

Instructions

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Mullis 1



| Section 1. Identifying Inform | nation | |
|--|---|--|
| Given Name (First Name) Ricky | 2. Surname (Last Name) Mullis | 3. Date 16-February-2021 |
| 4. Are you the corresponding author? | Yes Vo | Corresponding Author's Name Jonathan Mant |
| 5. Manuscript Title Developing primary care services for str | oke survivors: The Improvi | ng Primary Care After Stroke (IPCAS) research programme |
| 6. Manuscript Identifying Number (if you kn | ow it) | |
| | | |
| Section 2. The Work Under Co | onsideration for Publica | ation |
| any aspect of the submitted work (including statistical analysis, etc.)? | g but not limited to grants, d | third party (government, commercial, private foundation, etc.) for lata monitoring board, study design, manuscript preparation, |
| Are there any relevant conflicts of intere | st? | ADD |
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| Section 3. Relevant financial | activities outside the s | ubmitted work. |
| of compensation) with entities as descri | bed in the instructions. Us port relationships that we | ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by ere present during the 36 months prior to publication. |
| , | | ADD |
| Section 4 | | |
| Section 4. Intellectual Proper | ty Patents & Copyrigh | nts |
| Do you have any patents, whether plant | ned, pending or issued, bro | padly relevant to the work? Yes V No |

Mullis 2



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| Section 5. | Relationships not covered above |
| | elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work? |
| Yes, the follo | wing relationships/conditions/circumstances are present (explain below): |
| ✓ No other rela | tionships/conditions/circumstances that present a potential conflict of interest |
| | nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. nals may ask authors to disclose further information about reported relationships. |
| | |
| Section 6. | Disclosure Statement |
| Based on the abo | ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box |
| Generate Disc | closure Statement |
| Dr. Mullis has no | thing to disclose. |

Evaluation and Feedback

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Mullis 3



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patent

Aquino 1



| Section 1. Identifying Inform | nation | |
|--|--|---|
| 1. Given Name (First Name) Maria Raisa Jessica | 2. Surname (Last Name) Aquino | 3. Date 11-December-2020 |
| 4. Are you the corresponding author? | Yes Vo | Corresponding Author's Name Professor Jonathan Mant |
| 5. Manuscript Title | | |
| 6. Manuscript Identifying Number (if you kn | now it) | |
| | | |
| Section 2. The Work Under Co | onsideration for Public | ation |
| any aspect of the submitted work (including statistical analysis, etc.)? | g but not limited to grants, o | third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation, |
| Are there any relevant conflicts of intere | est? | ADD |
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| Section 3. Relevant financial | activities outside the s | ubmitted work. |
| of compensation) with entities as descri | bed in the instructions. Useport relationships that we | ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by ere present during the 36 months prior to publication. |
| · | | ADD |
| Section 4. Intellectual Proper | | |
| Intellectual Proper | ty Patents & Copyrig | nts |
| Do you have any patents, whether plant | ned, pending or issued, bro | oadly relevant to the work? Yes V No |

Aquino 2



| 6 11 5 | |
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| Section 5. | Relationships not covered above |
| | elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work? |
| Yes, the follo | wing relationships/conditions/circumstances are present (explain below): |
| ✓ No other rela | tionships/conditions/circumstances that present a potential conflict of interest |
| | nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. nals may ask authors to disclose further information about reported relationships. |
| Continue C | |
| Section 6. | Disclosure Statement |
| Based on the abo | ve disclosures, this form will automatically generate a disclosure statement, which will appear in the box |
| Generate Disc | closure Statement |
| Dr. Aquino has n | othing to disclose. |

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patent

Kreit 1



| Section 1. | Identifying Inform | nation | | |
|--|--|---|---|---|
| 1. Given Name (Fii Elizabeth | rst Name) | 2. Surname (Last Name) Kreit | | 3. Date 11-December-2020 |
| 4. Are you the cor | responding author? | ☐ Yes ✓ No | Corresponding Author Professor Jonathan | |
| 5. Manuscript Title | 2 | | | |
| 6. Manuscript Ider | ntifying Number (if you kr | now it) | | |
| | | | _ | |
| Section 2. | The Work Under C | onsideration for Public | ation | |
| any aspect of the statistical analysis, Are there any rel If yes, please fill o | submitted work (including etc.)? evant conflicts of intere | g but not limited to grants, est? Yes No ormation below. If you hav | data monitoring board, s | t, commercial, private foundation, etc.) for study design, manuscript preparation, y press the "ADD" button to add a row. |
| Name of Institut | | Grant? Personal No | n-Financial Other? | Comments |
| National Institute for | Health Research (NIHR) | V | | × |
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| Section 3. | Relevant financial | activities outside the | submitted work. | |
| of compensation clicking the "Add |) with entities as descri | ibed in the instructions. Useport relationships that w | se one line for each en | cial relationships (regardless of amount ntity; add as many lines as you need by ne 36 months prior to publication. |
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| Do you have any | patents, whether plan | ned, pending or issued, br | oadly relevant to the v | work? Yes V No |

Kreit 2



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| Generate Dis | closure Statement |
| Miss Kreit report | s grants from National Institute for Health Research (NIHR), during the conduct of the study; . |

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|---|-----------------------------------|-----------------------------|---|-----|--|--|--|
| Identifying Information | | | | | | | |
| 1. Given Name (First Name) Vicki | 2. Surname (Last Name) Johnson | | 3. Date 23-February-2021 | | | | |
| 4. Are you the corresponding author? | Yes ✓ No | Corresponding Author | or's Name | | | | |
| 5. Manuscript Title Developing primary care services for stro | oke survivors: The Improvi | ng Primary Care Afte | r Stroke (IPCAS) research programm | ne | | | |
| 6. Manuscript Identifying Number (if you kn | ow it) | | | | | | |
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| Section 2. The Work Under Co | onsideration for Public | ation | | | | | |
| Did you or your institution at any time receive | | | nt commercial private foundation etc.) | for | | | |
| any aspect of the submitted work (including | ' ' | , , | | | | | |
| statistical analysis, etc.)? Are there any relevant conflicts of intere | st? ✓ Yes No | | | | | | |
| If yes, please fill out the appropriate info | rmation below. If you have | e more than one entit | cy press the "ADD" button to add a r | ow. | | | |
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| Name of Institution/Company | Grant. | n-Financial other? | Comments | | | | |
| NHR | ✓ | | An NIHR grant was received in order to conduct this study and substantial piece of work | × | | | |
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| Section 3. Relevant financial a | activities outside the s | ubmitted work. | | | | | |
| | | | rial malatic making (or so odlare of any | | | | |
| Place a check in the appropriate boxes i of compensation) with entities as descri | | | | | | | |
| clicking the "Add +" box. You should re | | ere present during t | he 36 months prior to publication | ١. | | | |
| Are there any relevant conflicts of intered | | | | | | | |

ADD



ICMJE Form for Disclosure of Potential Conflicts of Interest

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments | |
|---|-------------|-------------------|------------------------|--|--|-----|
| University Hospitals of Leicester NHS Trust | | | | V | I am employed by the University Hospitals of Leicester NHS Trust within the Leicester Diabetes Centre (LDC) which receives not-for-profit income for DESMOND, a suite of self- management programmes for which LDC hold the Intellectual Property Rights for. UHL also receives various grants to pay staff within LDC to carry out and implement various studies and self-managament programmes for which LDC holds the Intellectual Property Rights. UHL also receives various grants to pay staff within LDC to carry out and implement various studies and self management programmes. | × |
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| Section 4. Intellectual Prop | erty Pate | nts & Cop | yrights | | | |
| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button. | | | | | | |
| Patent? Pen | ding? Issue | ed? Licenso | ed? Royalties? | License | ? Comments | |
| My Life After Stroke | | 7 | _ | University Leicester o behalf of University | | × |

Hospitals of Leicester NHS Trust



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| orts grants from NIHR, during the conduct of the study; other from University Hospitals of Leicester NHS ne submitted work; In addition, Dr. Johnson has a patent My Life After Stroke licensed to University of alf of University Hospitals of Leicester NHS Trust. |
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Grant 1



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|---|--|--|---|--|
| 1. Given Name (Fir Julie | rst Name) | 2. Surname (Last Name) Grant | | 3. Date 16-December-2020 |
| 4. Are you the cor | responding author? | Yes ✓ No | Corresponding Author's Nar Professor Jonathan Mant | ne |
| 5. Manuscript Title | 2 | | | |
| 6. Manuscript Ider | ntifying Number (if you kr | now it) | | |
| | | | | |
| Section 2. | The Work Under C | onsideration for Publi | cation | |
| any aspect of the statistical analysis, | titution at any time receiv submitted work (includin etc.)? | ve payment or services from g but not limited to grants, | a third party (government, com | mercial, private foundation, etc.) for design, manuscript preparation, |
| Are there any rei | evant conflicts of intere | est? | | ADD |
| Section 3. | | | | |
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| of compensation clicking the "Add |) with entities as descr | ibed in the instructions. Useport relationships that v | Use one line for each entity; a | ationships (regardless of amount add as many lines as you need by months prior to publication. |
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| Section 4. | Intellectual Proper | ty Patents & Copyri | ghts | |
| Do you have any | patents, whether plan | ned, pending or issued, b | roadly relevant to the work? | Yes V No |

Grant 2



| Section 5. | |
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Grant 3



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Other: Anything not covered under the previous three boxes

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Blatchford 1



| Section 1. | Identifying Inforn | nation | | |
|---|---|---|---|--|
| 1. Given Name (Fir Emily | rst Name) | 2. Surname (Last Name) Blatchford | | 3. Date 11-December-2020 |
| 4. Are you the cor | responding author? | Yes ✓ No | Corresponding Author's Nar Professor Jonathan Mant | ne |
| 5. Manuscript Title | 2 | | | |
| 6. Manuscript Ider | ntifying Number (if you kr | now it) | | |
| | | | | |
| Section 2. | The Work Under C | Consideration for Publi | cation | |
| any aspect of the statistical analysis, | titution at any time recei submitted work (includin etc.)? | ve payment or services from ng but not limited to grants, | a third party (government, com | mercial, private foundation, etc.) for design, manuscript preparation, |
| Are there any re- | evant conflicts of intere | est? | | ADD |
| Cootion 2 | | | | |
| Section 3. | Relevant financial | activities outside the | submitted work. | |
| of compensation clicking the "Add |) with entities as descr | ribed in the instructions. Use port relationships that w | Ise one line for each entity; a | ationships (regardless of amount add as many lines as you need by months prior to publication. |
| | | | | ADD |
| Section 4. | Intellectual Proper | rty Patents & Copyri _l | ghts | |
| Do you have any | | | roadly relevant to the work? | Yes V No |

Blatchford 2



| Continue E | | | | |
|---|--|--|--|--|
| Section 5. Relationships not covered above | | | | |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? | | | | |
| Yes, the following relationships/conditions/circumstances are present (explain below): | | | | |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest | | | | |
| At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships. | | | | |
| Section 6. Disclosure Statement | | | | |
| Disclosure Statement | | | | |
| Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below. | | | | |
| Generate Disclosure Statement | | | | |
| Miss Blatchford has nothing to disclose. | | | | |

Evaluation and Feedback

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Blatchford 3



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patent

Pilling 1



| Section 1. | Identifying Inforn | nation | | |
|---|--------------------------------|--|---|---|
| Given Name (Fir Mark | rst Name) | 2. Surname (Last Name) Pilling | | 3. Date 11-December-2020 |
| 4. Are you the cor | responding author? | Yes ✓ No | Corresponding Author's Nam Professor Jonathan Mant | ne |
| 5. Manuscript Title | 2 | | | |
| 6. Manuscript Ider | ntifying Number (if you kı | now it) | | |
| | | | | |
| Section 2. | The Work Under C | Consideration for Publi | cation | |
| any aspect of the statistical analysis, | submitted work (includinetc.)? | ng but not limited to grants, | a third party (government, comn data monitoring board, study d | nercial, private foundation, etc.) for design, manuscript preparation, |
| Are there any rele | evant conflicts of intere | est? | | ADD |
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| Section 3. | Relevant financial | activities outside the | submitted work. | |
| of compensation clicking the "Add |) with entities as descr | ribed in the instructions. Use port relationships that v | Jse one line for each entity; a | ationships (regardless of amount dd as many lines as you need by months prior to publication. |
| , | | | | ADD |
| Section 4. | | | | |
| Section 4. | Intellectual Proper | rty Patents & Copyri | ghts | |
| Do you have any | patents, whether plan | ined, pending or issued, b | roadly relevant to the work? | ☐ Yes ✓ No |

Pilling 2



| 6 11 E | | | | |
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| Section 5. | Relationships not covered above | | | |
| Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? | | | | |
| Yes, the follo | wing relationships/conditions/circumstances are present (explain below): | | | |
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| Section 6 | | | | |
| Section 6. | Disclosure Statement | | | |
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| Generate Disclosure Statement | | | | |
| Dr. Pilling has no | thing to disclose. | | | |

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patent

Fusco 1



| Section 1. | Identifying Inforn | nation | | |
|---|----------------------------|---------------------------|---------------------|---|
| 1. Given Name (Fir Francesco | rst Name) | 2. Surname (Last Fusco | Name) | 3. Date 11-December-2020 |
| 4. Are you the cor | responding author? | ☐ Yes ✓ N | · | nding Author's Name or Jonathan Mant |
| 5. Manuscript Title | 2 | | | |
| 6. Manuscript Ider | ntifying Number (if you kı | now it) | | |
| Section 2. | The Work Under C | consideration fo | r Publication | |
| Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Very No | | | | |
| , | | | <u>.</u> | ADD |
| Section 3. | | | | |
| Section 3. | Relevant financial | activities outsic | le the submitted | l work. |
| Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes V No | | | | |
| | | | | ADD |
| Section 4. | Intellectual Proper | rty Patents & | Copyrights | |
| Do you have any | patents, whether plan | ned, pending or is | sued, broadly relev | rant to the work? Yes V No |

Fusco 2



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|---|--|--|--|--|
| Section 5. | Relationships not covered above | | | |
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| | | | | |
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| Generate Disclosure Statement | | | | |
| Dr. Fusco has nothing to disclose. | | | | |
| | | | | |
| | | | | |

Evaluation and Feedback

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Fusco 3

ICMJE DISCLOSURE FORM

| Date: | 28 th March 2021 | |
|--|-----------------------------|--|
| Your Name: | Jonathan Mant | |
| Manuscript Title: Dev (IPCAS) research prog | | troke survivors: The Improving Primary Care After Stroke |
| Manuscript number (i | f known): | |

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

The following questions apply to the author's relationships/activities/interests as they relate to the <u>current manuscript only</u>.

The author's relationships/activities/interests should be <u>defined broadly</u>. For example, if your manuscript pertains to the epidemiology of hypertension, you should declare all relationships with manufacturers of antihypertensive medication, even if that medication is not mentioned in the manuscript.

In item #1 below, report all support for the work reported in this manuscript without time limit. For all other items, the time frame for disclosure is the past 36 months.

| | | Name all entities with whom you have this relationship or indicate none (add rows as needed) | Specifications/Comments (e.g., if payments were made to you or to your institution) | | |
|---|-------------------------------|--|---|--|--|
| | | Time frame: Since the initial | | | |
| 1 | All support for the present | NIHR Senior Investigator | Funds paid to institution. | | |
| | manuscript (e.g., funding, | | | | |
| | provision of study materials, | | | | |
| | medical writing, article | | | | |
| | processing charges, etc.) | | | | |
| | No time limit for this item. | | | | |
| | | | | | |
| | | | | | |
| | Time frame: past 36 months | | | | |
| 2 | Grants or contracts from | None | | | |
| | any entity (if not indicated | | | | |
| | in item #1 above). | | | | |
| 3 | Royalties or licenses | None | | | |
| | | | | | |
| | | | | | |
| 4 | Consulting fees | None | | | |

| 5 | Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events Payment for expert | None None | |
|----|--|---|------------------------|
| | testimony | | |
| 7 | Support for attending meetings and/or travel | None | |
| 8 | Patents planned, issued or pending | None | |
| 9 | Participation on a Data Safety Monitoring Board or Advisory Board | None | |
| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid | Subpanel Chair for NIHR PGfAR Member of NIHR CTU Standing Advisory Committee. | Salary supplement paid |
| 11 | Stock or stock options | None | |
| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services | None | |
| 13 | Other financial or non- financial interests | None | |

Please place an "X" next to the following statement to indicate your agreement:

__X_ I certify that I have answered every question and have not altered the wording of any of the questions on this form.