figure 1). Confidence to walk half a mile was generally high among the cohort except for those reporting NC (see *Appendix 1*, *Table 6*). Across the three BP groups, there was a greater prevalence of all adverse health states compared to those with no BP; this increased further among those who reported leg pain, and it was highest among those with NC.

After adjusting for demographics, lifestyle factors, comorbidities and multisite pain, all BP groups were associated with the adverse health states studied (*Table 1*). For all the adverse health states, there was an increase in the strength of association with the addition of leg pain. This was particularly noticeable in participants with NC, where we observed the strongest associations with frailty, mobility decline, low walking confidence and falls compared to no BP.

Back pain presentation at 2 years' follow-up

Of the participants reporting BP and related symptoms at baseline, 2139/2859 (74.8%) returned the 2-year follow-up questionnaire and completed at least some of the BP variables.

Overall, 23% of respondents (489/2100) no longer reported back or leg pain symptoms (see *Appendix* 1, *Table* 7). Recovery was highest among those that reported only BP at baseline (27.4%) and lowest among those with back and NC leg pain at baseline (10.9%). Participants reporting BP only at baseline were least affected at follow-up, and participants reporting NC leg pain at baseline were the most affected. Those with NC leg pain at baseline reported BP more frequently, and this group had the highest proportion reporting very or extremely troublesome BP. Very few participants from all groups

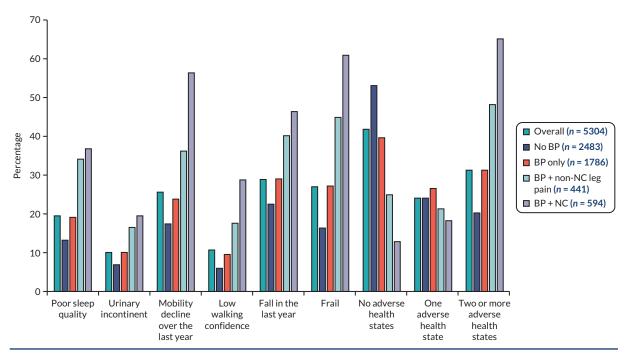


FIGURE 1 Prevalence of adverse health outcomes by BP groups. Notes: Poor sleep quality = fairly bad or very bad sleep quality on Pittsburgh Sleep Quality Index. Urinary incontinence = never or less than once per week was considered continent and the remaining responses were considered incontinent. Mobility decline: 'Compared to one year ago, how would you rate your walking in general?' scored on a five-point scale constructed for the study. Participants reporting worsening of walking were classified as having mobility decline. Participants who rated their confidence to walk at 9-10/10 were categorised as having low walking confidence (reverse scored). Frail = ≥ 5 on Tilburg Frailty Index.

TABLE 1 Cross-sectional association between back and leg pain and adverse health states compared to no BP; relative risk ratios (95% CI); no BP is the reference category

Age-related adverse health outcomes	BP only	BP + non-NC leg pain	BP + NC
Confidence to walk ^a	1.23 (1.06 to 1.43)	1.89 (1.52 to 2.36)	3.11 (2.56 to 3.78)
Mobility decline	1.13 (1.00 to 1.26)	1.42 (1.22 to 1.64)	1.74 (1.54 to 1.97)
Falls in previous year	1.14 (1.03 to 1.26)	1.40 (1.22 to 1.61)	1.42 (1.25 to 1.61)
Frail	1.38 (1.24 to 1.54)	1.77 (1.55 to 2.02)	1.88 (1.67 to 2.11)
Poor sleep quality	1.17 (1.02 to 1.35)	1.68 (1.41 to 1.99)	1.67 (1.42 to 1.97)
Urinary incontinence	1.17 (0.95 to 1.43)	1.59 (1.22 to 2.07)	1.43 (1.12 to 1.83)

a Range: 1-10 where higher score means lower level of confidence walking.

Note

Models adjusted for demographic (age, sex, occupational physical demands, education, deprivation) and lifestyle factors (BMI and smoking status), comorbidities and multisite pain.

reported that their BP was improving (3%), and those with back and NC leg pain at baseline had the highest proportion reporting that it was getting worse (13.2%). Those with BP only at baseline reported the lowest amount of pain interference with daily activities (6.4%). Those with NC leg pain reported the highest pain interference ratings at follow-up and had the greatest proportion reporting severe interference with activity (26%).

Limitations

Data were collected using postal questions, so we were reliant on self-reporting of symptoms. Some participants may have been misclassified or symptoms misdiagnosed as spinal-related leg pain (e.g. vascular claudication can have similar symptoms).

Discussion

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Back and leg pain is a common problem for community-dwelling older adults, and for many it is a long-standing problem, with few reporting an improving clinical picture. At 2 years' follow-up, only 23% of participants no longer reported symptoms. Symptom persistence was highest among those with NC at baseline, with 90% still reporting symptoms.

At baseline, older adults with back and leg pain reported lower HRQoL, with those with NC most affected. The report of back and leg pain was associated with adverse health states, including frailty, falls and mobility decline, compared to no report of BP. Participants reporting symptoms of NC were most affected and the strongest associations were with mobility-related adverse outcomes. At follow-up, those with NC at baseline remained the most severely affected, with a quarter of this group reporting severe activity limitations due to their symptoms. These findings confirmed our hypothesis that NC is particularly problematic for older people and highlighted the need to develop effective interventions to reduce the burden of this condition. However, BP alone is also a widespread persistent problem associated with age-related adverse health states, so ways to reduce the burden of BP are needed.

Objective 2: To refine a physiotherapy intervention for older people with neurogenic claudication to be tested in a randomised

Starting point

controlled trial

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As we have described, NC is a common, debilitating spinal condition affecting older people.²⁸ At the start of this programme of work, we had identified a strong theoretic underpinning and limited evidence from clinical practice, cohort studies and small RCTs for a physiotherapy intervention for NC, providing us with a firm starting point to refine and test an intervention. Experts hypothesised that stretching and mobilising the spine relieved pressure on spinal nerves and blood vessels and that aerobic exercises improve circulation, alleviating ischaemic changes.⁴⁶

However, recommendations were not substantiated by high-quality evidence⁴⁶ despite being used commonly in clinical practice.^{47,48} Systematic literature reviews reported that the current evidence from non-operative care was of very low to low quality, thus prohibiting recommendations to guide clinical practice.^{16,17,49-51} Trials to date were of small sample sizes and often included only short-term follow-up. Interventions focused on the mechanics of spinal stenosis with little regard to the psychological impact of pain or ageing. Notably, interventions did not include strengthening exercises to counter the loss of muscle mass associated with ageing that contributes to loss of mobility in older people;⁵² nor had the authors considered addressing negative beliefs about pain or ageing that were potential barriers to engaging with and adhering to rehabilitation.^{53,54}

We explored the rehabilitation needs of older people with NC during a preparatory qualitative study.⁵⁵ Participants sought rehabilitation in the hope of re-engaging with meaningful activities and reducing pain. A combination of one-to-one and group sessions was preferred to deliver rehabilitation. One-to-one time with a physiotherapist was considered an important prerequisite for treatment to allow the therapist to understand the participant's condition and circumstances. Participants endorsed exercising in a group for peer-to-peer support and socialising. Discourses around ageing influenced their experiences – especially regarding the use of walking aids, which they considered stigmatising. These views and preferences informed the refinement of the proposed intervention to ensure it would meet the needs of people with NC.

A full description of the BOOST intervention⁵⁶ can be accessed here: https://doi.org/10.1016/j.physio.2019.01.019

The preparatory qualitative study⁵⁵ can be accessed here: https://ora.ox.ac.uk/objects/uuid:92c9ee3e-30eb-4fc0-95fd-08db3bc4707b

Methods

We followed Medical Research Council guidance on developing a complex intervention.⁵⁷

Step 1: identify existing evidence

We consulted recent systematic literature reviews and interrogated the individual studies for intervention components that warranted consideration ^{16,17,49-51} as well as National Institute for Health

and Care Excellence (NICE) guidelines.⁵⁸ In parallel, we considered the literature related to pain, frailty, falls and disability in older adults,^{3,5-7,20,21,59-63} clinical guidelines for exercise in older adults,^{64,65} behaviour change interventions⁶⁶ and psychological models of pain and disability.^{67,68} We drew on the findings from the preparatory interview study.⁵⁵

Step 2: identify and develop theory

From existing evidence, we identified treatment targets and matched possible intervention elements to them to discuss with stakeholders. Twelve clinicians, two patient representatives and nine researchers attended an intervention refinement day. The aim of this day was to gain consensus about the key elements of an intervention that would be acceptable to users and deliverable within the NHS.

Discussions firstly centred on the overall approach to take, including mode of delivery (individual vs. group), then we focused on the individual components. A physiotherapy-delivered group physical and psychological intervention was agreed utilising two parallel and synergistic approaches: (1) exercises targeting strength, balance, flexibility and endurance, and (2) education and peer support to counter negative beliefs about pain or ageing that may impede exercise engagement and adherence, underpinned by behaviour change techniques and pain management principles.

Step 3: modelling processes and outcomes

A conceptual model of the change processes, active intervention elements and potential moderators of the BOOST intervention was produced (*Figure 2*).

The BOOST programme

The programme consisted of an individual assessment, 12 group sessions and two support telephone calls.

Prior to attending the group programme, each participant attended an individual appointment (1 hour) for an assessment and to set their individualised exercise and walking circuit targets for the group sessions.

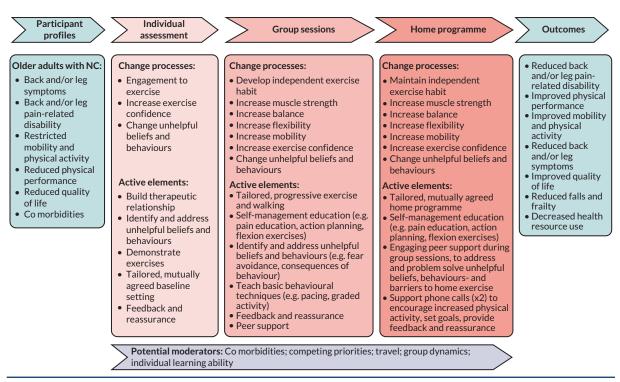


FIGURE 2 Conceptual model of the BOOST programme. (From Ward et al.⁵⁶)

Participants attended twelve 90-minute group sessions over a 12-week period. Each session followed the same format. Participants took part in an education and discussion session (30 minutes) which incorporated behavioural change strategies to encourage adherence with home exercises. The topics of discussion are included in *Appendix 3*, *Table 14*. This was followed by the exercise programme, lasting approximately 1 hour.

There was a short warm-up of seated exercises, which included arm raises, trunk rotation, pelvic tilting and knee lifts. Then participants undertook a circuit of strengthening which included the following exercises:

- sitting knee extension
- sit to stand
- · standing hip abduction
- standing hip extension
- a combined hip flexor and calf stretch
- a progressive balance sequence (feet together static balance, semi-tandem stance static balance, full tandem stance static balance, forward tandem heel-to-toe walking, backward tandem heelto-toe walking).

Each participant completed their individually tailored programme. Exercises were progressed over the 12 weeks. We used the Borg Rating Scale of Perceived Exertion to ensure participants achieved an adequate stimulus to promote strength gains and encouraged them to work at level 5–6 on this scale (the exercise feels hard) during the strengthening exercises (*Figure 3*).

Participants then undertook a supervised walking circuit, designed to improve walking ability and fitness, which also progressed over the 12 weeks by increasing the distance walked, increasing walking speed,

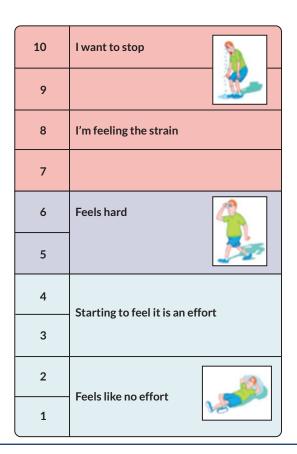


FIGURE 3 Borg scale.

adding balance challenges such as stairs or obstacles, or adding weights. The exercises carried out during the supervised sessions made up the home exercise programme (warm-up, exercise circuit and walking).

On completion of the 12 group sessions, participants were asked to carry out their home exercise programme at least twice per week. The physiotherapist reviewed each participant by telephone 1 and 2 months after they completed the supervised sessions, to promote long-term adherence with home exercises.

Control intervention

The control intervention was best practice advice (BPA) delivered in individual physiotherapy appointments, which reflected what we considered a 'good' standard of usual care physiotherapy. This intervention was informed by a survey of current physiotherapy practice⁴⁷ and a recent trial,⁵⁶ and through consultation with clinicians and patient representatives. We recommended that participants receive one session of advice and education. However, the clinicians highlighted situations where a review would be necessary (e.g. to review walking aids) so this was permissible.

Participants attended a 1-hour appointment consisting of an assessment followed by the provision of tailored advice and education and written information. Participants were prescribed up to four home exercises. Flexion and trunk stabilisation were recommended. The physiotherapist could prescribe a walking aid if indicated. A maximum of two half-hour review appointments were permitted. During review sessions, physiotherapists reinforced the verbal advice given, and reviewed walking aids or exercises.

Intervention delivery training

We developed a clinician manual and training programme. Physiotherapists delivering the BOOST programme attended a full day of training with the research team. They were also asked to complete some online training prior to attending. Those delivering the control intervention attended a separate half-day of training.

Pilot work

We piloted the training, which was refined following feedback. We conducted the first BOOST programme with two participants, and feedback indicated satisfaction with the programme content, duration and timing of sessions. Minor modifications were made. A researcher observed the control interventions, which were delivered as intended.

Summary of work undertaken

We focused on refining a complex intervention that was underpinned by existing knowledge, would meet the needs of older people with NC, and would be acceptable to clinicians and deliverable in the NHS. By combining group delivery with an individual assessment and follow-up calls, we ensured each participant underwent a thorough assessment so they were confident in the programme but could benefit from peer-to-peer support and socialising. This was also a way to deliver a longer-duration intervention for participants, which would increase the likelihood of achieving meaningful gains in muscle strength and mobility without placing excessive burden on physiotherapy departments.

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Objective 3: To undertake a randomised controlled trial evaluating the clinical and costeffectiveness of physiotherapy interventions for neurogenic claudication

Methods

The full protocol⁶⁹ is available here: https://doi.org/10.1136/bmjopen-2020-037121

The statistical analysis plan⁷⁰ is available here: https://doi.org/10.1186/s13063-020-04590-x

The paper reporting clinical effectiveness⁷¹ is available here: https://doi.org/10.1093/gerona/glac063

The paper reporting the cost-effectiveness⁷² is available here: https://doi.org/10.1186/s12962-022-00410-y

Design

This study was a pragmatic, multicentre, superiority RCT.

Participants

Community-dwelling adults, aged 65 years and over, who reported symptoms consistent with NC, were eligible. Exclusion criteria included nursing home residents, inability to walk 3 metres independently, awaiting surgery, cauda equina syndrome or signs of serious pathology, cognitive impairment, registered blind, or unable to follow instructions in a group setting. Potential participants were identified through community-based physiotherapy clinics and secondary care spinal clinics in 15 NHS Trusts in England.

Participants were also identified through the OPAL cohort study.²⁶ Potential participants were identified from questions asking about symptoms suggestive of NC in their most recent questionnaire and invited for eligibility screening.

Randomisation

Participants were randomised to the BOOST programme or BPA in a 2:1 ratio (intervention: control) to ensure that we could fill BOOST groups without participants experiencing long waiting times.

Intervention fidelity

The research team monitored intervention fidelity by observing treatment sessions (see *Appendix 3* and *Report Supplementary Material 1*) and checking physiotherapy treatment logs.

Data collection

Participants completed a questionnaire, and the blinded researcher conducted physical testing at baseline and 6 and 12 months after randomisation. If participants did not attend the follow-up appointment, then the physical tests were not completed, and participants were sent a postal questionnaire.

The variables collected at outcomes are presented in *Table 2*. The primary outcome was the Oswestry Disability Index (ODI v2.1a)⁷³ at 12 months after randomisation. This participant-reported measure of pain-related disability is scored 0–100, with a higher score indicating greater disability. We collected

TABLE 2 Outcome measures

Outcomes (0, 6 and 12 months unless indicated)	BP and leg symptoms	ODI Troublesomeness of back and leg problems ²⁷ Swiss Spinal Stenosis Scale (symptom subscale) ⁷⁵ Ability to manage back and leg pain (0–10: 0 = not managing at all; 10 = extremely well)
	Mobility	Walking item from the ODI 6MWT ^{76,a}
	Physical performance	SPPB ^{77,a}
	Balance and falls	Self-reported falls and fall-related injuries ³²
	Physical activity	Two items from Rapid Assessment Disuse Index ⁷⁸ [(1) time moving; (2) time sitting]
	Frailty	Tilburg Frailty Index ²⁹ hand grip strength ^{79,a}
	Global rating of change	Change in back and leg problems ^{80,b}
	Satisfaction	Changes in back and leg problem; treatment $(0-4; 0 = \text{very dissatisfied}; 4 = \text{very satisfied})^b$
	Exercise adherence	Self-reported adherence to home exercises ^b
	Quality of life	EQ-5D-5L ^{81,82}
	Health resource use	Client Service Receipt Inventory ^{83,b}

6MWT, 6-minute walk test; SPPB, Short Physical Performance Battery.

a Physical tests.

b 6 and 12 months only.

adverse events. At baseline, we also collected demographic information and factors related to health and mobility, which are listed in *Appendix 2*, *Table 10*.

We used data from lumbar spine MRI scans. Pre-existing scans, taken in the 12 months preceding randomisation, were used if available to reduce the need for scanning. Otherwise, participants were referred for a scan. Information about the assessment of the MRI scans is available in the trial protocol⁶⁹ and in the thesis by Gagen (http://wrap.warwick.ac.uk/161673).⁸⁴

Sample size

At 80% power and 5% two-sided significance levels, a sample size of 321 participants (214 in the intervention group and 107 in the BPA group) was required. With an inflation for potential loss to follow-up (20%), this led to an overall target of 402 (268 intervention, 134 control). The sample size assumed a between-group difference of five points in the ODI to be clinically significant, with a baseline standard deviation (SD) of 15.74

Statistical analysis

The primary outcome of ODI at 12 months' follow-up was analysed in an intention-to-treat (ITT) population and effect estimates with their 95% confidence intervals (CIs) were reported at a 0.05 significance level. The ODI difference between the two treatment groups was estimated using a repeated measures linear mixed-effects regression multilevel model with fixed effects for participant age, gender and baseline ODI, and random effects for recruiting centre and observations within participant (6 and 12 months). A model additionally accounting for potential heterogeneity due to the treating physiotherapist was assessed in a sensitivity analysis. Similarly, we assessed whether there was a group effect. We assessed the impact of intervention compliance using a complier-average causal

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effect (CACE) analysis.⁸⁵ Compliance with the BOOST programme was defined as attending at least 9 out of the 12 sessions (75%).

Secondary outcomes were analysed in the ITT population, using similar methods and adjusting for the relevant baseline covariate where applicable. All analyses were completed using Stata® version 15.1 (StataCorp, LLC, College Station, TX, USA).

We undertook a series of prespecified subgroup analyses to see whether we could identify subgroups of participants who responded better or worse to the interventions tested (see *Appendix 2*, *Table 8*). Subgroup effects were analysed using interaction with treatment tests.⁸⁶

Economic evaluation

The economic analyses involved evaluation of economic costs, HRQoL outcomes and cost-effectiveness of the BOOST programme, where cost-effectiveness was expressed in terms of incremental cost per quality-adjusted life-year (QALY) gained. The base-case economic evaluation took the form of an ITT, imputed analysis conducted from a UK NHS and personal social services (PSS) perspective in line with the NICE reference case,⁸⁷ and separately from a societal perspective. A 12-month time horizon for the economic evaluation was used, mirroring the trial follow-up period, and therefore no discounting was required.

Three broad resource use and costs categories were estimated: (1) intervention costs; (2) broader health and PSS use during the 12 months' follow-up; and (3) broader societal resource use and costs encompassing economic values of lost productivity (e.g. income lost by participants and carers). All costs were expressed in GBP and valued in 2018–19 prices. Participants completed the Client Service Receipt Inventory⁸³ at 6 and 12 months. HRQoL was assessed using the EQ-5D-5L instrument⁸⁸ completed at baseline and at 6 and 12 months post randomisation.

A bivariate regression of costs and QALYs, with multiple imputation of missing data, was conducted to estimate the incremental cost per QALY gained and the incremental net monetary benefit (INMB) of BOOST in comparison to BPA.

Results

Recruitment and follow-up

Recruitment was undertaken between 1 August 2016 and 29 August 2018 at 15 sites. We selected sites in different areas across England to maximise recruitment of participants from different ethnic and socioeconomic backgrounds. A list of trial sites and description of the local population is provided in *Appendix 2*, *Table 9*.

In total, 438 participants were found to be eligible, provided informed consent and were randomised (spinal clinics n = 394, OPAL n = 44). Three participants withdrew post randomisation and removed consent to use their data, so the final sample size was 435 participants (BPA n = 143, BOOST programme n = 292) (Figure 4).

The primary outcome was obtained for 88.0% (383/435) and 87.4% (380/435) of participants at 6 months and 12 months, respectively, with 93.0% (403/435) contributing data to the primary analysis.

Baseline characteristics

Participants had a mean age of 74.9 years (SD 6.0) and were predominantly white (400/435; 91.9%). The randomised groups were well matched on baseline characteristics (see *Appendix 2*, *Tables 10 and 12*). In the BPA group, a larger proportion of participants were classified as frail (55.9% vs. 44.5%) using the Tilburg Frailty Index but other markers of frailty (6MWT, SPPB and hand grip strength) were similar.

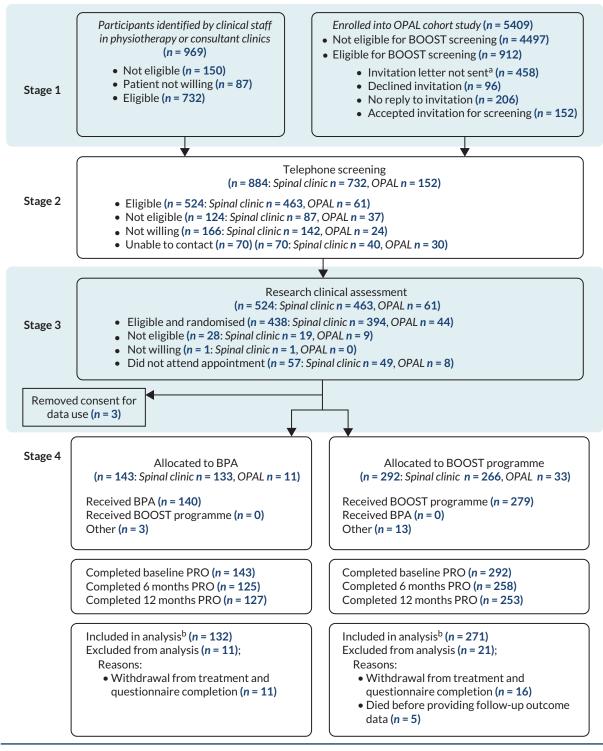


FIGURE 4 CONSORT diagram. ^a Not all participants who were eligible to be screened for BOOST were invited. ^b Numbers included in analysis are all participants with at least one follow-up ODI outcome and the baseline variables used in the model. This was due to lack of staff capacity at sites to accept additional referrals for screening or due to lack of a referral pathways between primary care centres and study sites.

Four-fifths of participants (351/435; 81%) reported more than one health condition. The most frequently reported conditions were arthritis (272/435; 62.5%) and high blood pressure (252/435; 57.9%).

The proportion of BOOST RCT participants also taking part in OPAL was 10.1% (44/435). Twenty-five participants were identified from their baseline questionnaire and 18 from their year 1 questionnaire. We were interested in understanding how similar the OPAL-BOOST participants, who had NC

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confirmed by clinical assessment, were to OPAL participants categorised as having NC based on self-report. This was to help us understand the generalisability of the trial findings to the general population reporting symptoms of NC. In *Appendix 2*, *Table 11* we present a description of participants at baseline using variables available in both data sets. At baseline, there were 594/5304 (11.2%) OPAL participants who were categorised as having symptoms of NC.²⁸ OPAL-BOOST participants and OPAL participants were broadly similar in age, sex, BMI, number of comorbidities, BP troublesomeness scores, multisite pain, change in walking over the last year and falls. However, compared to OPAL-BOOST participants, OPAL participants reported lower levels of education, lived in areas of higher deprivation, and reported lower quality of life and walking self-efficacy, and a greater proportion were classified as frail.

Intervention delivery

Sixty-nine physiotherapists delivered the interventions. Thirty physiotherapists delivered BPA, 34 physiotherapists delivered the BOOST programme, and five physiotherapists delivered both. In total, 24/143 (16.8%) participants allocated to BPA were treated by physiotherapists who were also trained in the BOOST intervention.

Treatment attendance was good for both interventions. Of the 143 participants allocated to BPA, 140 (98%) received the intervention. Most commonly, participants attended two BPA appointments (59/143; 41.3%). Of the 292 participants allocated to the BOOST programme, 279 (96.0%) attended the individual physiotherapy assessment. Thirteen participants (4.5%) did not attend this assessment. After the individual assessment, participants joined the next available group. In total, 203/292 (69.5%) attended at least 9 of the 12 sessions, indicating compliance. Having attended the individual assessment, 13 participants (4.5%) subsequently did not attend any group sessions.

We conducted 48 fidelity assessments. Interventions were delivered to a high standard. Eighteen fidelity assessments were undertaken of BPA sessions and 97.2% of checklist items were fully achieved. Thirty fidelity assessments of the BOOST programme group sessions were conducted, with 97.4% of checklist items fully achieved. Monitoring of treatment logs showed that exercises were progressed regularly across the key parameters, including increased repetitions and load and the addition of speed to the strengthening exercises. During the walking circuit, increasingly difficult elements were added. More information about delivery of the BOOST programme is contained in *Appendix 3*.

Primary outcome

Participants randomised to BPA showed a small increase in ODI scores at 6 months with very little subsequent change at 12 months. BOOST programme participants showed a reduction in ODI scores at 6 months which increased again at 12 months but remained lower than baseline scores. At the 12-month primary end point, there was no statistically significant difference in ODI scores between the two treatment groups (adjusted mean difference -1.4, 95% CI -4.03 to 1.17). There was a statistically significant difference in ODI in favour of the BOOST programme group (adjusted mean difference -3.7, 95% CI -6.27 to -1.06) at 6 months. There was no evidence of a therapist or group effect.

In the CACE analysis, the difference favouring the BOOST programme was larger, reaching the predefined clinically significant threshold (five points on the ODI) when group attendance was taken into consideration (-5.0, 95% CI -8.02 to -1.88) at 6 months. At 12 months, this difference was reduced (-2.4, 95% CI -6.02 to 1.32).

Key secondary outcomes

See Williamson et al.⁷¹ for a full report of the secondary outcomes (see also Appendix 2, Tables 12 and 13).

Walking and physical performance

The BOOST programme had a lasting impact on walking capacity (6MWT) (*Figure 5*) at 6 and 12 months' follow-up, favouring the BOOST programme. The ODI walking item also reflected this finding. BPA participants showed very little change across the two follow-up time points. A similar response was observed for physical performance (SPPB) (see *Appendix 2*, *Table 13*).

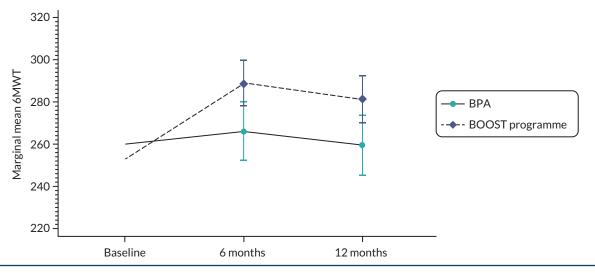


FIGURE 5 Six-minute walk test results. (From Williamson et al.71)

Falls

Participants in the BOOST programme had a substantially reduced risk (by 40%) of reporting a fall over the 12-month period. The proportion of participants sustaining a fall-related fracture was very small but similar between groups.

Pain and other symptoms

Both groups reported a small reduction in Swiss Spinal Stenosis Scale (SSSS) symptoms subscale scores at 6 months, and these were larger for the BOOST programme. Small reductions were maintained at 12 months, and there was no longer a difference between the groups at 12 months. Similar findings were observed for troublesomeness, global rating of change and satisfaction with changes in back and leg problems.

Participant satisfaction

Participants in the BOOST programme were more likely to be satisfied with their treatment at 6 and 12 months compared to the control group.

Exercise adherence

Participants were asked how often they performed their home exercises. At 6 months, 190/257 (73.9%) BOOST programme participants reported performing their exercises at least twice per week, which reduced to 143/250 (57.2%) at 12 months. At 6 months, 102/125 (81.6%) BPA participants reported doing their exercises at least twice a week, which reduced to 89/125 (71.2%) at 12 months.

Adverse events

One serious adverse event (cardiac symptoms) occurred during a BOOST session which was deemed unrelated to the intervention. There were no serious adverse events reported for BPA. There were 12 adverse events reported for the BOOST programme. Four were assessed as definitely related to the programme, including aggravation of joint pains (n = 2), a fall during the walking circuit (no injuries) and skin irritation by an ankle weight. Two adverse events were reported for BPA, and neither was definitely related to the intervention.

Prespecified subgroup analyses

Overall, 354 MRI scans from 435 participants (80.8%) were available: 120 MRI scans from 143 (83.4%) BPA participants and 234 MRI scans from 292 (80.1%) BOOST programme participants. There were no statistically significant subgroup effects identified at 12 months' follow-up.

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Economic analyses

Complete QALY profiles were available for 357 (82%) participants based on the EQ-5D-5L. Completion of health resource use data for the base-case economic evaluation was similar at each time point between the BOOST and BPA groups. All data needed to calculate the cost components were available for 75–88% of health resource categories apart from hospital outpatient services, which ranged from 57% to 75%.

Intervention costs and resource utilisation

Mean total intervention costs varied between £242 and £911. The average cost per group session per participant varied from £11.80 to £67.00 depending on the number of participants per session. The mean cost per participant was generally lower across all sites if the target number of participants (n = 6) was achieved.

For health and PSS use, there were non-significant differences between the two groups in utilisation of hospital inpatient and outpatient care, community-based health care and social services, and days off work.

For the primary analysis, mean NHS and PSS costs, inclusive of intervention costs, over 12 months were £1974.06 for the BOOST programme versus £1826.64 for the BPA group. There was a non-significant cost difference in favour of the BPA group of £147.42 (95% CI £419 to £714). Mean total societal costs, inclusive of the intervention cost, were £2176.01 in the intervention group compared with £2140.54 in the BPA group. This generated a mean cost difference of £35.47 (95% CI £469.57 to £540.51) in favour of the BPA group. Societal costs (excluding NHS and PSS costs) were higher in the BPA group and primarily driven by economic valuation of time taken off work by a small number of patients and carers in the BPA group.

Health-related quality-of-life outcomes

The adjusted mean participant-reported QALY estimate for the base-case analysis over 12 months favoured the BOOST programme [0.621 (standard error 0.009) vs. 0.599 (standard error 0.006); between-group difference 0.021 (95% CI 0 to 0.044)].

Cost-effectiveness results: base-case analysis

NHS and PSS perspective

The baseline economic evaluation indicated that the BOOST programme was associated with marginally higher NHS and PSS costs (£147, 95% CI -419 to 714) and an increase in QALYs (0.020, 95% CI -0.003 to 0.045). The mean incremental cost-effectiveness ratio (ICER) for the BOOST programme was estimated at £7211 per QALY gained; that is, on average, the BOOST programme was associated with a higher cost and an increase in QALYs. The associated mean INMBs at cost-effectiveness thresholds of £15,000, £20,000 and £30,000 per QALY were £145, £244 and £464, respectively. The base-case mean INMB was >0, suggesting that the BOOST programme would result in an average net economic gain of approximately £244 (INMB = £244, 95% CI -£570 to £1058). The probability of cost-effectiveness for the BOOST programme was estimated as 67%, 78% and 83% at cost-effectiveness thresholds of £15,000, £20,000 and £30,000 per QALY, respectively (*Figure 6*). The joint distribution of costs and outcomes for the base-case analysis is presented graphically in *Figure 7*.

Societal perspective

The probability that the BOOST programme is cost-effective was higher from a societal perspective, ranging between 79% and 89% across cost-effectiveness thresholds.

Limitations

Five physiotherapists trained in delivery of the BOOST programme also treated 24/143 participants (16.8%) allocated to BPA due to physiotherapist availability. There was a risk that these physiotherapists

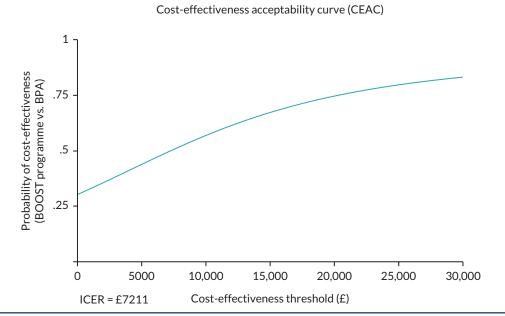


FIGURE 6 Cost-effectiveness acceptability curve for the base-case imputed (covariate-adjusted) analysis. (From Maredza et al.⁷²)

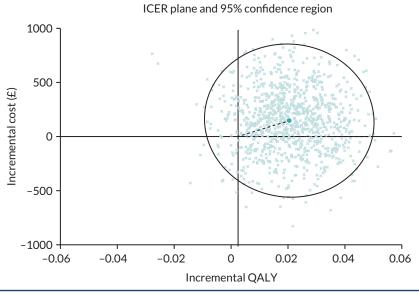


FIGURE 7 Cost-effectiveness plane for the base-case imputed (covariate-adjusted) analysis. (From Maredza et al.⁷²)

could integrate elements of the BOOST programme such as behaviour change principles into their treatment sessions and provide treatment beyond the BPA protocol. However, the proportion of participants exposed to potential contamination is well below the 30% threshold considered a serious threat.⁸⁹ We carefully monitored intervention delivery, including fidelity assessments, and are confident that the risk of contamination between arms was minimised.

Resource use data for the health economic analyses were retrospectively recalled by participants, which may mean they were affected by recall bias. However, we would expect any bias to be similar in each randomised group. Also, our approaches to collecting resource use data did not disentangle resource use associated with NC from use associated with broader health factors.

Discussion

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The BOOST programme improved walking capacity and physical performance and reduced walking disability and falls risk compared to a control intervention of BPA for older adults with NC at 12 months' follow-up. There were also larger short-term improvements in symptoms and pain-related disability. We plan to optimise the programme to better target pain-related disability and symptoms for longer-term impact as part of the planned implementation. Exercise adherence decreased over time, and we will also focus on improving long-term adherence during optimisation.

The economic analyses suggest that the BOOST programme is a good use of NHS resources.

There were broadly similar clinical presentations between OPAL participants with NC and BOOST-OPAL participants (BP troublesomeness, comorbidities, multisite pain, change in walking and falls), meaning that we should be able to generalise findings from the BOOST RCT to the OPAL participants reporting NC. However, there were differences in socioeconomic factors and frailty, which may have been barriers to OPAL participants seeking treatment through the trial. This has implications for our implementation strategy to ensure the programme is available to all older people with NC.

With limited treatment options available to older people with NC, based on improvement in mobility and value for money, implementation of the BOOST programme should be considered.

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Objective 4: To undertake a longitudinal qualitative study embedded within the randomised controlled trial to understand the participants' experiences of taking part in the trial

Methods

A full description of methods% is available here: https://doi.org/10.1136/bmjopen-2021-060128

We used a longitudinal qualitative design with semistructured interviews and open-ended questions. All BOOST RCT participants were eligible to take part. Consecutive participants were invited to take part. From those who agreed, we sampled participants to ensure that a range of age, gender, ethnicity, treatment allocation and recruitment sites were represented.

We conducted face-to-face interviews at participants' homes after randomisation (T1), and telephone interviews (or face-to-face if requested) 1 month after the interventions (T2) and 12 months post randomisation (T3). Interviews were audio-recorded.

We aimed to recruit 60 participants, as we expected 50% attrition. We planned to analyse up to 30 participants interviewed at each time point. Retention was better than expected, and 49/59 (82%) participants completed all three interviews (one withdrawal).

Analysis

We sorted the T1, T2 and T3 interviews of 49 participants into five batches based on the richness of data. The narratives provided by participants varied, and some participants provided more detailed and thorough descriptions of their experiences (richer data) compared to others. As per the original plan, we analysed 30 data sets. These were the interviews with the richest data (30 participants, 90 interviews), which were split into three batches of 10. The T1, T2 and T3 interviews of the first batch and T1 interviews of the second and third batches were transcribed verbatim. For the T2 and T3 which were not transcribed verbatim, we took notes from the audio recordings. This was to limit costs related to the study, and the lead qualitative researcher was experienced in gathering data in this way.

To facilitate analyses of data in a time-efficient way, firstly, we read the transcripts of the first batch of interviews and developed data summaries called 'pen portraits'. These portraits became the source documents to develop a coding template. From the pen portraits, we wrote mini-statements to describe participants' narratives. The mini-statements, accompanied by supporting participant quotes from T1, T2 and T3, were added to the coding template to produce individual frameworks (see *Report Supplementary Material 2* for an example). For the second and third batches, the individual frameworks included mini-statements and supporting quotes at T1, but only mini-statements at T2 and T3 taken from listening to the interview recordings. Finally, we combined individual frameworks in a master Excel® (Microsoft Corporation, Redmond, WA, USA) sheet for analysis.

We understook an inductive thematic analysis of T1 interviews using the information in the frameworks to understand participants' experiences of living with NC before taking part in the BOOST trial. We then comparatively analysed T1, T2 and T3 interviews to explore their experiences with the trial

interventions, including the impact on their symptoms and their experiences of continuing with the home exercises independently.

Through comparative analysis, we also identified and explored participant trajectories over time for the domains of pain, mobility and activities of daily living, psychological impact, and social and recreational participation. We explored the interactions between domain trajectories to understand the pathway to improving social and recreational participation, which we considered essential to well-being in older age. This analysis is published here: https://doi.org/10.1136/bmjopen-2021-060128

Results

Interviews from 16 men and 14 women were included in this analysis; 14 participants were allocated to BPA and 16 were allocated to the BOOST programme. The demographics of interview participants are included in *Appendix 4*, *Table 19* but they were broadly similar with regard to age and gender. We interviewed a greater proportion of non-white participants compared to white participants in the trial to ensure we heard from participants from different backgrounds.

Experience of living with neurogenic claudication

At T1, we identified four categories to describe how NC impacted on participants' lives (see *Appendix 4*, *Table 20*).

Pain and its psychological impact

Pain was experienced by all participants. However, the onset, nature, intensity and location varied. Participants also reported a wide range of psychological responses such as depression, frustration, low mood, worry and lack of motivation in carrying out day-to-day or leisure activities.

Activity limitation

Most participants had difficulty in everyday activities due to the NC. This included self-care, walking, doing household chores, accessing public transport and using stairs.

Restricted participation

In two-thirds of the participants, pain and mobility issues negatively impacted their ability to participate in social, leisure and recreational activities like gardening, socialising in clubs and voluntary organisations, and going on holidays.

Coping strategies

Participants adopted a variety of coping strategies. Examples included sitting/resting between walks (activity pacing), limiting shopping trips or choosing online shopping (activity planning), using a stairlift (home adaptations), driving to local shops (activity modification) and using walking sticks (mobility aids).

Experiences of trial participation and Better Outcomes for Older people with Spinal Trouble interventions

Expectations and preferences

Participants participated in the trial expecting pain relief and improved walking, independence and general well-being. They also felt participation would help healthcare professionals to provide better treatments for other people with NC.

... whether through part of a study it gives a wider understanding to professionals about what they can do to help people of my age deal with the problems in a more proactive way.

08, T1

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Most participants had no treatment preference on entry to the trial. Some preferred BPA due to the time commitment, while others preferred additional appointments and opportunities to interact with other people in the group sessions.

... the 12 sessions may be an embarrassment to me if I'm trying to run my business, and all the other things that are going on, and it does mean I presume an hour and a half plus, because I've got to get there and get back again, of my time.

37, T1

Acceptability of BOOST trial interventions

Satisfaction with BPA was mixed. Just over half the participants in the BPA arm (8/14) were satisfied with their sessions and felt the number of sessions was adequate. However, others were less satisfied (6/14) and a few mentioned that there was neither adequate feedback nor a follow-up.

But as far as going there, I only had a couple of sessions with them and that's about it really; it wasn't any proper sort of treatment or guidance or anything like that.

02, T2

In contrast, most participants (14/16) in the BOOST programme arm found it enjoyable and satisfactory.

I thank everybody for putting me on to the course because that's what helped me ... If they (other people) were in a similar condition, I would say, go for it!

57. T3

However, one participant was dissatisfied as he saw no clear benefit from the BOOST programme. Another participant joined the trial to get an MRI scan. This participant felt that the BOOST programme did not provide any new information and the exercises did not help.

Intervention components and perceptions about impact

Best practice advice participants were provided with an information booklet containing self-management advice and home exercises. Most BPA participants felt the booklet was useful and informative. The majority of participants (10/14) felt that the home exercises were appropriate and benefited them.

I felt it was enough information for me ... I think it is nicely produced, easy to read leaflet. Certainly in my case, going on the fear factor, it reassured right away.

15, T2

I get it [back pain] a bit first thing of a morning. But if I do the standing exercise, where you bend forward to touch your toes, that seems to put things right in a way.

31, T2

However, some participants did not perceive the exercises as helpful:

the exercises I was given, or any exercises I do, do not improve the problem.

03, T3

When assessing the overall impact of BPA on participants' symptoms, 9/14 participants experienced some improvements in pain over the course of their involvement in the study, although the timing of this varied (five improved in a progressive manner; four reported being improved at T1 compared to entry into trial, or immediately after T2 that was maintained at T3, or only at T3). Pain did not change in four participants and worsened in one participant.

Participants in the BOOST programme liked the information folder containing session notes, photos and instructions for BOOST exercises and a home exercise planner. Participants reported benefits from the discussion and education element of the group sessions. They appreciated the peer support and interactions and the opportunity for group problem-solving. They were encouraged by their peers, enjoyed being part of the group and learnt skills to manage their condition, such as managing a flare-up or understanding the need to exercise for the long term (see *Appendix 4*, *Table 21*).

All participants except two described benefits from the exercise, which included reducing pain and improving posture, muscle strength and self-confidence.

And I think the exercises that I did has strengthened the muscles that I wanted strengthening – I think.

30, T2

One participant felt that exercise and physical activity would aggravate the condition.

Personally, I don't think it [exercise and physical activity] would help. Full stop. I've had it so long now, and the wear and tear on my spine, overdoing it I think it would worsen the situation and that would be catastrophic ... So the more you wear it, it's going to get worse, in my eyes it is.

11, T2

Another participant had a mixed response to the programme, finding the BOOST walking regime and exercises with weights difficult but the hip mobility and balance exercises helpful.

In the BOOST programme arm (n = 16), we see similar variability in changes in pain. Pain improved in seven participants [four progressively improved; three improved at T1 (compared to entry into trial) or T2 that was maintained at T3, or only at T3]; one participant had initial improvements between T1 and T2 that got reversed to the baseline level of pain at T3. Pain did not change in seven participants and worsened in one participant.

Self-reported adherence to home exercises

Participants in both arms felt that the exercises prescribed by their physiotherapists could be fitted into their daily routine, but how successfully they did this varied.

At T2, most BPA participants (12/14) reported continuing exercises prescribed by their physiotherapist at least once a day. Low motivation and competing priorities such as work were reasons for stopping.

At T3, only 50% of BPA participants reported continuing the home exercises.

I mean I don't ever miss at home, you know, and I said to [research clinician], I've done my exercises every day.

31, T3

Some reported still doing them at least once a day, but others had reduced the exercise frequency or stopped doing particular exercises that caused pain. Reasons for stopping the exercises were low self-motivation, recent surgery and impact on other pain problems (e.g. irritated hip pain).

Don't ask me about them ... No ... Well, last time because it was really starting to hurt me on some of the exercises ... and I do have a problem with motivation about that sort of thing on my own, as you will know. If I was with a group of people doing this, it would have been a lot much better for me.

33. T3

In contrast to the main trial results, self-reported adherence to the exercises prescribed by the physiotherapist was better with the BOOST programme than with BPA. At T2, all BOOST programme

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participants (16/16) reported doing their home exercises between one and three times every week or daily.

At T3, all but two participants continued their home exercises. Those who exercised independently tended to perceive the exercises as beneficial. At both time points, participants who exercised regularly described how they integrated the BOOST exercises into their daily activities; for example, exercising while watching television. One participant felt they were easily transferable to the daily routine and home environment because no special equipment was needed.

Some had made adaptations to allow them to continue exercising. This included one participant avoiding the weighted exercises that caused pain, and another avoiding specific exercises which aggravated a knee problem. Another participant had stopped the walking element as she felt she got enough walking in her everyday activities.

Two participants reported ceasing the BOOST exercises completely. One found the walking element overwhelming. Another participant found his usual activities such as walking the dog, going to the gym and swimming were adequate exercise.

For more information, see Appendix 4, Table 22.

Limitations

We analysed data sets from a selected sample of 30 participants who provided a rich description of their experiences. The BOOST trial participants who did not take part in the interviews may have had different experiences from those described here. However, the strength of the study is that we used in-depth repeated interviews that focused on the participants' current experience, so we were not reliant on their recollection of past experiences and can be confident that the data available reflected their experiences of the trial.

Discussion

Our interview findings resonate with the previous qualitative studies in NC,93,94 as pain was the main complaint impacting on their activities and leading to negative psychological responses. Expectations of trial participants were aligned well with the treatment targets of the BOOST programme. BOOST programme participants appeared more satisfied with their intervention compared to BPA. Dissatisfaction among BPA participants seemed to arise from lack of feedback and follow-up. BOOST participants benefited from peer support and discussions, which may have added to their satisfaction with the programme. Most participants felt the exercises were appropriate and helpful, although this did not necessarily translate to improvements in pain. Dissatisfaction with the BOOST programme was mostly related to lack of pain relief. Pain remained a substantial problem for some participants, and this highlights the importance of trying to better target pain. The BOOST programme participants talked about other improvements from the exercises, including improved posture, strength and confidence, highlighting the broader benefits of the BOOST programme. These types of changes were less evident in the narratives of BPA participants. BOOST participants also reported benefit from the cognitive-behavioural component of the programme, highlighting how the programme helped them to find their own solutions, manage flare-ups and understand the importance of long-term exercise.

Reasons for stopping the home exercises were similar between treatment arms. These included competing priorities, lack of motivation and aggravation of pain. Adaptations allowed some participants to continue at least some of the exercises. Some participants did not make adaptations when finding the exercises difficult or unhelpful, and stopped altogether. One participant had stopped the BOOST

TO UNDERTAKE A LONGITUDINAL QUALITATIVE STUDY EMBEDDED WITHIN THE RANDOMISED

exercises to focus on participating in activities they enjoyed. These types of physical activity or exercise were not captured in the main trial follow-up questions, so it is not possible to know how many other participants may have gone on to other activities in lieu of the BOOST programme.

The barriers to long-term exercise identified in this study were not unique 95,96 but highlight areas where we can optimise the BOOST programme. These include additional support to provide motivation for ongoing exercises, either by the physiotherapist or by linking to exercise opportunities in the community; to provide greater guidance on how to adapt exercises, rather than stopping altogether, if they are painful or if patients have a flare-up of symptoms; and to help plan integration into everyday life or transition to activities they enjoy for long-term adherence.

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Objective 5: To develop a prognostic tool using the Oxford Pain Activity and Lifestyle cohort study data to predict when older people are at risk of mobility decline

Methods

The full description of this study⁹⁷ is available here: https://doi.org/10.1016/j.jclinepi.2022.09.002

The aim was to develop a tool to identify when older people were at risk of mobility decline. In particular, we wanted to understand whether BP was important, alongside other health conditions and symptoms, physical ability, psychological factors, and lifestyle and sociodemographic factors.

Baseline candidate predictors

We completed a systematic literature review to inform the selection of baseline predictors (searches for this review were conducted on 26 June 2019, with an update run on 2 June 2020), held discussions with our patient and public involvement (PPI) group, and utilised the expertise within the study team (including, but not limited to, primary care, geriatrics, mobility decline, falls, pain, and prognostic tool design and statistical analysis). Thirty-one candidate variables (*Table 3*) were preselected from the OPAL baseline variables (see *Appendix 1*, *Table 5*).

Outcomes

The outcome was mobility decline at 2 years' follow-up, defined using the EQ-5D-5L Mobility Question (no problems with mobility, mild problems, moderate problems, severe problems, unable to walk).

Among participants who could walk at baseline, mobility decline was considered present if a participant reported a worsening change in mobility status at year 2; that is, mobility progressed from one level to another or an individual had no problems at baseline and reported any problems at follow-up.

Sample size

The prevalence of mobility decline among the OPAL cohort at 2 years was 18.6%. Based on a conservative Nagelkerke's R² of 0.15 and a prespecified maximum number of predictor parameters of 50, the minimum sample size required to develop the model to minimise overfitting was estimated to be 4585, with 853 events.⁹⁹

Analysis

We present the baseline factors stratified by the 2-year outcome and estimated the univariate associations between baseline variable and the outcome using logistic regression.

Least absolute shrinkage and selection operator (LASSO) regression was used to select potential predictors for the multivariable model to predict mobility decline. Model performance was assessed by calculating the c-statistic and the Brier score. Models were internally validated using bootstrapping. Missing data were imputed using the 'multiple imputation by chained equation' technique. 103,104

As a sensitivity analysis, we repeated the analyses, including participants who died during 2-year follow-up, who were added to those with mobility decline.

TABLE 3 Baseline candidate predictors for mobility decline

Domain	Variables	Domain	Variables
Demographics	Age	Socioeconomic	Receive enough support
	Sex		Yes/no
	Living arrangements		Miss other people around
	Education		No/sometimes/yes
	Higher education		No of organisations/clubs/societies
	Secondary education		Adequacy of income
	None or primary		Quite comfortably off
			Manage without much difficulty
			Careful with money
Mobility-related	Mobility problems		Occupational physical demands
factors	None		Very light
	Slight		Light
	Moderate		Moderate
	Severe		Strenuous
	Unable to walk		Very strenuous
	Usual walking pace	Physical activity	Hours/day moving around
	Fast/fairly brisk		7 hours/day or more
	Normal		5–7 hours/day
	Stroll at any easy pace		3–5 hours/day
	Very slow/unable to walk		< 3 hours/day
	Difficulties maintaining balance	Falls	Falls last year
	Confidence to walk (0-10)		None
	Use of walking aid inside		One
	Use of walking aid outside		More than one
	Change in walking ability compared to		Fractures last year
	last year	General health	ВМІ
	Better		Number of health conditions
	About the same		Physical tiredness
	Worse		Yes/no
			Anxiety/depression
Pain	BP and leg symptoms		No
	No BP		Slightly
	BP only		Moderately
	BP with leg symptoms		Severely/extremely
	Pain distribution		Poor hearing Yes/no

TABLE 3 Baseline candidate predictors for mobility decline (continued)

Domain	Variables	Domain	Variables	
	No pain		Poor vision Yes/no	
	One site pain		Problems in daily life due to lack of	
	Multisite pain		hand strength Yes/no	
	Widespread pain		Unintentional weight loss Yes/no	
	Lower limb pain in the last 6 weeks		Self-reported general health (0–100)	
	Yes/no			
	Current pain/discomfort severity (0-4	1)		

To facilitate application into clinical practice, we simplified the presentation of the model to a simple scoring system based on the procedures described by Sullivan *et al.*¹⁰⁵

Results

There were 5358 participants who could walk at baseline and were eligible for this analysis. However, 174 (3.2%) died before the 2-year follow-up. Therefore, 5184 participants were included in the main model. Two-year follow-up data were provided by 4115/5184 (79.4%) participants. The mean age of participants was 74.7 years (SD 6.6), and 51.8% (n = 2683/5184) were women. At baseline, the majority of participants reported no mobility problems (3146/5184; 60.7%), and 5.4% (280/5184) reported severe mobility problems.

Among responders at 2 years, 18.6% (n = 765/4115) reported mobility decline (*Figure 8*). There were 375 (9.1%), 42 (1.0%) and 10 (0.2%) individuals who improved their mobility status by one, two and three points at 2 years, respectively. Participants who reported slight mobility problems (see lower panel, plot A) at baseline had the biggest decline over the 2 years of follow-up (35.6%).

We examined the univariate relationship between the baseline variables and the outcome at 2 years (see *Appendix 5*, *Table 23*). Nearly all the selected baseline factors had a univariate relationship with the outcome. Among those with mobility decline at 2 years, there were more reports of back and leg pain and greater numbers reporting multisite pain and widespread pain at baseline. The biggest difference in pain presentation was lower limb pain at baseline, which was reported by 68% of participants with mobility decline at follow-up compared to 56% without. Baseline pain severity was also associated with mobility decline at follow-up.

The multivariable analyses found that in addition to mobility status (no problems or mild problems) at baseline, 13 variables were identified as predictors of mobility decline at 2 years in \geq 80% of the multiple imputed data sets (*Table 4*). These included demographic and socioeconomic factors, multiple factors related to mobility, and general health-related factors. BP with and without leg pain was not associated with poor mobility in this multivariable model. However, lower limb pain and the presence of severe general pain were predictors of mobility decline.

The multivariable model revealed a median c-statistic of 0.740 (range 0.737–0.743), indicating moderately good discrimination. The median Brier score was 0.136 (range 0.135–0.137).

We developed a point scoring system, which produced the risk of mobility decline at 2 years (see *Appendix 5*, *Table 24*). The total score has a possible range from 0 to 39, with predicted risks ranging from 0.9% (0 points) to 90.1% (39 points). The median c-statistic of this scoring system was 0.734 (range 0.730–0.735), similar to that of the regression model, indicating no noticeable loss in performance. A hypothetical example is shown in *Appendix 5*, *Tables 24–26*.

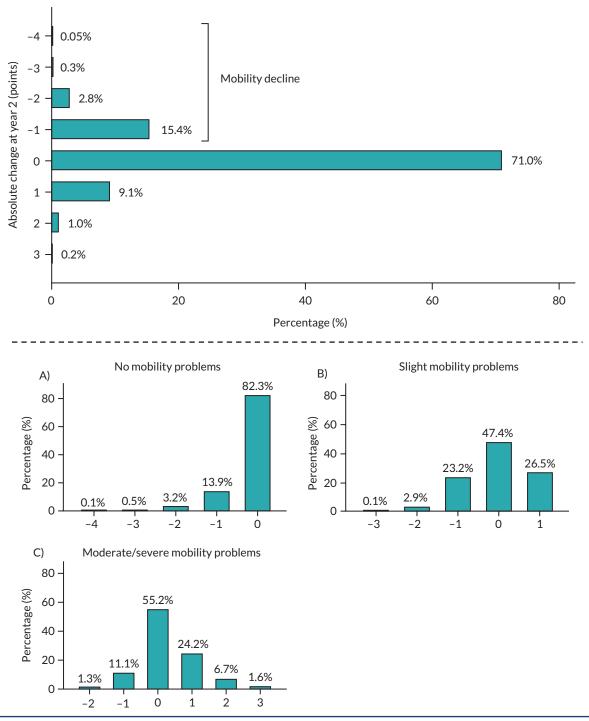


FIGURE 8 Absolute change in mobility status between baseline and year 2. Note: Participants who could walk at baseline (upper panel). Stratified by mobility status at baseline (lower panel): (A) no mobility problems; (B) slight mobility problems and (C) moderate or severe mobility problems. (From Sanchez *et al.*⁹⁷)

After including participants who died during the 2 years' follow-up, the model showed similar performance measures, but some differences in predictors (see *Appendix 5*, *Table 27*). Back and leg pain now featured in this model. Other factors included sex, occupation (very strenuous physical demand), hours moving (< 3 hours/day), fractures and unintentional weight loss as additional predictors. Pain severity and use of walking aid outside were no longer part of the model.

TABLE 4 Predictors of mobility decline selected in ≥ 80% of the imputed data sets

Predictor variables	LASSO regression (% times selected)	Average penalised coefficient
Intercept		-6.757
Age (65-100 years)	100	0.035
Adequacy of income		
Careful with money	100	0.239
BMI (15-70 kg/m²)	100	0.025
Usual walking pace		
Stroll at any easy pace	100	0.494
Very slow/slow	100	0.573
Difficulties maintaining balance	96	0.166
Confidence to walk	100	0.056
Use of walking aid outside		
Sometimes	96	0.158
Change in walking ability compared to last y	/ear	
About the same	80	-0.087
Worse	96	0.141
Lower limb pain (last 6 weeks)	100	0.226
Current pain/discomfort severity	98	0.070
Number of health conditions	100	0.117
Physical tiredness	98	0.092
Self-reported general health	100	-0.011
Predictors forced to be included in the final	l model	
Mobility problems at baseline		
I have slight problems	100	1.963
I have no problems	100	2.387

Limitations

The results are based on self-reported predictors, which may be prone to recall bias or not be as accurate as clinical assessment. Self-reported assessment of mobility using the walking item from the EQ-5D may not reflect the participants' actual mobility status, but it was an indication of how they perceived their mobility. EQ-5D scores were consistent with other self-reported questions related to mobility in the OPAL cohort study, and its validity and reproducibility in older adults has been reported in several studies. 106,107 Self-reported predictors are particularly useful in clinical settings or in research when a detailed clinical assessment is impractical.

We combined participants with back and non-NC leg pain and those with NC leg pain into a single group to ensure we had adequate numbers in each of the BP groups for the analyses and to avoid including too many variables. This means we were unable to separate out the potential contribution of the different leg pain presentations in the analyses. We did not externally validate the models.

Discussion

We set out to understand the role of back and leg pain in mobility decline when considered alongside the broader perspective of health-related factors. We have identified a set of questions with a scoring system that could easily be applied in clinical practice to identify older people at risk of mobility decline.

Back and leg pain was associated with mobility decline in the univariable association but not in the multivariable model. Many of the factors associated with mobility decline were related to mobility. We saw in our previous analyses (see *Objective 1*) that back and leg pain was associated with mobility-related adverse outcomes, including confidence to walk and change in walking over the last year. The strongest association was with NC. Pain is likely to be a contributor to this mobility-related factor, and lower limb pain was identified as an independent predictor of mobility decline. General pain severity was also predictive, suggesting that the amount of pain experienced (regardless of the type of pain or area of pain) is important.

When considering possible interventions to reduce the risk of older people experiencing mobility decline, these findings suggest that pain needs to be addressed. While back and leg pain was not an independent predictor of mobility decline, it is important that it is not completely disregarded, as it may contribute to low walking confidence and mobility decline. We know from our earlier analyses of OPAL data that back and leg pain tend to be persistent and that pain management is often not prioritised by older people¹⁰⁸ or by health professionals. Lower limb pain, such as that arising from hip and knee osteoarthritis, can be effectively managed through rehabilitation incorporating pain self-management, coping strategies and exercise.¹⁰⁹ The mobility-related factors identified can be addressed through rehabilitation. We have demonstrated in the BOOST RCT that walking ability can be improved through progressive tailored rehabilitation. These types of programmes should be made available to older people at risk of mobility decline.

Back and leg pain did feature in the sensitivity analyses, which included participants who had died. A possible explanation for this may be that the spine is a common site for metastatic cancer and so back and leg pain may be symptomatic of underlying disease.¹¹⁰

Finally, a socioeconomic factor – a report of needing to be careful with money – was also identified in the risk assessment tool. The systematic literature review completed for this study found consistent evidence that low annual income and low number of financial assets were risk factors for mobility decline. Our findings add to evidence of the impact of health inequalities due to socioeconomic factors on the health of older people. Income influences the choices that people make regarding their health, such as their diet or participation in physical activity and exercise, as well as their ability to access health care. Addressing health inequalities has been identified as a key priority for the NHS, and ensuring adequate access to rehabilitation for older people needs to be part of this.

Objective 6: To integrate the findings of the linked studies into an implementation package for use in primary care and other settings

Implementation plan

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We have developed a package of implementation for the BOOST programme which has been funded by the NIHR and is underway.

We consider the BOOST programme is worthwhile in its current format. We have presented the findings at a national conference (BritSpine) and held a seminar for 30 clinicians involved in the trial. Nearly all clinicians agreed it was worth implementing due to the associated clinical improvements and cost-effectiveness. Concerns about implementation were logistical as opposed to clinical; for example, colleagues working in small departments found it difficult to assemble sufficient patients to run the groups.

Implementation is guided by the i-PARIHS (Integrated Promoting Action on Research Implementation in Health Services) framework, a Knowledge Mobilisation theory (*Figure 9*).¹¹³

Central to our implementation strategy is the development of a Massive Open Online Course (MOOC). On completion of the implementation study, the online course will be freely available here: https://learn.exeter.ac.uk/. We have used this approach previously. The online training addresses implementation barriers as well as providing the content needed for successful implementation.

We are undertaking a two-stage implementation study. First will be an optimisation stage to improve the programme and launch a MOOC. The second stage will evaluate the MOOC learning outcomes and clinical outcomes of the BOOST programme delivered in routine NHS care.

The aims of the implementation study are to:

- 1. Optimise the content of the BOOST programme.
- 2. Design the online course and evaluate learning and clinical outcomes to ensure outcomes are the same or better than the original programme.
- 3. Establish the framework for a longer-term evaluation and implementation strategy.

Stage 1: optimisation

We propose two areas of optimisation: (1) improve pain-related disability by enhancing the cognitive-behavioural elements of the programme and education about pain medications; (2) increase long-term exercise adherence using behavioural strategies and support. Consultation with stakeholders (PPI representatives, clinicians, managers and other researchers) will inform this work.

We will test the optimised programme in an identical way to the original trial delivered by therapists who participated in the BOOST trial (up to 24 participants). Outcomes will be collected at baseline and 6 months and will include the ODI (from which the walking and pain items will be the primary outcomes), pain self-management, EQ-5D-5L and the 6MWT. We will interview the clinicians delivering the optimised programme and observe group sessions to ensure the new elements are being delivered as intended and are worthwhile.

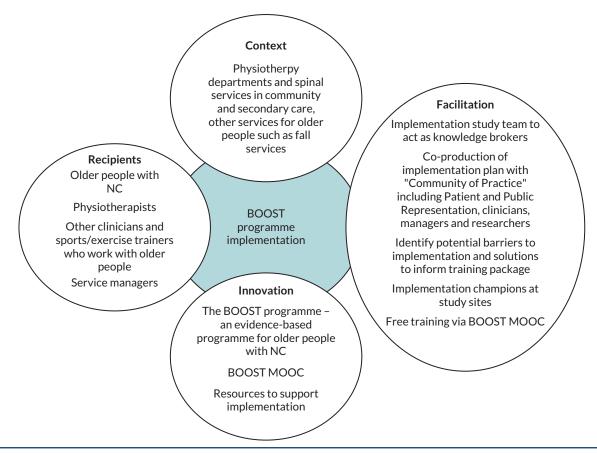


FIGURE 9 i-PARIHS framework.

We will use a synthetic control method to evaluate the probability that the optimisation has improved the intervention. Data from the BOOST trial will allow us to make comparisons with the original BOOST programme and the control arm.

Stage 2: implementation using the Better Outcomes for Older people with Spinal Trouble Massive Open Online Course

We will develop the online course in parallel with optimisation. We will invite up to 30 physiotherapists to complete the course. Learners will be asked to complete a post-course questionnaire and we will follow up 6 months later to find out whether they went on to implement the BOOST programme.

Learners will be invited to take part in an evaluation. We will conduct this evaluation with at least 10 physiotherapists from different centres and measure outcomes for 60 patients at baseline and 6 months (as for stage 1). We will assess the fidelity of intervention delivery through treatment logs and session observations and interview participating physiotherapists.

We will then carry out an analysis as described for stage 1 to ensure that the estimates of changes in pain and walking are similar to or better than those in the original trial before beginning widespread implementation.

The involvement of patients and/or the public

During the application process for this programme of work we assembled a PPI group, and we have continued to work closely with them. We advertised for PPI representatives via the INVOLVE website (https://involve.org.uk/) and approached organisations including the Rotary Club, the British Legion,

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Age UK, Men's Sheds, BackCare and the British Orthopaedic Associations Patient Liaison Group. PPI representatives were also identified from participants in the preparatory interview study.⁵⁵ Ms Judith Fitch is the lead PPI representative and a co-applicant. We appointed a PPI representative to be an independent member of the Programme Steering Committee (Dr James Watson). Ms Fitch attended the co-applicants' 6-monthly to yearly meetings to provide input into the progress of the programme of research. Dr Watson attended yearly Programme Steering Committee meetings.

Patient and public involvement engagement has been undertaken in face-to-face meetings, online meetings and via e-mails and phone calls. PPI representatives assisted with the development of the physiotherapy interventions, including attending the intervention refinement day, testing out the home exercises, assisting with development of the patient materials for the intervention, and posing as models for the exercise sheets.

Patient and public involvement representatives helped to develop the patient-facing materials. They provided feedback on layout and wording to make materials user-friendly. We piloted questionnaires with our PPI group, including completion of the OPAL baseline questionnaire by 20 older adults who spoke English as a second language to ensure suitability to participants from ethnic minorities. PPI representatives helped to develop the interview schedules and took part in practice interviews.

Patient and public involvement representatives helped to develop the prognostic tool. To widen the input we received, we advertised via the Oxford Biomedical Research Centre (BRC) and Oxford Collaborations in Leadership and Research in Health Care (CLARHC) PPI networks, the Patients Active in Research website (now known as 'involve': www.involve.org.uk/), and social media for more PPI representatives. We held a meeting in Oxford with seven PPI representatives and a meeting in Leeds with nine PPI representatives (aged 70–92 years). These discussions informed the final selection of the outcome (mobility question from EQ-5D-5L) and how we defined mobility decline. PPI representatives felt strongly that any decline (i.e. a single-step change downwards) needed to be considered important and included in the definition of decline. This is reflected in the analysis undertaken.

We met with our PPI contributors, who were very supportive of implementation of the BOOST programme, highlighting the importance of improving walking. Two PPI representatives are still involved in the BOOST implementation study. PPI representatives helped to write the plain English summary to disseminate findings.

Successes and challenges

Successes

At the outset of this programme of research, we outlined five success criteria:

- 1. Continued excellent engagement of PPI.
- 2. Predicted participant recruitment within the proposed time frame.
- Necessary follow-up rates to ensure that each study is adequately powered, allowing us to have confidence in the findings.
- 4. Trial interventions have been delivered as intended through the quality control and process evaluation elements.
- 5. Achieve anticipated outputs, which were:
 - [1] provide new knowledge interactions between health conditions, and attributable risk of disability and immobility associated with BP that will improve clinical and health decision-making
 - [2] refine prognostic algorithms for primary care
 - [3] potentially an evidence-based treatment package for NC
 - [4] information on cost and effectiveness to inform cost-effectiveness judgements, and provide

high-quality evidence applicable and generalisable to the NHS to inform clinical guidelines and practice in primary care

- [5] qualitative studies to support implementation
- [6] a strong dissemination package.

All five criteria have been achieved. We have worked closely with our PPI group and will continue to do so during BOOST programme implementation. The BOOST RCT and OPAL cohort study achieved their recruitment targets with high levels of participant follow-up. The interventions delivered in the BOOST RCT were delivered as intended with a high level of fidelity.

We have achieved a substantial number of outputs. As well as the outputs presented in this report, we have done additional OPAL cohort data analyses to increase knowledge and understanding of different health conditions, including knee and hip osteoarthritis, and how they contribute to health outcomes for older people. 117,118 We have produced a manualised physiotherapy intervention for older people with NC. The planned implementation work will make this available to clinical staff.

In addition, we have established a long-term cohort study which can be used to recruit participants to other intervention trials [e.g. Tailored Exercise Management for People aged 80 years or older with hip/knee Osteoarthritis: a feasibility study (www.isrctn.com/ISRCTN75983430); a study of the impact of the COVID pandemic on older people] and study longer-term outcomes (up to 5 years' follow-up). This programme of research has allowed us to establish new collaborations with a wide network of primary care practices and clinicians to deliver the BOOST RCT.

Challenges

We hoped to recruit a substantial number of participants via the OPAL cohort study into the BOOST RCT. Around 10% of trial participants were from OPAL. Barriers to recruitment via OPAL included existing referral mechanisms between primary care practices and physiotherapy providers, and lack of capacity within physiotherapy departments to deliver the BOOST intervention. Some departments would not accept OPAL participants because the participating primary care practice did not have a referral pathway/agreement with the participating physiotherapy department. At other sites, capacity was an issue, with departments being constrained in the number of participants they could accommodate for treatment. This was capped at some departments at the start of the trial, with no flexibility to increase despite recruiting well. These barriers need to be overcome to make best use of cohorts like OPAL and deliver efficient research.

There were some differences between OPAL-BOOST participants and OPAL participants reporting symptoms of NC. Those who took part in BOOST were better educated and from areas of less deprivation. We need to consider socioeconomic barriers to trial participation and tailor recruitment strategies to achieve good representation across different socioeconomic groups.

We were very fortunate to have completed the elements of this research that relied on face-to-face contact with participants prior to the COVID pandemic. Our team were able to complete the year 2 OPAL follow-up while working at home, and data analyses were undertaken; however, this did lead to delays in producing the final study results. The pandemic made it difficult to engage with clinical staff due to their overwhelming clinical demands, but we have done our best to take advantage of opportunities to engage with the clinical community, such as during conference presentations and results meetings with clinical collaborators.

Key findings

Back pain is a substantial and persistent problem for older people, associated with lower quality of life and age-related adverse health states including falls, frailty, low walking confidence and mobility decline. The impact is greatest when leg pain, especially NC, is reported. Although NC had the biggest impact,

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other presentations should not be ignored, due to the high proportion of participants who reported persistent symptoms.

The BOOST programme improved mobility and physical capacity, reduced falls in older people with NC and provided good value to the NHS. We have produced a manualised intervention that is ready for implementation. However, we only achieved short-term reduction in pain and pain-related disability. For a proportion of participants, pain remained problematic. On average, there were modest improvements in pain-related disability while participants were engaged with the programme and supported by the physiotherapist, but quantitative and qualitative data demonstrated the difficulty in maintaining long-term exercise adherence, which may have contributed to the reduced impact over time.

We have developed a prognostic tool to identify when older people are at risk of mobility decline. Back and leg pain had a univariable association with mobility decline but was not an independent predictor of mobility decline in the multivariable model. There were a variety of factors predictive of mobility decline in this model, including a range of domains (demographic and socioeconomic, mobility, general health and pain). We developed a scoring system for this tool so that it could be easily implemented in clinical practice, but it requires external validation.

Implications for practice and any lessons learnt

We have focused on NC, but there is also a need to consider how to better manage BP more generally in older adults. The majority of OPAL participants who reported BP at baseline had persistent symptoms. We are confident that the OPAL cohort is generalisable to community-dwelling older adults in England, so this represents a large proportion of the older population who are living with BP and not necessarily seeking health care. OPAL participants with symptoms suggestive of NC who did not take part in the BOOST trial reported lower educational attainment and lived in more deprived areas, but their clinical presentation was similar to those who did participate, suggesting they would have benefited from the BOOST programme. There is a need to develop interventions that could be delivered to the large number of older adults affected by BP, especially for those with more severe symptoms, and to understand how we can promote the uptake of interventions by people from lower socioeconomic groups to address health inequalities.

The improvement in clinical outcomes and cost-effectiveness of the BOOST programme indicates that implementation should be considered by clinicians and commissioners of services. However, we hope that we can better target pain during the planned implementation work. Treatment options are limited for this population, but there remains a need to help patients successfully manage their pain and to promote function and participation as part of healthy ageing. More consideration also needs to be given to how we support patients to transition from formal rehabilitation into lifelong habits of exercise and physical activity. There is a need for better linkage between rehabilitation services and community-based exercise provision and physical activity programmes.

We have focused on the development of a prognostic tool to identify mobility decline as this is a priority for older adults and key to maintaining independence in older age. This work highlighted a broader need to manage pain better in older people regardless of the type or presentation of pain. There were several mobility-related factors that were identified as predictors of mobility decline. These were present in some people who considered their mobility to be unaffected at baseline, so could be considered precursors to loss of mobility; they included slower walking speed, sometimes using a walking stick, low walking confidence and reduced balance. These are all modifiable factors that could be targeted through rehabilitation. The prognostic tool could be used not only by clinicians but also by older people and their families to spot signs of mobility decline and prompt them to seek rehabilitation rather than accepting it as part of old age. Matching treatments to risk factors may potentially slow mobility decline, and this requires evaluation.

Recommendations for future research

- Develop and evaluate interventions that can be delivered at scale to the large number of older people who experience low BP, including consideration of health inequalities and how they impact on care-seeking by older people with BP.
- 2. Identify ways to achieve long-term improvements in pain and pain-related disability in older people with NC.
- 3. Externally validate the OPAL predictive tool.
- 4. Evaluate the clinical and cost-effectiveness of implementation of the OPAL predictive tool to reduce mobility decline among those at risk of mobility decline.

Conclusions

We have successfully undertaken a cohort study, a RCT and an embedded qualitative study. The assembled cohort and associated data remain a valuable asset for future trial recruitment and data analyses to further our knowledge about the role of pain, activity and comorbidities in the development of disability, frailty, falls and immobility in older adults. The prognostic tool will enable clinicians, their patients and families to identify when an older person is at risk of mobility decline. We have demonstrated the substantial impact that back and leg pain has on older people's lives. We have produced an intervention for older adults with NC that improves mobility and physical capacity and reduces falls as well as being cost-effective, although we plan to further optimise the programme during implementation. Findings from this programme of research will inform future research focused on improving the lives of older people and the care they receive.

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Contributions of authors

Esther Williamson (https://orcid.org/0000-0003-0638-0406) was a co-applicant and the programme lead for this project, oversaw its design and delivery and is lead author of this report.

Maria T Sanchez-Santos (https://orcid.org/0000-0003-1908-8623) undertook the analysis of the OPAL cohort data.

loana R Marian (https://orcid.org/0000-0002-0692-8112) conducted the statistical analysis of the BOOST RCT data.

Mandy Maredza (https://orcid.org/0000-0002-2030-3338) conducted the analysis of the health economic data.

Cynthia Srikesavan (https://orcid.org/0000-0002-3540-8052) conducted the analysis of the qualitative research data.

Angela Garrett (https://orcid.org/0000-0002-7271-6345) was the trial manager for the project and participated in the design, data collection and analysis of the study.

Alana Morris (https://orcid.org/0000-0002-3001-7888) was instrumental in the conduct of the OPAL cohort study including co-ordinating database searches and mail-outs and liaising with primary care practice staff.

Graham Boniface (https://orcid.org/0000-0003-1253-0060) was the lead research physical therapist who worked closely with BOOST study sites to ensure the BOOST interventions were correctly delivered, and supported the analysis of the qualitative research data.

Susan J Dutton (https://orcid.org/0000-0003-4573-5257) provided statistical oversight for the BOOST RCT.

Frances Griffiths (https://orcid.org/0000-0002-4173-1438) was a co-applicant and lead qualitative researcher on the study.

Gary S Collins (https://orcid.org/0000-0002-2772-2316) was a co-applicant who aided the statistical design and analysis of the study.

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Lesley Ward (https://orcid.org/0000-0001-7285-2032) contributed to developing the BOOST interventions and undertook the participant interviews for the qualitative study.

Richard Gagen (https://orcid.org/0000-0002-2750-1011) was instrumental in the collection and analysis of the BOOST MRI data.

Judith Fitch (https://orcid.org/0000-0002-6687-5200) was a co-applicant who aided the design and implementation of the study from the patients' perspective.

David P French (https://orcid.org/0000-0002-7663-7804) was a co-applicant who aided the design and analysis of the study.

Sallie E Lamb (https://orcid.org/0000-0003-4349-7195) was the chief investigator. She led the team that secured funding, developed interventions and undertook the studies reported. She is guarantor for the data and approved the final version of the manuscript.

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Publications

Published papers	Related BOOST programme of research objective (if applicable)	Related work package (if applicable)
BOOST trial and related work		
Williamson E, Boniface G, Marian IR, Dutton SJ, Garrett A, Morris A, Hansen Z, Ward L, Nicolson PJA, Rogers D, Barker KL, Fairbank J, Fitch J, French DP, Comer C, Mallen CD, Lamb SE; BOOST Research Group. The clinical effectiveness of a physiotherapy delivered physical and psychological group intervention for older adults with neurogenic claudication: the BOOST randomised controlled trial. <i>The Journals of Gerontology: Series A</i> , glac063. https://doi.org/10.1093/gerona/glac063	3	1,2,4
Griffiths F, Srikesavan C, Ward L, Boniface G, Williamson E, Lamb SE. Longitudinal qualitative study of living with neurogenic claudication. <i>BMJ Open</i> 2022; 12 (9):e060128. https://doi.org/10.1136/bmjopen-2021-060128	4	4
Maredza M, Khan K, Marian IR, Dutton SJ, Williamson E, Lamb SE, Petrou S. Economic costs, health-related quality of life outcomes and cost-utility of a physical and psychological group intervention targeted at older adults with neurogenic claudication. 2023;21:14. https://doi.org/10.1186/s12962-022-00410-y	4	4
Williamson E, Ward L, Vadher K, Dutton SJ, Parker B, Petrou S, Hutchinson CE, Gagen R, Arden NK, Barker K, Boniface G, Bruce J, Collins G, Fairbank J, Fitch J, French DP, Garrett A, Gandhi V, Griffiths F, Hansen Z, Mallen C, Morris A, Lamb SE. Better Outcomes for Older people with Spinal Trouble (BOOST) Trial: a randomised controlled trial of a combined physical and psychological intervention for older adults with neurogenic claudication, a protocol. <i>BMJ Open</i> 2018;8(10):e022205. https://doi.org/10.1136/bmjopen-2018-022205	3	1,4
Marian IR, Williamson E, Garrett A, Lamb SE, Dutton SJ. Better Outcomes for Older people with Spinal Trouble (BOOST) trial: statistical analysis plan for a randomised controlled trial of a combined physical and psychological intervention for older adults with neurogenic claudication. <i>Trials</i> 2020;21:667. https://doi.org/10.1186/s13063-020-04590-x	3	4

Published papers	Related BOOST programme of research objective (if applicable)	Related work package (if applicable)
Ward L, Williamson E, Hansen Z, French DP, Boniface G, Rogers D, Lamb SE; Better Outcomes for Older Adults with Spinal Trouble BOOST Group. Development and delivery of the BOOST (Better Outcomes for Older adults with Spinal Trouble) intervention for older adults with neurogenic claudication. <i>Physiotherapy</i> 2019; 105 (2):262–74. https://doi.org/10.1016/j.physio.2019.01.019	2	1
Lyle S, Williamson E, Darton F, Griffiths FE, Lamb SE. A qualitative study of older people's experience of living with neurogenic claudication to inform the development of a physiotherapy intervention. <i>Disability and Rehabilitation</i> 2017;10:1009–17. URL: https://ora.ox.ac.uk/objects/uuid:92c9ee3e-30eb-4fc0-95fd-08db3bc4707b	2	1
Gagen R. The value of magnetic resonance imaging in the assessment of degenerative lumbar spinal stenosis. PhD thesis: 2021 University of Warwick. URL: http://webcat.warwick.ac.uk/record=b3719133	3	4,5
Comer C, Lee H, Williamson E, Lamb SE. Understanding the mechanisms of a combined physical and psychological intervention for people with neurogenic claudication: protocol for a causal mediation analysis of the BOOST trial. <i>BMJ Open</i> 2020:037121 https://doi.org/10.1136/bmjopen-2020-037121	3	4
OPAL cohort study and related work		
Sanchez-Santos MT, Williamson E, Bruce J, Ward L, Mallen CD, Garrett A, Morris A, Lamb SE on behalf of the OPAL study team. Cohort profile: Oxford Pain, Activity and Lifestyle (OPAL) Study, a prospective cohort study of older adults in England. BMJ Open 2020:037516. http://doi.org/10.1136/bmjopen-2020-037516	1,5	2,3
Nicolson PJA, Sanchez-Santos MT, Bruce J, Kirtley S, Ward L, Williamson E, Lamb SE. Risk factors for mobility decline in community-dwelling older adults: a systematic literature review. <i>Journal of Aging and Physical Activity</i> 2021;29:1053–66. https://doi.org/10.1123/japa.2020-0482	5	3
Sanchez-Santos MT, Williamson E, Nicolson PJA, Bruce J, Collins GS, Mallen CD, Griffiths F, Garret A, Morris A, Slark M, Lamb SE; OPAL study team. Development and validation of a prediction model for self-reported mobility decline in community-dwelling older adults. <i>Journal of Clinical Epidemiology</i> 2022; 152 :70–9. https://doi.org/10.1016/j.jclinepi.2022.09.002	5	3
Williamson E, Sanchez-Santos MT, Morris A, Garrett A, Conway O, Boniface G, Fairbank J, Lamb SE. The prevalence of back and leg pain and the cross-sectional association with adverse health outcomes in community dwelling older adults in England. <i>Spine</i> 2021;46:54–61. URL: https://ora.ox.ac.uk/objects/uuid:911cf725-135f-4951-a1b2-28eb2032d9ab	1	3
Nicolson PJA, Williamson E, Morris A, Sanchez-Santos MT, Bruce J, Silman A, Lamb SE. Musculoskeletal pain and loneliness, social support and social engagement among older adults: analysis of the Oxford Pain, Activity and Lifestyle cohort. <i>Musculoskeletal Care</i> 2021;19(3):269–77. https://doi.org/10.1002/msc.1526		
Nicolson PJA, Williamson E, Lee H, Morris A, Garrett A, Sanchez-Santos MT, Lamb SE. Synergistic effects of hip/knee osteoarthritis and comorbidities on mobility and self-care limitations among older adults: cross-sectional analysis of the Oxford Pain, Activity and Lifestyle study. <i>Journal of Comorbidity</i> 2020; 10 :2235042X20974529. https://doi.org/10.1177/2235042x20974529		
Other		

Other

Gandhi V, Brown D, Williamson E. Rehabilitation for Older Adults with Low Back Pain. *Lumbar Spine Textbook* Section 10: *Non-operative Spine Care*, *Chapter* 11. URL: www. wheelessonline.com/ISSLS/section-10-chapter-11-rehabilitation-for-older-adults-with-low-back-pain (accessed 18 August 2023).

Ethical statement

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Ethical approval for the OPAL cohort study and the BOOST trial was provided by the London-Brent Research Ethics Committee (16/LO/0348) on 10 March 2016.

Data-sharing statement

All data requests should be submitted to the Chief Investigator of this programme of research for consideration. Access to anonymised data may be granted following review. Requests can also be made to Professor Sallie Lamb via e-mail s.e.lamb@exeter.ac.uk.

Presentations

Lyle SA, Williamson E, Darton FC, Griffiths FE, Lamb SE. From Data Collection to Intervention development: A qualitative study of older people's experiences of living with neurogenic claudication. Oral Presentation: 14th International Forum for Back and Neck Pain Research in Primary Care. 2016.

Boniface G, Williamson E, Ward L, Hansen Z, French D, Rogers D, Lamb SE. Development of the BOOST Programme: a physiotherapy intervention addressing the physical and psychological impact of neurogenic claudication in older adults. Oral Presentation: 16th International Forum for Back and Neck Pain Research in Primary Care. July 2019.

Boniface G, Williamson E, Ward L, Hansen Z, Gandhi V, Nicolson P, Garrett A, Lamb SE. Ensuring treatment fidelity to a group based physical and psychological intervention for older adults with neurogenic claudication. Poster Presentation: 16th International Forum for Back and Neck Pain Research in Primary Care. July 2019.

Ward L, Griffiths F, Williamson E, Robinson R, Boniface G, Lamb SE. Developing a framework for the analysis of longitudinal qualitative data in clinical trials. Oral Presentation: 16th International Forum for Back and Neck Pain Research in Primary Care. July 2019.

Ward L, Griffiths F, Williamson E, Boniface G, Lamb SE. The physical and psychological impact of neurogenic claudication on older adults' responses to physiotherapy. Oral Presentation: 16th International Forum for Back and Neck Pain Research in Primary Care. July 2019.

Ward L, Lamb SE, Williamson E, Robinson R, Griffiths FE. Drowning in data! Designing a novel approach to longitudinal qualitative analysis. Oral Presentation: 4th Qualitative Health Research Network (QHRN) Conference. March 2019.

Williamson E, Boniface G, Marian IR, Dutton SJ, Maredza M, Petrou S, Garrett A, Morris A, Hansen Z, Ward L, Nicolson P, Barker K, Fairbank J, Fitch J, Rogers D, Comer C, French D, Mallen C, Lamb SE. The clinical and cost-effectiveness of a physiotherapy delivered physical and psychological group intervention for older adults with neurogenic claudication: the BOOST randomised controlled trial. Oral Presentation: 17th International Forum for Back and Neck Pain Research in Primary Care. Nov 2021.

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Appendix 1 Additional information about the OPAL study

TABLE 5 List of all variables collected for the OPAL cohort study

	Measure
Demographic	Age, sex, ethnicity, relationship status, weight and height, number of children. Alcohol and smoking behaviour. Postcode, current occupation, main occupation during lifetime, self-rating of strenuousness of occupation, type of housing, education, requires a carer or not, adequacy of income, it internet access
Comorbidity	Self-report of current health conditions Self-reported fractures
Incontinence	Two items from Barthel Index ^{33,34}
Medication	Self-reported medication use
Sleep and fatigue	Fatigue – Tilburg Frailty Index question ²⁹ Sleep – Sleep quality overall rating from Pittsburgh Sleep Quality Index ³⁵ and average number of hours' sleep each night
BP	Report of BP in last 6 weeks, troublesomeness, onset of BP and nature of BP (comes and goes, fairly constant; better, worse) ¹²² Report of leg pain and symptoms Screening questions for neurogenic claudication ²⁸
Other pain	Nordic pain questionnaire ^{42,43}
Disability and HRQoL	EQ-5D-5L ^{81,82}
Physical activity	Rapid Assessment Disuse Index (modified) ⁷⁸ Lifetime physical activity ¹²³ Self-report of physical activity over lifespan ¹²⁴ Participation in clubs and groups ¹²⁴
Mobility	Change in mobility in the last year Self-rated walking speed ¹²⁵ Use of walking aids Mobility concerns Life-space assessment ¹²⁶ Access to transport ¹²⁴
Frailty	Tilburg Frailty Index ²⁹
Balance and falls	Balance question in Tilburg Frailty Index Self-reported falls in the last 12 months ³²
Cognition	Clock Drawing Test ³⁷
Quality of life	EQ-5D-5L ^{81,82}
Self-efficacy	Single item from the Modified Gait Self-Efficacy Scale (10-item) ³¹
Depression and anxiety	Questions in the EQ-5D, self-report of current health conditions and Tilburg Frailty Index
Beliefs about ageing	Attitude to Ageing questionnaire – physical changes subscale ¹²⁷
Health resource use	Consent to collect HES data included on OPAL cohort study consent form

TABLE 6 Baseline characteristics of the OPAL cohort according to BP groups

		BP groups			
Characteristics	Overall (n = 5304)	No BP (n = 2483)	BP only (n = 1786)	BP + non-NC leg pain (n = 441)	BP + NC (n = 594)
Age (years), mean (SD)	74.9 (6.8)	74.8 (6.8)	74.7 (6.6)	74.4 (6.6)	76.2 (7.2)
Age categories, n (%)	0070 (5 (0)	4.44.5 (57.0)	404 (/5 (0)	050 (50 7)	000 (47.5)
65-74 years	2972 (56.0)	1415 (57.0)	1016 (56.9)	259 (58.7)	282 (47.5)
75-84 years	1823 (34.4)	833 (33.5)	615 (34.4)	144 (32.6)	231 (38.9)
≥85 years	509 (9.6)	235 (9.5)	155 (8.6)	38 (8.6)	81 (13.6)
Female, n (%)	2720 (51.3)	1154 (46.5)	970 (54.3)	256 (58.1)	340 (57.2)
Education, <i>n</i> (%) Higher education	1889 (35.6)	922 (37.1)	657 (36.8)	130 (29.5)	180 (30.3)
Secondary	2990 (56.4)	1392 (56.1)	1004 (56.2)	258 (58.5)	336 (56.6)
None or primary	393 (7.4)	159 (6.4)	117 (6.6)	44 (10.0)	73 (12.3)
IMD, n (%) C1 – most affluent	2980 (56.2)	1417 (57.1)	1053 (59.0)	217 (49.2)	293 (49.3)
C2	1512 (28.5)	712 (28.7)	491 (27.5)	139 (31.5)	170 (28.6)
C3	436 (8.2)	217 (8.7)	121 (6.8)	40 (9.1)	58 (9.8)
C4 - most deprived	376 (7.1)	137 (5.5)	121 (6.8)	45 (10.2)	73 (12.3)
BMI, mean (SD)	26.6 (4.9)	26.2 (4.6)	26.4 (4.7)	27.2 (5.1)	28.5 (5.8)
Smoking, n (%), never	2640 (49.8)	1288 (51.9)	880 (49.3)	199 (45.1)	273 (46.0)
Ex/current	2649 (49.9)	1193 (48.1)	900 (50.4)	239 (54.2)	317 (53.4)
Multisite pain (0–7), median (IQR)	2 (1-3)	1 (0-2)	2 (1-3)	3 (1-4)	3 (2-5)
Comorbidities (0–11), median (IQR)	2 (1-2)	1 (1-2)	2 (1-2)	2 (1-3)	2 (1-3)
Number of adverse health states (0–6), median (IQR) ^a	1 (0-2)	0 (0-1)	1 (0-2)	2 (0-3)	2 (1-4)
Confidence to walk (1-10) ^b , median (IQR)	1 (1-3)	1 (1-1)	1 (1-3)	1 (1-6)	5 (1-9)
Reduced cognitive function, <i>n</i> (%)	760 (14.3)	349 (14.1)	224 (12.5)	88 (20.0)	99 (16.7)
EQ-5D crosswalk index value, mean (SD)	0.77 (0.20)	0.85 (0.16)	0.76 (0.18)	0.65 (0.25)	0.59 (0.23)
Age at onset of BP, n (%)					
≤40 years			571 (32.0)	144 (32.7)	171 (28.8)
41-64 years			644 (36.1)	163 (37.0)	228 (38.4)
65-74 years			360 (20.2)	90 (20.4)	117 (19.7)
≥75 years			191 (10.7)	40 (9.1)	69 (11.6)
BP frequency, n (%)					
Rarely/few days			702 (39.3)	69 (15.7)	50 (8.4)
Some days			494 (27.7)	140 (31.8)	114 (19.2)
Most days/every day			567 (31.8)	227 (51.5)	422 (71.0)

 TABLE 6
 Baseline characteristics of the OPAL cohort according to BP groups (continued)

		BP groups			
Characteristics	Overall (n = 5304)	No BP (n = 2483)	BP only (n = 1786)	BP + non-NC leg pain (n = 441)	BP + NC (n = 594)
BP troublesomeness (intensity	r), n (%)				
Not at all/slightly			1140 (63.8)	180 (40.8)	136 (22.9)
Moderate			493 (27.6)	160 (36.3)	244 (41.1)
Very or extreme			145 (8.1)	97 (22.0)	207 (34.9)
BP pattern since onset, n (%)					
Getting better			66 (3.7)	11 (2.5)	9 (1.5)
Getting worse			70 (3.9)	50 (11.3)	135 (22.7)
Fairly constant			293 (16.4)	126 (28.6)	200 (33.7)
Comes and goes over time			1338 (74.9)	249 (56.5)	245 (41.3)

IQR, interquartile range.

TABLE 7 BP presentation at 2 years among OPAL participants with back and leg pain at baseline stratified by baseline BP and leg pain groups (*n*, %)

	All participants with BP with	Baseline back	and leg pain presentation	
Two-year follow-up	and without leg pain at baseline (n = 2139)	BP only (n = 1411)	BP + non-NC leg pain (n = 316)	BP + NC leg pain (n = 394)
BP presentation at 2 years	5			
No BP	489 (22.9)	386 (27.4)	55 (17.4)	43 (10.9)
BP only	1056 (49.4)	816 (57.8)	119 (37.7)	115 (29.2)
BP + non-NC leg pain	216 (10.1)	82 (5.8)	79 (25.0)	53 (13.5)
BP + NC leg pain	339 (15.9)	105 (7.4)	56 (17.7)	175 (44.4)
BP frequency, n (%)				
Rarely/few days	376 (17.6)	289 (20.5)	52 (16.5)	34 (8.6)
Some days	441 (20.6)	309 (21.9)	57 (18.0)	72 (18.3)
Most days/every day	795 (37.2)	403 (28.6)	148 (46.8)	236 (59.9)
BP troublesomeness (inter	nsity), n (%)			
Not at all/slightly	805 (37.6)	598 (42.4)	104 (32.9)	100 (25.4)
Moderate	554 (25.9)	317 (22.5)	88 (27.9)	143 (36.3)
Very or extreme	262 (12.3)	93 (6.6)	65 (20.6)	101 (25.6)
BP pattern, n (%)				
Getting better	61 (2.9)	36 (2.6)	11 (3.5)	13 (3.3)
Getting worse	125 (5.8)	45 (3.2)	27 (8.5)	52 (13.2)
Fairly constant	434 (20.3)	207 (14.7)	86 (27.2)	134 (34.0)
				continued

a Participants rating their confidence to walk at 9-10/10 were categorised as having low walking confidence.

b Scores were inverted; therefore, higher score is worse outcome.

TABLE 7 BP presentation at 2 years among OPAL participants with back and leg pain at baseline stratified by baseline BP and leg pain groups (*n*, %) (*continued*)

	All participants with BP with	Baseline back	and leg pain presentation	
Two-year follow-up	and without leg pain at baseline (n = 2139)	BP only (n = 1411)	BP + non-NC leg pain (n = 316)	BP + NC leg pain (n = 394)
Comes and goes over time	988 (46.2)	715 (50.7)	131 (41.5)	139 (35.3)
Pain interference with daily activities, mean (SD)	3.4 (2.6)	2.8 (2.4)	3.7 (2.6)	4.8 (2.5)
Proportion reporting severely interfering BP, ^a n (%)	233 (10.8)	90 (6.4)	39 (12.3)	103 (26.1)

a There were 18 participants with missing data about BP presentation at baseline.

Appendix 2 Additional information about the BOOST trial

TABLE 8 Prespecified subgroups based on MRI parameters

Measures	Subgroups
MRI parameters	
Central canal stenosis present	Minimum dural sac cross-sectional area (DS-CSA) < 100 $\mathrm{mm^2}$
Lateral recess stenosis present	Minimum diameter < 3 mm
Single/multiple level stenosis	No spinal level with DS-CSA \leq 100 mm ² / a single DS-CSA \leq 100 mm ² / more than one level with DS-CSA \leq 100 mm ²
Qualitative grading of central canal stenosis	Grades A and B / grades C and D of central canal stenosis based on the degree of stenosis based on the amount of cerebrospinal fluid space around the nerve roots of the cauda equina $\frac{1}{2}$
Qualitative grading of entrapment in the lateral recess	No entrapment (grades 0 and 1) / entrapment (grades 2 and 3)
Qualitative grading of nerve root entrapment in the neural exit foramen	No foraminal nerve root entrapment (grade 0) / foraminal nerve root entrapment (grades 1, 2 or 3) $$
Other factors	
Age	65-74 years / ≥ 75 years
Gender	Male/female
Tilburg Frailty Index	Scores 0-4 / 5+
Fear Avoidance Beliefs Questionnaire	Scores 0-14 / 15 +
STartBack Risk Stratification	Low/medium/high risks
Hand grip strength	Men: < 30 / 30 + Women: < 20 / 20 +
SPPB scores	Scores: 0–6 low performance / 7–9 intermediate performance / $10-12$ high performance

TABLE 9 BOOST trial sites with population profiles for ethnicity and deprivation

					Asian or Asian British	ian	Black, African, Caribbean or Black British	can, or sh	Mixed or multiple ethnic groups	White	Other ethnic group	thnic	i to
B	BOOST trial site	participants	Region name	Area name	u	%	u	%	w u	% N	u	%	index
	Royal Orthopaedic Hospital Birming- ham	50	West Midlands	Birmingham	285,640	26.6	96,360	9.0	47,605 4.4	621,636 57.9	21,804	5.0	38.10
2.	Sandwell and West 30 Birmingham	30	West Midlands	Sandwell	59,258	19.2	18,357	0.9	10,199 3.3	215,471 69.9	4778	3 1.6	34.90
က်	Oxford University	54	South East	Oxford	18,827	12.4	7028	4.6	6035 4.0	117,957 77.7	2059	1.4	16.7
	Hospitals		South East	Cherwell	6039	4.3	1961	1.4	2560 1.8	130,761 92.2	547	, 0.4	14.4
			South East	South Oxfordshire	2405	1.8	768	9.0	1801 1.3	128,993 96.1	290	0.2	8.5
			South East	Vale of White Horse	2962	2.4	1230	1.0	1574 1.3	114,824 94.9	398	3 0.3	8.4
			South East	West Oxfordshire	1424	1.4	437	4.0	1263 1.2	101,469 96.8	186	0.2	8.7
4		34	North West	Knowsley	1403	1.0	505	0.3	1913 1.3	141,858 97.2	214	0.1	43.00
	ougns NHS Irust		North West	St. Helens	1764	1.0	248	0.1	1179 0.7	171,877 98.0	240	0.1	31.50
5.	East Cheshire NHS 23 Trust	23	North West	Cheshire East	0909	1.6	1402	9.0	3873 1.0	357,940 96.7	852	0.5	14.50
9	Cambridgeshire Community Ser- vices	36	East	Huntingdonshire	4190	2.5	1642	1.0	2530 1.5	160,691 94.8	455	0.3	12.60
7.	7. Wirral University Teaching Hospital	35	North West	Wirral	5116	1.6	969	0.2	3286 1.0	310,156 97.0	530	0.2	29.60
œ	Leeds Teaching Hospitals	2	Yorkshire and Leeds The Humber		58,243	7.8	25,893	3.4	19,632 2.6	639,487 85.1	8230	1.1	27.30

				Asian or Asian British	ue	Black, African, Caribbean or Black British	can, or sh	Mixed or multiple ethnic groups	White	Other ethnic group	ji	
BOOST trial site	participants	Region name	Area name	u	%	u	%	, u	% N	u	%	index
9. Birmingham Community Trust	35	West Midlands	Birmingham	285,640	26.6	96,360	9.0	47,605 4.4	621,636 57.9	21,804	2.0	38.10
10. Leeds Community Healthcare	30	Yorkshire and The Humber	Leeds	58,243	7.8	25,893	3.4	19,632 2.6	639,487 85.1	8230	1.1	27.30
11. Royal Liverpool and Broadgreen University Hospital	25	North West	Liverpool	19,403	4.2	12,308	2.6	11,756 2.5	414,671 88.9	8277	1.8	42.40
12. Dorset University Hospitals NHS Trust	26	South West	Dorset	3338	0.9	841	0.2	2895 0.8	357,726 98.0	353	0.1	15.70
13. Croydon University 14 Hospital	. 14	London	Croydon	59,627	16.4	73,256	20.2	23,895 6.6	200,195 55.1	6405	1.8	22.50
14. Gloucestershire Community Care Services NHS Trust	21	South West	Cotswold	794	1.0	229	0.3	698 0.8	81,075 97.8	82	0.1	11.10
15. Wiltshire Health and Care	20	South West	Wiltshire	6178	1.3	3228	0.7	5568 1.2	454,971 96.6	1036	0.2	13.40

Data source: Population Profiles for Local Authorities and Regions in England, www.ons.gov.uk/peoplepopulationandcommunity/populationandmigration/populationestimates/articles/ populationprofilesforlocalauthoritiesinengland/2020-12-14.

TABLE 10 Baseline characteristics of BOOST RCT participants [mean (standard deviation) or n (%), unless stated]

Variables ^a	BPA (n = 143)	BOOST programme (n = 292)	Overall (n = 435)
Age (years) at baseline	75.0 (5.6)	74.8 (6.2)	74.9 (6.0)
Female	83 (58.0%)	163 (55.8%)	246 (56.6%)
White ethnicity	132 (92.3%)	268 (91.8%)	400 (91.9%)
Relationship status: Married/civil union/cohabiting	97 (67.8%)	194 (66.40%)	291 (66.9%)
Unmarried/separated/divorced	16 (11.2%)	31 (10.7%)	47 (10.8%)
Widow/widower	30 (21.0%)	67 (22.9%)	97 (22.3%)
Care requirements: Has an unpaid carer	31 (21.7%)	54 (18.5%)	85 (19.5%)
Has a paid carer	6 (4.2%)	10 (3.4%)	16 (3.7%)
Work status: Retired	125 (87.4%)	263 (90.1%)	388 (89.2%)
Working (full- or part-time)	10 (6.9%)	24 (8.2%)	34 (7.8%)
Education: None or primary education	4 (2.8%)	18 (6.2%)	22 (5.1%)
Secondary education	80 (55.9%)	170 (58.2%)	250 (57.5%)
Higher professional/university education	59 (41.3%)	104 (35.6%)	163 (37.5%)
Smoking status: Never smoked	61 (42.7%)	136 (46.6%)	197 (45.3%)
Former smoker	75 (52.4%)	140 (47.9%)	215 (49.4%)
Current smoker	7 (4.9%)	16 (5.5%)	23 (5.3%)
вмі	30.0 (5.4)	29.9 (4.8)	29.9 (5.0)
Number of comorbidities reported, median (IQR)	3 (2-4)	2 (2-4)	2 (2-4)
Nordic pain questionnaire: 42,43 Single-site pain	14 (9.8%)	16 (5.5%)	30 (6.9%)
Multisite pain	129 (90.2%)	276 (94.5%)	405 (93.1%)
STarTBack: ¹²⁸ Low risk	48 (33.8%)	109 (37.6%)	157 (36.3%)
Medium risk	67 (47.2%)	138 (47.6%)	205 (47.5%)
High risk	27 (19.0%)	43 (14.8%)	70 (16.2%)
Classified as frail, h (%)	80 (55.9%)	130 (44.5%)	210 (48.3%)
Self-rated outdoor walking speed, ¹²⁵ median (IQR)	4 (3-4)	4 (3-4)	4 (3-4)
Change in mobility: better now than 1 year ago	9 (6.3%)	15 (5.2%)	24 (5.5%)
About the same	30 (21.0%)	86 (29.5%)	116 (26.7%)
Worse now than 1 year ago	104 (72.7%)	191 (65.4.8%)	295 (67.8%)
Use of walking aids outside: Yes	40 (28.0%)	75 (25.7%)	115 (26.4%)
Sometimes	28 (19.6%)	55 (18.8%)	83 (19.1%)
Use of walking aids inside: Yes	9 (6.3%)	16 (5.5%)	25 (5.7%)
Sometimes	15 (10.5%)	35 (12.0%)	50 (11.5%)
Attitudes to Ageing Questionnaire 127,c	28.7 (6.6)	29.0 (5.9)	28.9 (6.1)
Intention to exercise, 129 median (IQR)d	6 (6-7)	6 (6-7)	6 (6-7)

TABLE 10 Baseline characteristics of BOOST RCT participants [mean (standard deviation) or n (%), unless stated] (continued)

Variables ^a	BPA (n = 143)	BOOST programme (n = 292)	Overall (n = 435)
Exercise self-efficacy scale, 130,e median (IQR)	68 (54-80)	70 (52-81)	69 (53-80)
Walking self-efficacy ^f	5.3 (3.3)	5.7 (3.3)	5.6 (3.3)
Confidence in ability to self-manage symptoms ^g	6.1 (1.78)	6.1 (1.81)	6.1 (1.80)
Fear avoidance beliefs ^h	12.7 (5.4)	13.0 (6.1)	12.9 (5.9)

- a Baseline data for clinical outcomes are available in Tables 2 and 3.
- b Based on the Tilburg Frailty Index score of ≥ 5.
- c Range 8-40, higher score indicates a more positive attitude to ageing.
- d Range 1-7, higher scores indicates stronger intensions.
- e Range 0-90, higher score indicates greater self-efficacy.
- f Range 0–10, higher score indicates greater self-efficacy.
- g Range 0–10, indicates greater self-efficacy to walk half a mile.
- h Range 4–24, higher scores indicating greater fear avoidance. From Williamson $et\ al.^{71}$

TABLE 11 Baseline characteristics of OPAL participants taking part and not taking part in BOOST RCT [mean (standard deviation) or n (%), unless stated]

	BOOST-OPAL participants (n = 44ª)	OPAL participants reporting NC symptoms and not in BOOST (n = 568b)
Sociodemographic		
Age (years) at baseline	73.6 (1.0)	76.3 (0.3)
Female	24 (54.6)	329 (57.9)
Education: None/primary education	4 (9.1)	70 (12.3)
Secondary education	17 (38.6)	325 (57.2)
Higher professional/university education	23 (52.3)	168 (29.6)
IMD quintiles, n (%): C1 – most affluent	12 (27.3)	176 (31.0)
C2	12 (27.3)	104 (18.3)
C3	14 (31.8)	108 (19.0)
C4	6 (13.6)	77 (13.6)
C5 – most deprived	0 (0.0)	103 (18.1)
General health		
EQ-5D Utility Score	0.647 (0.181)	0.584 (0.010)
BMI	29.7 (1.07)	28.44 (0.24)
Number of comorbidities reported, median (IQR)	2 (1-3)	2 (1-3)
Pain		
BP troublesomeness		
Not at all/slightly	13 (29.5)	129 (22.7)
Moderate	15 (34.1)	235 (41.4)
		continued

 TABLE 11
 Baseline characteristics of OPAL participants taking part and not taking part in BOOST RCT [mean (standard)
 deviation) or n (%), unless stated] (continued)

	BOOST-OPAL participants (n = 44ª)	OPAL participants reporting NC symptoms and not in BOOST (n = 568b)
Very or extreme	16 (36.4)	197 (34.7)
Nordic Pain Questionnaire		
Pain-free	0 (0.0)	7 (1.2)
Single-site pain	0 (0.0)	46 (8.1)
Multisite musculoskeletal pain	44 (100.0)	515 (90.7)
Mobility		
Change in mobility		
Much better now than 1 year ago	1 (2.3)	9 (1.6)
Somewhat better than 1 year ago	3 (6.8)	19 (3.4)
About the same	19 (43.2)	214 (37.7)
Somewhat worse than 1 year ago	17 (38.6)	239 (42.1)
Much worse now than 1 year ago	4 (9.1)	84 (14.8)
Walking self-efficacy, ^c median (IQR)	2 (1-6)	5 (1-9)
Frailty and falls		
Classified as frail, ^d n (%)	20 (45.5)	349 (61.4)
Fall in the last year	21 (47.7)	262 (46.1)

IQR: interquartile range.

a Identified from OPAL baseline or year 1 questionnaire with NC confirmed by clinical assessment.
 b From self-report in OPAL baseline questionnaire. A total of 594 participants were identified at baseline, with 26 of these taking part in BOOST.

c Range 0–10, with higher score indicating lower self-efficacy to walk half a mile.

d Based on the Tilburg Frailty Index score of ≥ 5 .

TABLE 12 Selected patient-reported outcomes

		BPA		BOOS	BOOST programme		
Outcome		e e	Unadjusted mean (SD) ^a	2	Unadjusted mean (SD) ^a	Between-group difference ^a (95% CI)	p-value
ODIb	Baseline	143	32.3 (14.2)	292	33.2 (13.7)	n/a	
	6 months	125	33.2 (15.9)	258	30.2 (16.5)	-3.7 (-6.27 to -1.06)	900.0
	12 months	127	33.0 (17.4)	253	31.7 (18)	-1.4 (-4.03 to 1.17)	0.281
ODI walking item ^b	Baseline	143	1.8 (1.2)	292	1.8 (1.2)	n/a	
	6 months	125	1.8 (1.3)	258	1.6 (1.3)	-0.2 (-0.44 to -0.02)	0.033
	12 months	126	1.9 (1.4)	253	1.6 (1.4)	-0.2 (-0.45 to -0.01)	0.041
One or more falls, n (%)	Baseline	143	50 (35%)	292	115 (39.4%)	n/a	
	Over 12 months	125	59 (41.3%)	257	96 (32.9%)	0.6 (0.40 to 0.98) ^d	0.041
Broken bones from a fall, $^{\rm e}$ n (%)	Baseline	143	4 (2.8%)	292	8 (2.7%)	n/a	
	Over 12 months	127	9 (7.1%)	253	17 (6.7%)	n/a	
SSSS symptom scale ^f	Baseline	143	3.0 (0.60)	292	3.0 (0.60)	n/a	
	6 months	119	2.8 (0.80)	247	2.7 (0.80)	-0.2 (-0.28 to -0.02)	0.025
	12 months	113	2.8 (0.80)	229	2.7 (0.80)	-0.1 (-0.19 to 0.08)	0.428
Troublesomeness, median (IQR)	Baseline	125	4.0 (3.0-4.0)	258	4.0 (3.0-4.0)	n/a	
	6 months	125	3.0 (3.0-4.0)	258	3.0 (2.0-4.0)	0.5 (0.27 to 0.87) ^d	0.014
	12 months	127	3.0 (2.0-4.0)	253	3.0 (2.0-4.0)	0.8 (0.45 to 1.43) ^d	0.454
							continued

 TABLE 12
 Selected patient-reported outcomes (continued)

		ВРА		BOOS.	BOOST programme		
Outcome		u	Unadjusted mean (SD) ^a	u	Unadjusted mean (SD) ^a	Between-group difference ^a (95% CI)	<i>p</i> -value
Global rating of perceived change [®]	Baseline		n/a		n/a	n/a	
	6 months	125	4.0 (3.0–5.0)	257	3.0 (2.0, 5.0)	-0.4 (-0.75 to -0.11)	0.009
	12 months	127	4.0 (3.0-5.0)	252	4.0 (3.0, 5.0)	0.0 (-0.30 to 0.34)	0.902
Satisfaction: treatment, $^{\text{h}}$ median (IQR)	Baseline		n/a		n/a	n/a	
	6 months	125	3.0 (2.0-4.0)	256	3.0 (2.0-4.0)	$2.5 (1.41 \text{ to } 4.44)^d$	0.002
	12 months	126	2.0 (2.0-4.0)	248	3.0 (2.0-4.0)	2.7 (1.54 to 4.83) ^d	0.001

ODI analysis adjusted for age, gender and baseline ODI. Model includes repeated measures with random effects for participant and centre. A total of 403 participants contributed to a Unless indicated. b ODI analysis adju the model.

Mixed-effects ordinal logistic regression analysis adjusted for age, gender and baseline score, with repeated measures within participant and centre.

Mixed-effects linear regression analysis adjusted for age and gender with repeated measures within participant and centre.

Participant satisfaction mixed-effects ordinal logistic regression analysis adjusted for age and gender with repeated observations within participant and centre. c Mixed-effects ordinal logistic regression analysis adjusted for age, gender and baseline score, with repeated measures within participant and Adjusted odds ratio (95% CI).

e Given the low event rate reported for number of broken bones following fall, no statistical test was used for comparison.

f Mixed-effects linear regression analysis adjusted for age, gender and baseline score, with repeated measures within participant and centre.

g Mixed-effects linear regression analysis adjusted for age and gender with repeated measures within participant and centre.

h Participant satisfaction mixed-effects ordinal logistic regression analysis adjusted for age and gender with repeated observations within par

TABLE 13 Walking and physical performance tests

		BPA		BOOST	BOOST programme		
Outcome		u	Unadjusted mean (SD)³		Unadjusted mean (SD)ª	Between-group difference (95% CI)	p-value
6MWT⁵	Baseline	143	260.4 (101.30)	292	252.9 (98.10)	n/a	
	6 months	118	266.3 (103.40)	240	283.5 (99.40)	22.5 (7.11 to 37.82)	0.004
	12 months	111	263.2 (106.70)	216	284.7 (105.40)	21.7 (5.96 to 37.38)	0.007
SPPB, ^b median (IQR)	Baseline	143	9.0 (8.00, 11.00)	291	9.0 (7.00, 11.00)	n/a	
	6 months	118	9.0 (7.00, 11.00)	245	10.0 (8.00, 11.00)	0.6 (0.19 to 0.97)	0.003
	12 months	112	9.5 (7.00, 11.00)	218	10.5 (8.00, 12.00)	0.4 (0.00 to 0.80)	0.052
						-	

Unless indicated. Mixed-effects linear regression analysis adjusted for age, gender and baseline score, with repeated measures within participant and centre.

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Appendix 3 More information about the BOOST programme

TABLE 14 BOOST programme discussion topics

Week/ session	Theme	Topics covered	Key behaviour change ¹⁵
1/1	Introduction to BOOST	Overview of NC; role of activity in managing NC; lumbar flexion for pain relief; exercising guidelines	Consequences of behaviour (individ- ual); performance feedback
1/2	Increasing mobility – the role of pain	Pain ≠ damage; sensitivity and deconditioning; pain memory; medication use	As above; set graded tasks
2/3	Increasing mobility – improving strength and fitness	Age- and activity-related muscle changes; benefits of exercise; overcoming barriers to exercise; fear avoidance behaviour	
2/4	Increasing mobility – modifying activities	Under-/over-activity cycle; pacing; baseline setting; graded activity; managing symptom aggravation	
3/5	Increasing mobility – home programme phase 1	Exercising safely at home; integrating exercise into daily routines; using exercise planners; introduce phase 1 home exercises	As above; goal setting; action planning; teach cues; prompt practice
3/6	Increasing mobility – home programme phase 2	Peer discussion of phase 1 home exercise experiences; exercise barriers and facilitators; overview of phase 2; concerns/ideas for managing home exercises	As above; barrier identification/ problem-solving
4/7	Increasing mobility – building confidence	Peer discussion of phase 2 home exercise experiences; exercise barriers and facilitators; fear and activity cycle; falls risk factors; walking aids	
5/8	Increasing mobility – home programme phase 3	Peer discussion of phase 2 home exercise experiences; exercise barriers and facilitators; overview of phase 3 programme; use of walking planners	
6/9	Increasing mobility – increasing independence	Peer discussion of phase 3 home exercise experiences; integrating exercise into daily routines; planning a long-term independent home exercise programme	
7/-	No group this week - inc	dependent exercise	
8/10	Improving mood	Peer discussion on home programme; exercise confidence and routines; exploring links between pain, mood and activity; noted benefits of exercise	
9/-	No group this week - inc	dependent exercise	
10/11	Maintaining an active lifestyle 1	Peer discussion on home programme; exercise confidence and motivation; positive differences in activities or mood; information sharing on groups and activities available in the local community	
11/-	No group this week – inc	dependent exercise	
12/12	Maintaining an active lifestyle 2	Peer discussion on home programme; review of education and behavioural concepts; coping with flare-ups	

The delivery of the BOOST programme

We randomised 438 participants, with 295 allocated to the BOOST programme. There were three complete study withdrawals (including use of any data) from the BOOST programme arm. Two were due to health problems and one because the individual would be unable to attend any treatment sessions. Therefore, 292 participants allocated to the BOOST programme are reported on here. Physiotherapists completed an intervention log for each participant. Each log acted as a structured record of the intervention and was used to monitor fidelity and adherence with the interventions.

We also carried out observation of intervention sessions. A structured checklist (see *Report Supplementary Material 1*) was used to assess the delivery of the core elements of interventions, which was scored as: not completed, partially completed or fully completed. Initial observations were used to provide feedback and support physiotherapists to deliver the interventions. Later in the trial, these visits were fidelity assessments to understand how the intervention would be implemented in a real-world clinical setting with no feedback to the physiotherapists.

Individual assessment

Among those randomised to the BOOST programme, 279/292 (95.5%) attended the individual assessment with the physiotherapist prior to attending the BOOST group sessions, while 16/435 (3.7%) did not attend their initial appointment. Reasons for non-attendance are listed in *Appendix 3*, *Table 15*.

Sites aimed to see participants within 6 weeks of randomisation (and referral to physiotherapy) to complete the individual assessment. This target was not always achieved: 63 (22.6%) participants across 13 (86.7%) of the 15 sites waited longer than the 6-week target.

BOOST programme participants waited a mean of 31.2 days (SD 27.3 days, range 0–299 days) for their individual assessment. Reasons for waiting longer than 6 weeks included limited capacity of BOOST programme-trained physiotherapists, participants putting treatment on hold due to other medical problems (e.g. fractured foot, operation, radiotherapy for prostate cancer), and participants being unavailable (e.g. on holiday, therefore entered a later group).

Baseline setting

Baseline setting of the four strength exercises (sit to stand, knee extension, hip abduction, hip extension) was determined using the modified Borg scale (scored 5-6/10: 'the exercise feels hard'), using weights to achieve the required intensity if indicated.

TABLE 15 Reasons for non-attendance at first trial intervention appointment among BOOST programme participants

Reasons	BOOST programme (n = 13)
Work commitments	2
Allocated to the BOOST programme and did not want to attend (wanted BPA) ^a	3
Lack of time	1
Carer responsibilities	1
Decided to have spinal surgery	4
Lack of time for the study	1
Health problems	1

a A small number of participants were told their treatment allocation when booking the appointment rather than during the first appointment, which was not the correct procedure. Sites were notified about this, and correct procedures were then followed.

The most common prescription at baseline was two sets of 10 repetitions for each exercise.

For the sit to stand exercise, 165/279 (59.1%) participants had weight prescribed. The most common starting weight was 4.5 kg.

For the knee extension exercise, 222/279 (79.1%) participants had weights added. The most common starting weight was 1.5 kg.

Just under three-quarters of participants (196/279; 71.0%) had weight added for both hip abduction and hip extension. The most common starting weight was 1.5 kg.

In a very small number of participants (4/279; 1.4%) one or more of the strengthening exercises was not prescribed. One participant was deemed unsuitable for the groups so was not prescribed any of the exercises. This participant was withdrawn from the intervention by their physiotherapist due to health problems. They were experiencing dizziness and 'funny turns' and referred back to their general practitioner.

In the other three participants, the following were not given: sit to stand, n = 2; knee extension, n = 1; hip abduction, n = 3 and hip extension, n = 1. Reasons for not prescribing the exercises included recent surgery and pain.

The most frequently prescribed baseline balance exercise was the full tandem stance (n = 115.0; 41.4%). This was followed by semi-tandem stance (n = 83.0; 29.9%), parallel stance (n = 25.0; 9.0%) and forward tandem walking (n = 47.0; 16.9%). See *Appendix 3*, *Figure 10*.



1. Parallel stance



2. Semi-tandem stance



3. Full tandem stance



4. Forward tandem (heel-toe) walking 5. Backward tandem walking

The flexibility exercise (hip flexor stretch) had a recommended default baseline setting of three 10-second holds per leg. All participants were given this at baseline.

Baseline walking levels for the programme were based on participant-rated ability and confidence and the physiotherapist's observations during the appointment. Tailoring of the walking component of the programme included walking duration (including rest stops), use of obstacles, walking speed, carrying of hand weights, or a combination of these. The majority of participants (214/276; 77%) were prescribed 20 minutes' walking only to start the group programme (see *Appendix 3*, *Figure 16*). Two participants had the baseline walking missing from their treatment log, and one was withdrawn from the programme.

At the baseline appointment, walking aids were prescribed if indicated. Nine participants (3.2%) were prescribed a walking aid at the baseline appointment. Six participants (2.2%) were prescribed with a standard walking stick, two participants (0.7%) were prescribed with an outdoor four-wheeled rollator frame, and one participant (0.3%) was prescribed with two anatomical-fit (Fischer-type) walking sticks.

Group sessions

After attending their individual assessment, participants joined the next available group. Overall, participants allocated to the BOOST programme waited a mean time of 27.1 days (SD 26.4 days, range 0–153 days) from their individual appointment to starting the group sessions. Longer wait times were related to study recruitment. Some sites found it difficult to recruit sufficient participants and delayed groups starting until they had enough participants (we aimed for six participants in each group). Other reasons included participants delaying entry to upcoming groups due to family commitments, medical appointments, site administration error (misplaced referral) and recent (unrelated) surgery.

Fifty-three groups were delivered across 14 sites. One site closed early after recruiting only two participants (both randomised to the BOOST programme) due to changes in clinical pathways for BP affecting recruitment. We were able to transfer the two participants to another nearby BOOST site for their intervention. Groups were a mean size of 5.3 (SD 1.8) participants. Attendance at the group sessions is shown in *Appendix 3*, *Table 16*. Compliance with the BOOST programme group was defined as attendance at group sessions of at least 9 out of the 12 sessions (75%), which was achieved by 69.52% of participants. The most common reasons for not attending a group session were holidays or sickness.

TABLE 16 Attendance at the BOOST programme group sessions (n = 292)

Number of group sessions attended	Number of participants	%	Number of group sessions attended	Number of participants	%
Did not attend indi-	13	4.5	Six sessions	11	3.8
vidual appointment			Seven sessions	16	5.5
No group sessions	13	4.5	Eight sessions	20	6.8
One session	4	1.4	Nine sessions	37	12.7
Two sessions	2	0.7	Ten sessions	49	16.8
Three sessions	3	1.0	Eleven sessions	60	20.5
Four sessions	4	1.4	Twelve sessions	57	19.5
Five sessions	3	1.0	Attended at least 9 sessions	203	69.5

Exercise progression

Strengthening exercises

Over the duration of the group sessions (12 sessions over 12 weeks), participants' exercises were progressed by manipulating sets, repetitions, and load. Physiotherapists also introduced power (perform the exercise faster) to some participants to make the exercises more challenging.

We calculated the dose completed at each session by multiplying sets \times repetitions \times load (kg). If the participant completed an exercise without load (e.g. two sets, 10 repetitions), an arbitrary load value of 0.1 kg was used. Where an exercise was performed on the right leg and then the left leg, if the load differed then we took the lowest number for this calculation.

This allowed us to plot the progressions over time. We have plotted the median dose (IQR represented by the line) in *Appendix 3*, *Figures 11–14* for each of the strengthening exercises. The number of participants contributing data is displayed above each data point. We have also plotted participants classified as compliers (nine sessions or more) or non-compliers.

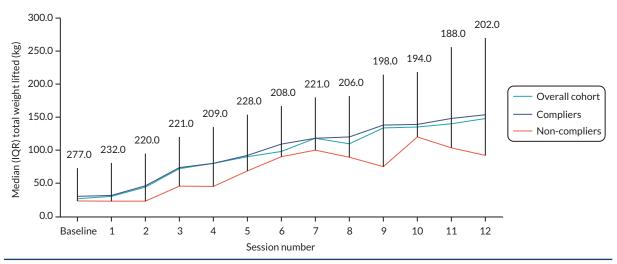


FIGURE 11 Strengthening exercise: sit to stand prescription.

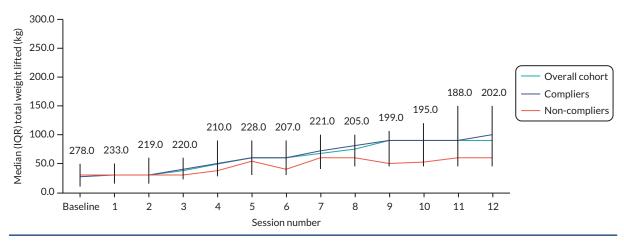


FIGURE 12 Strengthening exercise: knee extension prescription.

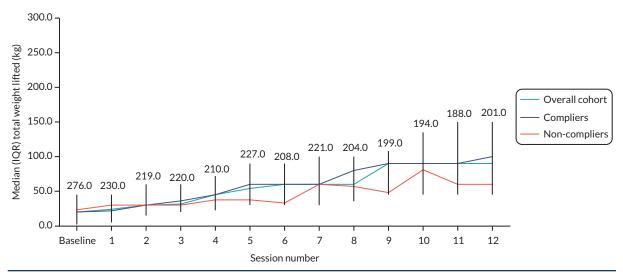


FIGURE 13 Strengthening exercise: hip abduction prescription.

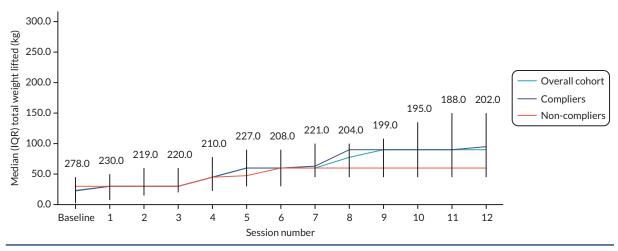


FIGURE 14 Strengthening exercise: hip extension prescription.

Overall, the BOOST programme participants were progressed across the 12 group sessions. Across the four exercises, compliers were prescribed a greater dose than non-compliers. Non-compliers still showed progression over time, but it was more variable than for those classified as compliers.

Speed (power) was also used to progress the exercises. Very few participants were asked to add speed to their movements at baseline; this tended to be added towards the later stages of the programme. At session 12, around a quarter of participants were adding speed to knee extension, hip abduction and hip extension exercises and one-fifth to sit to stand (see *Appendix 3*, *Table 17*).

Balance and flexibility exercises

Over the 12 group sessions, the balance exercise was progressed with participants, with the majority of participants moving from static balance exercises to dynamic balance exercises (see *Appendix 3*, *Figure 15*).

Participants were also holding their stretches for longer towards the end of the 12 group sessions. At baseline, the median total stretch time was 30 seconds (IQR 30–30); at session 12, participants were holding the stretch for a median total of 90 seconds (IQR 60–90).

TABLE 17 Proportion prescribed a power element for strengthening [n (%)]

Exercise	Baseline	Session 1	Session 2	Session 3	Session 4	Session 5	Session 6
Sit to stand	3.0 (1.1)	3.0 (1.1)	5.0 (1.8)	10.0 (4.5)	11.0 (5.3)	23.0 (10.1)	16.0 (7.7)
Knee extension	6.0 (2.2)	1.0 (0.4)	4.0 (1.8)	18.0 (8.2)	12.0 (5.7)	25.0 (11.0)	16.0 (7.7)
Hip abduction	3.0 (1.1)	5.0 (2.2)	6.0 (2.7)	13.0 (5.9)	13.0 (6.2)	23.0 (10.1)	9.0 (4.3)
Hip extension	5.0 (1.8)	2.0 (0.9)	5.0 (2.3)	13.0 (5.9)	10.0 (4.8)	22.0 (9.7)	6.0 (2.9)
Exercise	Baseline	Session 7	Session 8	Session 9	Session 10	Session 11	Session 12
Sit to stand		20.0 (7.2)	16.0 (5.7)	35 (17.7)	25.0 (12.9)	39.0 (20.7)	40.0 (19.8)
Knee extension		21.0 (9.5)	17.0 (8.3)	35.0 (17.6)	27.0 (13.8)	47.0 (25.0)	54.0 (26.7)
Hip abduction		15.0 (6.8)	13.0 (6.4)	28.0 (14.1)	27.0 (9.7)	37.0 (19.7)	51.0 (25.4)
Hip extension		15.0 (6.8)	13.0 (6.4)	28.0 (14.1)	23.0 (11.8)	40.0 (21.2)	52.0 (25.7)

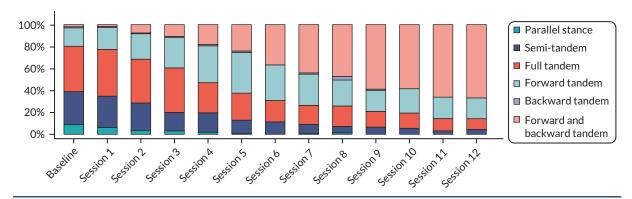


FIGURE 15 Balance exercise prescription.

Walking programme

Over the 12 group sessions, participants progressed their walking during the supervised circuit and were undertaking a variety of added challenges by session 12 compared to baseline, where most were only walking (see *Appendix 3*, *Figure 16*).

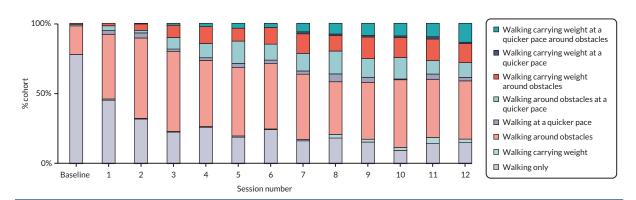


FIGURE 16 Walking programme prescription.

Walking aids prescribed in the group sessions

During the group sessions, seven participants (2.5%) were prescribed a walking aid (session 1: n = 3; session 8: n = 1; session 9: n = 1; session 11: n = 2). One participant (0.4%) who was prescribed a walking stick at their baseline appointment was also prescribed an outdoor three-wheeled rollator frame during the group sessions to use. Of the remaining six participants, three (1.1%) were prescribed standard walking sticks, one (0.3%) with an anatomical-fit (Fischer-type) walking stick and two (0.7%) with rollator frames (indoor use: n = 1; outdoor use: n = 1). One participant (0.3%) started to use their mother-in-law's rollator frame from session 11 onwards.

Non-completion of exercises

There were occasions when participants attended a group but did not complete some or all of the exercises during the group session (see *Appendix 3*, *Table 18*). The most common reason for non-completion overall was participants staying only for the education component or having to leave early due to another commitment. We delivered 53 groups over a total of 636 sessions, so the proportion of sessions where participants did not complete exercises was very small.

The sit to stand exercise was not completed by 18 participants on a total of 26 occasions. Knee extension was not completed by 16 participants on a total of 24 occasions. Hip abduction was not completed by 23 participants on a total of 33 occasions. Pain was not commonly reported as a reason for non-completion, but it was reported more often for hip abduction than for the other exercises. Hip

TABLE 18 Reasons for exercise non-completion. The number of participants reporting each reason (total number of occasions this exercise was not completed by a participant)

	Sit to stand	Knee ext	Hip abduction	Hip extension	Balance	Stretch	Walking circuit
Reason missing	4 (5)	3 (4)	5 (7)	2 (2)	4 (4)	8 (9)	12 (12)
Attended education session only or needed to leave early – had another appointment or commitment	5 (8)	5 (8)	7 (10)	8 (11)	6 (9)	6 (9)	14 (17)
Attended education session only – recent cataract surgery	1 (4)	1 (4)	1 (4)	1 (4)	1 (4)	1(4)	1 (4)
Discomfort from recent unrelated surgery/procedure	1 (1)						2(2)
Attended education session only due to feeling unwell	4 (5)	4 (5)	4 (5)	4 (5)	4 (5)	4 (5)	4 (5)
Pain	2 (2)	2 (2)	5 (6)	4 (4)	2 (2)	1 (1)	2(2)
Tired	1 (1)	1 (1)	1 (1)	1 (1)	2 (2)		2 (2)
Heart condition and shortness of breath				1(1)			
Planned to do it at home					1 (1)		
Could not manage it						1 (1)	
Arrived late so did not do it							2 (2)
Dizzy							1 (1)
Had walked before class or planning to walk later							5 (8)
Too hot							4 (4)
Total	18 (26)	16 (24)	23 (33)	21 (28)	20 (27)		49 (59)

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extension was not completed by 21 participants on a total of 28 occasions. The balance exercises were not completed by 20 participants on a total of 27 occasions.

The walking circuit was not completed most frequently out of the exercises. It was not done by 49 participants on a total of 59 occasions. The most common reason was participants staying only for the education component or having to leave early due to another commitment. This probably affected the walking circuit the most as it was the final part of the programme. Some participants also preferred to do their walking before or after the groups (e.g. to walk their dog).

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Appendix 4 More information about the Better Outcomes for Older people with Spinal Trouble interview study

TABLE 19 Description of BOOST trial participants, those interviewed and those included in this analysis

	BOOST trial cohort	Interview cohort	Interview analysis cohort n (%) Mean (SD)	
Demographics	n (%) Mean (SD)	n (%) Mean (SD)		
Total participants	435	59	30ª	
Age (years at baseline)	74.9 (6)	75.1 (6.2)	74.8 (5.6)	
Gender				
Female	246 (56.6)	32 (53)	14 (47)	
Male	189 (43.4)	27 (45)	16 (53)	
Ethnicity				
White British/White Other	400 (92)	52 (87)	25 (86)	
Black British	12 (2.8)	3 (5)	2 (7)	
Indian	9 (2.1)	3 (5)	2 (7)	
Pakistani	6 (1.4)	1 (2)	0 (0)	
Treatment allocation ^a				
BOOST programme	292 (67.1)	32 (53)	16 (53.3)	
BPA	143 (32.9)	27 (45)	14 (46.7)	
Complete sets of three interviews		49	30	

TABLE 20 The impact of NC

Themes	Participant quotes
Psychological impact	I've got that pain, varying degrees, all the time really. (05, T1) 'It does depress you. And when I went out I used to feel like dressing up and took pride in that, and I think, well, what's the use, sometimes I think.' (24, T1) ' I'mjust existing aren't I, you know? Everything I do I'm worrying about, which I don't, I'm not a worrying person, never have been' (31, T1)
Activity limitations	'it's affected me in everyday life sort of thing. Where I used to be able to do all my cleaning and shopping and everything all in a day, where now I have to do a bit of one room each day, and ironing one day, washing another day.' (32, T1)
Restricted participation	'I used to belong to a walking group which was a social outlet but, so I've lost that.' (03, \top 1)
Adopting coping strategies	Using online delivery: 'I can't be carrying milk and all the bulky things, your loo rolls and all that, I can't cope with that. And Sainsbury's deliver.' (34, T1)

TABLE 21 BOOST programme: education and discussion element

Intervention component	Participant quotes
Increased engage- ment and enjoyment	'I have really enjoyed going and seeing others It certainly helped me and encouraged me, apart from the exercises it encouraged me to do it. I feel, yes it has done me good.' (25, T3)
Opportunity for discussion	'Most certainly the discussions really helped.' (43, T2). 'And the way it went, the first part of the day was that we'd go and discuss things in the office, in the room, and then go out and then start on the exercises. And I think that was good, because we bounced off each other what we were doing, and what we were feeling, and I think we put it over to the two girls that were doing the physio at the time.' (30, T2)
Peer support and interaction	'Actually in a way it was good to be with a group because There was one lady, she must have been eighties or over, she carried on really, put her mind to it she is a bit slow walking but she did it there was one man and he was determined to be top of the class and he really worked hard and walking very faster so talking to other people is good as well to see what they were going through.' (39, T2)
Group problem solving and support	'Because we had turns of talking of how we got through the week and what we'd been doing. So you learn things from other people if you've got lots of people, six people there, they will discuss things between us and find easier ways to get round things.' (14, T2) 'Not so much the exercise because I knew how I had to do, but I think just being a part of something. Like having a team like that and they're all for you, there to help you, to explain things to you, and knowing how to help yourself, if you like, and see how different we all are I think that's what I really do like, is the, being with other people and talking about the subject. I think that helped.' (14, T2)
Self-management skills	'But the BOOST has explained things to me, has made me realise that I've got to do the exercises to keep supple. And by doing that I know what I'm in for and try to keep it up.' (14, T2) ' as I said, [physiotherapist], they give us all that information for when we get flare-ups and things like that you know and what to do. So all that was really helpful.' (04, T2)

TABLE 22 BOOST programme participants' experience of independent exercise

Experiences of independent exercise	Participant quotes
A regular routine	'And then usually what I do is, when I go out on my walks, I get to a certain spot and then I do my exercises in the fresh air If I can't do it through the day on my walk, I do it in the kitchen before I go to bed at night time' (14, T2) 'A couple of times a week, which is what I was told. As long as I kept it to a couple of times a week.' (04, T2)
Seeing the benefits	'I do all the, mainly the warming up exercises, while I'm sitting down of an evening at the box and that. I get behind the settee and do my movements there, or when I'm sitting down do my leg movements, lifting and that, and rising and sitting down from the chair. So I try to keep them in like that, and I think that's what keeps me a little bit fitter.' (30, T3)
Fitting them into everyday life	'This is why you can build them [exercises] into your everyday you don't have to go anywhere special to do it. You don't have to go out and buy all this equipment, you don't need this equipment. Use your everyday things you've got there. As I say, like I use the edge of my sink to lean on, so why need a bar at the gym for that? Why do I need a bench to sit on when I can sit in an armchair?' (24, T3)
Making adaptations allowed them to continue	'I do all of them, yes. I haven't touched the weights for a while. Again, because that's the extra, I know what that'll do.' (04, T3) 'I think if I didn't have that knee problem I could have done more exercises. I'm still doing the exercises with the knee, sort of your legs out the side, and the back ones. But obviously I can't do the bending of the knee or that.' (14, T3)
No need for the BOOST exercises – active enough	Well, no, they gone to the board actually, the actual exercises at home With the swimming and gym and walking with the dog and walking around shops and theatre, I don't think I need the BOOST exercises'. (57, T3)

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Appendix 5 More information about the Oxford Pain Activity and Lifestyle risk assessment tool

TABLE 23 Distribution of baseline candidate predictors by mobility decline at 2 years of follow-up (available data – before imputation of missing data)

	Mobility decline a	t year 2	
Potential risk factors	No (n = 3350)	Yes (n = 765)	p-value ^a
Demographics			
Age, mean (SD)	73.8 (6.1)	76.1 (6.8)	< 0.001
Sex: Male (ref.)	1637 (48.9%)	367 (48.0%)	-
Female	1713 (51.1%)	398 (52.0%)	0.656
Living arrangements: Living alone	870 (26.0%)	249 (32.6%)	< 0.001
Education: Higher education (ref.)	1335 (39.9%)	272 (35.6%)	-
Secondary education	1820 (54.3%)	427 (55.8%)	0.099
None or primary	181 (5.4%)	62 (8.1%)	0.001
Socioeconomic			
Receive enough support (No)	277 (8.3%)	69 (9.0%)	0.549
Miss other people around: No (ref.)	2230 (66.6%)	454 (59.4%)	-
Sometimes	607 (18.1%)	165 (21.6%)	0.005
Yes	493 (14.7%)	140 (18.3%)	0.002
Number of organisations/clubs/societies, median (IQR)	1 (0-2)	1 (0-2)	0.017
Adequacy of income			
Quite comfortably off (ref.)	1289 (38.5%)	224 (29.3%)	-
Able to manage without much difficulty	1237 (36.9%)	284 (37.1%)	0.004
To be careful with money	607 (18.1%)	208 (27.2%)	< 0.001
Occupational physical demands			
Very light (ref.)	341 (10.2%)	46 (6.0%)	-
Light	655 (19.6%)	146 (19.1%)	0.006
Moderate	1573 (47.0%)	347 (45.4%)	0.003
Strenuous	578 (17.3%)	165 (21.6%)	< 0.001
Very strenuous	183 (5.5%)	59 (7.7%)	< 0.001
Pain-related factors			
BP and leg symptoms			
No BP (ref.)	1643 (49.0%)	316 (41.3%)	
			continued

TABLE 23 Distribution of baseline candidate predictors by mobility decline at 2 years of follow-up (available data – before imputation of missing data) (continued)

	Mobility decline a	t year 2	
Potential risk factors	No (n = 3350)	Yes (n = 765)	p-value ^a
BP without leg symptoms	1135 (33.9%)	265 (34.6%)	0.035
BP with leg symptoms	536 (16.0%)	163 (21.3%)	< 0.001
Pain distribution: No pain (ref.)	582 (17.4%)	76 (9.9%)	-
One site pain	858 (25.6%)	165 (21.6%)	0.009
Multisite pain	1123 (33.5%)	288 (37.7%)	< 0.001
Widespread pain	787 (23.5%)	236 (30.9%)	< 0.001
Lower limb pain (Yes)	1877 (56.0%)	522 (68.2%)	< 0.001
Severity of pain/discomfort, median (IQR)	2 (1-2)	2 (2-3)	< 0.001
Mobility-related factors			
Mobility problems: No problems (ref.)	2178 (65.0%)	468 (61.2%)	-
I have slight problems	619 (18.5%)	219 (28.6%)	< 0.001
I have moderate problems	378 (11.3%)	75 (9.8%)	0.559
I have severe problems	175 (5.2%)	3 (0.4%)	< 0.001
Usual walking pace: Fast/fairly brisk (ref.)	1006 (30.0%)	84 (11.0%)	-
Normal	1306 (39.0%)	296 (38.7%)	< 0.001
Stroll at any easy pace	702 (21.0%)	282 (36.9%)	< 0.001
Very slow	322 (9.6%)	102 (13.3%)	< 0.001
Difficulties maintaining balance (Yes)	393 (11.7%)	144 (18.8%)	< 0.001
Confidence to walk, median (IQR)	1 (1-1)	1 (1-4)	< 0.001
Use of walking aid inside: No (ref.)	3131 (93.5%)	709 (92.7%)	-
Sometimes	93 (2.8%)	32 (4.2%)	0.045
Yes	106 (3.2%)	24 (3.1%)	1.000
Use of walking aid outside: No (ref.)	2778 (82.9%)	574 (75.0%)	-
Sometimes	221 (6.6%)	88 (11.5%)	< 0.001
Yes	333 (9.9%)	100 (13.1%)	0.002
Change in walking ability compared to last year			
Better (ref.)	225 (6.7%)	55 (7.2%)	-
About the same	2429 (72.5%)	473 (61.8%)	0.152
Worse	681 (20.3%)	237 (31.0%)	0.036
Falls			
Falls in the last year: None (ref.)	2434 (72.7%)	519 (67.8%)	-
Once	654 (19.5%)	175 (22.9%)	0.020
More than one	245 (7.3%)	70 (9.2%)	0.042
Fractures last year (Yes)	88 (2.6%)	19 (2.5%)	0.814

TABLE 23 Distribution of baseline candidate predictors by mobility decline at 2 years of follow-up (available data – before imputation of missing data) (*continued*)

	Mobility decline at year 2			
Potential risk factors	No (n = 3350)	Yes (n = 765)	p-value ^a	
Physical activity				
Hours/day moving around				
7 or more (ref.)	828 (24.7%)	131 (17.1%)	-	
5-7	904 (27.0%)	228 (29.8%)	< 0.001	
3–5	1022 (30.5%)	242 (31.6%)	0.001	
<3	577 (17.2%)	162 (21.2%)	< 0.001	
General health				
BMI, mean (SD)	26.4 (4.7)	27.4 (5.0)	< 0.001	
Number of health conditions, median (IQR)	1 (0-2)	2 (1-2)	< 0.001	
Physical tiredness (Yes)	770 (23.0%)	259 (33.9%)	< 0.001	
Anxiety/depression, median (IQR)	1 (1-2)	1 (1-2)	0.002	
Poor hearing (Yes)	710 (21.2%)	220 (28.8%)	< 0.001	
Poor vision (Yes)	338 (10.1%)	114 (14.9%)	< 0.001	
Problems in daily life due to lack of strength in hands (Yes)	628 (18.8%)	204 (26.7%)	< 0.001	
Loss of weight (Yes)	87 (2.6%)	33 (4.3%)	0.011	
Self-reported general health, mean (SD)	81.2 (15.6)	76.6 (15.6)	< 0.001	
Number of health conditions, median (IQR)	1 (0-2)	2 (1-2)	< 0.001	
Physical tiredness (Yes)	770 (23.0%)	259 (33.9%)	< 0.001	
Anxiety/depression, median (IQR)	1 (1-2)	1 (1-2)	0.002	
Poor hearing (Yes)	710 (21.2%)	220 (28.8%)	< 0.001	
Poor vision (Yes)	338 (10.1%)	114 (14.9%)	< 0.001	
Problems in daily life due to lack of strength in hands (Yes)	628 (18.8%)	204 (26.7%)	< 0.001	
Loss of weight (Yes)	87 (2.6%)	33 (4.3%)	0.011	
Self-reported general health, mean (SD)	81.2 (15.6)	76.6 (15.6)	< 0.001	

TABLE 24 Risk assessment tool – scoring system. Risk score points system for the mobility decline at 2 years model, created using predictor variables identified in the model

Baseline predictor	β_{i}	Reference value (W _{ij})	$\beta_i(W_{ij} - W_{iREF})$	Point _{ij} = $\beta_i(W_{ij}-W_{iREF})/B^a$
Intercept	-6.757			
Age, years	0.0353			
<75		69.5 (W _{1REF})	0	0
75-84		79.5	0.353	2
≥85		92.5	0.812	5
				continued

TABLE 24 Risk assessment tool – scoring system. Risk score points system for the mobility decline at 2 years model, created using predictor variables identified in the model (*continued*)

Baseline predictor	β	Reference value (W _{ii})	$\beta_i(W_{ij} - W_{iREF})$	Point _{ij} = β _i (W _{ii} - W _{iREF})/B ^a
To be careful with money	0.239	· "	- I' IJ IKEF	· i j iker
No		0 (W _{2RFF})	0	0
Yes		1	1.962985	1
BMI (kg/m²)	0.025			
< 25 – Normal weight		21.5 (W _{3RFF})	0	0
25-30 - Overweight		27.5	0.151	1
≥ 30 - Obese		35.5	0.351	2
Usual walking pace – Stroll at any easy pace	0.494			
No		O (W _{4REF})	0	0
Yes		1	0.494	3
Usual walking pace - Slow	0.573			
No		0 (W _{5REF})	0	0
Yes		1	0.573	3
Difficulties maintaining balance	0.166			
No		O (W _{6REF})	0	0
Yes		1	0.166	1
Confidence to walk	0.056			
1-2 (high confidence)		1.5 (W _{7REF})	0	0
3-5		4	0.139	1
6-8		7	0.306	2
9-10 (low confidence)		9.5	0.446	3
Use sometimes walking aid outside	0.158			
No		O (W _{8REF})	0	0
Yes		1	0.158	1
No change in walking ability compared to last year	-0.087			
No		O (W _{9REF})	0	0
Yes		1	-0.087	0
Worse walking ability than last year	0.141			
No		O (W _{10REF})	0	0
Yes		1	0.141	1
Lower limb pain in the last 6 weeks	0.226			
No		0 (W _{11REF})	0	0
Yes		1	0.226	1
Current pain/discomfort severity	0.070			
1 (no pain)		1 (W _{12REF})	0	0
2-3 (slight/moderate pain)		2.5	0.105	1
4-5 (severe/extreme pain)		4.5	0.245	1

TABLE 24 Risk assessment tool – scoring system. Risk score points system for the mobility decline at 2 years model, created using predictor variables identified in the model (continued)

Baseline predictor β		Reference value (W _{ij})	$\beta_i(W_{ij} - W_{iREF})$	Point _{ij} = $\beta_i(W_{ij}^- W_{iREF})/B^a$
Number of health conditions	0.117			
0-1		0.5 (W _{13REF})	0	0
1-2		1.5	0.176	1
3-4		3.5	0.410	2
5-7		6	0.702	4
Physical tiredness	0.092			
No		0 (W _{14REF})	0	0
Yes		1	0.092	1
Self-reported general health	0.011			
< 71 (poor general health)		50	0.520	3
72-80		76	0.223	1
81-90		85.5	0.114	1
91–100 (good general health)		95.5 (W _{15REF})	0	0
Slight mobility problems	1.963			
No		0 (W _{16REF})	0	0
Yes		1	1.963	11
No mobility problems	2.387			
No		0 (W _{17REF})	0	0
Yes		1	2.387	13

Note

The base category is the category assigned 0 point in the scoring system. Less healthy risk factor states are assigned positive points so that a higher point total conveys more risk.

To determine the reference values for first and last categories of BMI, we use the 1st percentile (18) and the 99th percentile (49) to minimise the influence of extreme values.

To determine the reference values for first categories of VAS-EQ-5D, we use the 1st percentile (29) to minimise the influence of extreme values.

From Sanchez et al.97

a B = 5*(0.0353) = 0.177

TABLE 25 Risks associated with point totals. Point scoring system for 2-year risk of mobility decline

Point total	Risk estimate	Point total	Risk estimate	Point total	Risk estimate
0	0.00956	14	0.10313	28	0.57813
1	0.01139	15	0.12069	29	0.62060
2	0.01356	16	0.14077	30	0.66130
3	0.01614	17	0.16357	31	0.69975
4	0.01921	18	0.18925	32	0.73558
5	0.02285	19	0.21791	33	0.76854
6	0.02715	20	0.24957	34	0.79853
7	0.03224	21	0.28416	35	0.82551
8	0.03824	22	0.32150	36	0.84955
9	0.04531	23	0.36126	37	0.87081
10	0.05361	24	0.40302	38	0.88945
11	0.06333	25	0.44623	39	0.90569
12	0.07468	26	0.49028		
13	0.08787	27	0.53447		

Note

 $B = 0.177 \, (\beta_{age}^* \, ^*5).$ From Sanchez *et al.*⁹⁷

TABLE 26 Hypothetical examples of using the OPAL predictive tool

	Mobility decline at year 2 (Model 1)		
Baseline predictor	Value	Point totals	
Age	65	0	
Inadequacy of income	Yes	1	
BMI (kg/m²)	32	2	
Usual walking pace	Normal	0	
Difficulties maintaining balance	No	0	
Confidence to walk (1–10 less confident)	1	0	
Use sometimes walking aid outside	No	0	
Walking ability compared with last year	The same	0	
Lower limb pain	Yes	1	
Pain/discomfort severity	3	1	
Number of health conditions	1	1	
Physical tiredness	Yes	1	
Self-reported general health	80	1	
Problems with mobility	No problem	13	
Point total		21	
Estimate of risk		0.284 (28.4%	

From Sanchez et al.97

TABLE 27 Predictors of mobility decline and death – sensitivity analysis. Variables selected in ≥80% of the imputed data sets

Selected predictors at baseline	LASSO regression (% of times selected)	Average penalised coefficient
Age	100%	0.050
Sex	80%	-0.036
Adequacy of income: careful with money	100%	0.228
Occupation physical demands: very strenuous	100%	0.189
Moving around < 3 hours/day	88%	0.063
BMI	100%	0.015
Usual walking pace		
Stroll at an easy pace	100%	0.564
Very slow	100%	0.780
Difficulties maintaining balance	100%	0.165
Confidence walking	100%	0.062
Change in walking ability compared to last year: worse	100%	0.208
Fractures last year	94%	-0.197
BP and leg symptoms	82%	0.057
Lower limb pain	100%	0.150
Number of health conditions	100%	0.123
Physical tiredness	94%	0.062
Unintentional weight loss	92%	0.280
Self-reported general health	100%	-0.013
Predictors forced to be included in the final model		
Mobility problems at baseline		
I have slight problems	100%	1.556
I have no problems	100%	1.972
Area under the curve (range)	0.746 (0.744-0.749)	
Brier score (range)	0.151 (0.150-0.152)	

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